
Changes to legislation: There are currently no known outstanding effects for the Pension Schemes Act 2021, Paragraph 11. (See end of Document for details)

SCHEDULES

SCHEDULE 7

THE PENSIONS REGULATOR: MINOR AND CONSEQUENTIAL AMENDMENTS

Pensions Act 2004 (c. 35)

- 11 (1) Section 310 (admissibility of statements) is amended as follows.
- (2) In subsection (3)(b)—
- (a) after “financial penalty” insert “ on a relevant ground ”;
 - (b) after “virtue of—” insert—
 - “(ai) section 88A (financial penalties),”;
 - (c) for sub-paragraph (i) (and the “or” following it) substitute—
 - “(i) section 168 of the Pension Schemes Act 1993 (breach of regulations),
 - (ia) section 10 of the Pensions Act 1995 (civil penalties),
 - (ib) paragraph 10 of Schedule 17 to the Pensions Act 2014 (penalties for contravention of regulations etc),
 - (ic) paragraph 3 of Schedule 18 to that Act (penalties for contravention of regulations etc),”;
 - (d) for sub-paragraph (ii) substitute—
 - “(ii) section 164 of the Pension Schemes (Northern Ireland) Act 1993 (c. 49) (breach of regulations),
 - (iii) Article 10 of the Pensions (Northern Ireland) Order 1995 (S.I. 1995/3213 (N.I. 22)) (civil penalties),
 - (iv) Article 83A of the Pensions (Northern Ireland) Order 2005 (S.I. 2005/255 (N.I. 1)) (financial penalties),
 - (v) Article 215G of that Order (penalties for contravention of regulations under Article 215D),
 - (vi) paragraph 10 of Schedule 17 to the Pensions Act (Northern Ireland) 2015 (c. 5 (N.I.)) (penalties for contravention of regulations etc), or
 - (vii) paragraph 3 of Schedule 18 to that Act (penalties for contravention of regulations etc).”
- (3) After subsection (3) insert—
- “(3A) For the purposes of this section a financial penalty is payable on a relevant ground if it is payable otherwise than under—
 - (a) section 88A as it applies by virtue of—

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- (i) section 80A (financial penalty for providing false or misleading information to Regulator), or
 - (ii) section 80B (financial penalty for providing false or misleading information to trustees or managers), or
 - (b) Article 83A of the Pensions (Northern Ireland) Order 2005 (S.I. 2005/255 (N.I. 1)) as it applies by virtue of—
 - (i) Article 75A of that Order (financial penalty for providing false or misleading information to Regulator), or
 - (ii) Article 75B of that Order (financial penalty for providing false or misleading information to trustees or managers).”
- (4) In subsection (4), in the definition of “information requirement”, after paragraph (c) insert—
- “(ca) section 72A (requirement to attend interview etc);”.

Commencement Information

II Sch. 7 para. 11 in force at 1.10.2021 by S.I. 2021/950, reg. 2(4)(f)

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