#### STATUTORY INSTRUMENTS

# 2002 No. 254

## The Health Professions Order 2001

## **PART VIII**

### **MISCELLANEOUS**

#### **Further provisions**

- **40.**—(1) The Privy Council may make provision by order with regard to the functions of legal assessors and in particular provision may be made—
  - (a) requiring legal assessors, when advising the Council or any of its committees, to do so in the presence of the parties or their representatives or, where advice is given in private, requiring the parties to be notified of the advice tendered by the legal assessors;
  - (b) requiring the parties to be notified in any case where the legal assessor's advice is not accepted.
- (2) Paragraph (1)(a) and (b) shall not apply to advice given by a legal assessor in respect of the drafting of a decision mentioned in article 34(3).

#### Rules and orders

- **41.**—(1) No rules under this Order shall come into force until approved by order of the Privy Council.
- (2) Any rules made by the Council and any order of the Privy Council made under this Order may make different provision with respect to different cases or classes of case.
- (3) Before making any rules under this Order, the Council shall consult representatives of any group of persons who appear likely to be affected by the proposed rules and these may include such persons as appear to it to be representative of—
  - (a) registrants or classes of registrant;
  - (b) employers of registrants;
  - (c) users of the services of registrants; or
  - (d) persons providing, assessing or funding education and training for registrants and prospective registrants.

#### **Exercise of powers by the Privy Council**

- **42.**—(1) Where the approval of the Privy Council is required by this Order in respect of the making of any rules by the Council, it shall be given by an order made by the Privy Council.
- (2) Any power of the Privy Council under this Order to make an order shall be exercisable by statutory instrument.

- (3) Any order under this Order shall be subject to annulment in pursuance of a resolution of either House of Parliament but this does not apply to an order made under article 48(2) or Schedule 2, paragraph 19, 20 or 21.
- (4) For the purpose of exercising any powers conferred by this Order the quorum of the Privy Council shall be two.
- (5) Any act of the Privy Council under this Order shall be sufficiently signified by an instrument signed by the Clerk of the Privy Council.
  - (6) Any document purporting to be—
    - (a) an instrument made by the Privy Council under this Order; and
    - (b) signed by the Clerk of the Privy Council, shall be evidence (and in Scotland sufficient evidence) of the fact that the instrument was so made and of its terms.

#### **Default powers of the Privy Council**

- **43.**—(1) If it appears to the Privy Council that the Council has failed to perform any functions which, in the opinion of the Privy Council, should have been performed, the Privy Council may notify the Council of its opinion and require the Council to make representations to it.
- (2) The Privy Council may, having considered the representations of the Council, give such directions (if any) to the Council as it considers appropriate.
- (3) If the Council fails to comply with any directions given under this article, the Privy Council may give effect to the direction.
- (4) Subject to paragraph (5), for the purpose of giving effect to a direction under paragraph (3), the Privy Council may—
  - (a) exercise any power of the Council or do any act or other thing authorised to be done by the Council; and
  - (b) do, of its own motion, any act or other thing which it is otherwise authorised to do under this Order at the instigation of the Council.
- (5) The Privy Council shall not exercise the power in paragraph (4) to make, amend, remove or restore an entry in the register in respect of an individual, nor to refuse to do so.
- (6) The powers under paragraphs (1) and (2) may be exercised by a person authorised or designated by the Privy Council for that purpose.

#### **Annual reports**

- **44.**—(1) The Council shall publish at least once in each calendar year a statistical report which indicates the efficiency and effectiveness of the arrangements it has put in place to protect the public from persons whose fitness to practise is impaired, together with the Council's observations on the report.
  - (2) The Council shall—
    - (a) within such time as directed by the Privy Council, submit a report to it on the Council's exercise of its functions during the period specified by the Privy Council; and
    - (b) thereafter submit such a report once in each calendar year in respect of the period since its last such report.
- (3) The Privy Council shall lay before each House of Parliament a copy of the report submitted by the Council under paragraph (2).

