

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

## SCHEDULES

### SCHEDULE 1

Section 7(2)

#### CONCILIATION: MINOR AND CONSEQUENTIAL AMENDMENTS

##### *Trade Union and Labour Relations (Consolidation) Act 1992 (c. 52)*

- 1 In section 288 of the Trade Union and Labour Relations (Consolidation) Act 1992 (restriction on contracting out), in subsection (2), for “section 18” substitute “ any of sections 18A to 18C ”.

#### Commencement Information

- I1** Sch. 1 para. 1 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f) (with art. 5(1))

##### *Employment Tribunals Act 1996 (c. 17)*

- 2 The Employment Tribunals Act 1996 is amended as follows.

#### Commencement Information

- I2** Sch. 1 para. 2 in force at 6.3.2014 in so far as not already in force by S.I. 2014/253, art. 2

- 3 In section 7 (employment tribunal procedure regulations), in subsection (3ZA)(b), after “form” insert “ (including certificates issued under section 18A(4)) ”.

#### Commencement Information

- I3** Sch. 1 para. 3 in force at 6.3.2014 in so far as not already in force by S.I. 2014/253, art. 2

- 4 In section 7B (mediation), in subsection (5), for “the Advisory, Conciliation and Arbitration Service” substitute “ ACAS ”.

#### Commencement Information

- I4** Sch. 1 para. 4 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f)

- 5 (1) Section 18 (conciliation) is amended as follows.
- (2) At the end of the heading insert “ : relevant proceedings etc. ”
- (3) In subsection (1), for the words before paragraph (a) substitute “ In this section and sections 18A to 18C “relevant proceedings” means employment tribunal proceedings — ”.
- (4) In subsection (1)(b)—

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- (a) after “68” insert “, 70B ”;
  - (b) after “Act 1992” insert “ or paragraph 156 of Schedule A1 to that Act ”.
- (5) In subsection (1)(dd), for “20(1)(a)” substitute “ 19D(1)(a) ”.
- (6) Omit subsection (1)(f) and (n).
- (7) After subsection (1) insert—
- “(1A) Sections 18A and 18B apply in the case of matters which could be the subject of relevant proceedings, and section 18C applies in the case of relevant proceedings themselves.”
- (8) Omit subsections (2) to (5).
- (9) In subsections (6) and (7), for “this section” substitute “ any of sections 18A to 18C ”.

#### Commencement Information

- I5** [Sch. 1 para. 5](#) in force at 6.4.2014 in so far as not already in force by [S.I. 2014/253](#), [art. 3\(f\)](#) (with [art. 5\(1\)\(2\)](#))

- 6 After section 18B (inserted by section 7(1)) insert—

#### “18C Conciliation after institution of proceedings

- (1) Where an application instituting relevant proceedings has been presented to an employment tribunal, and a copy of it has been sent to a conciliation officer, the conciliation officer shall endeavour to promote a settlement—
- (a) if requested to do so by the person by whom and the person against whom the proceedings are brought, or
  - (b) if, in the absence of any such request, the conciliation officer considers that the officer could act under this section with a reasonable prospect of success.
- (2) Where a person who has presented a complaint to an employment tribunal under section 111 of the Employment Rights Act 1996 has ceased to be employed by the employer against whom the complaint was made, the conciliation officer may in particular—
- (a) seek to promote the reinstatement or re-engagement of the complainant by the employer, or by a successor of the employer or by an associated employer, on terms appearing to the conciliation officer to be equitable, or
  - (b) where the complainant does not wish to be reinstated or re-engaged, or where reinstatement or re-engagement is not practicable, and the parties desire the conciliation officer to act, seek to promote agreement between them as to a sum by way of compensation to be paid by the employer to the complainant.
- (3) In subsection (1) “settlement” means a settlement that brings proceedings to an end without their being determined by an employment tribunal.”

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**Commencement Information**

**I6** Sch. 1 para. 6 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f)

- 7 In section 19A (conciliation: recovery of sums payable under compromises), in subsection (1)(a)(i), for “section 18” substitute “ any of sections 18A to 18C ”.

**Commencement Information**

**I7** Sch. 1 para. 7 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f) (with art. 5(1))

- 8 In section 40 (power to amend Act), in subsection (2), omit the words from “and to section 18” to the end.

**Commencement Information**

**I8** Sch. 1 para. 8 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f)

- 9 In section 42 (interpretation), in subsection (1)—  
(a) before the definition of “the Appeal Tribunal” insert—  
““ACAS” means the Advisory, Conciliation and Arbitration Service.”;  
(b) in the definition of “conciliation officer” for “the Advisory, Conciliation and Arbitration Service” substitute “ ACAS ”.

**Commencement Information**

**I9** Sch. 1 para. 9 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f)

*Employment Rights Act 1996 (c. 18)*

- 10 In section 203 of the Employment Rights Act 1996 (restrictions on contracting out), in subsection (2)(e), for “section 18” substitute “ any of sections 18A to 18C ”.

**Commencement Information**

**I10** Sch. 1 para. 10 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f) (with art. 5(1))

*National Minimum Wage Act 1998 (c. 39)*

- 11 In section 49 of the National Minimum Wage Act 1998 (restrictions on contracting out), in subsection (2)(a), for “section 18” substitute “ any of sections 18A to 18C ”.

**Commencement Information**

**I11** Sch. 1 para. 11 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f) (with art. 5(1))

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*Employment Act 2008 (c. 24)*

- 12 Section 5 of the Employment Act 2008 (which amends provisions repealed by paragraph 5(8)) is omitted.

**Commencement Information**

**I12** Sch. 1 para. 12 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f)

*Pensions Act 2008 (c. 30)*

- 13 In section 58 of the Pensions Act 2008 (restrictions on agreements to limit operation of Part 1), in subsection (3), for “section 18” substitute “ any of sections 18A to 18C ”.

**Commencement Information**

**I13** Sch. 1 para. 13 in force at 6.4.2014 in so far as not already in force by S.I. 2014/253, art. 3(f) (with art. 5(1))

SCHEDULE 2

Section 8

EXTENSION OF LIMITATION PERIODS TO ALLOW FOR CONCILIATION

*Trade Union and Labour Relations (Consolidation) Act 1992 (c. 52)*

- 1 The Trade Union and Labour Relations (Consolidation) Act 1992 is amended as follows.

**Commencement Information**

**I14** Sch. 2 para. 1 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 2 In section 66 (complaint of infringement of right under section 64), after subsection (2) insert—

“(2A) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (2)(a).”

**Commencement Information**

**I15** Sch. 2 para. 2 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 3 In section 68A (complaint of infringement of right under section 68), after subsection (1) insert—

“(1A) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

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**Commencement Information**

**I16** Sch. 2 para. 3 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

4 In section 70C (section 70B: complaint to employment tribunal), after subsection (2) insert—

“(2A) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (2)(a).”

**Commencement Information**

**I17** Sch. 2 para. 4 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

5 In section 87 (complaint in respect of employer's failure under section 86), after subsection (2) insert—

“(2A) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (2)(a).”

**Commencement Information**

**I18** Sch. 2 para. 5 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

6 In section 139 (time limit for proceedings under sections 137 and 138), after subsection (3) insert—

“(4) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

**Commencement Information**

**I19** Sch. 2 para. 6 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

7 (1) Section 145C (time limit for proceedings under sections 145A and 145B) is amended as follows.

(2) The existing text becomes subsection (1).

(3) After that subsection insert—

“(2) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

**Commencement Information**

**I20** Sch. 2 para. 7 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

8 In section 147 (time limit for proceedings under section 146), after subsection (3) insert—

“(4) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

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**Commencement Information**

**I21** Sch. 2 para. 8 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

9 (1) Section 171 (time limit for proceedings under sections 168, 168A, 169 and 170) is amended as follows.

(2) The existing text becomes subsection (1).

(3) After that subsection insert—

“(2) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

**Commencement Information**

**I22** Sch. 2 para. 9 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

10 (1) Section 175 (time limit for proceedings under section 174) is amended as follows.

(2) The existing text becomes subsection (1).

(3) After that subsection insert—

“(2) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (1)(a).”

**Commencement Information**

**I23** Sch. 2 para. 10 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

11 In section 189 (complaint: contravention of section 188), after subsection (5) insert—

“(5A) Where the complaint concerns a failure to comply with a requirement of section 188, section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (5) (b).”

**Commencement Information**

**I24** Sch. 2 para. 11 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

12 In section 192 (complaint by employee to employment tribunal: contravention of section 190), after subsection (2) insert—

“(2A) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsection (2)(a).”

**Commencement Information**

**I25** Sch. 2 para. 12 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

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13 After section 292 insert—

**“292A Extension of time limits to facilitate conciliation before institution of proceedings**

- (1) This section applies where this Act provides for it to apply for the purposes of a provision of this Act (a “relevant provision”).
- (2) In this section—
  - (a) Day A is the day on which the complainant concerned complies with the requirement in subsection (1) of section 18A of the Employment Tribunals Act 1996 (requirement to contact ACAS before instituting proceedings) in relation to the matter in respect of which the proceedings are brought, and
  - (b) Day B is the day on which the complainant concerned receives or, if earlier, is treated as receiving (by virtue of regulations made under subsection (11) of that section) the certificate issued under subsection (4) of that section.
- (3) In working out when a time limit set by a relevant provision expires the period beginning with the day after Day A and ending with Day B is not to be counted.
- (4) If a time limit set by a relevant provision would (if not extended by this subsection) expire during the period beginning with Day A and ending one month after Day B, the time limit expires instead at the end of that period.
- (5) Where an employment tribunal has power under this Act to extend a time limit set by a relevant provision, the power is exercisable in relation to the time limit as extended by this section.”

**Commencement Information**

**I26** Sch. 2 para. 13 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

14 In Schedule A1 (collective bargaining: recognition), in paragraph 157 (complaint to employment tribunal: contravention of paragraph 156), after sub-paragraph (3) insert—

- “(4) Section 292A (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of sub-paragraph (1) (a).”

**Commencement Information**

**I27** Sch. 2 para. 14 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

*Employment Rights Act 1996 (c. 18)*

15 The Employment Rights Act 1996 is amended as follows.

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**Commencement Information**

**I28** Sch. 2 para. 15 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

16 In section 11 (references to employment tribunals: contravention of section 8), after subsection (5) insert—

“(6) Where the reference concerns compliance with section 8, section 207B (extension of time limits to facilitate conciliation before institution of proceedings) also applies for the purposes of subsection (4)(a).”

**Commencement Information**

**I29** Sch. 2 para. 16 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

17 In section 23 (complaints to employment tribunals: contravention of section 13, 15, 18(1) or 21(1)), in subsection (3A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I30** Sch. 2 para. 17 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

18 In section 34 (complaints to employment tribunals: contravention of section 28), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I31** Sch. 2 para. 18 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

19 In section 48 (complaints to employment tribunals: contravention of Part 5), in subsection (4A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I32** Sch. 2 para. 19 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

20 In section 51 (complaints to employment tribunals: contravention of section 50), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I33** Sch. 2 para. 20 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

21 In section 54 (complaints to employment tribunals: contravention of section 52 or 53), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.



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**I34** Sch. 2 para. 21 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 22 In section 57 (complaints to employment tribunals: contravention of section 55 or 56), in subsection (2A), for the words from “applies” to the end substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply for the purposes of subsection (2)(a) ”.

**Commencement Information**

**I35** Sch. 2 para. 22 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 23 In section 57ZC (complaint to employment tribunal: agency workers), after subsection (3) insert—
- “(3A) Section 207A(3) (extension because of mediation in certain European cross-border disputes) and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply for the purposes of subsection (3)(a).”

**Commencement Information**

**I36** Sch. 2 para. 23 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 24 In section 57B (complaint to employment tribunal: contravention of section 57A), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I37** Sch. 2 para. 24 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 25 In section 60 (complaints to employment tribunals: contravention of section 58 or 59), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I38** Sch. 2 para. 25 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 26 In section 63 (complaints to employment tribunals: contravention of section 61 or 62), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I39** Sch. 2 para. 26 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

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- 27 In section 63C (complaints to employment tribunals: contravention of section 63A or 63B), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I40** Sch. 2 para. 27 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 28 In section 63I (complaints to employment tribunals: contravention of section 63F(4), (5) or (6) or 63I(1)(b)), in subsection (7), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I41** Sch. 2 para. 28 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 29 In section 70 (complaints to employment tribunals: contravention of section 64, 67 or 68), in subsection (8), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I42** Sch. 2 para. 29 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 30 In section 70A (complaints to employment tribunals: agency workers), after subsection (7) insert—

“(7A) Section 207A(3) (extension because of mediation in certain European cross-border disputes) and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply for the purposes of subsections (2)(a) and (5)(a).”

**Commencement Information**

**I43** Sch. 2 para. 30 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 31 In section 80 (complaint to employment tribunal: parental leave), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

**Commencement Information**

**I44** Sch. 2 para. 31 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 32 In section 80H (complaints to employment tribunals: contravention of section 80G(1) or 80H(1)(b)), in subsection (7), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

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#### Commencement Information

**I45** Sch. 2 para. 32 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 33 In section 111 (complaints to employment tribunal: contravention of section 92 or Part 10), in subsection (2A), for “applies” substitute “ and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) apply ”.

#### Commencement Information

**I46** Sch. 2 para. 33 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 34 In section 164 (claims for redundancy payment: contravention of section 135), after subsection (4) insert—
- “(5) Section 207B (extension of time limits to facilitate conciliation before institution of proceedings) applies for the purposes of subsections (1)(c) and (2).”

#### Commencement Information

**I47** Sch. 2 para. 34 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 35 After section 207A (extension of time limits because of mediation in certain cross-border disputes) insert—

#### **“207B Extension of time limits to facilitate conciliation before institution of proceedings**

- (1) This section applies where this Act provides for it to apply for the purposes of a provision of this Act (a “relevant provision”).

But it does not apply to a dispute that is (or so much of a dispute as is) a relevant dispute for the purposes of section 207A.

- (2) In this section—

- (a) Day A is the day on which the complainant or applicant concerned complies with the requirement in subsection (1) of section 18A of the Employment Tribunals Act 1996 (requirement to contact ACAS before instituting proceedings) in relation to the matter in respect of which the proceedings are brought, and
- (b) Day B is the day on which the complainant or applicant concerned receives or, if earlier, is treated as receiving (by virtue of regulations made under subsection (11) of that section) the certificate issued under subsection (4) of that section.

- (3) In working out when a time limit set by a relevant provision expires the period beginning with the day after Day A and ending with Day B is not to be counted.

- (4) If a time limit set by a relevant provision would (if not extended by this subsection) expire during the period beginning with Day A and ending one month after Day B, the time limit expires instead at the end of that period.

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- (5) Where an employment tribunal has power under this Act to extend a time limit set by a relevant provision, the power is exercisable in relation to the time limit as extended by this section.”

**Commencement Information**

**I48** Sch. 2 para. 35 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

*National Minimum Wage Act 1998 (c. 39)*

- 36 The National Minimum Wage Act 1998 is amended as follows.

**Commencement Information**

**I49** Sch. 2 para. 36 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 37 In section 11 (failure of employer to allow access to records), after subsection (4) insert—

“(4A) Where the complaint is presented to an employment tribunal in England and Wales or Scotland, section 11A applies for the purposes of subsection (3).”

**Commencement Information**

**I50** Sch. 2 para. 37 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 38 After section 11 insert—

**“11A Extension of time limit to facilitate conciliation before institution of proceedings**

- (1) In this section—
- (a) Day A is the day on which the worker concerned complies with the requirement in subsection (1) of section 18A of the Employment Tribunals Act 1996 (requirement to contact ACAS before instituting proceedings) in relation to the matter in respect of which the proceedings are brought, and
  - (b) Day B is the day on which the worker concerned receives or, if earlier, is treated as receiving (by virtue of regulations made under subsection (11) of that section) the certificate issued under subsection (4) of that section.
- (2) In working out when the time limit set by section 11(3) expires the period beginning with the day after Day A and ending with Day B is not to be counted.
- (3) If the time limit set by section 11(3) would (if not extended by this subsection) expire during the period beginning with Day A and ending one month after Day B, the time limit expires instead at the end of that period.

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- (4) The power conferred on the employment tribunal by subsection (4) of section 11 to extend the time limit set by subsection (3) of that section is exercisable in relation to that time limit as extended by this section.”

**Commencement Information**

**I51** Sch. 2 para. 38 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 39 In section 24 (enforcement of right under section 23), in subsection (2)(a), for “sections 48(2) to (4)” substitute “ sections 48(2) to (4A) ”.

**Commencement Information**

**I52** Sch. 2 para. 39 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

*Employment Relations Act 1999 (c. 26)*

- 40 In section 11 of the Employment Relations Act 1999 (complaint to employment tribunal), after subsection (2) insert—

“(2A) Section 207A(3) (extension because of mediation in certain European cross-border disputes) and section 207B (extension of time limits to facilitate conciliation before institution of proceedings) of the Employment Rights Act 1996 apply for the purposes of subsection (2)(a).

(2B) Subsections (2) and (2A) are to be treated as provisions of the Employment Rights Act 1996 for the purposes of sections 207A and 207B of that Act.”

**Commencement Information**

**I53** Sch. 2 para. 40 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

*Pensions Act 2008 (c. 30)*

- 41 In section 56 of the Pensions Act 2008 (enforcement of right under section 55), in subsection (2), for “sections 48(2) to (4)” substitute “ sections 48(2) to (4A) ”.

**Commencement Information**

**I54** Sch. 2 para. 41 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

*Equality Act 2010 (c. 15)*

- 42 The Equality Act 2010 is amended as follows.

**Commencement Information**

**I55** Sch. 2 para. 42 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

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- 43 In section 123 (time limits: proceedings under section 120), in subsection (1), for “section 140A” substitute “ sections 140A and 140B ”.

**Commencement Information**

**I56** Sch. 2 para. 43 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 44 In section 129 (time limits: proceedings under section 127)—
- (a) in subsection (3), for “section 140A” substitute “ sections 140A and 140B ”;
  - (b) in subsection (4), after “the period mentioned in the second column” insert “, subject to section 140B ”.

**Commencement Information**

**I57** Sch. 2 para. 44 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

- 45 After section 140A (extension of time limits because of mediation in certain cross-border disputes) insert—

**“140B Extension of time limits to facilitate conciliation before institution of proceedings**

- (1) This section applies where a time limit is set by section 123(1)(a) or 129(3) or (4).

But it does not apply to a dispute that is (or so much of a dispute as is) a relevant dispute for the purposes of section 140A.

- (2) In this section—
- (a) Day A is the day on which the complainant or applicant concerned complies with the requirement in subsection (1) of section 18A of the Employment Tribunals Act 1996 (requirement to contact ACAS before instituting proceedings) in relation to the matter in respect of which the proceedings are brought, and
  - (b) Day B is the day on which the complainant or applicant concerned receives or, if earlier, is treated as receiving (by virtue of regulations made under subsection (11) of that section) the certificate issued under subsection (4) of that section.
- (3) In working out when the time limit set by section 123(1)(a) or 129(3) or (4) expires the period beginning with the day after Day A and ending with Day B is not to be counted.
- (4) If the time limit set by section 123(1)(a) or 129(3) or (4) would (if not extended by this subsection) expire during the period beginning with Day A and ending one month after Day B, the time limit expires instead at the end of that period.
- (5) The power conferred on the employment tribunal by subsection (1)(b) of section 123 to extend the time limit set by subsection (1)(a) of that section is exercisable in relation to that time limit as extended by this section.”

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**Commencement Information**

**I58** Sch. 2 para. 45 in force at 6.4.2014 by S.I. 2014/253, art. 3(g)

SCHEDULE 3

Section 16(2)

FINANCIAL PENALTIES: MINOR AND CONSEQUENTIAL AMENDMENTS

*Trade Union and Labour Relations (Consolidation) Act 1992 (c. 52)*

- 1 In section 138 of the Trade Union and Labour Relations (Consolidation) Act 1992 (refusal of service of employment agency on grounds related to union membership), after subsection (2) insert—

“(2A) Section 12A of the Employment Tribunals Act 1996 (financial penalties) applies in relation to a complaint under this section as it applies in relation to a claim involving an employer and a worker (reading references to an employer as references to the employment agency and references to a worker as references to the complainant).”

**Commencement Information**

**I59** Sch. 3 para. 1 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

*Employment Tribunals Act 1996 (c. 17)*

- 2 The Employment Tribunals Act 1996 is amended as follows.

**Commencement Information**

**I60** Sch. 3 para. 2 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

- 3 Before section 13 insert— “ Costs etc, interest and enforcement ”.

**Commencement Information**

**I61** Sch. 3 para. 3 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

- 4 (1) In section 41 (orders, regulations and rules), in subsection (2) (orders etc subject to affirmative resolution procedure), after “4(4) or (6D)” insert “ , 12A(12) ”.
- (2) If this paragraph comes into force before section 11, sub-paragraph (1) has effect as if “4(4)” were substituted for “ 4(4) or (6D) ”.

**Commencement Information**

**I62** Sch. 3 para. 4 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

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*Employment Rights Act 1996 (c. 18)*

- 5 In section 201 of the Employment Rights Act 1996 (power to extend employment legislation to offshore employment), after subsection (3) insert—

“(3A) Where an Order in Council under this section confers jurisdiction on an employment tribunal, the jurisdiction conferred includes power to make an order under section 12A of the Employment Tribunals Act 1996 (financial penalties), and that section applies accordingly.”

