SCHEDULE 3

Article 13(2)

ABSENT VOTING

ARRANGEMENT OF PARAGRAPHS

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Signature

Explanatory Note

General requirements for applications

- 1.—(1) Applications under article 9, 10, 11 or 12 shall, in the case of a person applying to vote by post, state–
 - (a) the applicant's name in full;
 - (b) except in the case of an application under article 12, the address in respect of which the applicant is or will be registered in the register;
 - (c) in the case of an application under article 12, the address of the applicant, together with the name of the elector for whom he acts as proxy and the address of that elector for the purposes of sub-paragraph (b) above;
 - (d) in the case of an application under article 9(1), 10(1) or 12(4), the grounds on which the applicant claims to be entitled to an absent vote; and
 - (e) the address to which the ballot paper should be sent,

and the application shall be signed by the applicant and made and sent or delivered in accordance with article 88.

- (2) For the purposes of sub paragraph (1)(b) above, the address in respect of which the applicant is or will be registered includes—
 - (a) in the case of a service voter, the address given in the service declaration in accordance with section 16(d) of the 1983 Act(1);

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⁽¹⁾ Section 16(d) was amended by the 2000 Act, Schedule 1, paragraph 9(c).

- (b) in the case of a voluntary mental patient, the address of the mental hospital or the address shown on the declaration of local connection in accordance with section 7B(3)(d) of the 1983 Act(2);
- (c) in the case of a person remanded in custody, the address of the place at which he is detained or the address shown on the declaration of local connection in accordance with section 7B(3)(d) of the 1983 Act; and
- (d) in the case of a homeless person, within the meaning of section 7B(2)(c) of the 1983 Act, the address shown on the declaration of local connection in accordance with section 7B(3) (d) of that Act.
- (3) An application under article 10(1) or (2), 11(7) or 12(4) or (6) shall specify the election in respect of which it is made. An application under article 10(1) or (2) shall also specify whether it is for an indefinite period or for a particular period specified in the application.
- (4) An application to vote by proxy under article 9(2) or (6) or 10(1) or (2) shall include an application for the appointment of a proxy which meets the requirements of paragraph 5 below.
- (5) An application under article 9, 10, 11 or 12 shall comply with such further requirements of this Schedule as apply to such an application including the requirements as to time set out by paragraph 6 below.

Additional requirements for applications on grounds of physical incapacity

- **2.**—(1) An application under paragraph (1), as read with paragraph (3)(b), of article 9 shall specify the physical incapacity by reason of which the application is made.
- (2) Subject to sub-paragraphs (3) and (5) below, such an application shall be attested and signed by-
 - (a) a registered medical practitioner;
 - (b) a registered nurse within the meaning of section 7(7) of the Nurses, Midwives and Health Visitors Act 1997(3); or
 - (c) a Christian Science practitioner;
 - (d) in the case of an application in which the applicant states that he is resident in an establishment within the meaning of section 61 of the Social Work (Scotland) Act 1968(4) in respect of which a person is required to be registered under that section, that person;
 - (e) in the case of an application in which the applicant states that he is resident in residential accommodation provided by a local authority under section 59(2) of the Social Work (Scotland) Act 1968, the matron or other person in charge of that accommodation;
 - (f) in the case of an application in which the applicant states that he resides in premises forming one of a group of premises—
 - (i) which are provided for persons of pensionable age or physically disabled persons; and
 - (ii) for which there is a resident warden,

that warden.

(3) But a person may not attest an application under the said article 9(1) and (3)(b) by virtue of sub paragraph (2)(a), (b) or (c) above, unless he is treating the applicant for the physical incapacity specified in accordance with sub-paragraph (1) above or the applicant is receiving care from him in respect of that incapacity.

⁽²⁾ Section 7B was inserted by the 2000 Act, section 6.

^{(3) 1997} c. 24.

^{(4) 1968} c. 49.

- (4) The person attesting an application under sub-paragraph (2) above shall state—
 - (a) his name and address and the qualification by virtue of which he is authorised to attest the application and, where the person attests the application by virtue of sub paragraph (2)(a),
 (b) or (c) above, that he is treating the applicant for the physical incapacity specified in accordance with sub-paragraph (1) above or that the applicant is receiving care from him in respect of that incapacity;
 - (b) that, to the best of his knowledge and belief, the applicant is suffering from the physical incapacity specified in the application and that he cannot reasonably be expected to go in person to his allotted polling station or to vote unaided there, by reason of that incapacity; and
 - (c) that, to the best of his knowledge and belief, the physical incapacity specified in the application is likely to continue either indefinitely or for a period specified by the person attesting the application.
- (5) Sub-paragraphs (2) and (4) above shall not apply where-
 - (a) the application is based on the applicant's blindness and the applicant is registered as a blind person by a local authority, or by a local society for the blind, which is specified in the application;
 - (b) the application states that the applicant is (under section 73 of the Social Security Contributions and Benefits Act 1992(5) in receipt of the higher rate of the mobility component of a disability living allowance (payable under section 71 of that Act) because of the physical incapacity specified in the application in pursuance of sub-paragraph (1) above.
- (6) The fact that an applicant is registered with a local authority or local society for the blind shall be deemed sufficient evidence that he is eligible for an absent vote on the grounds set out in article 9(3)(b).
- (7) In this paragraph and paragraphs 3 and 4 below, "his allotted polling station", in relation to an elector, means the polling station allotted or likely to be allotted to him under this Order.

