
STATUTORY INSTRUMENTS

2001 No. 3649

The Financial Services and Markets Act 2000
(Consequential Amendments and Repeals) Order 2001

PART 9

AMENDMENTS TO SECONDARY LEGISLATION

The Public Offers of Securities Regulations 1995 (S.I. 1995/1537)

Miscellaneous and Supplementary

509. In regulation 23 of the Public Offers of Securities Regulations 1995—

- (a) for the sub-title to that regulation (“Application of Part X of the Act”) substitute “Miscellaneous and Supplementary”;
- (b) in paragraph (1)—
 - (i) for “section 187(4) of the Act” substitute “paragraph 19(1) of Schedule 1 to the Act”, and
 - (ii) for “Part IV” substitute “Part VI”;
- (c) in paragraph (2) for “section 188” substitute “section 415”;
- (d) in paragraph (3) for “section 192” substitute “section 410”;
- (e) for paragraph (4) substitute—

“(4) Section 176 of the Act shall have effect, in relation to these Regulations, as if for the purposes of subsection (4) of section 176 the offences mentioned in section 168 of the Act included an offence under these Regulations.”;
- (f) for paragraph (5) substitute—

“(5) Subsections (1) and (3) of section 398 of the Act shall apply in relation to information given to the competent authority—

 - (a) for the purposes of or in connection with an application under these Regulations, or
 - (b) in purported compliance with requirements imposed by or under these Regulations,

as they apply in relation to information given in purported compliance with any requirement imposed by or under the Act.”; and
- (g) in paragraph (6) for “Sections 201(1), 202 and 203” substitute “Sections 400, 401 and 403”.