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STATUTORY INSTRUMENTS

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**2001 No. 2636**

**The Financial Services and Markets Act 2000 (Transitional Provisions) (Authorised Persons etc.) Order 2001**

**PART I**  
**GENERAL**

**Citation and commencement**

**1.**—(1) This Order may be cited as the Financial Services and Markets Act 2000 (Transitional Provisions) (Authorised Persons etc.) Order 2001.

(2) This Order comes into force—

- (a) for the purposes of Parts I and IV, on the twenty-first day after it is laid before Parliament;
- (b) for all other purposes, on commencement.

**Definitions**

**2.**—(1) In this Order—

“the Act” means the Financial Services and Markets Act 2000;

“building society” has the same meaning as in the Building Societies Act;

“commencement” means the beginning of the day on which section 19 comes into force;

“exempted person” means a person exempted under Chapter IV of Part I of the Financial Services Act;

“recognised professional body” has the same meaning as in the Financial Services Act;

“recognised self-regulating organisation” has the same meaning as in the Financial Services Act, except that it includes a recognised self-regulating organisation for friendly societies within the meaning of that Act;

“the Regulated Activities Order” means the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001(1).

(2) In this Order—

“the Banking Act” means the Banking Act 1987(2);

“the Building Societies Act” means the Building Societies Act 1986(3);

“the Financial Services Act” means the Financial Services Act 1986(4);

“the Friendly Societies Act” means the Friendly Societies Act 1992(5);

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(1) S.I.2001/544.  
(2) 1987 c. 22.  
(3) 1986 c. 53.  
(4) 1986 c. 60.  
(5) 1992 c. 40.

“the Insurance Companies Act” means the Insurance Companies Act 1982<sup>(6)</sup>;

“the 2BCD Regulations” means the Banking Coordination (Second Council Directive) Regulations 1992<sup>(7)</sup>;

“the ISD Regulations” means the Investment Services Regulations 1995<sup>(8)</sup>;

“the 3ID Regulations” means the Insurance Companies (Third Insurance Directives) Regulations 1994<sup>(9)</sup>.

(3) Any reference in this Order to a section or Schedule is, unless the context otherwise requires, a reference to that section of or Schedule to the Act.

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<sup>(6)</sup> 1982 c. 50.

<sup>(7)</sup> S.I. 1992/3218 amended by S.I. 1993/3225, S.I. 1995/1217, S.I. 1995/1442, S.I. 1996/1669, S.I. 1999/2094 and S.I. 2000/2952.

<sup>(8)</sup> S.I. 1995/3275 amended by the Bank of England Act 1998 (c. 11) and by S.I. 1996/1669 and S.I. 2000/2952.

<sup>(9)</sup> S.I. 1994/1696.