#### **Finances of the Council**

- **45.**—(1) The Council may charge such fees in connection with the exercise of its functions as it may, with the approval of the Privy Council, determine.
- (2) Subject to this article, the expenses of the Council are to be met out of fees received by the Council and other sums paid to it in connection with the exercise of its functions.
- (3) The Secretary of State or an appropriate authority may make grants or loans to the Council towards expenses incurred, or to be incurred by it—
  - (a) in connection with the process of the implementation of this Order, or
  - (b) for such other purposes in connection with the professions regulated by this Order as may be approved by the Secretary of State or the appropriate authority and agreed with the Council.
- (4) In this paragraph, "appropriate authority" means the National Assembly for Wales, the Scottish Ministers or the Department of Health, Social Services and Public Safety in Northern Ireland.

#### **Accounts of the Council**

- **46.**—(1) The Council shall—
  - (a) keep accounts in such form as the Privy Council may determine;
  - (b) prepare annual accounts in respect of each financial year, in such form as the Privy Council may determine.
- (2) The annual accounts shall be audited by persons the Council appoints.
- (3) No person may be appointed as an auditor under paragraph (2) unless he is eligible for appointment as a company auditor under section 25 of the Companies Act 1989 or article 28 of the Companies (Northern Ireland) Order 1990.
- (4) As soon as is reasonably practicable after the end of the financial year to which the annual accounts relate, the Council shall—
  - (a) cause them to be published together with any report on them made by the auditors; and
  - (b) send a copy of the annual accounts and of any such report to the Privy Council and to the Comptroller and Auditor General.
  - (5) The Comptroller and Auditor General shall examine, certify and report on the annual accounts.
- (6) For the purposes of his examination, the Comptroller and Auditor General may inspect the accounts of the Council and any records relating to them.
- (7) The Privy Council shall lay before each House of Parliament a copy of the annual accounts certified by the Comptroller and Auditor General, any report of the auditors and the report of the Comptroller and Auditor General prepared under paragraph (5).
  - (8) In this article, "financial year" means—
    - (a) the period beginning with the date on which the Council is established and ending with the next 31st March following that date, and
    - (b) each successive period of 12 months ending with 31st March.

#### **Inquiry by the Privy Council**

- **47.**—(1) The Privy Council may cause an inquiry to be held into any matter connected with the exercise by the Council of its functions.
  - (2) Before an inquiry is begun, the Privy Council may direct that it shall be held in public.

- (3) Where no direction has been given, the person holding the inquiry may if he thinks fit hold it, or any part of it, in private.
- (4) For the purpose of any such inquiry (but subject to paragraph (5)) the person appointed to hold the inquiry—
  - (a) may by summons require any person to attend, at a time and place stated in the summons, to give evidence or to produce any documents in his custody or under his control which relate to any matter in question at the inquiry; and
  - (b) may take evidence on oath, and for that purpose administer oaths, or may, instead of administering an oath, require the person examined to make a solemn affirmation.
- (5) Nothing in this article requires a person, in obedience to a summons under the article, to attend to give evidence or to produce any documents unless the necessary expenses of his attendance are paid or tendered to him.
- (6) Any person who refuses or deliberately fails to attend in obedience to a summons under this article, or to give evidence, or who deliberately alters, suppresses, conceals, destroys, or refuses to produce any book or other document which he is required or is liable to be required to produce for the purposes of this article, shall be liable on summary conviction to a fine not exceeding level 5 on the standard scale.
  - (7) Where the Privy Council causes an inquiry to be held under this article—
    - (a) the costs incurred by it in relation to the inquiry (including such reasonable sum as it may determine for the services of any officer engaged in the inquiry) shall be paid by such party to the inquiry as it may direct; and
    - (b) it may cause the amount of the costs so incurred to be certified, and any amount so certified and directed to be paid by any person shall be recoverable from that person by the Privy Council summarily as a civil debt.
  - (8) Where the Privy Council causes an inquiry to be held under this article it may make orders—
    - (a) as to the costs of the parties at the inquiry; and
    - (b) as to the parties by whom costs are to be paid,

and every such order may be made a rule of the appropriate court on the application of any party named in the order.

(9) "Appropriate court" has the same meaning as in article 38(5).

### Supplementary and transitional provisions and extent

- **48.**—(1) The transitional provisions contained in Schedule 2 to this Order shall apply.
- (2) The Privy Council may by order make such further transitional provisions as it considers appropriate.
  - (3) The consequential amendments contained in Schedule 4 to this Order shall apply.
  - (4) This Order extends to the whole of the United Kingdom.