**Commencement Information**

**I63** Sch. 3 para. 5 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

*Agency Workers Regulations 2010 (S.I. 2010/93)*

- 6 In regulation 18 of the Agency Workers Regulations 2010 (complaints to employment tribunals etc), after paragraph (14) insert—

“(14A) In relation to an infringement or breach for which a tribunal orders a respondent to pay compensation under paragraph (8)(b), the tribunal may order the respondent also to pay a penalty under section 12A of the Employment Tribunals Act 1996 only if the tribunal decides not to exercise the power under paragraph (14) to make an additional award of compensation against the respondent.”

**Commencement Information**

**I64** Sch. 3 para. 6 in force at 6.4.2014 by S.I. 2014/253, art. 3(h)

SCHEDULE 4

Section 25(4)

THE COMPETITION AND MARKETS AUTHORITY

**PART 1**

GENERAL

*Membership*

- 1 (1) The CMA is to consist of—
- (a) a person appointed by the Secretary of State to chair the CMA and the CMA Board (the “chair”), and
  - (b) other persons appointed by the Secretary of State [<sup>F1</sup>as follows]—
    - (i) [<sup>F2</sup>persons appointed to membership of] the CMA Board (see Part 2);
    - (ii) [<sup>F3</sup>persons appointed to membership of] the CMA panel (see Part 3);
    - (iii) [<sup>F4</sup>persons appointed to membership of] both the CMA Board and the CMA panel.



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- [<sup>F5</sup>(iv) a person (the “OIM panel chair”) appointed to chair the Office for the Internal Market panel and to membership of the CMA Board;
- (v) other persons appointed to membership of the Office for the Internal Market panel (“the OIM panel”) (see Part 3A).]
- (2) The Secretary of State must consult the chair before making an appointment under sub-paragraph (1)(b).
- [<sup>F6</sup>(2A) In making appointments under paragraphs (iv) and (v) of sub-paragraph (1)(b), the Secretary of State must have regard to the desirability of securing that—
- (a) a variety of skills, knowledge and experience is available among the members of the OIM panel, and
- (b) there is an appropriate balance among the members of that panel of persons who have skills, knowledge or experience relating to the operation of the United Kingdom internal market in different parts of the United Kingdom.
- (2B) Before making an appointment under paragraph (iv) or (v) of sub-paragraph (1)(b), the Secretary of State must seek the consent of—
- (a) the Scottish Ministers,
- (b) the Welsh Ministers, and
- (c) the Department for the Economy in Northern Ireland.
- (2C) Sub-paragraph (2D) applies if consent to an appointment is not given by any of those authorities within the period of one month beginning with the day on which it is sought from that authority.
- (2D) In that event the Secretary of State—
- (a) may make the appointment without the consent of the authority or authorities concerned, and
- (b) must, if the appointment is made, inform each authority which did not give consent of the reasons for the decision to proceed with the appointment.]
- (3) At least five of the members appointed under sub-paragraph (1)(b) must be appointed to membership of the CMA Board.
- (4) At least one of the members appointed under sub-paragraph (1)(b) must be appointed to membership of the CMA Board and to membership of the CMA panel.
- (5) Of the persons appointed to membership of the CMA Board under sub-paragraph (1)(b), no more than half may be members of staff of the CMA.
- (6) In this Schedule, references to members of the CMA are to persons appointed under sub-paragraph (1).
- (7) A person holding office as a member of the Competition Appeal Tribunal is ineligible for appointment under this paragraph.

#### Textual Amendments

- F1** Words in [Sch. 4 para. 1\(1\)\(b\)](#) substituted (31.12.2020) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), s. 59(3), [Sch. 3 para. 2\(2\)\(a\)](#) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F2** Words in [Sch. 4 para. 1\(1\)\(b\)\(i\)](#) inserted (31.12.2020) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), s. 59(3), [Sch. 3 para. 2\(2\)\(b\)](#) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

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- F3** Words in Sch. 4 para. 1(1)(b)(ii) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 2(2)(c)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F4** Words in Sch. 4 para. 1(1)(b)(iii) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 2(2)(d)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F5** Sch. 4 para. 1(1)(b)(iv)(v) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 2(2)(e)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F6** Sch. 4 para. 1(2A)-(2D) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 2(3)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

#### Commencement Information

- I65** Sch. 4 para. 1 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### *Terms and conditions*

- 2 (1) The members of the CMA are to hold and vacate office in accordance with the terms and conditions of their appointments.
- (2) Those terms and conditions are to be determined by the Secretary of State.

#### Commencement Information

- I66** Sch. 4 para. 2 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### *Term of appointment*

- 3 (1) Appointment to membership of the CMA Board under paragraph 1(1)(b) is to be for a term of not more than five years.
- (2) Appointment to membership of the CMA panel under paragraph 1(1)(b) is to be for a term of not more than eight years.
- [<sup>F7</sup>(2A) Appointment to membership of the OIM panel under paragraph 1(1)(b) is to be for a term of not more than eight years.]
- (3) Appointment as the chair is to be for a term of not more than five years.
- [<sup>F8</sup>(4) Where at the beginning of a person's term of appointment to membership of the CMA panel the person has already begun (and continues) to hold office as a member of the OIM panel, the term of the person's appointment to membership of the CMA panel is to be treated for the purposes of sub-paragraph (2) as beginning when the person's term of appointment to membership of the OIM panel began.
- (5) Where at the beginning of a person's term of appointment to membership of the OIM panel the person has already begun (and continues) to hold office as a member of the CMA panel, the term of the person's appointment to membership of the OIM panel is to be treated for the purposes of sub-paragraph (2A) as beginning when the person's term of appointment to membership of the CMA panel began.]

#### Textual Amendments

- F7** Sch. 4 para. 3(2A) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 3(2)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

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**F8** Sch. 4 para. 3(4)(5) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 3(3) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

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**Commencement Information**

**I67** Sch. 4 para. 3 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Re-appointment*

- 4 (1) A person who has been appointed to membership of the CMA panel may be re-appointed to membership of the CMA panel only for the purpose of continuing to act as a member of a group constituted under paragraph 36 before the expiry of his or her term of office.
- [<sup>F9</sup>(1A) A person who has been appointed to membership of the OIM panel may be re-appointed to membership of the OIM panel only for the purpose of continuing to act as a member of a group constituted under paragraph 58B before the expiry of the person's term of office.]
- (2) Subject to [<sup>F10</sup>sub-paragraphs (1) and (1A)], a person's previous appointment under paragraph 1 does not affect eligibility for a subsequent appointment under that paragraph.

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**Textual Amendments**

- F9** Sch. 4 para. 4(1A) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 4(2) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F10** Words in Sch. 4 para. 4(2) substituted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 4(3) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

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**Commencement Information**

**I68** Sch. 4 para. 4 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Remuneration etc of members*

- 5 (1) The CMA must pay to its members such remuneration, allowances and expenses as the Secretary of State may determine.
- (2) The CMA must pay or make provision for the payment of such pension, allowances or gratuities as the Secretary of State may determine to or in respect of a current or former member.
- (3) If a person ceases to hold an office to which he or she has been appointed under paragraph 1, and the Secretary of State decides that there are special circumstances which mean that the person should be compensated, the CMA must pay compensation to the person of such amount as the Secretary of State may determine.

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**Commencement Information**

**I69** Sch. 4 para. 5 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

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### Resignation

- 6 (1) The chair may at any time resign from membership of the CMA by giving written notice to this effect to the Secretary of State.
- (2) A person who is a member <sup>[F11]</sup>of one, but not more than one, of the CMA Board, the CMA panel and the OIM panel,] may at any time resign from membership of the CMA by giving written notice to this effect to the Secretary of State.
- (3) A person who is a member of both the CMA Board and the CMA panel <sup>[F12]</sup>or both the CMA panel and the OIM panel] may at any time, by giving written notice to this effect to the Secretary of State—
- <sup>[F13]</sup>(a) resign from one of those memberships (without resigning from the other), or]
- (b) resign from membership of the CMA.
- <sup>[F14]</sup>(4) The OIM panel chair may at any time resign from membership of the CMA by giving written notice to this effect to the Secretary of State (and may not resign from the OIM panel, or any other office to which the person is appointed by virtue of paragraph 1(1)(b)(iv), except in accordance with this sub-paragraph).]

#### Textual Amendments

- F11** Words in Sch. 4 para. 6(2) substituted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 5(2)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F12** Words in Sch. 4 para. 6(3) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 5(3)(a)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F13** Sch. 4 para. 6(3)(a) substituted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 5(3)(b)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)
- F14** Sch. 4 para. 6(4) inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), **Sch. 3 para. 5(4)** (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

#### Commencement Information

- I70** Sch. 4 para. 6 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

### Termination of membership

- 7 The Secretary of State may at any time remove a person from office as a member of the CMA on any of the following grounds—
- (a) incapacity;
- (b) misbehaviour;
- (c) failure to carry out his or her duties.

#### Commencement Information

- I71** Sch. 4 para. 7 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

### Status

- 8 The CMA is to perform its functions on behalf of the Crown.

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#### Commencement Information

**I72** Sch. 4 para. 8 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

#### *Chief executive and other staff*

- 9 (1) The CMA is to have a chief executive appointed by the Secretary of State (the “chief executive”).
- (2) The chief executive may also be a member of the CMA, but must not be—
- (a) the chair, <sup>F15</sup> ...
  - (b) a member of the CMA panel [<sup>F16</sup>, or
  - (c) a member of the OIM panel.]
- (3) Before appointing the chief executive, the Secretary of State must consult the chair.
- (4) The appointment—
- (a) is to be for a term of not more than five years;
  - (b) subject to that, is to be on such terms and conditions as the Secretary of State considers fit.
- (5) The chief executive holds that office as a member of the staff of the CMA.
- (6) A previous appointment as chief executive does not affect a person's eligibility for re-appointment.

#### Textual Amendments

**F15** Word in Sch. 4 para. 9(2)(a) omitted (31.12.2020) by virtue of United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 6(a) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

**F16** Sch. 4 para. 9(2)(c) and word inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 6(b) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

#### Commencement Information

**I73** Sch. 4 para. 9 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

- 10 (1) The CMA may appoint other members of staff.
- (2) A person appointed as a member of the CMA's staff under sub-paragraph (1) may also be a member of the CMA, but must not be—
- (a) the chair, or
  - (b) a member of the CMA panel [<sup>F17</sup> or the OIM panel].
- (3) The following are to be determined by the CMA with the approval of the Minister for the Civil Service—
- (a) the number of members of staff appointed under sub-paragraph (1);
  - (b) their conditions of service.

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#### Textual Amendments

**F17** Words in Sch. 4 para. 10(2)(b) inserted (31.12.2020) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), s. 59(3), [Sch. 3 para. 7](#) (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

#### Commencement Information

**I74** Sch. 4 para. 10 in force at 1.10.2013 by S.I. 2013/2227, [art. 2\(j\)](#) (with art. 3)

- 11 A person holding office as a member of the Competition Appeal Tribunal is ineligible for appointment under paragraph 9 or 10.

#### Commencement Information

**I75** Sch. 4 para. 11 in force at 1.10.2013 by S.I. 2013/2227, [art. 2\(j\)](#) (with art. 3)

### Annual plan

- 12 (1) The CMA must prepare an annual plan for each financial year.
- (2) The plan must—
- (a) set out the CMA's main objectives for the year and indicate the relative priorities of each of those objectives;
  - (b) provide a summary of the proposed allocation of the CMA's financial resources to the activities to be carried on in connection with those objectives.
- (3) The CMA must arrange for the plan to be laid before <sup>F18</sup>—
- (a) Parliament,
  - (b) the Scottish Parliament,
  - (c) Senedd Cymru, and
  - (d) the Northern Ireland Assembly]
- (4) The CMA must publish the plan, in whatever way it considers appropriate, before the start of the financial year in question.

#### Textual Amendments

**F18** Sch. 4 para. 12(3)(a)-(d) substituted for word in Sch. 4 para. 12(3) (20.9.2021) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), [ss. 40\(2\), 59\(3\)](#) (with s. 55(2)); S.I. 2021/1062, [reg. 2\(i\)](#)

#### Commencement Information

**I76** Sch. 4 para. 12 in force at 1.10.2013 by S.I. 2013/2227, [art. 2\(j\)](#) (with art. 3)

- 13 (1) Before finalising an annual plan, the CMA must draw up proposals for it.
- (2) The CMA must arrange for the proposals to be laid before <sup>F19</sup>—
- (a) Parliament,
  - (b) the Scottish Parliament,
  - (c) Senedd Cymru, and
  - (d) the Northern Ireland Assembly]

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- (3) The CMA must—
- (a) publish the proposals in whatever way it considers appropriate, and
  - (b) make arrangements to consult with the public about them.
- (4) Arrangements made under sub-paragraph (3)(b) may provide for consultation with the public to be effected in whatever way the CMA considers appropriate.

#### Textual Amendments

**F19** Sch. 4 para. 13(2)(a)-(d) substituted for word in Sch. 4 para. 13(2) (20.9.2021) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), **ss. 40(3), 59(3)** (with s. 55(2)); S.I. 2021/1062, **reg. 2(i)**

#### Commencement Information

**I77** Sch. 4 para. 13 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### *Performance report*

- 14 (1) As soon as practicable after the end of each financial year, the CMA must prepare and send to the Secretary of State an annual report on its activities and performance during the year.
- (2) The report must include—
- (a) a survey of developments, during the year, in matters relating to the CMA's functions;
  - (b) an assessment of the extent to which the CMA's objectives for the year, as set out in the plan published under paragraph 12, have been met;
  - (c) a summary of the significant decisions, investigations or other activities made or carried out by the CMA during the year;
  - (d) a summary of the allocation of the CMA's financial resources to its various activities during the year;
  - (e) an assessment of the CMA's performance and practices, during the year, in relation to its enforcement functions.
- (3) The CMA must—
- (a) arrange for the report to be laid before <sup>F20</sup>—
    - (i) Parliament,
    - (ii) the Scottish Parliament,
    - (iii) Senedd Cymru, and
    - (iv) the Northern Ireland Assembly]
  - (b) publish the report in whatever way it considers appropriate.

#### Textual Amendments

**F20** Sch. 4 para. 14(3)(a)(i)-(iv) substituted for word in Sch. 4 para. 14(3) (20.9.2021) by [United Kingdom Internal Market Act 2020 \(c. 27\)](#), **ss. 40(4), 59(3)** (with s. 55(2)); S.I. 2021/1062, **reg. 2(i)**

#### Commencement Information

**I78** Sch. 4 para. 14 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

- 15 The CMA may—

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- (a) prepare other reports about matters relating to any of its functions;
- (b) publish a report prepared under this paragraph.

**Commencement Information**

**I79** Sch. 4 para. 15 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Concurrency report*

- 16 (1) As soon as practicable after the end of each financial year, the CMA must prepare a report containing an assessment of how the concurrency arrangements have operated during the year.
- (2) The concurrency arrangements are the arrangements for co-operation between the CMA and the sectoral regulators in respect of functions which are exercisable concurrently by the CMA and one or more of the regulators under Part 1 of the Competition Act 1998 (the “1998 Act”) and Part 4 of the Enterprise Act 2002 (the “2002 Act”).
- (3) The report must, in particular, include information about—
- (a) the exercise during the year by the CMA of its functions under Part 1 of the 1998 Act or Part 4 of the 2002 Act in cases in which the functions are or were exercisable concurrently by one or more sectoral regulators,
  - (b) the exercise during the year by each sectoral regulator of its functions under Part 1 of the 1998 Act or Part 4 of the 2002 Act, and
  - (c) any decision made during the year by a sectoral regulator, in respect of a case in relation to which the regulator considers that its functions under Part 1 of the 1998 Act were exercisable, that it was more appropriate for it to proceed by exercising functions other than those it has under that Part of that Act.
- (4) The CMA is not required to include information in a report under this paragraph if it considers that doing so would, or would be likely to, prejudice the exercise of any of the functions of the CMA or a sectoral regulator.
- (5) In preparing a report under this paragraph, the CMA must consult each sectoral regulator.
- (6) The CMA must publish a report prepared under this paragraph in whatever way it considers appropriate.
- (7) Each of the following is a sectoral regulator—
- (a) the Office of Communications;
  - (b) the Gas and Electricity Markets Authority;
  - (c) the Water Services Regulation Authority;
  - (d) [<sup>F21</sup>the Office of Rail and Road] ;
  - (e) the Northern Ireland Authority for Utility Regulation;
  - (f) the Civil Aviation Authority;
  - <sup>F22</sup>(g) . . . . .
  - [<sup>F23</sup>(h) the Payment Systems Regulator established under section 40 of the Financial Services (Banking Reform) Act 2013.]
  - [<sup>F24</sup>(i) the Financial Conduct Authority.]



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#### Textual Amendments

- F21** Words in Sch. 4 para. 16(7)(d) substituted (16.10.2015) by The Office of Rail Regulation (Change of Name) Regulations 2015 (S.I. 2015/1682), reg. 1(2), **Sch. para. 4(v)(iii)**
- F22** Sch. 4 para. 16(7)(g) omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), **Sch. 12 para. 8**; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- F23** Sch. 4 para. 16(7)(h) inserted (1.4.2014) by Financial Services (Banking Reform) Act 2013 (c. 33), **ss. 67(5), 148(5)**; S.I. 2014/823, art. 2(g)
- F24** Sch. 4 para. 16(7)(i) inserted (1.4.2015) by Financial Services (Banking Reform) Act 2013 (c. 33), s. 148(5), **Sch. 8 para. 12**; S.I. 2014/2458, art. 3(b)(v)

#### Commencement Information

- I80** Sch. 4 para. 16 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### Documents

- 17 (1) The application of the CMA's seal must be authenticated by the signature of—
- (a) a person who is a member of the CMA Board, or
  - (b) a person authorised (generally or specifically) for that purpose by the CMA.
- (2) A document purporting to be duly executed under the CMA's seal or signed on its behalf—
- (a) is to be received in evidence;
  - (b) is to be taken to be duly signed or sealed unless the contrary is shown.
- (3) But this paragraph does not apply in relation to a document which is, or is to be, signed in accordance with the law of Scotland.

#### Commencement Information

- I81** Sch. 4 para. 17 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### Membership of committees and sub-committees

- 18 (1) The members of a committee or sub-committee of the CMA may include persons who are not members of the CMA.
- (2) A sub-committee may include persons who are not members of the committee that established it.

#### Commencement Information

- I82** Sch. 4 para. 18 in force at 1.10.2013 by S.I. 2013/2227, **art. 2(j)** (with art. 3)

#### Additional powers

- 19 The CMA may—
- (a) if so requested by the Secretary of State, represent the government of the United Kingdom in matters relating to international relations in any field connected to its functions, and

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- (b) promote good practice outside the United Kingdom in the carrying on of activities which may affect the economic interests of consumers in the United Kingdom.

**Commencement Information**

**I83** Sch. 4 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 20 (1) The CMA may do anything that is calculated to facilitate, or is conducive or incidental to, the performance of its functions.
- (2) The power in sub-paragraph (1) is subject to any restrictions imposed by or under any enactment.

**Commencement Information**

**I84** Sch. 4 para. 20 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Public records*

- 21 In Schedule 1 to the Public Records Act 1958 (definition of public records), in Part 2 of the Table at the end of paragraph 3, at the appropriate place insert— “ Competition and Markets Authority. ”

**Commencement Information**

**I85** Sch. 4 para. 21 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Parliamentary Commissioner*

- 22 In Schedule 2 to the Parliamentary Commissioner Act 1967 (departments etc subject to investigation) at the appropriate place insert— “ Competition and Markets Authority. ”

**Commencement Information**

**I86** Sch. 4 para. 22 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Disqualification*

- 23 In Part 2 of Schedule 1 to the House of Commons Disqualification Act 1975 (bodies of which all members are disqualified) at the appropriate place insert— “ The Competition and Markets Authority. ”

**Commencement Information**

**I87** Sch. 4 para. 23 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

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- 24 In Part 2 of Schedule 1 to the Northern Ireland Assembly Disqualification Act 1975 (bodies of which all members are disqualified), at the appropriate place insert— “  
The Competition and Markets Authority.”

**Commencement Information**

**188** Sch. 4 para. 24 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Freedom of information*

- 25 In Part 1 of Schedule 1 to the Freedom of Information Act 2000 (definition of public authority: general)—
- (a) in paragraph 1 after “other than” insert “—
    - (a) the Competition and Markets Authority,
    - (b)”;
  - (b) after paragraph 1 insert—  
“1ZA The Competition and Markets Authority, in respect of information held otherwise than as a tribunal.”

**Commencement Information**

**189** Sch. 4 para. 25 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*Equality*

- 26 In Part 1 of Schedule 19 to the Equality Act 2010 (public authorities: general), under the heading “*Industry, business, finance etc*” at the appropriate place insert— “  
The Competition and Markets Authority.”

**Commencement Information**

**190** Sch. 4 para. 26 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

**PART 2**

THE CMA BOARD

*Membership*

- 27 The CMA Board is to consist of—
- (a) the chair;
  - (b) the members appointed under paragraph 1(1)(b) to membership of the CMA Board.

