

## SCHEDULES

### SCHEDULE 2

#### MONEY LAUNDERING AND TERRORIST FINANCING ETC

##### *Regulations under section 49*

- 13 (1) Authorise the Financial Conduct Authority and the Commissioners for Her Majesty's Revenue and Customs to impose civil monetary penalties in relation to the contravention of prescribed requirements.
- (2) Authorise supervisory authorities to impose civil penalties (other than monetary penalties) in relation to the contravention of prescribed requirements, including—
- (a) the publication of statements of censure,
  - (b) the suspension or revocation of, or restrictions on, any permission or authorisation granted by a supervisory authority,
  - (c) the suspension or cancellation of, or restrictions on, a person's registration as mentioned in paragraph 9, and
  - (d) restrictions on the holding of management responsibilities.
- (3) Authorise an oversight body to—
- (a) impose civil monetary penalties, and
  - (b) publish statements of censure,
- in relation to the contravention of prescribed requirements by supervisory authorities.
- (4) Make provision in connection with any civil penalties for which provision is made under sub-paragraph (1), (2) or (3), including provision for publishing details of persons who have incurred penalties.