

SCHEDULES

SCHEDULE 2

PRUDENTIAL REGULATION OF FCA INVESTMENT FIRMS

PART 3

TRANSITIONAL PROVISION

Pre-commencement consultation etc

- 20 (1) In relation to Part 9C rules, the requirements of the provisions listed in subparagraph (2) may be satisfied by things done before Part 1 of this Schedule comes into force (as well as by things done after that time).
- (2) Those provisions are—
- (a) section 138I of the Financial Services and Markets Act 2000 (consultation);
 - (b) sections 143G to 143I of that Act (inserted by Part 1 of this Schedule).