**Commencement Information**

**191** Sch. 4 para. 27 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

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### Functions

- 28 Except where otherwise provided by or under any enactment, the functions of the CMA are exercisable by the CMA Board on behalf of the CMA.

#### Commencement Information

**I92** Sch. 4 para. 28 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

### Delegation

- 29 (1) Anything that the CMA Board is required or permitted to do (including conferring authorisation under this sub-paragraph) may be done by—
- (a) a member of the CMA Board, or a member of staff of the CMA, who has been authorised for that purpose by the CMA Board, whether generally or specifically;
  - (b) a committee or sub-committee of the CMA Board that has been so authorised.
- (2) Sub-paragraph (1) does not apply to the functions of deciding—
- (a) whether the duty to publish a market study notice under section 130A of the Enterprise Act 2002 applies;
  - (b) whether to propose to make, or to make, a reference under section 131 of that Act;
  - (c) for the purposes of the requirement imposed by section 131A(2)(b) of that Act, whether the CMA is proposing to make a decision as to whether to make a reference under section 131 of that Act in a way that is likely to have a substantial impact on the interests of any person;
  - (d) whether section 140A of that Act applies in respect of a particular case;
  - (e) whether to accept an undertaking under section 154 of that Act, or to vary or supersede or release an undertaking under that section;
  - (f) for the purposes of the requirement imposed by section 169(2) of that Act, whether the CMA is proposing to make a decision to make a reference under section 131 of that Act in a way that is likely to have a substantial impact on the interests of any person.
- (3) Sub-paragraph (1)(b) does not apply to a committee or sub-committee whose members include any person who is not a member of the CMA or of its staff.

#### Commencement Information

**I93** Sch. 4 para. 29(1) in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

**I94** Sch. 4 para. 29(2)(3) in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 30 Paragraph 29(1) is subject to provision in rules made under section 51 of the Competition Act 1998, by virtue of paragraph 1A of Schedule 9 to that Act, in respect of the exercise of a function of the CMA under Part 1 of that Act.

#### Commencement Information

**I95** Sch. 4 para. 30 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

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### *Proceedings*

- 31 (1) The CMA Board may regulate its own proceedings.
- (2) The CMA Board must consult the Secretary of State before making or revising rules and procedures, under sub-paragraph (1), for dealing with—
- (a) conflicts of interest, or
  - (b) quorum.

#### **Commencement Information**

**I96** Sch. 4 para. 31 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

### *Validity*

- 32 The validity of anything done by the CMA Board is not affected by—
- (a) a vacancy;
  - (b) a defective appointment.

#### **Commencement Information**

**I97** Sch. 4 para. 32 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

### *Reference of matter to the chair*

- 33 (1) This paragraph applies where the CMA Board is to consider whether a matter should be referred to the chair for the constitution of a group under this Schedule.
- (2) Before the CMA Board considers whether to refer the matter to the chair, the chair must determine whether a person who is a member of the CMA Board might reasonably be expected to be a member of a group constituted in connection with the matter.
- (3) If the chair determines that a person who is a member of the CMA Board might reasonably be expected to be a member of such a group, that person is not to participate in the CMA Board's consideration of whether to refer the matter to the chair.

#### **Modifications etc. (not altering text)**

**C1** Sch. 4 para. 33 excluded (4.1.2023) by Subsidy Control Act 2022 (c. 23), ss. 69(5), 91(2) (with ss. 78(2), 85); S.I. 2022/1359, reg. 2

#### **Commencement Information**

**I98** Sch. 4 para. 33 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

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### PART 3

#### THE CMA PANEL

##### *The CMA panel*

- 34 The CMA panel is a panel of persons available for selection as members of a group constituted in accordance with this Part of this Schedule.

#### Commencement Information

**I99** Sch. 4 para. 34 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

##### *Membership of CMA panel*

- 35 (1) The CMA panel is to consist of—
- (a) at least one person (a “newspaper panel member”) appointed to the CMA panel under paragraph 1(1)(b) for the purpose of being available for selection as a member of a group constituted to carry out functions on behalf of the CMA with respect to a newspaper merger reference (a “newspaper merger reference group”);
  - (b) at least three persons (“specialist communications panel members”) appointed to the CMA panel under paragraph 1(1)(b) for the purpose of being available for selection as members of a group constituted to carry out functions on behalf of the CMA with respect to a specialist communications reference (a “specialist communications reference group”);
  - (c) at least six persons (“specialist utility panel members”) appointed to the CMA panel under paragraph 1(1)(b) for the purpose of being available for selection as members of a group constituted to carry out specialist utility functions on behalf of the CMA (a “specialist utility group”);
  - [<sup>F25</sup>(ca) at least one person (a “payment systems panel member”) appointed to the CMA panel under paragraph 1(1)(b) for the purpose of being available for selection as a member of a group constituted to carry out functions on behalf of the CMA with respect to an appeal made in accordance with section 79 of the Financial Services (Banking Reform) Act 2013 (a “specialist payment systems group”);]
  - (d) at least one person (a “reporting panel member”) appointed to the CMA panel under paragraph 1(1)(b) for the purpose of being available for selection as a member of any group constituted to carry out functions on behalf of the CMA;
  - <sup>F26</sup>(e) .....
- (2) A person who is appointed to the CMA panel as a member of a kind mentioned in one of paragraphs (a) to [<sup>F27</sup>(d)] of sub-paragraph (1) may also be appointed as a member of one or more of the other kinds mentioned in those paragraphs.
- (3) For the purposes of this paragraph and paragraph 38—
- a “newspaper merger reference” is—
    - (a) a reference under section 45 of the Enterprise Act 2002 that specifies a newspaper public interest consideration (within the meaning of paragraph 20A of Schedule 8 to that Act);

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- (b) a reference under section 62 of that Act that specifies a consideration specified in section 58(2A) or (2B) of that Act;
- a “specialist communications reference” is a reference under section 193 of the Communications Act 2003;
- “specialist utility functions” are functions with respect to—
  - (a) an appeal under section 23B, or a reference under section 41E, of the Gas Act 1986;
  - (b) an appeal under section 11C, or a reference under section 56C, of the Electricity Act 1989;
  - (ba) [<sup>F28</sup>an appeal under [section 20](#) of the Energy Act 2023;]
  - (c) a reference under section 12, 14 or 17K of the Water Industry Act 1991;
  - (ca) [<sup>F29</sup>an appeal under section [12D](#) of that Act;]
  - (d) the giving of a direction or the making of modifications under section 16A or 17P of that Act;
  - (dza) [<sup>F30</sup>a reference under section [68B](#) or [68C](#) of the Enterprise Act 2002;]
  - (da) [<sup>F31</sup> an appeal under article 14B of the Electricity (Northern Ireland) Order 1992 ([SI 1992/231 \(NI 1\)](#));]
  - (db) [<sup>F31</sup> an appeal under article 14B of the Gas (Northern Ireland) Order 1996 ([SI 1996/275 \(NI 2\)](#));]
  - (e) an appeal under section 173 of the Energy Act 2004;
  - (ea) [<sup>F32</sup>an appeal under regulation 4 of the Water Industry Designated Codes (Appeals to the Competition and Markets Authority) Regulations 2017 ([SI 2017/447](#));]
  - (f) a reference under article 3 of the Water Services etc (Scotland) Act 2005 (Consequential Provisions and Modifications) Order 2005 ([SI 2005/3172](#));
  - (g) the giving of a direction or the making of modifications under article 9 of that Order.

#### Textual Amendments

- F25** Sch. 4 para. 35(1)(ca) inserted (1.3.2014) by [Financial Services \(Banking Reform\) Act 2013 \(c. 33\)](#), s. 148(5), [Sch. 5 para. 2\(2\)](#); [S.I. 2014/377](#), art. 2(1)(a), Sch. Pt. 1
- F26** Sch. 4 para. 35(1)(e) omitted (6.2.2015) by virtue of [The Enterprise and Regulatory Reform Act 2013 \(Amendment\) \(Gas and Electricity Appeals\) Regulations 2015 \(S.I. 2015/16\)](#), regs. 1(1), [2\(2\)\(a\)](#) (with regs. 3, 4)
- F27** Word in Sch. 4 para. 35(2) substituted (6.2.2015) by [The Enterprise and Regulatory Reform Act 2013 \(Amendment\) \(Gas and Electricity Appeals\) Regulations 2015 \(S.I. 2015/16\)](#), regs. 1(1), [2\(2\)\(b\)](#) (with regs. 3, 4)
- F28** Words in Sch. 4 para. 35(3) inserted (26.12.2023) by [Energy Act 2023 \(c. 52\)](#), s. 334(3)(a), [Sch. 5 para. 9](#)
- F29** Words in Sch. 4 para. 35(3) inserted (24.1.2022) by [Environment Act 2021 \(c. 30\)](#), [ss. 86\(7\)](#), 147(3) (with s. 144); [S.I. 2022/48](#), reg. 2(m)
- F30** Words in Sch. 4 para. 35(3) inserted (26.10.2023) by [Energy Act 2023 \(c. 52\)](#), s. 334(2)(j), [Sch. 16 para. 36\(2\)](#)
- F31** Words in Sch. 4 para. 35(3) inserted (6.2.2015) by [The Enterprise and Regulatory Reform Act 2013 \(Amendment\) \(Gas and Electricity Appeals\) Regulations 2015 \(S.I. 2015/16\)](#), regs. 1(1), [2\(2\)\(c\)](#) (with regs. 3, 4)
- F32** Words in Sch. 4 para. 35(3) inserted (7.4.2017) by [The Water Industry Designated Codes \(Appeals to the Competition and Markets Authority\) Regulations 2017 \(S.I. 2017/447\)](#), regs. 1(2), [20\(a\)](#)

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**Modifications etc. (not altering text)**

- C2** Sch. 4 para. 35(3) modified by SI 2003/1592 Sch. 4 para. 18(a) (as inserted (1.4.2014) by [The Enterprise Act 2002 \(Protection of Legitimate Interests\) \(Amendment\) Order 2014 \(S.I. 2014/891\)](#), arts. 1, **19(5)** (with arts. 20-23))

**Commencement Information**

- I100** Sch. 4 para. 35 in force at 1.10.2013 by [S.I. 2013/2227](#), **art. 2(j)** (with **art. 3**)

*Constitution of CMA groups*

- 36 Where the chair is, by or under any enactment, required to constitute a group under this Schedule (a “CMA group”), the chair must constitute the group in accordance with this Part of this Schedule.

**Commencement Information**

- I101** Sch. 4 para. 36 in force at 1.4.2014 by [S.I. 2014/416](#), **art. 2(1)(c)** (with **Sch.**)

*Membership of CMA groups*

- 37 (1) The members of a CMA group are to be selected by the chair.
- (2) In selecting the members of a CMA group, the chair must comply with any requirements imposed by or under any enactment.
- (3) Subject to that, paragraph 38 has effect for the purposes of the membership of a CMA group.

**Commencement Information**

- I102** Sch. 4 para. 37 in force at 1.4.2014 by [S.I. 2014/416](#), **art. 2(1)(c)** (with **Sch.**)

- 38 (1) Each CMA group is to consist of at least three members of the CMA panel.
- (2) Subject to sub-paragraphs (3) to (6), those members are to be such persons as the chair may select.
- (3) In the case of a newspaper merger reference group—
- (a) the group must include at least one newspaper panel member;
  - (b) the members of the group (if any) who are not newspaper panel members must be reporting panel members.
- (4) In the case of a specialist communications reference group, the group must include at least one, but not more than three, of the specialist communications panel members.
- (5) In the case of a specialist utility group, the group must include at least one of the specialist utility panel members.
- [<sup>F33</sup>(5A) In the case of a specialist payment systems group, the group must include at least one payment systems member. ]
- (6) A newspaper panel member is not to be selected as a member of a CMA group that is not a newspaper merger reference group.



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- (7) The chair may at any time appoint a reporting panel member to be an additional member of a CMA group.
- (8) The chair must appoint one of the members of a CMA group to chair the group (the “group chair”).

**Textual Amendments**

**F33** Sch. 4 para. 38(5A) inserted (1.3.2014) by [Financial Services \(Banking Reform\) Act 2013 \(c. 33\)](#), s. 148(5), [Sch. 5 para. 2\(3\)](#); S.I. 2014/377, art. 2(1)(a), Sch. Pt. 1

**Commencement Information**

**I103** Sch. 4 para. 38 in force at 1.4.2014 by S.I. 2014/416, [art. 2\(1\)\(c\)](#) (with Sch.)

- 39 The validity of anything done by a CMA group is not affected by—
- (a) a vacancy;
  - (b) a defective appointment.

**Commencement Information**

**I104** Sch. 4 para. 39 in force at 1.4.2014 by S.I. 2014/416, [art. 2\(1\)\(c\)](#) (with Sch.)

*Termination of person's membership of a CMA group*

- 40 A member of the CMA panel may at any time resign from a CMA group by giving written notice to this effect to the chair.

**Commencement Information**

**I105** Sch. 4 para. 40 in force at 1.4.2014 by S.I. 2014/416, [art. 2\(1\)\(c\)](#) (with Sch.)

- 41 (1) Sub-paragraph (2) applies if the chair considers that—
- (a) a member of a CMA group will be unable, for a substantial period, to perform his or her duties as a member of the group, or
  - (b) because of a particular interest of a member of a CMA group, it is inappropriate for him or her to remain a member of the group.
- (2) The chair may remove the person in question from membership of the group.

**Commencement Information**

**I106** Sch. 4 para. 41 in force at 1.4.2014 by S.I. 2014/416, [art. 2\(1\)\(c\)](#) (with Sch.)

- 42 A person ceases to be a member of a CMA group on ceasing to be a member of the CMA panel.

**Commencement Information**

**I107** Sch. 4 para. 42 in force at 1.4.2014 by S.I. 2014/416, [art. 2\(1\)\(c\)](#) (with Sch.)

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*Replacement of a member of a CMA group*

- 43 (1) Sub-paragraph (2) applies if a person ceases to be a member of a CMA group, whether by being removed under paragraph 41, or otherwise.
- (2) The chair may select a replacement member of the group from the CMA panel.

**Commencement Information**

**I108** Sch. 4 para. 43 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

*Continuity on removal or replacement*

- 44 (1) A person's ceasing to be a member of a CMA group, whether by being removed under paragraph 41, or otherwise, does not prevent—
- (a) the group from continuing with anything begun before the person ceased to be a member of it;
  - (b) any decision made or direction given by the person while a member of the group from having effect after he or she has ceased to be a member of the group.
- (2) Sub-paragraph (1)—
- (a) applies whether or not a replacement member of the group is selected under paragraph 43;
  - (b) does not affect any requirements imposed by or under any enactment with respect to the constitution of a CMA group.

**Commencement Information**

**I109** Sch. 4 para. 44 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

*Attendance of other members*

- 45 (1) At the invitation of the group chair of a CMA group, any reporting panel member who is not a member of the group may attend its meetings or otherwise take part in its proceedings.
- (2) But a person attending in response to such an invitation may not—
- (a) vote in any proceedings of the group, or
  - (b) have a statement of his or her dissent from a conclusion of the group included in a report made by the group.
- (3) Nothing in sub-paragraph (1) is to be taken to prevent a CMA group from consulting any member of the CMA panel with respect to any matter or question with which the group is concerned.

**Commencement Information**

**I110** Sch. 4 para. 45 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

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*Powers of chair pending group's constitution and first meeting*

- 46 (1) While a CMA group is being constituted, the chair may take such steps as he or she considers appropriate to facilitate the work of the group once it has been constituted.
- (2) The steps taken must be steps that it would be within the power of the group to take, had it already been constituted.

**Commencement Information**

**I111** Sch. 4 para. 46 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 47 (1) The chair may, on behalf of the CMA, exercise the power conferred by section 37(1), 48(1) or 64(1) of the Enterprise Act 2002 in respect of the reference of a matter—
- (a) while a CMA group is being constituted in connection with the reference;
- (b) after a CMA group has been so constituted, but before it has held its first meeting.
- (2) Sections 34C, 46D and 62A of the Enterprise Act 2002 have effect subject to sub-paragraph (1).

**Modifications etc. (not altering text)**

**C3** Sch. 4 para. 47(1)(2) modified by SI 2003/1592 Sch. 4 para. 18(b)(c) (as inserted (1.4.2014) by The Enterprise Act 2002 (Protection of Legitimate Interests) (Amendment) Order 2014 (S.I. 2014/891), arts. 1, 19(5) (with arts. 20-23))

**Commencement Information**

**I112** Sch. 4 para. 47 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

*Performance of functions of chair with respect to constitution etc of CMA group*

- 48 (1) A function of the chair that is specified in sub-paragraph (4) may, with the consent of the CMA Board, be exercised on behalf of the chair by—
- (a) a person who is a member of both the CMA panel and the CMA Board, or
- (b) a member of the CMA panel designated by the Secretary of State (whether generally or specifically) for the purposes of this paragraph.
- (2) The consent referred to in sub-paragraph (1) must specify the identity of the person by whom a function of the chair is to be exercised.
- (3) It may be given—
- (a) by reference generally to functions specified in sub-paragraph (4);
- (b) by reference to specific functions, or functions of a particular description;
- (c) by reference generally to CMA groups;
- (d) by reference to specific matters or specific CMA groups, or by reference to matters or CMA groups of a particular description.
- (4) The functions are—
- (a) the chair's functions under paragraph 33 and under this Part of this Schedule;
- (b) the chair's functions by or under any other enactment in respect of the constitution of a CMA group;

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- (c) the chair's functions under—
- (i) Schedule 4A to the Gas Act 1986;
  - (ii) Schedule 5A to the Electricity Act 1989;
  - [<sup>F34</sup>(ia) Schedule 5A to the Electricity (Northern Ireland) Order 1992 (SI 1992/231 (NI 1));
  - (iib) Schedule 3A to the Gas (Northern Ireland) Order 1996 (SI 1996/275 (NI 2));]
  - [<sup>F35</sup>(iic) Schedule A1 to the Transport Act 2000;]
  - (iii) Schedule 22 to the Energy Act 2004;
  - (iv) Schedule 2 to the Civil Aviation Act 2012.
  - [<sup>F36</sup>(v) Schedule 5 to the Financial Services (Banking Reform) Act 2013.]
  - [<sup>F37</sup>(vi) the Water Industry Designated Codes (Appeals to the Competition and Markets Authority) Regulations 2017 (SI 2017/447).]

#### Textual Amendments

- F34** Sch. 4 para. 48(4)(c)(ia)(iib) inserted (6.2.2015) by The Enterprise and Regulatory Reform Act 2013 (Amendment) (Gas and Electricity Appeals) Regulations 2015 (S.I. 2015/16), regs. 1(1), 2(3) (with regs. 3, 4)
- F35** Sch. 4 para. 48(4)(c)(iic) inserted (29.6.2021) by Air Traffic Management and Unmanned Aircraft Act 2021 (c. 12), Sch. 7 para. 11; S.I. 2021/748, reg. 2(j)
- F36** Sch. 4 para. 48(4)(c)(v) inserted (1.3.2014) by Financial Services (Banking Reform) Act 2013 (c. 33), s. 148(5), Sch. 5 para. 2(4); S.I. 2014/377, art. 2(1)(a), Sch. Pt. 1
- F37** Sch. 4 para. 48(4)(c)(vi) inserted (7.4.2017) by The Water Industry Designated Codes (Appeals to the Competition and Markets Authority) Regulations 2017 (S.I. 2017/447), regs. 1(2), 20(b)

#### Commencement Information

- I113** Sch. 4 para. 48 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

#### Independence of groups

- 49 (1) In making decisions that they are required or permitted to make by virtue of any enactment, CMA groups must act independently of the CMA Board.
- (2) Nothing in sub-paragraph (1) prevents—
- (a) the CMA Board from giving information in its possession to a CMA group,
  - or
  - (b) a CMA group giving information in its possession to the CMA Board.

#### Commencement Information

- I114** Sch. 4 para. 49 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

#### Casting votes

- 50 If a CMA group's vote on any decision is tied, the group chair is to have a casting vote.

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### Commencement Information

**I115** Sch. 4 para. 50 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

#### *Requirement to make rules of procedure for certain groups*

- 51 (1) The CMA Board must make rules of procedure for merger reference groups, market reference groups, and special reference groups.
- (2) Those rules are subject to any provision made by or under any enactment in respect of the procedure of a CMA group.
- (3) Before making rules under this paragraph, the CMA Board must consult such persons as it considers appropriate.
- (4) The CMA Board must publish rules made under this paragraph in whatever manner it considers appropriate for bringing them to the attention of those likely to be affected by them.
- (5) Subject to rules made under this paragraph, and to any provision made by or under any enactment, a CMA group of a type referred to in sub-paragraph (1) may determine its own procedure.
- (6) In this paragraph and paragraph 53—
- (a) “market reference group” means a CMA group constituted in connection with a reference under section 131, 132 or 140A of the Enterprise Act 2002;
  - (b) “merger reference group” means a CMA group constituted in connection with a reference under section 32 of the Water Industry Act 1991 or section 22, 33, 45, or 62 of the Enterprise Act 2002;
  - (c) “special reference group” means a CMA group constituted in connection with a reference under—
    - (i) section 11 of the Competition Act 1980;
    - (ii) section 41E of the Gas Act 1986;
    - (iii) section 56C of the Electricity Act 1989;
    - (iv) section 12, 14 or 17K of the Water Industry Act 1991;
    - <sup>F38</sup>(v) . . . . .
    - (vi) section 13 of, or Schedule 4A to, the Railways Act 1993;
    - <sup>F39</sup>(vii) . . . . .
    - (viii) section 12 of the Transport Act 2000;
    - (ix) section 193 of the Communications Act 2003;
    - (x) article 3 of the Water Services etc (Scotland) Act 2005 (Consequential Provisions and Modifications) Order 2005 (SI 2005/3172).