Additional requirements for applications based on occupation, service or employment

- **3.**—(1) An application under article 9 and under paragraph (1), as read with paragraph (3)(c), of article 9 shall state—
 - (a) whether the occupation, service or employment, in respect of which it is made, is that of the applicant or his spouse or, as the case may be, it is the applicant or his spouse who is attending the course provided by an educational institution in respect of which the application is made;
 - (b) the nature of the occupation, service, employment or course provided by an educational institution giving rise to the application;
 - (c) where the person in respect of whose occupation, service or employment it is made (in this paragraph referred to as "the employed person") is self-employed, that fact and, in any other case, the name of that person's employer, and
 - (d) the reason relevant to the general nature of the employment, service or occupation in question or the course provided by an educational institution, why the applicant cannot reasonably be expected to go in person to his allotted polling station.
 - (2) Such an application shall be attested and signed-
 - (a) where the employed person is self-employed, by a person who-

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⁽**5**) 1992 c. 4.

- (i) is aged 18 years or over;
- (ii) knows the employed person; and
- (iii) is not related to him;
- (b) by the employer of the employed person or by another employee to whom this function is delegated by the employer; and
- (c) in the case of a course provided by an educational institution, by the director or tutor of that course or by the principal or head of that institution or an employee to whom this function is delegated by the principal or head.
- (3) For the purposes of sub-paragraph (2)(a) above and of sub-paragraph (4)(b)(i) below, one person is related to another if he is the husband, wife, parent, grandparent, brother, sister, child or grandchild of the other.
 - (4) The person attesting an application under sub-paragraph (2) above shall—
 - (a) where the applicant is the employed person or the person attending the course, certify that the statements included in the application in accordance with the requirements of subparagraph (1)(a) to (d) above are true; or
 - (b) where the applicant is the spouse of the employed person or the person attending the course, certify that the statements included in the application in accordance with the requirements of sub-paragraphs (1)(a) to (c) above are true,

and, in addition, he shall state-

- (i) in the case of a person who attests an application under sub-paragraph (2)(a) above, his name and address, and that he is aged 18 years or over, knows the employed person, but is not related to him; or
- (ii) in the case of a person who attests an application under sub-paragraph (2)(b) above, either that he is the employer of the employed person or the position he holds in the employment of that employer; or
- (iii) in the case of a person who attests under sub-paragraph (2)(c), the post he holds at the institution.

Additional requirements for applications for proxy votes in respect of a particular election

4. An application under article 10(1) to vote by proxy at a particular election shall set out why the applicant's circumstances on the date of the poll for that election in respect of which it is made will be or are likely to be such that he cannot reasonably be expected to vote in person at his allotted polling station.

Additional requirements for applications for appointment of a proxy

- **5.** An application for the appointment of a proxy under article 11(6) or (7) shall state the full name and address of the person whom the applicant wishes to appoint as his proxy, together with his family relationship, if any, with the applicant, and—
 - (a) if it is signed only by the applicant, shall contain a statement by him that he has consulted the person so named and that that person is capable of being and willing to be appointed to vote as his proxy; or
 - (b) if it is also signed by the person to be appointed, shall contain a statement by that person that he is capable of being and willing to be appointed to vote as the applicant's proxy.

Closing dates for applications

- **6.**—(1) An application–
 - (a) to vote by post or proxy under article 9(1) or (2);
 - (b) to vote by post or proxy under article 10(1);
 - (c) for the appointment of a proxy under article 11(6);
 - (d) for the appointment of a proxy under article 11(7); or
 - (e) from a proxy to vote by post under article 12(4),

shall be disregarded for the purposes of any particular election if it is received by the registration officer after 5.00 pm on the sixth day before the date of the poll at that election.

- (2) Subject to sub-paragraph (3) below, an application—
 - (a) by an absent voter to alter his choice as to the manner of absent voting under article 9(6);
 - (b) from a proxy to vote by post under article 12(4);
 - (c) by a postal voter for his ballot papers to be sent to a different address or to vote instead by proxy at a particular election under article 10(2);
 - (d) from a postal proxy for his ballot papers to be sent to a different address at a particular election under article 12(6),

shall be refused if it is received by the registration officer after 5pm on the eleventh day before the date of the poll at the election for which it was made.

- (3) The following, namely-
 - (a) an application under article 9(5)(a) by an elector to be removed from the record kept under article 9(4);
 - (b) an application under article 12(8)(a) by a proxy to be removed from the record kept under article 12(5); and
 - (c) a notice under article 11(9) of the cancellation of a proxy's appointment,

shall be disregarded for the purposes of a particular election if it is received by the registration officer after 5pm on the eleventh day before the date of the poll at that election.

