
STATUTORY INSTRUMENTS

1995 No. 1053

**The Personal and Occupational Pension Schemes
(Pensions Ombudsman) (Procedure) Rules 1995**

Action by the respondent on receipt of a complaint or dispute

6.—(1) Upon receiving a copy of the details of a complaint or dispute the respondent shall deliver to the Pensions Ombudsman a written reply acknowledging receipt of the details and stating—

- (a) the facts relating to the complaint or dispute, whether or not the respondent opposes the allegations made in the complaint or dispute and any details on which it relies in opposing those allegations;
- (b) whether in the opinion of the respondent, any other person has a direct interest in the subject matter of the complaint or dispute and if so the name and address of such other person;
- (c) the name, address and profession of the representative (if any) of the respondent and whether such address is the address for correspondence to the respondent for the purposes of the investigation.

(2) The respondent shall deliver to the Pensions Ombudsman a sufficient number of additional copies of the reply to enable the Pensions Ombudsman to provide a copy to [^{F1}the complainant] and any other person named by the respondent as having a direct interest in the subject matter of the complaint or dispute.

(3) Every such reply shall be signed by or on behalf of the respondent and shall be delivered at the office of the Pensions Ombudsman not later than twenty-one days after the date on which the copy of the details of the complaint or dispute was received by the respondent from the Pensions Ombudsman.

(4) The respondent may in its reply, or in a separate notice to the Pensions Ombudsman submitted within the twenty-one day period referred to in paragraph (3) request—

- (a) further particulars of the complaint or dispute;
- (b) a determination of any question as a preliminary issue.

<p>F1 Words in rule 6(2) substituted (6.4.1997) by The Personal and Occupational Pension Schemes (Pensions Ombudsman) (Procedure) Amendment Rules 1996 (S.I. 1996/2638), rules 1(1), 2(2)</p>

Changes to legislation:

There are currently no known outstanding effects for the The Personal and Occupational Pension Schemes (Pensions Ombudsman) (Procedure) Rules 1995, Section 6.