### Textual Amendments

**F38** Sch. 4 para. 51(6)(c)(v) omitted (6.2.2015) by virtue of The Enterprise and Regulatory Reform Act 2013 (Amendment) (Gas and Electricity Appeals) Regulations 2015 (S.I. 2015/16), regs. 1(1), 2(4) (with regs. 3, 4)

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**F39** Sch. 4 para. 51(6)(c)(vii) omitted (6.2.2015) by virtue of The Enterprise and Regulatory Reform Act 2013 (Amendment) (Gas and Electricity Appeals) Regulations 2015 (S.I. 2015/16), regs. 1(1), 2(4) (with regs. 3, 4)

**Modifications etc. (not altering text)**

**C4** Sch. 4 para. 51(6)(b) modified by SI 2003/1592 Sch. 4 para. 18(d) (as inserted (1.4.2014) by The Enterprise Act 2002 (Protection of Legitimate Interests) (Amendment) Order 2014 (S.I. 2014/891), arts. 1, 19(5) (with arts. 20-23))

**Commencement Information**

**I116** Sch. 4 para. 51 in force at 1.10.2013 for specified purposes by S.I. 2013/2227, art. 2(k) (with art. 3)

**I117** Sch. 4 para. 51 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 52 (1) In determining how to proceed in accordance with rules made for it by the CMA Board under paragraph 51(1), and in determining its own procedure under paragraph 51(5), a group must have regard to any guidance issued by the CMA Board.
- (2) Before issuing guidance for the purposes of this paragraph, or amending or revoking it, the CMA Board must consult such persons as it considers appropriate.

**Commencement Information**

**I118** Sch. 4 para. 52 in force at 1.10.2013 for specified purposes by S.I. 2013/2227, art. 2(I) (with art. 3)

**I119** Sch. 4 para. 52 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 53 (1) Rules made under paragraph 51 may—
- (a) make different provision for different cases or different purposes;
  - (b) be varied or revoked by rules subsequently made under that paragraph.
- (2) They may in particular make provision—
- (a) for particular stages of a merger investigation, market investigation, or special investigation to be dealt with in accordance with a timetable and for revision of that timetable;
  - (b) as to the documents and information that must be given to a relevant group in connection with a merger investigation, market investigation or special investigation;
  - (c) as to the documents and information that a relevant group must give to other persons in connection with such an investigation.
- (3) Rules making provision as described in sub-paragraph (2)(a) or (2)(b) may, in particular, permit or require a relevant group to disregard documents or information given after a particular date.
- (4) Rules making provision as described in sub-paragraph (2)(c) may in particular make provision for the notification or publication of, and for consultation about, provisional findings of a relevant group.
- (5) Rules made under paragraph 51 may make provision as to the quorum of relevant groups.
- (6) They may make provision—

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- (a) as to the extent (if any) to which persons interested or claiming to be interested in a matter under consideration that is specified or described in the rules are allowed—
    - (i) to be present before or heard by a relevant group, either by themselves or by their representatives;
    - (ii) to cross-examine witnesses;
    - (iii) otherwise to take part;
  - (b) as to the extent (if any) to which sittings of a relevant group are to be held in public;
  - (c) generally in connection with any matters permitted by rules making provision as described in paragraph (a) or (b) (including, in particular, provision for a record of any hearings).
- (7) Rules made under paragraph 51 may make provision for—
- (a) the notification or publication of information relating to merger investigations, market investigations or special investigations;
  - (b) consultation about such investigations.
- (8) Rules made under paragraph 51 for market reference groups may make provision as to the involvement of any public interest expert in the market investigation in connection with the reference under section 140A of the Enterprise Act 2002 in relation to which the expert was appointed.
- (9) For the purposes of this paragraph—
- “market investigation” means an investigation carried out by a market reference group in connection with a reference under section 131, 132 or 140A of the Enterprise Act 2002;
  - “merger investigation” means an investigation carried out by a merger reference group in connection with a reference under section 32 of the Water Industry Act 1991 or section 22, 33, 45, or 62 of the Enterprise Act 2002;
  - “public interest expert” means a person appointed under section 141B of the Enterprise Act 2002 in relation to a reference under section 140A(6) of that Act;
  - “relevant group” means a market reference group, a merger reference group, or a special reference group;
  - “special investigation” means an investigation carried out by a special reference group in connection with a provision listed in paragraph 51(6)(c).

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**Modifications etc. (not altering text)**

- C5** Sch. 4 para. 53(9) modified by SI 2003/1592 Sch. 4 para. 18(e) (as inserted (1.4.2014) by [The Enterprise Act 2002 \(Protection of Legitimate Interests\) \(Amendment\) Order 2014](#) (S.I. 2014/891), arts. 1, **19(5)** (with arts. 20-23))
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**Commencement Information**

- I120** Sch. 4 para. 53 in force at 1.10.2013 for specified purposes by [S.I. 2013/2227](#), art. 2(k) (with art. 3)
- I121** Sch. 4 para. 53 in force at 1.4.2014 in so far as not already in force by [S.I. 2014/416](#), art. 2(1)(c) (with Sch.)

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*Procedure of other CMA groups*

- 54 (1) Subject to any special or general directions given by the Secretary of State, and to any provision made by or under any enactment, a CMA group that is not a group of a type referred to in paragraph 51(1) may determine its own procedure.
- (2) It may, in particular, determine its quorum, and determine—
- (a) the extent (if any) to which persons interested or claiming to be interested in a matter under consideration are allowed—
    - (i) to be present before or heard by it, either by themselves or by their representatives;
    - (ii) to cross-examine witnesses;
    - (iii) otherwise to take part;
  - (b) the extent (if any) to which its sittings are to be held in public.
- (3) In determining its procedure under sub-paragraph (1), a CMA group must have regard to any guidance issued by the CMA Board.

**Commencement Information**

**I122** Sch. 4 para. 54 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

*CMA group decision: requirement for two thirds majority*

- 55 For the purposes of paragraphs 56 to 58, a “qualifying majority decision” is a decision made by a CMA group which is that of at least two-thirds of the members of the group.

**Commencement Information**

**I123** Sch. 4 para. 55 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 56 (1) This paragraph applies for the purposes of Part 3 of the Enterprise Act 2002.
- (2) Where a decision of a CMA group under section 35(1) or 36(1) of that Act that there is an anti-competitive outcome is not a qualifying majority decision, it is to be treated as a decision under that section that there is not an anti-competitive outcome.
- [<sup>F40</sup>(2A) Sub-paragraph (2B) applies where a decision of a CMA group under section 35(1) or 36(1) of that Act (as applied by section 68F of, and Schedule 5A to, that Act) that there is, or is likely to be, prejudice of the kind described in section 68B(1)(b) or 68C(1)(b) of that Act is not a qualifying majority decision.
- (2B) The decision of the CMA group is to be treated as a decision under section 35(1) or, as the case may be, section 36(1) of that Act (as applied by section 68F of, and Schedule 5A to, that Act) that there is not, or is not likely to be, prejudice of that kind.]
- (3) Where a decision of a CMA group under section 47 of that Act is not a qualifying majority decision—
- (a) in the case of a decision that a relevant merger situation has been created, it is to be treated as a decision under section 47 that no such situation has been created;



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- (b) in the case of a decision that the creation of a relevant merger situation has resulted, or may be expected to result, in a substantial lessening of competition within any market or markets in the United Kingdom for goods and services, it is to be treated as a decision under section 47 that the creation of that situation has not resulted, or may be expected not to result, in such a substantial lessening of competition;
  - (c) in the case of a decision that arrangements are in progress or in contemplation which, if carried into effect, will result in the creation of a relevant merger situation, it is to be treated as a decision under section 47 that no such arrangements are in progress or in contemplation;
  - (d) in the case of a decision that the creation of such a situation as is mentioned in paragraph (c) may be expected to result in a substantial lessening of competition within any market or markets in the United Kingdom for goods and services, it is to be treated as a decision under section 47 that the creation of that situation may be expected not to result in such a substantial lessening of competition.
- (4) Where a decision of a CMA group under section 63 of that Act is not a qualifying majority decision—
- (a) in the case of a decision that a special merger situation has been created, it is to be treated as a decision under section 63 that no such situation has been created;
  - (b) in the case of a decision that arrangements are in progress or in contemplation which, if carried into effect, will result in the creation of a special merger situation, it is to be treated as a decision under section 63 that no such arrangements are in progress or in contemplation.
- (5) Expressions used in this paragraph are to be construed in accordance with Part 3 of the Enterprise Act 2002.

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#### Textual Amendments

**F40** Sch. 4 para. 56(2A)(2B) inserted (26.10.2023) by Energy Act 2023 (c. 52), s. 334(2)(j), Sch. 16 para. 36(3)

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#### Modifications etc. (not altering text)

**C6** Sch. 4 para. 56(1) modified by SI 2003/1592 Sch. 4 para. 18(f) (as inserted (1.4.2014) by The Enterprise Act 2002 (Protection of Legitimate Interests) (Amendment) Order 2014 (S.I. 2014/891), arts. 1, 19(5) (with arts. 20-23))

**C7** Sch. 4 para. 56(4) modified by SI 2003/1592 Sch. 4 para. 18(g) (as inserted (1.4.2014) by The Enterprise Act 2002 (Protection of Legitimate Interests) (Amendment) Order 2014 (S.I. 2014/891), arts. 1, 19(5) (with arts. 20-23))

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#### Commencement Information

**I124** Sch. 4 para. 56 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

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- 57 (1) This paragraph applies for the purposes of Part 4 of the Enterprise Act 2002.
- (2) Where a decision under section 134, 141 or 141A of that Act is not a qualifying majority decision—
- (a) in the case of a decision on an ordinary reference that a feature or combination of features of a relevant market prevents, restricts or distorts

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- competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom, it is to be treated as a decision that the feature or (as the case may be) combination of features of that relevant market does not prevent, restrict or distort such competition;
- (b) in the case of a decision on a cross-market reference that a feature or a combination of the features specified in the reference, as that feature or combination of features relates to goods or services of one or more than one of the descriptions so specified, prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom, it is to be treated as a decision that that feature or (as the case may be) combination of features as it relates to goods or services of those descriptions does not prevent, restrict or distort such competition.
- (3) Accordingly, a CMA group is to be treated as having decided under section 134, 141 or 141A that there is no adverse effect on competition in relation to an ordinary reference or a cross-market reference if—
- (a) one or more than one decision of the group, in relation to the reference, is to be treated as mentioned in sub-paragraph (2)(a) or (as the case may be) (b), and
- (b) there is, in relation to the reference, no other relevant decision of the group.
- (4) “Relevant decision”, in sub-paragraph (3)(b), means—
- (a) in relation to an ordinary reference, a decision that is not to be treated as mentioned in sub-paragraph (2)(a), and which is that a feature or combination of features of a relevant market prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom;
- (b) in relation to a cross-market reference, a decision that is not to be treated as mentioned in sub-paragraph (2)(b), and which is that a feature or a combination of the features specified in the reference, as that feature or combination of features relates to goods or services of one or more than one of the descriptions so specified, prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom.
- (5) Where a decision of a CMA group under section 141A of that Act is not a qualifying majority decision, in the case of a decision under section 141A(4) that the feature or combination of features in question operates or may be expected to operate against the public interest, it is to be treated as a decision under section 141A that the feature or combination of features in question does not operate nor may be expected to operate against the public interest.
- (6) Expressions used in this paragraph are to be construed in accordance with Part 4 of the Enterprise Act 2002.

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**Commencement Information**

**I125** Sch. 4 para. 57 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

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#### Commencement Information

**I126** Sch. 4 para. 58 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

### [<sup>F41</sup>PART 3A

#### THE OIM PANEL

#### Textual Amendments

**F41** Sch. 4 Pt. 3A inserted (31.12.2020) by United Kingdom Internal Market Act 2020 (c. 27), s. 59(3), Sch. 3 para. 8 (with s. 55(2)); S.I. 2020/1621, reg. 2(r)

#### *The OIM panel*

- 58A (1) The OIM panel is a panel of persons available for selection as members of a group constituted in accordance with this Part of this Schedule.
- (2) The OIM panel is to consist of—
- (a) the OIM panel chair appointed under paragraph 1(1)(b)(iv), and
  - (b) the other members of the panel appointed under paragraph 1(1)(b)(v).

#### *Constitution of OIM task groups*

- 58B (1) The OIM panel chair may at any time constitute a group in accordance with this Part of this Schedule for the purpose of carrying out on the CMA's behalf functions of the CMA under Part 4 of the United Kingdom Internal Market Act 2020.
- (2) A group constituted as mentioned in sub-paragraph (1) is to be known as an Office for the Internal Market task group (or “OIM task group”).

#### *Membership of OIM task groups*

- 58C (1) The members of an OIM task group are to be selected by the OIM panel chair.
- (2) Each OIM task group is to consist of at least three members of the OIM panel.
- (3) The OIM panel chair must appoint one of the members of an OIM task group to chair the group (“the task group chair”).
- 58D The validity of anything done by an OIM task group is not affected by—
- (a) a vacancy;
  - (b) a defective appointment.

#### *Termination of person's membership of an OIM task group*

- 58E A member of the OIM panel may at any time resign from an OIM task group by giving written notice to this effect to the OIM panel chair.
- 58F (1) Sub-paragraph (2) applies if the OIM panel chair considers that—

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- (a) a member of an OIM task group will be unable, for a substantial period, to perform their duties as a member of the group, or
  - (b) because of a particular interest of a member of an OIM task group, it is inappropriate for that person to remain a member of the group.
- (2) The OIM panel chair may remove the person in question from membership of the task group.

58G A person ceases to be a member of an OIM task group on ceasing to be a member of the OIM panel.

*Replacement of a member of an OIM task group*

- 58H (1) Sub-paragraph (2) applies if a person ceases to be a member of an OIM task group, whether by being removed under paragraph 58F, or otherwise.
- (2) The OIM panel chair may select a replacement member of the group from the OIM panel.

*Continuity on removal or replacement*

- 58I (1) A person's ceasing to be a member of an OIM task group, whether by being removed under paragraph 58F, or otherwise, does not prevent—
- (a) the group from continuing with anything begun before the person ceased to be a member of it;
  - (b) any decision made or direction given by the person while a member of the group from having effect after they have ceased to be a member of the group.
- (2) Sub-paragraph (1) applies whether or not a replacement member of the group is selected under paragraph 58H.

*Powers of chair pending group's constitution and first meeting*

- 58J (1) While an OIM task group is being constituted, the OIM panel chair may take such steps as the OIM panel chair considers appropriate to facilitate the work of the group once it has been constituted.
- (2) The steps taken must be steps that it would be within the power of the group to take, had it already been constituted.

*Independence of OIM task groups*

- 58K (1) In exercising functions which they are authorised to exercise by virtue of any enactment, OIM task groups must act independently of the CMA Board.
- (2) Nothing in sub-paragraph (1) prevents—
- (a) the CMA Board giving information in its possession to an OIM task group, or
  - (b) an OIM task group giving information in its possession to the CMA Board.

*Casting votes*

- 58L If an OIM task group's vote on any decision is tied, the task group chair is to have a casting vote.

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### *Procedure of OIM task groups*

- 58M (1) An OIM task group may determine its own procedure (including determining its quorum).
- (2) In determining its procedure under sub-paragraph (1), an OIM task group must have regard to any guidance issued by the CMA Board.]

## **PART 4**

### INTERPRETATION AND TRANSITIONAL AND TRANSITORY PROVISION

#### *Interpretation*

- 59 (1) In this Schedule, “enactment” means—
- (a) an enactment contained in this or any other Act;
  - (b) an enactment comprised in subordinate legislation within the meaning of the Interpretation Act 1978;
  - (c) an enactment contained in, or in an instrument made under, an Act of the Scottish Parliament;
  - (d) a Measure or Act of the National Assembly for Wales;
  - (e) an enactment contained in, or in an instrument made under, Northern Ireland legislation (within the meaning of the Interpretation Act 1978).
- (2) Any reference in this Schedule to an enactment includes a reference to an enactment whenever passed or made.

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#### **Commencement Information**

**I127** Sch. 4 para. 59 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

- 60 References in this Schedule to the commencement date are to the date on which section 25(3) comes into force.

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#### **Commencement Information**

**I128** Sch. 4 para. 60 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

### *Members of the Competition Commission*

- 61 (1) This paragraph applies—
- (a) in relation to any appointments under paragraph 1(1)(b) to the CMA panel that are made before the abolition of the Competition Commission under section 26, to any person who is a panel member of the Competition Commission and whose term of office as such is not due to expire before the abolition of the Competition Commission under that section;
  - (b) in relation to any other appointment under paragraph 1(1)(b) to the CMA panel, to a person who was a panel member of the Competition Commission immediately prior to its abolition under section 26.

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- (2) A person to whom this paragraph applies may be appointed under paragraph 1(1)(b) as a member of the CMA panel.
- (3) But the terms of the person's appointment as a member of the CMA panel must not be such that the sum of the period of his or her office as a member of the CMA panel, and of the period of his or her office as a panel member of the Competition Commission (excluding any period when he or she also holds office as a member of the CMA panel), exceeds eight years.
- (4) Paragraph 4(1) applies for the purposes of the person's re-appointment as a member of the CMA panel as it does for the purposes of the re-appointment of a CMA panel member to whom this paragraph does not apply.
- (5) The power conferred by section 100 includes power to make provision for the appointment of panel members of the Competition Commission as members of the CMA panel, or for the re-appointment of persons who are appointed as members of the CMA panel by virtue of sub-paragraph (2), for the purpose of enabling anything in the process of being done by or on behalf of the Competition Commission immediately prior to its abolition to be completed by or on behalf of the CMA; and nothing in sub-paragraphs (1) to (4) restricts the provision that may be made for that purpose.

#### Commencement Information

- I129** Sch. 4 para. 61(1)-(4) in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)  
**I130** Sch. 4 para. 61(5) in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(c) (with Sch.)

- 62 Except as provided for by paragraph 61, a person who holds or has held office as a panel member of the Competition Commission at any time prior to its abolition may not be appointed under paragraph 1(1)(b) as a member of the CMA panel.

#### Commencement Information

- I131** Sch. 4 para. 62 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

- 63 References in paragraphs 61 and 62 to a panel member of the Competition Commission are to a person appointed as a member of the Competition Commission of a kind mentioned in paragraph 2(3) of Schedule 7 to the Competition Act 1998.

#### Commencement Information

- I132** Sch. 4 para. 63 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

#### *Financial years of the CMA*

- 64 (1) If the duration of the period beginning with the commencement date and ending with the next 31 March is six months or more, the first financial year of the CMA is that period.
- (2) But if the duration of that period is less than six months, the first financial year of the CMA is the period beginning with the commencement date, and ending with the 31 March in the year following the next 31 March after the commencement date.

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(3) The subsequent financial years of the CMA are each successive period of 12 months.

**Commencement Information**

**I133** Sch. 4 para. 64 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

*First annual plan of the CMA*

- 65 (1) The CMA is to publish its first annual plan within the period of three months beginning with the commencement date.
- (2) The first annual plan is to relate to the period beginning with the date of publication of the plan, and ending with the date on which the CMA's first financial year ends.

**Commencement Information**

**I134** Sch. 4 para. 65 in force at 1.10.2013 by S.I. 2013/2227, art. 2(j) (with art. 3)

SCHEDULE 5

Section 26(3)

AMENDMENTS RELATED TO PART 3

**PART 1**

TRANSFER OF FUNCTIONS UNDER THE 1998 ACT TO THE CMA

1 The Competition Act 1998 is amended as follows.

**Commencement Information**

**I135** Sch. 5 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

2 In section 6 (block exemptions), in subsections (1) and (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I136** Sch. 5 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

3 In section 8 (block exemptions: procedure), in subsections (1) to (3) and (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I137** Sch. 5 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 4 In section 10 (parallel exemptions), in subsections (5), (7) and (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I138** Sch. 5 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 (1) Section 25 (power to investigate) is amended as follows.
- (2) In subsections (1) and (8) to (11), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I139** Sch. 5 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 6 In section 26 (powers when conducting investigations), in subsections (1) and (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I140** Sch. 5 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 7 In section 27 (power to enter business premises without a warrant), in subsections (1) and (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I141** Sch. 5 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 8 (1) Section 28 (power to enter business premises under a warrant) is amended as follows.
- (2) In subsection (1)(b)(i), for “OFT” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) for “OFT” (in each place where it occurs) substitute “ CMA ”, and
- (b) for “OFT's” substitute “CMA's”.