- (4) In computing a period of days for the purposes of this paragraph—
 - (a) a Saturday or a Sunday;
 - (b) Christmas Eve, Christmas Day, Easter Monday or Good Friday; or
 - (c) a day which is a bank holiday in Scotland under the Banking and Financial Dealings Act 1971,

shall be disregarded.

Grant or refusal of applications

- 7.—(1) Where the registration officer grants an application under article 9, 10, 11 or 12 he shall, where practicable, notify the applicant of his decision.
- (2) Where the registration officer grants an application for the appointment of a proxy, he shall, where practicable, confirm in writing to the elector that the proxy has been appointed, his name and address and the duration of the appointment.
- (3) The proxy paper to be issued by the registration officer on the appointment of a proxy may be combined with another form of proxy paper if the registration officer is issuing a proxy paper appointing that person as proxy for the same elector in respect of another election or other elections.

- (4) Where the registration officer does not grant an application under article 9, 10, 11 or 12, he shall notify the applicant of his decision and, in the case of an application under article 9(1) or 12(4), of the reason for his decision; and he shall date such notification.
- (5) Where under paragraph 6(1) or (3) above the registration officer disregards an application for the purposes of any particular election, he shall, where practicable, notify the applicant of this.
- (6) At an election where the registration officer is not the returning officer for any constituency or part of a constituency for which he is the registration officer, he shall send to that officer details of any application to vote by post which he has granted as soon as practicable after doing so.

Notice of appeal

- **8.**—(1) A person desiring to appeal under article 5(1) against the decision of a registration officer must give notice of the appeal to the registration officer within 14 days of the receipt of the notice given under paragraph 7(4) above, specifying the grounds of the appeal.
- (2) The registration officer shall forward any such notice to the sheriff with a statement of the material facts which in his opinion have been established in the case, of his decision upon the whole case, and on any point which may be specified as a ground of appeal and shall also give to the sheriff any other information which the sheriff may require and which the registration officer is able to give.
- (3) Where it appears to the registration officer that any notices of appeal given to him are based on similar grounds, he shall inform the sheriff so that the sheriff may consolidate the appeals or select a case as a test case.

Cancellation of proxy appointment

- **9.** Where the appointment of a proxy is cancelled by notice given to the registration officer under article 11(9) or ceases to be in force under that provision or is no longer in force under article 11(10) (b), the registration officer shall—
 - (a) notify the person whose appointment as proxy has been cancelled, has expired, ceases to be or is no longer in force, unless the registration officer has previously been notified by that person that he no longer wishes to act as proxy; and
 - (b) remove his name from the record kept under article 9(4).

Inquiries by registration officer

- 10.—(1) The registration officer may, at such times as he thinks fit, make inquiries of a person who is shown in the record kept under article 9(4) by virtue of an application under that article on the grounds set out in article 9(3)(c) or (d);
- (2) The registration officer may treat the failure by a person of whom inquiries have been made under sub-paragraph (1) above to respond to such inquiries within one month of the date on which they were made as sufficient evidence of a material change in circumstances.

Records and lists kept under articles 9, 10 and 12

- 11.—(1) Subject to the provisions of this paragraph, the records kept under articles 9(4) and 12(5) and the lists kept under articles 10(4) and 12(7) shall be in such form as the registration officer thinks fit.
- (2) In that part of the absent voters list referred to in article 10(4)(a), the address to which a ballot paper shall be sent to a person who is entitled to vote by post as an elector shall be placed on the right hand side of his name and electoral number.

- (3) In that part of the absent voters list referred to in article 10(4)(b) (the list of proxies), the name and address of the proxy shall be placed on the right hand side of the elector's name and electoral number.
- (4) In the list kept under article 12(7) (those proxies who are entitled to vote by post), the name of the proxy, together with the address to which his ballot paper shall be sent, shall be placed on the right hand side of the elector's name and electoral number.
- (5) The registration officer shall make available for inspection at his office a copy of the records kept under articles 9(4) and 12(5).
- (6) As soon as practicable after the day referred to in paragraph 6(1) above, the registration officer shall publish the lists kept under articles 10(4) and 12(7) by making a copy of them available for inspection at his office; and he shall continue to make a copy of those lists so available until the date of the poll.
- (7) Subject to sub-paragraph (8) below, as soon as practicable after the publication of the lists referred to in sub-paragraph (6) above, the registration officer shall, on request, supply free of charge a copy of them to each—
 - (a) candidate for return as a constituency member, or his election agent; or
 - (b) individual candidate for return as a regional member or nominating officer of a party standing nominated or the election agent of such a candidate or party.
- (8) But if such a request is made before any issue of postal ballot papers, he shall before that issue supply a copy of those lists or so much of them as relates to that issue.
- (9) In this paragraph, "electoral number" means a person's number in the register to be used at the election.

Marked register for polling stations

12. To indicate that an elector or his proxy is entitled to vote by post and is for that reason not entitled to vote in person, the letter "A" shall be placed against the name of that elector in any copy of the register, or part of it, provided for a polling station.