

SCHEDULE 4

KEEPING OF REGISTERS AND RECORDS OF PARTICIPATING SECURITIES

Registers of public sector securities

12.—(1) Where an Operator of a relevant system is required to maintain an Operator register of public sector securities, that register shall comprise the following particulars which the Operator shall enter on it, namely—

- (a) the names and addresses of the persons holding units of the relevant participating security in uncertificated form; and
- (b) how many units of that security each such person holds in that form.

(2) The following provisions of the 1965 Regulations shall not apply in respect of units of UK Government securities held in uncertificated form—

- regulations 1 to 3;
- regulations 3C to 3E;
- regulations 5 to 13;
- regulations 17 and 18; and
- regulations 19 to 22.

(3) The following provisions of the 1974 Regulations shall not apply in respect of units of local authority securities held in uncertificated form—

- regulations 5 and 6;
- regulations 8 to 14;
- regulation 16; and
- regulation 21.