**Commencement Information**

**I142** Sch. 5 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 9 In section 28A (power to enter domestic premises under a warrant), in subsections (1)(b)(i) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I143** Sch. 5 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)



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- 10 In section 31 (decisions following an investigation), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I144 Sch. 5 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 11 In section 31A (commitments), in subsections (1) to (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I145 Sch. 5 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 12 In section 31B (effect of commitments under section 31A), in subsections (1) to (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I146 Sch. 5 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 13 In section 31C (review of commitments), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I147 Sch. 5 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 14 In section 31D (guidance), in subsections (1) to (3), (5), (6) and (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I148 Sch. 5 para. 14 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 15 In section 31E (enforcement of commitments), in subsection (1), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I149 Sch. 5 para. 15 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 16 In section 32 (directions in relation to agreements), in subsection (1), for “OFT” substitute “ CMA ”.

**Commencement Information**

I150 Sch. 5 para. 16 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 17 In section 33 (directions in relation to conduct), in subsection (1), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I151** Sch. 5 para. 17 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 18 In section 34 (enforcement of directions), in subsection (1), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I152** Sch. 5 para. 18 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 19 In section 35 (interim measures), in subsections (1) to (4), (8) and (9), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I153** Sch. 5 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 20 In section 36 (penalties), in subsections (1) to (5), (8) and (9), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I154** Sch. 5 para. 20 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 21 In section 37 (recovery of penalties), in subsection (1), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I155** Sch. 5 para. 21 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 22 In section 38 (the appropriate level of a penalty), in subsections (1) to (3), (5), (6), (8) and (9), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I156** Sch. 5 para. 22 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 23 In section 39 (limited immunity in relation to the Chapter 1 prohibition), in subsections (3) to (5) and (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I157** Sch. 5 para. 23 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 24 In section 40 (limited immunity in relation to the Chapter 2 prohibition), in subsections (3) to (5) and (8), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I158** Sch. 5 para. 24 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 25 In section 44 (false or misleading information), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I159** Sch. 5 para. 25 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 26 In section 46 (appealable decisions), in subsections (1) to (3), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I160** Sch. 5 para. 26 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 27 In section 47 (third party appeals), in subsection (1), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I161** Sch. 5 para. 27 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 28 In section 47A (monetary claims before Tribunal), in subsections (6) and (7), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I162** Sch. 5 para. 28 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 29 In section 50 (vertical agreements and land agreements), in subsection (3), for “OFT” substitute “CMA”.

**Commencement Information**

**I163** Sch. 5 para. 29 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 30 In the cross-heading preceding section 51, for “OFT's” substitute “CMA's”.

**Commencement Information**

**I164** Sch. 5 para. 30 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 31 (1) Section 51 (rules) is amended as follows.

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- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (2), for “OFT's” substitute “CMA's”.
- (4) In subsections (3) and (5) to (10), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I165** Sch. 5 para. 31 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 32 (1) Section 52 (advice and information) is amended as follows.
- (2) In subsection (1), for the words from the beginning to “the Director” substitute “ The CMA ”.
  - (3) In subsection (1A), for the words from the beginning to “the OFT” substitute “ The CMA ”.
  - (4) In subsections (2) to (6) and (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I166** Sch. 5 para. 32 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 33 In section 54 (regulators), in subsections (2), (5) and (7), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I167** Sch. 5 para. 33 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 34 In section 57 (defamation), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I168** Sch. 5 para. 34 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 35 In the cross-heading preceding section 58, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I169** Sch. 5 para. 35 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 36 (1) Section 58 (findings of fact) is amended as follows.
- (2) In subsection (1), for “an OFT's” substitute “a CMA's”.
  - (3) In subsection (2)—
    - (a) for “an OFT's” substitute “a CMA's”, and
    - (b) for “OFT” (in each place where it occurs) substitute “ CMA ”.

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(4) In subsection (3), for “OFT” substitute “ CMA ”.

(5) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I170** Sch. 5 para. 36 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

37 In section 58A (findings of infringements), in subsections (3) and (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I171** Sch. 5 para. 37 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

38 (1) Section 59 (interpretation of Part 1) is amended as follows.

(2) In subsection (1)—

(a) after the definition of “the Chapter II prohibition” insert—

““the CMA” means the Competition and Markets Authority;”, and

(b) omit the definition of “the OFT”.

(3) In subsection (4), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I172** Sch. 5 para. 38 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

39 In section 60 (principles to be applied in determining questions), in subsection (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I173** Sch. 5 para. 39 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

40 In section 61 (interpretation of Part 2), in subsection (1)—

(a) after the definition of “books and records” insert—

““the CMA” means the Competition and Markets Authority;”, and

(b) omit the definition of “the OFT”.

**Commencement Information**

**I174** Sch. 5 para. 40 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

41 In section 62 (power to enter business premises under a warrant: Article 20 inspections), in subsections (5) and (10), for “OFT” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I175** Sch. 5 para. 41 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 42 In section 62A (power to enter non-business premises under a warrant: Article 21 inspections), in subsections (3) to (5), and (12), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I176** Sch. 5 para. 42 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 43 In section 62B (powers when conducting Article 22(2) inspection), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I177** Sch. 5 para. 43 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 44 In section 63 (power to enter business premises under a warrant: Article 22(2) inspections), in subsections (1)(a), (2) to (5) and (10), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I178** Sch. 5 para. 44 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 45 (1) Section 65C (interpretation of Part 2A) is amended as follows.
- (2) In subsection (1), in the definition of “Article 22(1) investigation”, for “OFT” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) after the entry for “Article 82” insert— “ “the CMA”; ”, and
  - (b) omit the entry for “the OFT”.
- (4) In subsection (4), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I179** Sch. 5 para. 45 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 46 In section 65D (power to conduct an Article 22(1) investigation), in subsection (1), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I180** Sch. 5 para. 46 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 47 In section 65E (powers when conducting Article 22(1) investigations), in subsections (1) and (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I181** Sch. 5 para. 47 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 48 In section 65F (power to enter business premises without a warrant), in subsections (1) and (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I182** Sch. 5 para. 48 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 49 In section 65G (power to enter business premises under a warrant), in subsections (1)(b)(i) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I183** Sch. 5 para. 49 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 50 In section 65H (power to enter domestic premises under a warrant), in subsections (1)(b)(i) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I184** Sch. 5 para. 50 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 51 In section 65N (false or misleading information), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I185** Sch. 5 para. 51 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 52 In section 75A (rules in relation to Parts 2 and 2A), in subsections (1) to (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I186** Sch. 5 para. 52 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 53 (1) Schedule 1 (exclusions: mergers and concentrations) is amended as follows.
- (2) In paragraph 4, in sub-paragraphs (1) to (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In paragraph 5, for “OFT” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I187** Sch. 5 para. 53 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 54 In Schedule 2 (exclusions: other competition scrutiny), in Part 3, in paragraph 5(3) (a), for “Director” substitute “ CMA ”.

**Commencement Information**

**I188** Sch. 5 para. 54 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 55 (1) Schedule 3 (general exclusions) is amended as follows.  
(2) In paragraph 9, in sub-paragraphs (3) to (7), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I189** Sch. 5 para. 55 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 56 (1) Schedule 6A (commitments) is amended as follows.  
(2) In paragraph 1, for “OFT” substitute “ CMA ”.  
(3) In paragraph 2—  
(a) in sub-paragraph (1), for “OFT” substitute “ CMA ”, and  
(b) in sub-paragraph (2)—  
(i) for “OFT” (in each place where it occurs) substitute “ CMA ”, and  
(ii) for “OFT's” substitute “CMA's”.  
(4) In paragraph 3, in sub-paragraph (1), for “OFT” substitute “ CMA ”.  
(5) In paragraph 4, for “OFT” (in each place where it occurs) substitute “ CMA ”.  
(6) In paragraph 5, for “OFT” substitute “ CMA ”.  
(7) In paragraph 6, for “OFT” substitute “ CMA ”.  
(8) In paragraph 7, for “OFT” (in each place where it occurs) substitute “ CMA ”.  
(9) In paragraph 8, for “OFT” (in each place where it occurs) substitute “ CMA ”.  
(10) In paragraph 10, for “OFT” substitute “ CMA ”.  
(11) In paragraph 11, in sub-paragraph (1), for “OFT” substitute “ CMA ”.  
(12) In paragraph 12, for “OFT” substitute “ CMA ”.  
(13) In paragraph 13, for “OFT” substitute “ CMA ”.  
(14) In paragraph 14, for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I190** Sch. 5 para. 56 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)



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- 57 (1) Schedule 8 (appeals) is amended as follows.
- (2) In paragraph 2, in sub-paragraph (2), for “OFT’s” substitute “CMA’s”.
- (3) In paragraph 3, in sub-paragraphs (2) and (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (4) In paragraph 3A, in sub-paragraph (3), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I191** Sch. 5 para. 57 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 58 (1) Schedule 9 (rules under section 51) is amended as follows.
- (2) In the heading, for “OFT’s” substitute “CMA’s”.
- (3) In paragraph 1, for “OFT” substitute “ CMA ”.
- (4) In paragraph 5, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 8, for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (6) In paragraph 9, for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (7) In paragraph 11, for “OFT” substitute “ CMA ”.
- (8) In paragraph 12, in sub-paragraph (1)—
- (a) for “OFT” substitute “ CMA ”, and
- (b) for “OFT’s” substitute “CMA’s”.
- (9) In paragraph 13—
- (a) for “OFT” substitute “ CMA ”, and
- (b) for “OFT’s” substitute “CMA’s”.
- (10) In paragraph 14, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I192** Sch. 5 para. 58 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

**PART 2**

TRANSFER OF FUNCTIONS UNDER THE 2002 ACT TO THE CMA

- 59 The Enterprise Act 2002 is amended as follows.

**Commencement Information**

**I193** Sch. 5 para. 59 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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*Part 1*

- 60 (1) Section 5 (acquisition of information etc) is amended as follows.
- (2) In subsections (1), (2) and (3), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In the italic cross-heading preceding the section for “OFT” substitute “the CMA”.

**Commencement Information**

**I194** Sch. 5 para. 60 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 61 In section 6 (provision of information etc to the public), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I195** Sch. 5 para. 61 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 62 (1) Section 7 (provision of information and advice to Ministers etc) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “CMA”.
- (3) In subsection (2), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I196** Sch. 5 para. 62 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 63 Omit section 8 (promoting good consumer practice).

**Commencement Information**

**I197** Sch. 5 para. 63 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 64 (1) Section 11 (super-complaints) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (3), for “OFT's” substitute “CMA's”.
- (4) In subsection (7), for “OFT” substitute “CMA”.

**Commencement Information**

**I198** Sch. 5 para. 64 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 65 For the title to Part 1 substitute “General functions of the CMA”.

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**Commencement Information**

**I199** Sch. 5 para. 65 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Part 2*

- 66 In Schedule 4 (Competition Appeal Tribunal: procedure), in Part 2 (Tribunal rules), in paragraph 22(2), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I200** Sch. 5 para. 66 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Part 3*

- 67 (1) Section 22 (duty to make references in relation to completed mergers) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ The CMA shall, subject to subsections (2) and (3), make a reference to its chair for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 if the CMA believes that it is or may be the case that— ”.
- (3) In subsection (2)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”, and
  - (b) in paragraph (a), omit “to the Commission”.
- (4) In subsections (3) and (3A), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In subsection (7)—
- (a) in paragraph (a), for “the OFT or (as the case may be) the Commission” substitute “ the CMA ”, and
  - (b) in paragraph (b), for “the OFT, the Commission” substitute “ the CMA ”.

**Commencement Information**

**I201** Sch. 5 para. 67 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 68 In section 23 (relevant merger situations), in subsection (9), in paragraph (a), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I202** Sch. 5 para. 68 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 69 In section 24 (time-limits and prior notice), in subsection (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I203** Sch. 5 para. 69 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 70 In section 25 (extension of time-limits), in subsections (1) to (6) and (8), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I204** Sch. 5 para. 70 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 71 In section 28 (turnover test), in subsection (5), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I205** Sch. 5 para. 71 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 72 (1) Section 33 (duty to make references in relation to anticipated mergers) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ The CMA shall, subject to subsections (2) and (3), make a reference to its chair for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 if the CMA believes that it is or may be the case that— ”.
- (3) In subsection (2)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”,
  - (b) in paragraph (a), omit “to the Commission”, and
  - (c) in paragraph (b), omit “to the Commission”.
- (4) In subsections (3) and (3A), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I206** Sch. 5 para. 72 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 73 (1) Section 34A (duty where case referred by the European Commission) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”, and
  - (b) in paragraph (a), omit “to the Commission”.
- (4) In subsection (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In the heading, for “OFT” substitute “ CMA ”.

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### Commencement Information

**I207** Sch. 5 para. 73 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

74 Before section 35 (but after the italic cross-heading immediately preceding it) insert—

### Functions to be exercised by CMA groups

“34Q(1) Where a reference is made to the chair of the CMA under section 22 or 33 for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, the functions of the CMA under or by virtue of the following provisions of this Part in relation to the matter concerned are to be carried out on behalf of the CMA by the group so constituted—

- (a) sections 35 to 41B, except for sections 35(6) and (7), 36(5) and (6) and 37(6);
  - (b) where a reference is treated by virtue of section 37(2) as having been made under section 22, section 23(9)(a);
  - (c) section 76, as it applies in relation to orders under section 83, and sections 77, 78 and 80 to 84;
  - (d) section 87, so far as relating to an enforcement order made on behalf of the CMA by the group;
  - (e) sections 92(4), 94 and 94A, so far as relating to an enforcement undertaking or enforcement order made on behalf of the CMA by the group;
  - (f) section 104, so far as relating to a decision mentioned in paragraph (a)(iii) of the definition of relevant decision in subsection (6) of that section;
  - (g) section 107, so far as relating to anything done on behalf of the CMA by the group;
  - (h) section 109, where the permitted purpose in question relates to a function that (by virtue of this section) is being or is to be carried out on behalf of the CMA by the group;
  - (i) sections 110 to 115, so far as relating to a notice given under section 109 on behalf of the CMA by the group;
  - (j) section 120(5)(b), so far as relating to a decision of the group;
  - (k) Schedule 10, so far as relating to an enforcement undertaking or enforcement order which the group is considering accepting or making, or which the group has accepted or made, on behalf of the CMA.
- (2) The functions of the CMA under section 95(4) in relation to the matter concerned may be carried out on behalf of the CMA by the group.
- (3) Nothing in subsection (1) prevents the CMA Board from exercising a function of the CMA under or by virtue of the following provisions of this Part where the group constituted as mentioned in subsection (1) has ceased to exist—
- (a) section 76 and Schedule 10, so far as relating to the making of an order under section 76 in relation to an order under section 83;

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- (b) section 83 and Schedule 10, so far as relating to the making of an order under section 83;
- (c) sections 76 (as it applies in relation to an order under section 83), 80 to 84 and Schedule 10, so far as relating to the variation, supersession or release of enforcement undertakings or the variation or revocation of enforcement orders;
- (d) section 87;
- (e) sections 92(4) and 94.”

#### Commencement Information

**I208** Sch. 5 para. 74 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 75 In section 35 (questions to be decided in relation to completed mergers), in subsections (1) and (3) to (7), for “Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

**I209** Sch. 5 para. 75 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 76 In section 36 (questions to be decided in relation to anticipated mergers), in subsections (1) to (6), for “Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

**I210** Sch. 5 para. 76 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 77 (1) Section 37 (cancellation and variation of references under section 22 or 33) is amended as follows.
- (2) In subsections (1) to (4), for “Commission” (in each place where it occurs) substitute “CMA”.
  - (3) In subsection (6), for “OFT” substitute “CMA”.
  - (4) Omit subsections (7) and (8).
  - (5) In subsection (9)—
    - (a) for “OFT” substitute “CMA”, and
    - (b) for “Commission” substitute “CMA”.

#### Commencement Information

**I211** Sch. 5 para. 77 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 78 (1) Section 38 (investigations and reports on references under section 22 or 33) is amended as follows.
- (2) In subsections (1) to (3), for “Commission” (in each place where it occurs) substitute “CMA”.

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(3) Omit subsection (4).

**Commencement Information**

I212 Sch. 5 para. 78 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

79 In section 39 (time-limits for investigations and reports), in subsections (1), (3), (4) and (8), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I213 Sch. 5 para. 79 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

80 In section 40 (section 39: supplementary), in subsections (10), (11) and (13), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I214 Sch. 5 para. 80 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

81 In section 41 (duty to remedy effects of completed or anticipated mergers), in subsections (1) to (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I215 Sch. 5 para. 81 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

82 (1) Section 42 (intervention by Secretary of State in certain public interest cases) is amended as follows.

(2) In subsection (2), for “OFT” substitute “ CMA ”.

(3) In subsection (6)—

(a) for “OFT” (in each place where it occurs) substitute “ CMA ”, and

(b) for “Commission” substitute “ CMA ”.

**Commencement Information**

I216 Sch. 5 para. 82 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

83 (1) Section 43 (intervention notices under section 42) is amended as follows.

(2) In subsection (4)—

(a) in paragraph (a), for “OFT” substitute “ CMA ”, and

(b) for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I217 Sch. 5 para. 83 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 84 (1) Section 44 (investigation and report) is amended as follows.
- (2) In subsections (2) and (3), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (4) —
- (a) in the words before paragraph (a), for “OFT” substitute “CMA”, and
- (b) in paragraph (c), omit “to the Commission”.
- (4) In subsections (5), (5A) and (7), for “OFT” (in each place where it occurs) substitute “CMA”.
- (5) In the heading, for “OFT” substitute “CMA”.

**Commencement Information**

**I218** Sch. 5 para. 84 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 85 (1) Section 45 (power of Secretary of State to refer matter) is amended as follows.
- (2) In subsection (1), in paragraph (b), for “OFT” substitute “CMA”.
- (3) In subsections (2) to (5), for “to the Commission” (in each place where it occurs) substitute “to the chair of the CMA for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013”.
- (4) In the heading, for “Commission” substitute “CMA”.

**Commencement Information**

**I219** Sch. 5 para. 85 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 86 In section 46 (references under section 46: supplementary), in subsection (2), for “OFT” substitute “CMA”.

**Commencement Information**

**I220** Sch. 5 para. 86 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 87 (1) Section 46A (cases referred by European Commission where intervention notice is in force) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “CMA”.
- (3) In subsection (2), in paragraph (a), omit “to the Commission”.

**Commencement Information**

**I221** Sch. 5 para. 87 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 88 Before section 47 (but after the italic cross-heading immediately preceding it) insert—



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### Functions to be exercised by CMA groups

- “46D Where a reference is made to the chair of the CMA under section 45 for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, the functions of the CMA under or by virtue of the following provisions of this Part in relation to the matter concerned are to be carried out on behalf of the CMA by the group so constituted—
- (a) sections 47 to 53;
  - (b) where a reference is treated by virtue of section 49(1) as having been made under section 45(2) or (3), section 23(9)(ab) (as it has effect by virtue of section 42(6));
  - (c) sections 104, so far as relating to any decision mentioned in paragraph (a)(iii) of the definition of relevant decision in subsection (6) of that section, and 104A;
  - (d) section 107, so far as relating to anything done on behalf of the CMA by the group;
  - (e) section 109, where the permitted purpose relates to a function that (by virtue of this section) is being or is to be carried out on behalf of the CMA by the group;
  - (f) sections 110 to 115, so far as relating to a notice given under section 109 on behalf of the CMA by the group;
  - (g) section 118(4);
  - (h) section 120(5)(b), so far as relating to a decision of the group.”

#### Commencement Information

I222 Sch. 5 para. 88 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 89 In section 47 (questions to be decided on references under section 45), in subsections (1) to (11), for “Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

I223 Sch. 5 para. 89 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 90 In section 48 (cases where references on certain questions need not be decided), in subsections (1) to (3) for “Commission” (in each place where it occurs), substitute “CMA”.

#### Commencement Information

I224 Sch. 5 para. 90 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 91 In section 49 (variation of references under section 45), in subsections (1) to (4) and (7) to (9), for “Commission” (in each place where it occurs) substitute “CMA”.

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**Commencement Information**

**I225** Sch. 5 para. 91 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 92 In section 50 (investigations and reports on references under section 45), in subsections (1) to (3), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I226** Sch. 5 para. 92 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 93 (1) Section 51 (time-limits for investigations and reports) is amended as follows.
- (2) In subsections (1) to (4) and (8), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “Commission” substitute “CMA”.

**Commencement Information**

**I227** Sch. 5 para. 93 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 94 Section 52 (section 51: supplementary), in subsections (10), (11) and (13), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I228** Sch. 5 para. 94 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 95 (1) Section 53 (restrictions on action where public interest considerations not finalised) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA”.
- (3) In subsection (2)—
- (a) omit “to the Commission”, and
- (b) for “Commission” substitute “CMA”.
- (4) In subsections (3) to (5), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I229** Sch. 5 para. 95 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 96 (1) Section 54 (decision of Secretary of State in public interest cases) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA”.
- (3) In subsection (3), omit “to the Commission” (in each place where it occurs).

