#### SCHEDULE 1

Regulation 2

REQUIREMENTS FOR A RECORD TO BE OBTAINED, KEPT, MADE OR OTHERWISE DEALT WITH FOLLOWING ANY TEST, TEST AND EXAMINATION, TEST AND THOROUGH EXAMINATION

#### PART I

# PROVISIONS REQUIRING A RECORD TO BE OBTAINED, KEPT OR MADE FOLLOWING ANY TEST, TEST AND EXAMINATION, TEST AND THOROUGH EXAMINATION OR THOROUGH EXAMINATION

(1) Short title of Act or title of instrument	(2) Reference	(3) Provision
The Shipbuilding and Ship- repairing Regulations 1960	S.I. 1960/1932; modified by S.I. 1974/1941	Regulations 34(1) and (2), 36(1) and (2) and 37(1) and (2)
The Construction (Lifting Operations) Regulations 1961	S.I. 1961/1581; modified by S.I. 1974/1941	Regulations 19(4), 28(5) and (6), 34(1)(b), 35, 40, 46(1)(a) and (b) and 46(2)
The Factories Act 1961	1961 c. 34; modified by S.I. 1974/1941	Sections 26(1)(e), and 27(2) and (6)

#### PART II

### PROVISIONS REQUIRING RECORDS TO BE MADE OR SENT TO A SPECIFIED PERSON FOLLOWING A THOROUGH EXAMINATION

(1) Short title of Act or title of instrument	(2) Reference	(3) Provision
The Factories Act 1961	1961 c. 34	Section 22(2)
The Offices, Shops and Railway Premises (Hoists and Lifts) Regulations 1968	S.I. 1968/849; modified by S.I. 1974/1943	Regulation 6(1)

#### PART III

# PARTICULARS REQUIRED IN RECORDS REQUIRED TO BE OBTAINED ETC. UNDER ANY PROVISION SPECIFIED IN COLUMN 3 OF PART I OF THIS SCHEDULE AND FOLLOWING ANY TEST, TEST AND EXAMINATION OR TEST AND THOROUGH EXAMINATION

**1.** Description, date of manufacture, identification mark and location of the equipment referred to.

- **2.** The safe working load (or loads) and (where relevant) corresponding radii, jib lengths and counterweights.
  - 3. Details of the test, test and examination or test and thorough examination carried out.
- **4.** Date (or dates) of completion of the test, test and examination or test and thorough examination.
- **5.** A declaration that the information is correct and that the equipment has been tested, tested and examined or tested and thoroughly examined in accordance with the appropriate provisions and is found free from any defect likely to affect safety.
  - **6.** Name and address of the owner of the equipment referred to.
- 7. Name and address of the person declaring that the test, test and examination or test and thorough examination has been carried out.
  - **8.** Date the record of the test, test and examination or test and thorough examination is made.
  - **9.** A number or other means of identifying the record.

#### PART IV

# PARTICULARS REQUIRED IN RECORDS REQUIRED TO BE OBTAINED ETC. UNDER ANY PROVISION SPECIFIED IN COLUMN 3 OF PART I OF THIS SCHEDULE AND FOLLOWING A THOROUGH EXAMINATION

- 1. Description, identification mark and location of the equipment referred to.
- 2. Date of the last thorough examination and number of the record of such thorough examination.
- **3.** The safe working load (or loads) and (where relevant) corresponding radii.
- **4.** The date of the most recent test and examination or test and thorough examination and the date and number or other identification of the record of it.
- **5.** Details of any defects found and, where appropriate, a statement of the time by when each defect shall be rectified.
  - **6.** Date of completion of the thorough examination.
  - 7. Latest date by which the next thorough examination should be carried out.
- **8.** A declaration that the information is correct and that the equipment has been thoroughly examined in accordance with the appropriate provisions and is found free from any defect likely to affect safety other than any recorded by virtue of paragraph 4 of this part.
  - **9.** Name and address of the owner of the equipment.
- 10 Name and address of the person responsible for the thorough examination.
  - 11. Date the record of the thorough examination is made.
  - **12.** Name and address of the person who authenticates the record.
  - 13. A number of other means of identifying the record.

#### PART V

### PARTICULARS REQUIRED IN THE RECORD REQUIRED TO BE KEPT UNDER SECTION 26(1)(g) OF THE FACTORIES ACT 1961

- 1. Description, identification mark and location of the equipment referred to in section 26(1)(g) of the Factories Act 1961.
  - 2. The safe working load or loads and (where relevant) corresponding radii of such equipment.
- **3.** Details and date of completion of the test and examination carried out under section 26(1)(e) of the Factories Act 1961.
- **4.** Details and date of completion of each thorough examination made under section 26(1)(d) of the Factories Act 1961.
- 5. Details of any defect found and, where appropriate, a statement of the time by when each defect shall be rectified.
- **6.** Date of making of the record required to be obtained under section 26(1)(e) of the Factories Act 1961 and an identifying number.
- 7. Latest date by which the next thorough examination made under section 26(1)(d) of the Factories Act 1961 should be carried out.
  - **8.** Name and address of the owner of the equipment referred to.
- **9.** Name and address of the person responsible for the test and examination made under section 26(1)(e) of the Factories Act 1961 or the examination made under section 26(1)(d) thereof.
  - 10. Name, and address of the person who authenticates the record.
  - 11. A number or other means of identifying the record.

#### **PART VI**

# PARTICULARS REQUIRED IN RECORDS REQUIRED TO BE DEALT WITH UNDER ANY PROVISION SPECIFIED IN COLUMN 3 OF PART II OF THIS SCHEDULE AND FOLLOWING A THOROUGH EXAMINATION

- 1. Description, identification mark and location of the hoist or lift referred to.
- 2. Date of the last thorough examination and number of the record of such thorough examination.
- **3.** The safe working load.
- **4.** Details of any parts which were inaccessible.
- 5. Details of any defect found (especially in the following—
  - (a) enclosure or hoistway or liftway;
  - (b) landing gates and cage gate(s);
  - (c) interlocks on the landing gates and cage gate(s);
  - (d) other gate fastenings;
  - (e) cage or platform and fittings, cage guides, buffers, interior of the hoistway or liftway;
  - (f) over-running devices;
  - (g) suspension ropes or chains, and their attachments;

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

- (h) safety gear, ie arrangements for preventing fall of platforms or cage;
- (i) brakes;
- (j) worm or spur gearing;
- (k) other electrical equipment;
- (1) other parts); and

where appropriate, a statement of the time by when each defect shall be rectified.

- **6.** Date of completion of the thorough examination.
- 7. Latest date by which the next thorough examination should be carried out.
- **8.** A declaration that the information is correct and that the equipment has been examined in accordance with the appropriate provisions and is found free from any defect likely to affect safety other than any such defect recorded by virtue of paragraph 4 of this part.
  - 9. Name and address of the owner of the hoist or lift referred to.
  - **10.** Name and address of the person responsible for the thorough examination.
  - 11. Date the record of the thorough examination is made.
  - **12.** Name and address of the person who authenticates the record.
  - **13.** A number or other means of identifying the record.