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STATUTORY INSTRUMENTS

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**2001 No. 3649**

**The Financial Services and Markets Act 2000  
(Consequential Amendments and Repeals) Order 2001**

**PART 9**

**AMENDMENTS TO SECONDARY LEGISLATION**

*The Traded Securities (Disclosure) Regulations 1994 (S.I. 1994/188)*

**Interpretation of the 1994 Regulations**

**453.**—(1) In regulation 2 of the Traded Securities (Disclosure) Regulations 1994 (interpretation)

(a) for the definition of “the Official List” substitute—

““the Official List” means the list maintained by the competent authority in accordance with section 74 of the Financial Services and Markets Act 2000;”;

(b) for the definition of “overseas investment exchange” and “recognised investment exchange” substitute—

““overseas investment exchange” means a recognised investment exchange in the case of which a recognition order was made by virtue of section 292(2) of the Financial Services and Markets Act 2000;”;

(c) after the definition of “overseas investment exchange” insert—

““recognised investment exchange” has the same meaning as in section 285 of the Financial Services and Markets Act 2000;”;

(d) in the definition of “security” for “Part IV of the Financial Services Act 1986” substitute “Part VI of the Financial Services and Markets Act 2000”.

**Requirements for recognition of overseas investment exchanges**

**454.** For regulation 4 of the Traded Securities (Disclosure) Regulations 1994 substitute—

“The Financial Services and Markets Act 2000 shall have effect as if the requirement set out in paragraph (3) of regulation 3 above were, in the case of an overseas investment exchange, among those specified in section 292(3) of that Act (requirements for recognition of overseas investment exchange) and mentioned in section 297(2)(a) of that Act (revocation of recognition order).”.