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- (4) In subsections (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (5) In subsection (7)—
  - (a) omit “to the Commission” (in each place where it occurs),
  - (b) for “of the Commission” (in each place where it occurs) substitute “ of the CMA ”, and
  - (c) in paragraph (b)(ii), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I230** Sch. 5 para. 96 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 97 In section 55 (enforcement action by Secretary of State), in subsection (3), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I231** Sch. 5 para. 97 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 98 (1) Section 56 (competition cases where intervention on public interest grounds ceases) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
  - (3) In subsection (3)—
    - (a) for “Commission” (in each place where it occurs) substitute “ CMA ”,
    - (b) for “the report of the OFT” substitute “ its report ”, and
    - (c) omit “to it by the OFT”.
  - (4) In subsection (4), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (5) In subsection (6)—
    - (a) for “Commission” substitute “ CMA ”, and
    - (b) omit “to it”.
  - (6) In subsection (7), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (7) In subsection (8)—
    - (a) for “Commission” substitute “ CMA ”,
    - (b) after “(6)” insert “—
      - (a)”,
    - (c) omit “to the Commission by the OFT”, and
    - (d) at the end insert “; and
      - (b) for the purposes of section 34C, the group constituted in consequence of the reference under section 45 is to be treated as if it were constituted in consequence of a reference under section 22 or (as the case may be) 33.”

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**Commencement Information**

**I232** Sch. 5 para. 98 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 99 (1) Section 57 (duties of authorities to inform Secretary of State) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) for “OFT, OFCOM and the Commission” substitute “ CMA and OFCOM ”, and
- (b) for “OFT, OFCOM or (as the case may be) the Commission” substitute “ CMA or (as the case may be) OFCOM ”.
- (4) In the heading, for “OFT and Commission” substitute “ CMA and OFCOM ”.

**Commencement Information**

**I233** Sch. 5 para. 99 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 100 In section 58 (specified considerations), in subsection (4)(b) for “OFT, OFCOM, the Commission” substitute “ CMA, OFCOM ”.

**Commencement Information**

**I234** Sch. 5 para. 100 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 101 (1) Section 59 (intervention by Secretary of State in special public interest cases) is amended as follows.
- (2) In subsection (2), for “OFT” substitute “ CMA ”.
- (3) In subsection (6)—
- (a) for “OFT” (in each place where it occurs) substitute “ CMA ”, and
- (b) for “Commission” substitute “ CMA ”.

**Commencement Information**

**I235** Sch. 5 para. 101 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 102 (1) Section 60 (special intervention notices under section 59) is amended as follows.
- (2) In subsection (4)—
- (a) in paragraph (a), for “OFT” substitute “ CMA ”, and
- (b) for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I236** Sch. 5 para. 102 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 103 (1) In section 61 (initial investigation and report) is amended as follows.

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(2) In subsections (2) to (4A) and (6), for “OFT” (in each place where it occurs) substitute “CMA”.

(3) In the heading, for “OFT” substitute “CMA”.

#### Commencement Information

**I237** Sch. 5 para. 103 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

104 (1) Section 62 (power of Secretary of State to refer matter) is amended as follows.

(2) In subsection (1), in paragraph (b), for “OFT” substitute “CMA”.

(3) In subsections (2) and (3), for “to the Commission” (in each place where it occurs), substitute “to the chair of the CMA for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013”.

(4) In subsection (5), for “OFT” substitute “CMA”.

#### Commencement Information

**I238** Sch. 5 para. 104 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

105 Before section 63 insert—

#### Functions to be exercised by CMA groups

“62A Where a reference is made to the chair of the CMA under section 62 for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, the functions of the CMA under or by virtue of the following provisions of this Part in relation to the matter concerned are to be carried out on behalf of the CMA by the group so constituted—

- (a) sections 63 to 65;
- (b) where a reference is treated by virtue of section 64(2) as having been made under section 62(2), section 23(9)(ab) (as it has effect by virtue of section 59(6));
- (c) sections 104, so far as relating to any decision mentioned in paragraph (a)(iii) of the definition of relevant decision in subsection (6) of that section, and 104A;
- (d) section 107, so far as relating to anything done on behalf of the CMA by the group;
- (e) section 109, where the permitted purpose relates to a function that (by virtue of this section) is being or is to be carried out on behalf of the CMA by the group;
- (f) sections 110 to 115, so far as relating to a notice given under section 109 on behalf of the CMA by the group;
- (g) section 118(4);
- (h) section 120(5)(b), so far as relating to a decision of the group.”

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**Commencement Information**

**I239** Sch. 5 para. 105 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 106 In section 63 (questions to be decided on references under section 62), in subsections (1) to (4), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I240** Sch. 5 para. 106 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 107 In section 64 (cancellation and variation of references under section 62), in subsections (1) to (4) and (7) to (9), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I241** Sch. 5 para. 107 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 108 In section 65 (investigations and reports on references under section 62), in subsections (1) to (2A) and (4), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I242** Sch. 5 para. 108 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 109 In section 66 (decision and enforcement action by Secretary of State), in subsections (1) to (4) and (7), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I243** Sch. 5 para. 109 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 110 In section 67 (intervention to protect legitimate interests), in subsections (2) and (8), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I244** Sch. 5 para. 110 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 111 In section 68 (scheme for protecting legitimate interests), in subsection (4)—
- (a) in paragraph (b), for “OFT” substitute “CMA”;
  - (b) in paragraph (c), for “to the Commission” substitute “to the chair of the CMA for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013”, and
  - (c) in paragraph (d), for “the Commission” substitute “the CMA, acting through a group so constituted,”.

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**Commencement Information**

I245 Sch. 5 para. 111 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 112 In section 72 (initial enforcement orders), in subsections (2), (6) and (7), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I246 Sch. 5 para. 112 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 113 In section 73 (undertakings in lieu of references), in subsections (1) to (4), (5) and (7), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I247 Sch. 5 para. 113 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 114 In section 74 (effect of undertakings under section 73), in subsections (1), (2) and (5), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I248 Sch. 5 para. 114 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 115 In section 75 (order making power where undertakings under section 73 not fulfilled), in subsections (1), (2), (4) and (6), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I249 Sch. 5 para. 115 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 116 (1) Section 76 (supplementary interim order-making power) is amended as follows
- (2) In subsection (1)—
- (a) in paragraph (a), for “OFT” substitute “CMA”, and
- (b) in paragraph (b), for “Commission” substitute “CMA”.
- (3) In subsections (2), (3), (4) and (7), for “OFT or (as the case may be) the Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I250 Sch. 5 para. 116 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 117 In section 77 (restrictions on certain dealings: completed mergers), in subsections (2), (3), (5) and (6), for “Commission” (in each place where it occurs) substitute “CMA”.

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**Commencement Information**

**I251** Sch. 5 para. 117 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 118 In section 78 (restrictions on certain dealings: anticipated mergers), in subsections (2) to (4), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I252** Sch. 5 para. 118 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 119 (1) Section 79 (sections 77 and 78: further interpretation provisions) is amended as follows.
- (2) In subsection (1), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (4), for “OFT” substitute “CMA”.

**Commencement Information**

**I253** Sch. 5 para. 119 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 120 (1) Section 80 (interim undertakings) is amended as follows.
- (2) In subsections (2), (5) and (9), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (10), for “Commission's” substitute “CMA's”.

**Commencement Information**

**I254** Sch. 5 para. 120 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 121 (1) Section 81 (interim orders) is amended as follows.
- (2) In subsection (2), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (9), for “Commission” substitute “CMA”.

**Commencement Information**

**I255** Sch. 5 para. 121 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 122 In section 82 (final undertakings), in subsections (1), (2) and (5), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I256** Sch. 5 para. 122 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)



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- 123 (1) Section 83 (order-making powers where final undertakings not fulfilled) is amended as follows.
- (2) In subsection (1), in the words before paragraph (a), for “Commission” substitute “CMA”.
- (3) In that subsection, in paragraph (b)—
- (a) for “Commission or the OFT” substitute “CMA”, and
  - (b) for “Commission decided” substitute “CMA decided”.
- (4) In subsections (2) and (4), for “Commission” (in each place where it occurs) substitute “CMA”.
- (5) Omit subsection (6).

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**Commencement Information**

**I257** Sch. 5 para. 123 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 124 (1) Section 84 (final orders) is amended as follows.
- (2) In subsections (1) and (2), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) Omit subsection (4).

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**Commencement Information**

**I258** Sch. 5 para. 124 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 125 In section 85 (enforcement regime for public interest and special interest cases), in subsection (2), for “OFT” substitute “CMA”.

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**Commencement Information**

**I259** Sch. 5 para. 125 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 126 (1) Section 91 (register of undertakings and orders) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (3)—
- (a) in the words before paragraph (a), for “OFT” substitute “CMA”, and
  - (b) in paragraph (d), for “Commission” substitute “CMA”.
- (4) In subsection (4), for “OFT” substitute “CMA”.
- (5) In subsection (5)—
- (a) for “Commission and the Secretary of State” substitute “Secretary of State”,
  - (b) for “OFT” substitute “CMA”, and
  - (c) for “by them” (in each place where it occurs) substitute “by the Secretary of State”.

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- (6) In subsections (6) and (7), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I260** Sch. 5 para. 126 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 127 In the italic cross-heading preceding section 92, for “OFT” substitute “CMA”.

**Commencement Information**

**I261** Sch. 5 para. 127 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 128 (1) Section 92 (duty to monitor undertakings and orders) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (3)—
- (a) in the words before paragraph (a)—
    - (i) for “OFT” substitute “CMA”, and
    - (ii) omit “the Commission or (as the case may be)”,
  - (b) in paragraph (a)—
    - (i) omit “the Commission or (as the case may be)”, and
    - (ii) omit “it (or as the case may be)”, and
  - (c) in paragraphs (b) to (d), omit “the Commission or (as the case may be)” (in each place where it occurs).
- (4) In subsections (4) to (6), for “OFT” (in each place where it occurs) substitute “CMA”.
- (5) In subsection (7)—
- (a) in the words before paragraph (a), for “OFT” substitute “CMA”,
  - (b) omit paragraph (a), and
  - (c) in paragraph (b), for “the report” substitute “any report prepared by it under subsection (6)”.
- (6) In the heading, for “OFT” substitute “CMA”.

**Commencement Information**

**I262** Sch. 5 para. 128 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 129 (1) Section 93 (further role in relation to undertakings and orders) is amended as follows.
- (2) In subsection (1), omit paragraph (a) and the word “or” immediately following it.
- (3) In subsection (2)—
- (a) for the words from the beginning to “authority)” substitute “The Secretary of State”,
  - (b) for “OFT” substitute “CMA”,

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- (c) for “relevant authority” (in each place where it occurs) substitute “ Secretary of State ”, and
  - (d) omit “section 80 or 82 or (as the case may be)”.
- (4) In subsection (3)—
- (a) for “relevant authority” (in each place where it occurs) substitute “ Secretary of State ”,
  - (b) for “OFT” substitute “ CMA ”, and
  - (c) for “OFT's” substitute “CMA's”.
- (5) In subsection (4)—
- (a) for “OFT” substitute “ CMA ”,
  - (b) for “relevant authority” substitute “ Secretary of State ”, and
  - (c) omit “section 80 or 82 or (as the case may be)”.
- (6) In subsection (6)—
- (a) for “relevant authority” substitute “ Secretary of State ”, and
  - (b) for “OFT” substitute “ CMA ”.
- (7) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I263** Sch. 5 para. 129 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 130 (1) Section 94 (rights to enforce undertakings and orders) is amended as follows.
- (2) In subsection (6), for “OFT” substitute “ CMA ”.
  - (3) Omit subsection (7).

**Commencement Information**

**I264** Sch. 5 para. 130 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 131 (1) Section 95 (rights to enforce statutory restrictions) is amended as follows.
- (2) In subsection (4), for “OFT or the Commission” substitute “ CMA ”.
  - (3) In subsection (5), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I265** Sch. 5 para. 131 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 132 (1) Section 96 (merger notices) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
  - (3) In subsection (5), for “OFT” substitute “ CMA ”.

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#### Commencement Information

**I266** Sch. 5 para. 132 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 133 (1) Section 99 (certain functions in relation to merger notices) is amended as follows.
- (2) In subsection (1), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (4) In the heading, for “OFT and Secretary of State” substitute “ CMA ”.

#### Commencement Information

**I267** Sch. 5 para. 133 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 134 In section 100 (exceptions to protection given by merger notices), in subsection (1), for “OFT” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I268** Sch. 5 para. 134 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 135 (1) Section 104 (certain duties of relevant authorities to consult) is amended as follows.
- (2) In subsection (6), in the definition of “relevant authority” for “OFT, the Commission” substitute “ CMA ”.
- (3) In that subsection, in the definition of “relevant decision”—
- (a) for “OFT” (in each place where it occurs) substitute “ CMA ”,
- (b) in paragraph (a), after sub-paragraph (ii) insert “; or
- (iii) on the questions mentioned in section 35(1) or (3), 36(1) or (2), 47 or 63;”, and
- (c) omit paragraph (b).

#### Commencement Information

**I269** Sch. 5 para. 135 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 136 In section 104A (public consultation in relation to media mergers), in subsections (1) to (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I270** Sch. 5 para. 136 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 137 (1) Section 105 (general information duties) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (3)—
- (a) in the words before paragraph (a)—

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- (i) for “OFT” substitute “ CMA ”, and
    - (ii) omit “Commission or”,
  - (b) in paragraph (a), omit “the Commission or (as the case may be)” (in each place where it occurs), and
  - (c) in paragraph (b)—
    - (i) omit “the Commission or (as the case may be)”, and
    - (ii) for “OFT” substitute “ CMA ”.
- (4) In subsection (3A)—
- (a) in the words before paragraph (a), for “Commission or the OFT” substitute “ CMA ”, and
  - (b) for “Commission or (as the case may be) the OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In subsection (4)—
- (a) for “OFT” (in each place where it occurs) substitute “ CMA ”,
  - (b) for “the Commission or OFCOM” substitute “ OFCOM ”, and
  - (c) omit “the Commission or (as the case may be)” (in each place where it occurs).
- (6) In subsection (4A)—
- (a) for “Commission or the OFT” substitute “ CMA ”, and
  - (b) for “Commission or (as the case may be) the OFT” (in each place where it occurs) substitute “ CMA ”.
- (7) In subsection (5)—
- (a) in the words before paragraph (a), for “OFT, OFCOM and the Commission” substitute “ CMA and OFCOM ”, and
  - (b) in paragraph (b), for “OFT, OFCOM or (as the case may be) the Commission” substitute “ CMA or (as the case may be) OFCOM ”.
- (8) In subsection (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (9) In subsection (7)—
- (a) for “Commission” substitute “ CMA ”, and
  - (b) for “(3), (3A), (4) or (4A)” substitute “ (3A) or (4A) ”.
- (10) In subsection (7A), omit the words from “and the OFT” to the end of the subsection.
- (11) In the heading, for “OFT and Commission” substitute “ CMA ”.

#### Commencement Information

**I271** Sch. 5 para. 137 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 138 (1) Section 106 (advice and information about references under sections 22 and 33) is amended as follows.
- (2) In subsection (1)—
- (a) omit “As soon as reasonably practicable after the passing of this Act,”,
  - (b) for “the OFT” substitute “ The CMA ”, and
  - (c) for the words from “the making” to the end of the subsection substitute “—

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- (a) the making and consideration by it of references under section 22 or 33, and
  - (b) the way in which relevant customer benefits may affect the taking of enforcement action in relation to such references.”
- (3) In subsection (2), for “OFT” substitute “ CMA ”.
- (4) Omit subsections (3) and (4).
- (5) In subsection (5), in paragraph (b), for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (6) In subsection (6)—
- (a) omit “or (3)”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (7) In subsection (7)—
- (a) for “OFT or the Commission” substitute “ CMA ”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (8) In subsection (8), for “OFT shall consult the Commission and such other persons” substitute “ CMA shall consult such persons ”.
- (9) Omit subsection (9).

**Commencement Information**

**I272** Sch. 5 para. 138 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 139 In section 106A (advice and information in relation to media mergers), in subsection (5), for “OFT, OFCOM, the Commission” substitute “ CMA, OFCOM ”.

**Commencement Information**

**I273** Sch. 5 para. 139 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 140 In section 106B (general advisory duties of OFCOM), in subsections (1) and (3), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I274** Sch. 5 para. 140 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 141 (1) Section 107 (further publicity requirements) is amended as follows.
- (2) In subsection (1)—
- (a) for “OFT” substitute “ CMA ”,
  - (b) in paragraph (e), omit “or 76”, and
  - (c) omit paragraphs (g) and (h).
- (3) In subsection (2), for “Commission shall” substitute “ CMA shall also ”.
- (4) In subsection (3)—

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- (a) in paragraph (b), for “OFT” substitute “ CMA ”, and
- (b) in paragraph (e), for “Commission” substitute “ CMA ”.

(5) In subsection (9)—

- (a) in paragraph (a), for “OFT” substitute “ CMA ”, and
- (b) in paragraph (b), for “Commission” substitute “ CMA ”.

(6) In subsection (10)—

- (a) in paragraph (a), for “OFT” substitute “ CMA ”, and
- (b) in paragraph (b), for “Commission” substitute “ CMA ”.

(7) In subsection (11), for “Commission's” substitute “CMA's”.

**Commencement Information**

**I275** Sch. 5 para. 141 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

142 In section 108 (defamation), for “OFT, OFCOM, the Commission” substitute “ CMA, OFCOM ”.

**Commencement Information**

**I276** Sch. 5 para. 142 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

143 In section 109 (attendance of witnesses and production of documents etc.), in subsection (3)(a), for “the Commission” substitute “ the CMA ”.

**Commencement Information**

**I277** Sch. 5 para. 143 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

144 In section 110 (enforcement of powers under section 109: general), in subsections (1) to (3), (6), (8) and (9), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I278** Sch. 5 para. 144 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

145 In section 111 (penalties), in subsections (1), (5) and (8), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I279** Sch. 5 para. 145 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

146 In section 112 (penalties: main procedural requirements), in subsections (1) to (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I280** Sch. 5 para. 146 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 147 In section 113 (payment and interest by instalments), in subsections (3) and (4), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I281** Sch. 5 para. 147 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 148 In section 114 (appeals in relation to penalties), in subsections (4), (5) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I282** Sch. 5 para. 148 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 149 In section 115 (recovery of penalties), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I283** Sch. 5 para. 149 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 150 In section 116 (statement of policy), in subsections (1), (3) and (4), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I284** Sch. 5 para. 150 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 151 In section 117 (false or misleading information), in subsections (1) and (2), for “OFT, OFCOM, the Commission” (in each place where it occurs) substitute “ CMA, OFCOM ”.

**Commencement Information**

**I285** Sch. 5 para. 151 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 152 (1) Section 118 (excisions from reports) is amended as follows.
- (2) In subsection (1)—
- (a) in paragraph (a), for “OFT” substitute “ CMA ”, and
- (b) in paragraph (b), for “Commission” substitute “ CMA ”.
- (3) In subsection (5), for “Commission” substitute “ CMA ”.



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**Commencement Information**

**I286** Sch. 5 para. 152 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 153 (1) Section 119 (minority reports) is amended as follows.
- (2) In subsection (1)—
- (a) omit “in pursuance of paragraph 15 of Schedule 7 to the Competition Act 1998 (c. 41)”, and
  - (b) for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (3) In the heading, for “Commission” substitute “ CMA ”.

**Commencement Information**

**I287** Sch. 5 para. 153 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 154 (1) Section 119B (monitoring role in relation to media mergers) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I288** Sch. 5 para. 154 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 155 In section 120 (review of decisions under Part 3), in subsection (1)—
- (a) for “OFT” substitute “ CMA ”, and
  - (b) for “the Secretary of State or the Commission” substitute “ or the Secretary of State ”.

**Commencement Information**

**I289** Sch. 5 para. 155 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 156 (1) Section 121 (fees) is amended as follows.
- (2) In subsection (1)—
- (a) for “OFT of” substitute “ CMA of ”, and
  - (b) for “OFT, OFCOM and the Commission” substitute “ CMA and OFCOM ”.
- (3) In subsections (3), (4) and (7), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (4) In subsection (8)—
- (a) for “OFT in” substitute “ CMA in ”, and
  - (b) for “OFT, OFCOM and the Commission” substitute “ CMA and OFCOM ”.
- (5) In subsection (9), for “OFT” substitute “ CMA ”.

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**Commencement Information**

**I290** Sch. 5 para. 156 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 157 (1) Section 122 (primacy of EU law) is amended as follows.
- (2) In subsection (1)—
- (a) omit “or (3)”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (3) In subsection (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I291** Sch. 5 para. 157 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 158 Section 123 (power to alter share of supply test), in subsection (4), for “OFT and the Commission” substitute “ CMA ”.

**Commencement Information**

**I292** Sch. 5 para. 158 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 159 (1) Section 130 (index of defined expressions) is amended as follows.
- (2) At the appropriate place in the table insert—

“The CMA

Section 273”

- (3) Omit the entries in the table for “The Commission” and “The OFT”.
- (4) In the first column of the entry for “Reports of the Commission”, for “Commission” substitute “ CMA ”.

**Commencement Information**

**I293** Sch. 5 para. 159 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 160 (1) Schedule 7 (enforcement regime for public interest and special interest cases) is amended as follows.
- (2) In paragraph 2, in sub-paragraphs (2), (10) and (11), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In paragraph 3, in sub-paragraph (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (4) In paragraph 4, in sub-paragraphs (2) and (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 5, in sub-paragraphs (1) and (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.

