
Changes to legislation: Bank of England and Financial Services Act 2016, Paragraph 16 is up to date with all changes known to be in force on or before 16 August 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 4

EXTENSION OF RELEVANT AUTHORISED PERSONS REGIME TO ALL AUTHORISED PERSONS

Amendments of Financial Services and Markets Act 2000

- 16 (1) Section 66A (misconduct: action by FCA) is amended as follows.
- (2) In subsection (2)(b)(ii) for “a relevant” substitute “an”.
- (3) In subsection (3)(b)(ii) omit “in the case of a relevant authorised person,”.
- (4) In subsection (5)(a) for “a relevant” substitute “an”.
- (5) In subsection (7)—
- (a) in the definition of “senior manager”, for “a relevant” substitute “an”;
- (b) omit the definition of “designated senior management function”.
- (6) Omit subsection (9).

Commencement Information

- I1** Sch. 4 para. 16 in force at 13.9.2018 for specified purposes by S.I. 2018/990, reg. 2(1)(2) (with regs. 3-6)
- I2** Sch. 4 para. 16 in force at 10.12.2018 for specified purposes by S.I. 2018/990, reg. 2(1)(3) (with regs. 3-6)
- I3** Sch. 4 para. 16 in force at 18.7.2019 for specified purposes by S.I. 2019/1136, reg. 2(2) (with reg. 7)
- I4** Sch. 4 para. 16 in force at 9.8.2019 for specified purposes by S.I. 2019/1136, reg. 2(3) (with reg. 7)
- I5** Sch. 4 para. 16 in force at 9.12.2019 for specified purposes by S.I. 2019/1136, reg. 2(4) (with regs. 3-5, 7)
- I6** Sch. 4 para. 16 in force at 7.12.2020 in so far as not already in force by S.I. 2019/1136, reg. 2(5) (with regs. 5, 7)

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Changes and effects yet to be applied to :

- specified provision(s) amendment to earlier commencing S.I. 2019/1136 by [S.I. 2020/929 reg. 2](#)