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## STATUTORY INSTRUMENTS

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# 2001 No. 544

## The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

### PART III

#### SPECIFIED INVESTMENTS

##### Shares etc.

- 76.**—(1) Shares or stock in the share capital of—
- (a) any body corporate (wherever incorporated), and
  - (b) any unincorporated body constituted under the law of a country or territory outside the United Kingdom.
- (2) Paragraph (1) includes—
- (a) any shares of a class defined as deferred shares for the purposes of section 119 of the Building Societies Act 1986<sup>M1</sup>; and
  - (b) any transferable shares in a body incorporated under the law of, or any part of, the United Kingdom relating to industrial and provident societies or credit unions, or in a body constituted under the law of another EEA State for purposes equivalent to those of such a body.
- (3) But subject to paragraph (2) there are excluded from paragraph (1) shares or stock in the share capital of—
- (a) an open-ended investment company;
  - (b) a building society incorporated under the law of, or any part of, the United Kingdom;
  - (c) a body incorporated under the law of, or any part of, the United Kingdom relating to industrial and provident societies or credit unions;
  - (d) any body constituted under the law of an EEA State for purposes equivalent to those of a body falling within sub-paragraph (b) or (c).

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##### Marginal Citations

**M1** 1986 c. 53.

**Status:**

Point in time view as at 01/01/2003. This version of this provision has been superseded.

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, Section 76 is up to date with all changes known to be in force on or before 22 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.