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- (6) In paragraph 7, in sub-paragraphs (8) and (9), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (7) In paragraph 8—
  - (a) in sub-paragraphs (7) and (8), for “Commission” (in each place where it occurs) substitute “ CMA ”, and
  - (b) in sub-paragraph (11), for “OFT” substitute “ CMA ”.
- (8) In paragraph 10, in sub-paragraphs (1) and (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (9) In paragraph 11, in sub-paragraph (5), for “OFT” substitute “ CMA ”.

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**Commencement Information**

**I294** Sch. 5 para. 160 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 161 (1) Schedule 8 (provision that may be contained in certain enforcement orders) is amended as follows.
- (2) In paragraph 8, in sub-paragraph (3), for “Commission” substitute “ CMA ”.
  - (3) In paragraph 19, for “OFT” (in each place where it occurs) substitute “ CMA ”.
  - (4) In paragraph 24 —
    - (a) omit paragraph (a), and
    - (b) in paragraph (b), for “Commission” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I295** Sch. 5 para. 161 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 162 In Schedule 10 (procedural requirements for certain enforcement undertakings and orders), in paragraph 2(1), for “the OFT, the Commission” substitute “ the CMA ”.

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**Commencement Information**

**I296** Sch. 5 para. 162 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Part 4*

- 163 (1) Section 131 (power to make market investigation references) is amended as follows.
- (2) In subsection (1), for the words before “has reasonable grounds” substitute “ The CMA may, subject to subsection (4), make a reference to its chair for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 if the CMA ”.
  - (3) In the heading, for “OFT” substitute “ CMA ”.

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#### Commencement Information

**I297** Sch. 5 para. 163 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 164 (1) Section 132 (ministerial power to make market investigation references) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (3), for “Commission” substitute “chair of the CMA for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013”.

#### Commencement Information

**I298** Sch. 5 para. 164 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 165 In section 133 (contents of references), in subsection (2), for “Commission” substitute “group constituted by the chair of the CMA in respect of the reference”.

#### Commencement Information

**I299** Sch. 5 para. 165 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 166 Before section 134 (but after the italic cross-heading immediately preceding it) insert—

#### Functions to be exercised by CMA groups

- “133A) Where a reference is made to the chair of the CMA under section 131, 132 or 140A for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, the functions of the CMA under or by virtue of the following provisions of this Part in relation to the matter concerned are to be carried out on behalf of the CMA by the group so constituted—
- (a) sections 134 to 138B, except for section 135(1);
  - (b) sections 140B to 145, 148, 148A and 151;
  - (c) sections 157 and 158;
  - (d) section 159;
  - (e) section 160, except for subsection (6) of that section;
  - (f) section 161, except for subsection (5) of that section;
  - (g) section 162(4), so far as relating to an enforcement undertaking or enforcement order made on behalf of the CMA by the group;
  - (h) section 164(2)(b), so far as relating to an enforcement order made on behalf of the CMA by the group;
  - (i) section 167, so far as relating to an enforcement undertaking or enforcement order made on behalf of the CMA by the group;
  - (j) section 168;

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- (k) section 169, so far as relating to a decision mentioned in paragraph (a)(iii) of the definition of relevant decision in subsection (6) of that section;
  - (l) section 172, so far as relating to anything done on behalf of the CMA by the group;
  - (m) section 174, where the permitted purpose in question relates to a function that (by virtue of this section) is being or is to be carried out on behalf of the CMA by the group;
  - (n) sections 174A to 174D, so far as relating to a notice given under section 174 on behalf of the CMA by the group;
  - (o) section 179(5)(b), so far as relating to a decision of the group;
  - (p) Schedule 10, so far as relating to an enforcement undertaking or enforcement order which the group is considering accepting or making, or which the group has accepted or made, on behalf of the CMA.
- (2) Nothing in subsection (1) prevents the CMA Board from carrying out a function of the CMA under or by virtue of the following provisions of this Part where the group constituted as mentioned in subsection (1) has ceased to exist—
- (a) section 160 and Schedule 10, so far as relating to the making of an order under section 160;
  - (b) sections 159 to 161 and Schedule 10, so far as relating to the variation, supersession or release of enforcement undertakings or the variation or revocation of enforcement orders;
  - (c) section 162(4);
  - (d) section 164(2)(b);
  - (e) section 167.”

**Commencement Information**

**I300** Sch. 5 para. 166 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 167 (1) Section 134 (questions to be decided on market investigation references) is amended as follows.
- (2) In subsections (1), (4), (6) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (3) In subsection (8)(b), for “Commission, the Secretary of State or (as the case may be) the OFT” substitute “ CMA or (as the case may be) the Secretary of State ”.

**Commencement Information**

**I301** Sch. 5 para. 167 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 168 (1) Section 135 (variation of references) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
  - (3) In subsection (2)—
    - (a) omit “OFT or (as the case may be) the”,

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- (b) for “Commission” substitute “ CMA ”, and
- (c) after “reference” insert “ made by him ”.

(4) In subsection (3), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I302** Sch. 5 para. 168 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

169 (1) Section 136 (investigations and reports on market investigation references) is amended as follows.

(2) In subsections (1) to (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

(3) For subsection (4) substitute—

“(4) Where a reference has been made by the appropriate Minister under section 132 the CMA shall, at the same time as the report under this section is published, give it to the appropriate Minister.”

(4) In subsection (5)—

- (a) for “OFT” substitute “ CMA ”, and
- (b) for “Commission” substitute “ CMA ”.

(5) Omit subsection (6).

**Commencement Information**

**I303** Sch. 5 para. 169 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

170 In section 137 (time-limits for market investigations and reports), in subsections (1), (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I304** Sch. 5 para. 170 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

171 In section 138 (duty to remedy adverse effects), in subsections (1) to (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I305** Sch. 5 para. 171 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

172 In section 139 (public interest intervention by the Secretary of State), in subsection (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I306** Sch. 5 para. 172 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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173 In section 140 (intervention notices under section 139(1)), in subsection (5), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I307** Sch. 5 para. 173 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

174 In section 141 (questions to be decided), in subsections (2) and (3) to (6), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I308** Sch. 5 para. 174 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

175 (1) Section 142 (investigations and reports) is amended as follows.  
(2) In subsections (2) and (3), for “Commission” (in each place where it occurs) substitute “CMA”.  
(3) In the heading, for “Commission” substitute “CMA”.

**Commencement Information**

**I309** Sch. 5 para. 175 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

176 In section 143 (publication etc. of reports), in subsections (1), (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I310** Sch. 5 para. 176 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

177 In section 144 (time-limits for investigations and reports in public interest cases), in subsections (1), (4) and (5), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I311** Sch. 5 para. 177 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

178 In section 145 (restrictions where public interest considerations not finalised), in subsections (1) to (5), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I312** Sch. 5 para. 178 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

179 In section 146 (decision of Secretary of State), in subsections (2) to (4), for “Commission” (in each place where it occurs) substitute “CMA”.

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**Commencement Information**

**I313** Sch. 5 para. 179 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 180 In section 147 (remedial action by Secretary of State), in subsections (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I314** Sch. 5 para. 180 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 181 (1) Section 148 (reversion of the matter) is amended as follows.
- (2) In subsections (1), (2), (6), (7) and (9), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (10), for “Commission's” substitute “CMA's”.

**Commencement Information**

**I315** Sch. 5 para. 181 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 182 In section 149 (intervention notices under section 139(2)), in subsections (1) and (5), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I316** Sch. 5 para. 182 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 183 (1) Section 150 (power of veto of Secretary of State) is amended as follows.
- (2) In subsections (1) and (3), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (4), for “OFT's” substitute “CMA's”.

**Commencement Information**

**I317** Sch. 5 para. 183 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 184 (1) Section 151 (further interaction of intervention notices with general procedure) is amended as follows.
- (2) In subsection (3), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (4), for “Commission” (in the first place where it occurs) substitute “CMA”.
- (4) In subsection (5), for “Commission” (in each place where it occurs) substitute “CMA”.



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**Commencement Information**

**I318** Sch. 5 para. 184 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

185 (1) Section 152 (certain duties in relation to providing information) is amended as follows.

(2) In subsection (1), for “OFT” substitute “ CMA ”.

(3) In subsection (3)—

(a) for “OFT and the Commission” substitute “ CMA ”, and

(b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.

(4) In the heading, for “OFT and Commission” substitute “ CMA ”.

**Commencement Information**

**I319** Sch. 5 para. 185 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

186 In section 153 (specified considerations for purposes of Part 4), in subsection (4)—

(a) for “OFT” substitute “ CMA ”,

(b) for “by the Secretary of State,” substitute “ by the Secretary of State or ”,  
and

(c) omit “or by the Commission”.

**Commencement Information**

**I320** Sch. 5 para. 186 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

187 In section 154 (undertakings in lieu of market investigation references), in subsections (1) to (7), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I321** Sch. 5 para. 187 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

188 In section 155 (undertakings in lieu: procedural requirements), in subsections (1) to (4) and (6) to (9), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I322** Sch. 5 para. 188 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

189 In section 156 (effect of undertakings under section 154), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I323** Sch. 5 para. 189 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 190 In section 159 (final undertakings: Part 4), in subsections (1), (5) and (6) for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I324** Sch. 5 para. 190 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 191 (1) Section 160 (order-making power where final undertakings not fulfilled: Part 4) is amended as follows.
- (2) In subsection (1)(b), for “the relevant authority or the OFT” substitute “ a relevant person ”.
- (3) After subsection (1) insert—
- “(1A) In subsection (1), a “relevant person” means—
- (a) in a case where the relevant authority is the CMA, the CMA;
- (b) in a case where the relevant authority is the Secretary of State, the Secretary of State or the CMA.”
- (4) In subsection (6), for the words from the beginning to “OFT” substitute “ The Secretary of State shall not vary or revoke an order made by him under this section unless the CMA ”.
- (5) In subsection (7), in paragraph (a), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I325** Sch. 5 para. 191 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 192 (1) Section 161 (final orders: Part 4) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA”.
- (3) In subsection (5), for the words from the beginning to “OFT” substitute “ The Secretary of State shall not vary or revoke an order made by him under this section unless the CMA ”.

**Commencement Information**

**I326** Sch. 5 para. 192 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 193 For the italic cross-heading before section 162 substitute “Undertakings and orders: monitoring, consultation and advice”.

**Commencement Information**

**I327** Sch. 5 para. 193 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 194 (1) Section 162 (duty to monitor undertakings and orders: Part 4) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.

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- (3) In subsection (3)—
- (a) in the words before paragraph (a)—
    - (i) for “OFT” substitute “ CMA ”, and
    - (ii) omit “the Commission or (as the case may be)”,
  - (b) in paragraph (a)—
    - (i) omit “the Commission or (as the case may be)”, and
    - (ii) omit “it (or as the case may be)”,
  - (c) in paragraphs (b) to (d), omit “the Commission or (as the case may be)” (in each place where it occurs), and
  - (d) in paragraph (e), for “167(6) to (8)” substitute “ 167(6) and (7) ”.
- (4) In subsection (4)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”, and
  - (b) after paragraph (b) (but before the “or” following it) insert—
    - “(ba) any possible variation or release by it of an enforcement undertaking accepted by it;
    - (bb) any possible new enforcement undertaking to be accepted by it so as to supersede another enforcement undertaking given to it;
    - (bc) any possible variation or revocation by it of an enforcement order made by it;
    - (bd) any possible enforcement undertaking to be accepted by it instead of an enforcement order or any possible enforcement order to be made by it instead of an enforcement undertaking;”.
- (5) In subsections (5) and (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (6) In subsection (7)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”,
  - (b) omit paragraph (a), and
  - (c) in paragraph (b), for “the report” substitute “ any report prepared by it under subsection (6) ”.
- (7) In the heading, for “OFT” substitute “ CMA ”.

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**Commencement Information**

**I328** Sch. 5 para. 194 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 195 (1) Section 163 (further role in relation to undertakings and orders: Part 4) is amended as follows.
- (2) In subsection (1)—
- (a) omit “the Commission or”, and
  - (b) omit “(in this section “the relevant authority”)”.
- (3) In subsection (2)—

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- (a) for “relevant authority” (in each place where it occurs) substitute “ Secretary of State ”, and
  - (b) for “OFT” substitute “ CMA ”.
- (4) In subsection (3)—
- (a) for “relevant authority” (in each place where it occurs) substitute “ Secretary of State ”,
  - (b) for “OFT” substitute “ CMA ”, and
  - (c) for “OFT's” substitute “CMA's”.
- (5) In subsection (4)—
- (a) for “OFT” substitute “ CMA ”, and
  - (b) for “relevant authority” substitute “ Secretary of State ”.
- (6) In subsection (5)—
- (a) for “relevant authority” (in each place where it occurs) substitute “ Secretary of State ”, and
  - (b) omit “itself”.
- (7) In subsection (6)—
- (a) for “relevant authority” substitute “ Secretary of State ”, and
  - (b) for “OFT” substitute “ CMA ”.
- (8) For the heading substitute “ Role of CMA in relation to undertakings and orders in public interest cases: Part 4 ”.

#### Commencement Information

**I329** Sch. 5 para. 195 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 196 (1) Section 166 (register of undertakings and orders: Part 4) is amended as follows.
- (2) In subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (3)—
- (a) in the words before paragraph (a), for “OFT” substitute “ CMA ”,
  - (b) in paragraph (a), omit “(whether by the Commission, the Secretary of State or a relevant sectoral regulator)”, and
  - (c) in paragraph (b), omit “(whether by the Commission, the Secretary of State or a relevant sectoral regulator)”.
- (4) In subsection (4), for “OFT” substitute “ CMA ”.
- (5) In subsection (5)—
- (a) omit “Commission, the”, and
  - (b) for “OFT” substitute “ CMA ”.
- (6) In subsections (6) and (7), for “OFT” (in each place where it occurs) substitute “ CMA ”.

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**Commencement Information**

**I330** Sch. 5 para. 196 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 197 (1) Section 167 (rights to enforce undertakings and orders under this Part) is amended as follows.
- (2) In subsection (6), for “OFT” substitute “ CMA ”.
- (3) In subsection (7)—
- (a) after “accepted” insert “ by the Secretary of State ”,
- (b) after “an order” insert “ made by the Secretary of State ”, and
- (c) for “relevant authority” substitute “ Secretary of State ”.
- (4) Omit subsection (8).
- (5) In subsection (9), for “(6 to (8)” substitute “ (6) and (7) ”.

**Commencement Information**

**I331** Sch. 5 para. 197 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 198 In section 168 (regulated markets), in subsections (1), (2), (6) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I332** Sch. 5 para. 198 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 199 In section 169 (certain duties of relevant authorities to consult: Part 4), in subsection (6)—
- (a) in the definition of “relevant authority”, for “OFT, the appropriate Minister or the Commission” substitute “ CMA, the appropriate Minister ”,
- (b) in the definition of “relevant decision”, in paragraph (a), in the opening words, for “OFT” (in each place where it occurs) substitute “ CMA ”,
- (c) also in that paragraph of that definition, after sub-paragraph (ii) insert “; or—
- (iii) on the questions mentioned in section 134, 141 or 141A; and”, and
- (d) also in that definition, omit paragraph (c) and the word “and” preceding it.

**Commencement Information**

**I333** Sch. 5 para. 199 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 200 (1) Section 170 (general information duties) is amended as follows.
- (2) Omit subsections (1) and (2).
- (3) In subsection (3)—
- (a) in the words before paragraph (a), for “OFT and the Commission” substitute “ CMA ”,

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- (b) in paragraph (a), for “their possession” substitute “ its possession ”, and
  - (c) in paragraph (b), for “OFT (or as the case may be) the Commission” substitute “ CMA ”.
- (4) In subsection (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In subsection (5), omit the words from the beginning to “and the Secretary of State” and insert “ The Secretary of State ”.

#### Commencement Information

**I334** Sch. 5 para. 200 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 201 (1) Section 171 (advice and information: Part 4) is amended as follows.
- (2) In subsection (1)—
- (a) omit “As soon as reasonably practicable after the passing of this Act,”,
  - (b) for “the OFT” substitute “ The CMA ”, and
  - (c) for “the making of references by it under section 131” substitute “—
    - (a) the making and consideration by it of market investigation references, and
    - (b) the way in which relevant customer benefits may affect the taking of enforcement action in relation to such references.”
- (3) In subsection (2), for “OFT” substitute “ CMA ”.
- (4) Omit subsections (3) and (4).
- (5) In subsection (5)(b), for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (6) In subsection (6)—
- (a) omit “or (3)”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (7) In subsection (7)—
- (a) omit “or (3)”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (8) In subsection (8)—
- (a) for “OFT or the Commission” substitute “ CMA ”, and
  - (b) for “OFT or (as the case may be) the Commission” substitute “ CMA ”.
- (9) In subsection (9), for “OFT shall consult the Commission and such other persons” substitute “ CMA shall consult such persons ”.
- (10) Omit subsection (10).

#### Commencement Information

**I335** Sch. 5 para. 201 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 202 (1) Section 172 (further publicity requirements: Part 4) is amended as follows.

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- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (2), for “Commission shall” substitute “ CMA shall also ”.
- (4) In subsection (10), for “Commission's” substitute “CMA's”.

**Commencement Information**

**I336** Sch. 5 para. 202 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 203 In section 173 (defamation)—
- (a) for “OFT” substitute “ CMA ”,
  - (b) for “by the Secretary of State,” substitute “ by the Secretary of State or ”,  
and
  - (c) omit “or by the Commission”.

**Commencement Information**

**I337** Sch. 5 para. 203 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 204 In section 174 (investigatory powers), in subsections (3) to (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I338** Sch. 5 para. 204 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 205 In section 177 (excisions from reports: Part 4), in subsections (1), (4) and (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I339** Sch. 5 para. 205 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 206 (1) Section 178 (minority reports: Part 4) is amended as follows.
- (2) In subsection (1)—
    - (a) omit “in pursuance of paragraph 15 of Schedule 7 to the Competition Act 1998 (c. 41)”, and
    - (b) for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (3) In the heading, for “Commission” substitute “ CMA ”.

**Commencement Information**

**I340** Sch. 5 para. 206 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 207 In section 179 (review of decisions under Part 4), in subsection (1)—
- (a) for “OFT” substitute “ CMA ”, and
  - (b) for “, the Secretary of State or the Commission” substitute “ or the Secretary of State ”.

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**Commencement Information**

**I341** Sch. 5 para. 207 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

208 In section 183 (interpretation of Part 4), in subsection (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I342** Sch. 5 para. 208 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

209 (1) Section 184 (index of defined expressions: Part 4) is amended as follows.

(2) At the appropriate place in the table insert—

“The CMA

Section 273”

(3) Omit the entries in the table for “The Commission” and “The OFT”.

(4) In the first column of the entry in the table for “Reports of the Commission”, for “Commission” substitute “ CMA ”.

**Commencement Information**

**I343** Sch. 5 para. 209 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Part 6*

210 In section 190 (cartel offence: prosecution), in subsections (2)(b) and (4), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I344** Sch. 5 para. 210 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

211 In section 192 (investigation of cartel offences), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I345** Sch. 5 para. 211 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

212 In section 193 (powers when conducting an investigation), in subsections (1) to (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I346** Sch. 5 para. 212 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)



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- 213 In section 194 (power to enter premises under a warrant), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

I347 Sch. 5 para. 213 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 214 In section 195 (exercise of powers by authorised person), in subsection (1), for “OFT” (in both places where it occurs) substitute “CMA”.

**Commencement Information**

I348 Sch. 5 para. 214 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 215 In section 196 (privileged information etc), in subsection (2)(b), for “OFT” substitute “CMA”.

**Commencement Information**

I349 Sch. 5 para. 215 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 216 In section 201 (offences), in subsection (4)(a), for “OFT” substitute “CMA”.

**Commencement Information**

I350 Sch. 5 para. 216 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Part II*

- 217 (1) Section 273 (interpretation) is amended as follows.  
(2) For the definition of “the Commission” substitute—  
““the CMA” means the Competition and Markets Authority;”  
(3) Omit the definition of “the OFT”.

**Commencement Information**

I351 Sch. 5 para. 217 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

**PART 3**

ABOLITION OF THE COMPETITION COMMISSION

*Amendments of the 1998 Act*

- 218 The 1998 Act is amended as follows.

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

**Commencement Information**

**I352** Sch. 5 para. 218 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

219 In the heading of Chapter 4 of Part 1, omit “The Competition Commission and”.

**Commencement Information**

**I353** Sch. 5 para. 219 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

220 Omit section 45 (establishment of Competition Commission) and the cross-heading preceding it.

**Commencement Information**

**I354** Sch. 5 para. 220 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

221 In section 59 (interpretation of Part 1), in subsection (1), in the definition of “the Commission”, omit “(except in relation to the Competition Commission)”.

