
STATUTORY INSTRUMENTS

2001 No. 3649

The Financial Services and Markets Act 2000
(Consequential Amendments and Repeals) Order 2001

PART 8

MISCELLANEOUS AMENDMENTS TO PRIMARY LEGISLATION

Terrorism Act 2000 (c. 11)

Meaning of “financial institution”

361.—(1) Paragraph 6 of Schedule 6 to the Terrorism Act 2000 (financial information) is amended as follows.

(2) In sub-paragraph (1)(1)—

(a) for paragraph (a) substitute—

“(a) a person who has permission under Part 4 of the Financial Services and Markets Act 2000 to accept deposits,”;

(b) paragraph (b) is repealed; and

(c) for paragraph (d) substitute—

“(d) a person carrying on a relevant regulated activity,”.

(3) After sub-paragraph (1) of paragraph 6 insert—

“(1A) For the purposes of sub-paragraph (1)(d), a relevant regulated activity means—

(a) dealing in investments as principal or as agent,

(b) arranging deals in investments,

(c) managing investments,

(d) safeguarding and administering investments,

(e) sending dematerialised instructions,

(f) establishing etc. collective investment schemes,

(g) advising on investments.

(1B) Sub-paragraphs (1)(a) and (1A) must be read with—

(a) section 22 of the Financial Services and Markets Act 2000;

(b) any relevant order under that section; and

(c) Schedule 2 to that Act.”.

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.
