
STATUTORY INSTRUMENTS

1998 No. 2307

The Lifting Operations and Lifting Equipment Regulations 1998

Application

3.—(1) These Regulations shall apply—

- (a) in Great Britain; and
- (b) outside Great Britain as sections 1 to 59 and 80 to 82 of the 1974 Act apply by virtue of the Health and Safety at Work etc. Act 1974 (Application outside Great Britain) Order 1995⁽¹⁾ (“the 1995 Order”).

(2) The requirements imposed by these Regulations on an employer in respect of lifting equipment shall apply in relation to lifting equipment provided for use or used by an employee of his at work.

(3) The requirements imposed by these Regulations on an employer shall also apply—

- (a) to a [^{F1}relevant self-employed person], in respect of lifting equipment he uses at work;
- (b) subject to paragraph (5), to a person who has control to any extent of—
 - (i) lifting equipment;
 - (ii) a person at work who uses or supervises or manages the use of lifting equipment; or
 - (iii) the way in which lifting equipment is used,and to the extent of his control.

(4) Any reference in paragraph [^{F2}(5)(b)][^{F2}(3)(b)] to a person having control is a reference to a person having control in connection with the carrying on by him of a trade, business or other undertaking (whether for profit or not).

(5) The requirements imposed by these Regulations on an employer shall not apply to a person in respect of lifting equipment supplied by him by way of sale, agreement for sale or hire-purchase agreement.

(6) Subject to paragraphs (7) to (10), these Regulations shall not impose any obligation in relation to a ship’s work equipment (whether that equipment is used on or off the ship).

(7) Where merchant shipping requirements are applicable to a ship’s work equipment, paragraph (6) shall relieve the shore employer of his obligations under these Regulations in respect of that equipment only where he has taken all reasonable steps to satisfy himself that the merchant shipping requirements are being complied with in respect of that equipment.

(8) In a case where the merchant shipping requirements are not applicable to the ship’s work equipment by reason only that for the time being there is no master, crew or watchman on the ship, those requirements shall nevertheless be treated for the purpose of paragraph (7) as if they were applicable.

(9) Where the ship’s work equipment is used in a specified operation paragraph (6) shall not apply to regulations 6 and 8 (each as applied by regulation 3).

(1) S.I. 1995/263.

(10) Paragraph (6) does not apply to a ship's work equipment provided for use or used in an activity (whether carried on in or outside Great Britain) specified in the 1995 Order save that it does apply to—

- (a) the loading, unloading, fuelling or provisioning of the ship; or
- (b) the construction, reconstruction, finishing, refitting, repair, maintenance, cleaning or breaking up of the ship.

(11) In this regulation—

“master” has the meaning assigned to it by section 313(1) of the Merchant Shipping Act 1995⁽²⁾;

“merchant shipping requirements” means the requirements of regulations 3 and 4 of the Merchant Shipping (Guarding of Machinery and Safety of Electrical Equipment) Regulations 1988⁽³⁾ and regulations 5 to 10 of the Merchant Shipping (Hatches and Lifting Plant) Regulations 1988⁽⁴⁾;

[^{F3}“relevant self-employed person” means a self-employed person who conducts an undertaking of a prescribed description for the purposes of section 3(2) of the 1974 Act;]

“ship” has the meaning assigned to it by section 313(1) of the Merchant Shipping Act 1995 save that it does not include an offshore installation;

“shore employer” means an employer of persons (other than the master and crew of any ship) who are engaged in a specified operation;

“specified operation” means an operation in which the ship's work equipment is used—

- (a) by persons other than the master and crew; or
- (b) where persons other than the master and crew are liable to be exposed to a risk to their health or safety from its use.

F1 Words in reg. 3(3)(a) substituted (1.10.2015) by *The Deregulation Act 2015 (Health and Safety at Work) (General Duties of Self-Employed Persons) (Consequential Amendments) Order 2015* (S.I. 2015/1637), art. 1, **Sch. para. 5(a)**

F2 Word in reg. 3(4) substituted (E.W.S.) (17.9.2002) by *The Health and Safety (Miscellaneous Amendments) Regulations 2002* (S.I. 2002/2174), regs. 1, **8(b)**

F3 Words in reg. 3(11) inserted (1.10.2015) by *The Deregulation Act 2015 (Health and Safety at Work) (General Duties of Self-Employed Persons) (Consequential Amendments) Order 2015* (S.I. 2015/1637), art. 1, **Sch. para. 5(b)**

Commencement Information

II Reg. 3 in force at 5.12.1998, see **reg. 1**

(2) 1995 c. 21.

(3) S.I. 1988/1636, amended by S.I. 1988/2274.

(4) S.I. 1988/1639, amended by S.I. 1988/2274.

Changes to legislation:

There are currently no known outstanding effects for the The Lifting Operations and Lifting Equipment Regulations 1998, Section 3.