Changes to legislation: *Financial Services Act 2021, Paragraph 5 is up to date with all changes known to be in force on or before 08 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

SCHEDULES

SCHEDULE 2

PRUDENTIAL REGULATION OF FCA INVESTMENT FIRMS

PART 2

MINOR AND CONSEQUENTIAL AMENDMENTS

5 In section 165(7) (regulators' power to require information), after paragraph (d) insert—

"(da) by the FCA, to impose requirements on a person who provides, or has provided, a service to an FCA investment firm or to a relevant parent undertaking of such a firm;".

Commencement Information

I1 Sch. 2 para. 5 in force at 1.7.2021 by S.I. 2021/671, **reg. 4(b)**

Changes to legislation:
Financial Services Act 2021, Paragraph 5 is up to date with all changes known to be in force on or before 08 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.
View outstanding changes

Changes and effects yet to be applied to :

– specified provision(s) amendment to earlier commencing S.I. 2021/671, reg. 5 by S.I. 2021/1163 reg. 2