**Commencement Information**

**I355** Sch. 5 para. 221 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

222 Omit Schedule 7 (Competition Commission).

**Commencement Information**

**I356** Sch. 5 para. 222 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

223 Omit Schedule 7A (Competition Commission: procedural rules for mergers and markets refinances).

**Commencement Information**

**I357** Sch. 5 para. 223 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Amendments of the 2002 Act*

224 The 2002 Act is amended as follows.

**Commencement Information**

**I358** Sch. 5 para. 224 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

225 Omit sections 185 to 187 (the Competition Commission).

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**Commencement Information**

**I359** Sch. 5 para. 225 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 226 In Schedule 3 (the Competition Service), omit Part 2 (transfers of property etc between the Competition Commission and the Competition Service).

**Commencement Information**

**I360** Sch. 5 para. 226 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 227 Omit Schedule 11 (the Competition Commission).

**Commencement Information**

**I361** Sch. 5 para. 227 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 228 Omit Schedule 12 (Competition Commission: certain procedural rules).

**Commencement Information**

**I362** Sch. 5 para. 228 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

## PART 4

### ABOLITION OF THE OFFICE OF FAIR TRADING

- 229 Omit sections 1 to 4 of, and Schedule 1 to, the 2002 Act (which make provision about the establishment of the Office of Fair Trading), and the italic cross-heading preceding section 1.

**Commencement Information**

**I363** Sch. 5 para. 229 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

## SCHEDULE 6

Section 26(4)

### REGULATORY APPEALS ETC: MINOR AND CONSEQUENTIAL AMENDMENTS

## PART 1

### AMENDMENTS TO ACTS

#### *Competition Act 1980 (c. 21)*

- 1 The Competition Act 1980 is amended as follows.

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#### Commencement Information

**I364** Sch. 6 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 2 (1) Section 11 (reference of public bodies and certain other persons to the Commission) is amended as follows.
- (2) In subsections (1), (5), (6), (8) and (10), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) After subsection (10) insert—
- “(10A) The functions of the CMA with respect to a reference under this section (including functions under sections 109 to 115 of the Enterprise Act 2002, as applied by section 11B) are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”
- (4) In the heading, for “Commission” substitute “CMA”.

#### Commencement Information

**I365** Sch. 6 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 3 In section 11A (references under section 11: time-limits), in subsections (2) and (3), for “Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

**I366** Sch. 6 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 4 (1) Section 11C (references under section 11: further supplementary provisions) is amended as follows.
- (2) In subsection (1), for the words from “the words” to the end, substitute “—
- (a) the words “, OFCOM or the Secretary of State” were omitted, and
- (b) for the words “their functions” there were substituted “its functions”.
- (3) In subsection (3)—
- (a) for “Commission” substitute “CMA”, and
- (b) for the words from “in connection with” to the end, substitute “by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference”.

#### Commencement Information

**I367** Sch. 6 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 In section 12 (orders following report under section 11), in subsections (1) to (3) and (5), for “Commission” (in each place where it occurs) substitute “CMA”.

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**Commencement Information**

**I368** Sch. 6 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 6 In section 16 (general provisions as to reports), in subsection (2), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I369** Sch. 6 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 7 In section 17 (laying before Parliament and publication of reports), in subsection (6), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I370** Sch. 6 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 8 In section 33 (short title, interpretation, etc), in subsection (2), for “ “the Commission” ” substitute “ “the CMA” ”.

**Commencement Information**

**I371** Sch. 6 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- Solicitors (Scotland) Act 1980 (c. 46)*
- 9 The Solicitors (Scotland) Act 1980 is amended as follows.

**Commencement Information**

**I372** Sch. 6 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 10 In section 25A (rights of audience in the Court of Session etc), in subsections (9) and (11), for “Director” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I373** Sch. 6 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 11 (1) Section 64A (advisory and supervisory functions) is amended as follows.
- (2) In subsections (1) and (2), for “Director” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (3)—
- (a) for “Director has completed his consideration he” substitute “ CMA has completed its consideration, the CMA ”, and
  - (b) for “he thinks” substitute “ it thinks ”.

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- (4) In subsection (4), for “The Director may publish any advice given by him” substitute “ The CMA may publish advice given ”.
- (5) In subsection (5)—
- (a) for “Director” substitute “ CMA ”, and
  - (b) for “Director’s” substitute “CMA’s”.
- (6) In subsection (6), for “Director” substitute “ CMA ”.
- (7) In the heading, for “Director General of Fair Trading” substitute “ Competition and Markets Authority ”.

#### Commencement Information

**I374** Sch. 6 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 12 (1) Section 64C (investigatory powers) is amended as follows.
- (2) In subsection (1)—
- (a) for “Director” substitute “ CMA ”, and
  - (b) for “him” (in each place where it occurs) substitute “ the CMA ”.
- (3) After subsection (2) insert—
- “(2A) A notice under this section may be issued on the CMA's behalf by any member of the CMA Board.”
- (4) In the heading, for “Director” substitute “ Competition and Markets Authority ”.

#### Commencement Information

**I375** Sch. 6 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 13 In section 64CA (enforcement of notices under section 64C), in subsections (1) and (2), for “Office of Fair Trading” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I376** Sch. 6 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 14 (1) In section 65 (interpretation), in subsection (1)—
- (a) before the definition of “the Council” insert—
 

““the CMA” means the Competition and Markets Authority;

“the CMA Board” has the same meaning as in Schedule 4 to the Enterprise and Regulatory Reform Act 2013;”, and”
  - (b) omit the definition of “the Director”.

#### Commencement Information

**I377** Sch. 6 para. 14 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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*Gas Act 1986 (c. 44)*

15 The Gas Act 1986 is amended as follows.

**Commencement Information**

**I378** Sch. 6 para. 15 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 16 (1) Section 23B (appeals) is amended as follows.
- (2) In subsection (1), for “Competition Commission (“the Commission”)” substitute “CMA ”.
- (3) In subsections (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA ”.
- (4) In the heading, for “Competition Commission” substitute “CMA ”.

**Commencement Information**

**I379** Sch. 6 para. 16 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 17 (1) Section 23C (procedure on appeal) is amended as follows.
- (2) Omit subsection (1).
- (3) In subsection (2), omit “Instead.”.
- (4) After subsection (2) insert—
- “(2A) Except where specified otherwise in Schedule 4A, the functions of the CMA with respect to an appeal under section 23B are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”
- (5) In the heading, for “Commission” substitute “CMA ”.

**Commencement Information**

**I380** Sch. 6 para. 17 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 18 (1) Section 23D (determination of appeal) is amended as follows.
- (2) In subsections (2) to (5), for “Commission” (in each place where it occurs) substitute “CMA ”.
- (3) In the heading, for “Commission” substitute “CMA ”.

**Commencement Information**

**I381** Sch. 6 para. 18 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 19 (1) Section 23E (powers on allowing appeal) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA ”.

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- (3) In subsection (2)—
- (a) for “Commission” (in each place where it occurs) substitute “CMA ”, and
  - (b) for “Commission's” substitute “CMA's”.
- (4) In subsection (3), for “Commission” (in each place where it occurs) substitute “CMA ”.
- (5) In subsection (7), for “Commission's” substitute “CMA's”.
- (6) In the heading, for “Commission's” substitute “CMA's”.

**Commencement Information**

**I382** Sch. 6 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 20 (1) Section 23F (time limits for determination of appeal) is amended as follows.
- (2) In subsections (1), (3) to (5) and (7), for “Commission” (in each place where it occurs) substitute “CMA ”.
  - (3) In the heading, for “Commission” substitute “CMA ”.

**Commencement Information**

**I383** Sch. 6 para. 20 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 21 (1) Section 23G (supplementary provision about determination of appeal) is amended as follows.
- (2) In subsection (1), for “Commission” (in each place where it occurs) substitute “CMA ”.
  - (3) In subsection (2)—
    - (a) for “Commission” substitute “CMA ”, and
    - (b) for “Commission's” (in each place where it occurs) substitute “CMA's”.
  - (4) In subsection (3), for “Commission” substitute “CMA ”.
  - (5) In the heading, for “Commission” substitute “CMA ”.

**Commencement Information**

**I384** Sch. 6 para. 21 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 22 In section 27 (modification by order under other enactments), in subsection (1), for “Office of Fair Trading, the Competition Commission” substitute “CMA ”.

**Commencement Information**

**I385** Sch. 6 para. 22 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 23 (1) Section 41D (application by Authority for order including new activities) is amended as follows.



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- (2) In subsection (3), for the words from “shall” to the end, substitute “ shall, before making the application, make a reference under section 41E to the CMA ”.
- (3) In subsections (4) and (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) After subsection (6), insert—
  - “(7) The functions of the CMA with respect to a reference under section 41E (including functions under sections 109 to 115 of the Enterprise Act 2002, as applied by section 41EB) are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”

**Commencement Information**

**I386** Sch. 6 para. 23 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 24
- (1) Section 41E (references) is amended as follows.
  - (2) In subsection (1)—
    - (a) for “Competition Commission” substitute “ CMA ”, and
    - (b) for “the Commission” substitute “ the CMA ”.
  - (3) In subsections (2), (3), (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (4) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I387** Sch. 6 para. 24 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 25
- In section 41EA (references under section 41E: time limits), in subsections (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I388** Sch. 6 para. 25 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 26
- In section 41EB (references under section 41E: application of the Enterprise Act 2002), in subsection (4)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for the words from “the words” to the end, substitute “—
    - (a) the words “, OFCOM or the Secretary of State” were omitted; and
    - (b) for the words “their functions” there were substituted “ its functions ”.”

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#### Commencement Information

**I389** Sch. 6 para. 26 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 27 (1) Section 41F (reports on references) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
- (3) In subsections (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In subsection (3A)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for the words from “in connection with” to the end, substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”.
- (5) In subsections (3B) to (4C), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (6) In subsection (5), for “Commission” substitute “ CMA ”.

#### Commencement Information

**I390** Sch. 6 para. 27 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 28 In section 48 (interpretation of Part 1), in subsection (1), after the definition of “calorific value” insert—
- ““the CMA” means the Competition and Markets Authority;”.

#### Commencement Information

**I391** Sch. 6 para. 28 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 29 (1) Schedule 4A (procedure for appeals under section 23B) is amended as follows.
- (2) In paragraph 1—
- (a) in sub-paragraphs (1) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”,
  - (b) in sub-paragraph (8)—
    - (i) for “Commission's” substitute “CMA's”, and
    - (ii) for “Commission” substitute “ CMA ”,
  - (c) in sub-paragraph (9)—
    - (i) for “deciding” substitute “ the authorised member decides ”, and
    - (ii) for “the Commission must give the Authority” substitute “ the Authority must be given ”,
  - (d) in sub-paragraph (10), for “Commission's” substitute “CMA's”,
  - (e) in sub-paragraph (12), for “the Commission must” substitute “ an authorised member of the CMA must ”, and
  - (f) in sub-paragraph (13)—
    - (i) for “of the Commission” substitute “ of the CMA ”, and

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- (ii) for “as the Commission” substitute “ as an authorised member of the CMA ”.
- (3) In paragraph 2—
- (a) in sub-paragraph (1), for “Commission” substitute “ CMA ”,
  - (b) in sub-paragraph (2)(b), for “the Commission has given the Authority” substitute “ the Authority has been given ”,
  - (c) in sub-paragraph (3), for “Commission's” substitute “CMA's”,
  - (d) in sub-paragraph (4), for “Commission” substitute “ CMA ”,
  - (e) in sub-paragraph (5)—
    - (i) for “Commission's” substitute “CMA's”, and
    - (ii) for “Commission” substitute “ CMA ”, and
  - (f) in sub-paragraph (6)—
    - (i) in paragraph (a), for “Commission” substitute “ CMA ”, and
    - (ii) in paragraph (b), for “the Commission” substitute “ an authorised member of the CMA ”.
- (4) In paragraph 3, in sub-paragraphs (1) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 4—
- (a) omit sub-paragraph (1),
  - (b) in sub-paragraph (2)—
    - (i) after “group” insert “ constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 for the purpose of carrying out functions of the CMA with respect to an appeal under section 23B ”, and
    - (ii) for “Commission” substitute “ CMA panel ”,
  - (c) omit sub-paragraphs (3) to (7), and
  - (d) in sub-paragraph (8), for “a group” substitute “ the group ”.
- (6) In paragraph 5, in sub-paragraph (1)—
- (a) for “The group with the function of determining an appeal” substitute “ The CMA ”, and
  - (b) for “determination of the appeal” substitute “ determination of an appeal ”.
- (7) In paragraph 6—
- (a) in sub-paragraph (1), for “Commission” (in each place where it occurs) substitute “ CMA ”,
  - (b) in sub-paragraph (4)—
    - (i) for “The Commission may take copies” substitute “ An authorised member of the CMA may, for the purpose of the exercise of the functions of the CMA, make arrangements for copies to be taken ”, and
    - (ii) omit “to it”, and
  - (c) in sub-paragraph (5), in paragraph (a), for the words from “Commission's” to the end of paragraph (a), substitute “ CMA's behalf by an authorised member of the CMA ”.
- (8) In paragraph 7—
- (a) in sub-paragraph (2), for “Commission” substitute “ CMA ”,

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- (b) in sub-paragraph (5)(a), for “the Commission is not required” substitute “there is no requirement”;
  - (c) in sub-paragraph (7), for “the Commission must pay that person” substitute “an authorised member of the CMA must arrange for that person to be paid”, and
  - (d) in sub-paragraph (8), for the words from “Commission's” to the end, substitute “CMA's behalf by an authorised member of the CMA”.
- (9) In paragraph 8—
- (a) in sub-paragraph (1), for “Commission” substitute “CMA”, and
  - (b) in sub-paragraph (4), for the words from “Commission's” to the end, substitute “CMA's behalf by an authorised member of the CMA”.
- (10) In paragraph 9, for “Commission” substitute “CMA”.
- (11) In paragraph 10, in sub-paragraph (1), for “a member of the Commission” substitute “an authorised member of the CMA”.
- (12) In paragraph 11, in sub-paragraphs (1), (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA Board”.
- (13) In paragraph 12, in sub-paragraphs (1) and (2), for “Commission” (in each place where it occurs) substitute “CMA”.
- (14) In paragraph 13, in sub-paragraph (1)—
- (a) for the definition of “authorised member of the Commission” substitute—
    - ““authorised member of the CMA”—
    - (a) in relation to a power exercisable in connection with an appeal in respect of which a group has been constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, means a member of that group who has been authorised by the chair of the CMA to exercise that power;
    - (b) in relation to a power exercisable in connection with an application for permission to bring an appeal, or otherwise in connection with an appeal in respect of which a group has not been so constituted by the chair of the CMA, means—
      - (i) any member of the CMA Board who is also a member of the CMA panel, or
      - (ii) any member of the CMA panel authorised by the Secretary of State (whether generally or specifically) to exercise the power in question.”
  - (b) omit the definition of “the Chairman”;
  - (c) for the definition of “the Commission” substitute—
    - ““CMA Board” and “CMA panel” have the same meaning as in Schedule 4 to the Enterprise and Regulatory Reform Act 2013;”, and
  - (d) omit the definition of a “group”.

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**Commencement Information**

**I392** Sch. 6 para. 29 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Electricity Act 1989 (c. 29)*

30 The Electricity Act 1989 is amended as follows.

**Commencement Information**

**I393** Sch. 6 para. 30 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 31 (1) Section 11C (appeals) is amended as follows.
- (2) In subsection (1), for “Competition Commission (“the Commission”)” substitute “CMA”.
- (3) In subsections (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) In the heading, for “Competition Commission” substitute “CMA”.

**Commencement Information**

**I394** Sch. 6 para. 31 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 32 (1) Section 11D (procedure on appeal) is amended as follows.
- (2) Omit subsection (1).
- (3) In subsection (2), omit “Instead.”.
- (4) After subsection (2) insert—
- “(2A) Except where specified otherwise in Schedule 5A, the functions of the CMA with respect to an appeal under section 11C are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”
- (5) In the heading, for “Commission” substitute “CMA”.

**Commencement Information**

**I395** Sch. 6 para. 32 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 33 (1) Section 11E (determination of appeal) is amended as follows.
- (2) In subsections (2) to (5), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “Commission” substitute “CMA”.

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#### Commencement Information

**I396** Sch. 6 para. 33 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 34 (1) Section 11F (powers on allowing appeal) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA”.
- (3) In subsection (2)—
- (a) for “Commission” (in each place where it occurs) substitute “CMA”, and
- (b) for “Commission's” substitute “CMA's”.
- (4) In subsection (3), for “Commission” (in each place where it occurs) substitute “CMA”.
- (5) In subsection (7), for “Commission's” substitute “CMA's”.
- (6) In the heading, for “Commission's” substitute “CMA's”.

#### Commencement Information

**I397** Sch. 6 para. 34 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 35 (1) Section 11G (time limits for determination of appeal) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “CMA”.
- (3) In subsections (3) to (5) and (7), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) In the heading, for “Commission” substitute “CMA”.

#### Commencement Information

**I398** Sch. 6 para. 35 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 36 (1) Section 11H (supplementary provision about determination of appeal) is amended as follows.
- (2) In subsection (1), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (2)—
- (a) for “Commission” substitute “CMA”, and
- (b) for “Commission's” (in each place where it occurs) substitute “CMA's”.
- (4) In subsection (3), for “Commission” substitute “CMA”.
- (5) In the heading, for “Commission” substitute “CMA”.

#### Commencement Information

**I399** Sch. 6 para. 36 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 37 In section 15 (modification by order under other enactments), in subsection (1) for “Office of Fair Trading, the Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I400** Sch. 6 para. 37 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 38 (1) Section 56B (application for order including new activities) is amended as follows.
- (2) In subsection (3), for the words from “shall” to the end, substitute “ shall, before making the application, make a reference under section 56C to the CMA ”.
- (3) In subsections (4) and (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) After subsection (6), insert—
- “(7) The functions of the CMA with respect to a reference under section 56C (including functions under sections 109 to 115 of the Enterprise Act 2002, as applied by section 56CB) are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”

**Commencement Information**

**I401** Sch. 6 para. 38 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 39 (1) Section 56C (references) is amended as follows.
- (2) In subsection (1)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.
- (3) In subsections (2), (3), (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I402** Sch. 6 para. 39 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 40 In section 56CA (references under section 56C: time limits), in subsections (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I403** Sch. 6 para. 40 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 41 In section 56CB (references under section 56C: application of Enterprise Act 2002), in subsection (4)—
- (a) for “Competition Commission” substitute “ CMA ”, and

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- (b) for the words from “the words” to the end, substitute “—
  - (a) the words “, OFCOM or the Secretary of State” were omitted; and
  - (b) for the words “their functions” there were substituted “ its functions ”.”

#### Commencement Information

**I404** Sch. 6 para. 41 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 42 (1) Section 56D (reports on references) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
  - (3) In subsections (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (4) In subsection (3A)—
    - (a) for “Competition Commission” substitute “ CMA ”, and
    - (b) for the words from “in connection with” to the end, substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”.
  - (5) In subsections (3B) to (4C), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
  - (6) In subsection (5), for “Commission” substitute “ CMA ”.

#### Commencement Information

**I405** Sch. 6 para. 42 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 43 In section 64 (interpretation etc of Part 1), in subsection (1), after the definition of “authorised supplier” insert—
- ““the CMA” means the Competition and Markets Authority;”.

#### Commencement Information

**I406** Sch. 6 para. 43 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 44 (1) Schedule 5A (procedure for appeals under section 11C) is amended as follows.
- (2) In paragraph 1—
    - (a) in sub-paragraphs (1) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”,
    - (b) in sub-paragraph (8)—
      - (i) for “Commission's” substitute “CMA's”, and
      - (ii) for “Commission” substitute “ CMA ”,
    - (c) in sub-paragraph (9)—
      - (i) for “deciding” substitute “ the authorised member decides ”, and



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- (ii) for “the Commission must give the Authority” substitute “ the Authority must be given ”,
  - (d) in sub-paragraph (10), for “Commission's” substitute “CMA's”,
  - (e) in sub-paragraph (12), for “the Commission must” substitute “ an authorised member of the CMA must ”, and
  - (f) in sub-paragraph (13)—
    - (i) for “of the Commission” substitute “ of the CMA ”, and
    - (ii) for “as the Commission” substitute “ as an authorised member of the CMA ”.
- (3) In paragraph 2—
  - (a) in sub-paragraph (1) for “Commission” substitute “ CMA ”,
  - (b) in sub-paragraph (2)(b), for “the Commission has given the Authority” substitute “ the Authority has been given ”,
  - (c) in sub-paragraph (3), for “Commission's” substitute “CMA's”,
  - (d) in sub-paragraph (4), for “Commission” substitute “ CMA ”,
  - (e) in sub-paragraph (5)—
    - (i) for “Commission's” substitute “CMA's”, and
    - (ii) for “Commission” substitute “ CMA ”, and
  - (f) in sub-paragraph (6)—
    - (i) in paragraph (a), for “Commission” substitute “ CMA ”, and
    - (ii) in paragraph (b), for “the Commission” substitute “ an authorised member of the CMA ”.
- (4) In paragraph 3, in sub-paragraphs (1) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 4—
  - (a) omit sub-paragraph (1),
  - (b) in sub-paragraph (2)—
    - (i) after “group” insert “ constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 for the purpose of carrying out functions of the CMA with respect to an appeal under section 11C ”, and
    - (ii) for “Commission” substitute “ CMA panel ”,
  - (c) omit sub-paragraphs (3) to (7), and
  - (d) in sub-paragraph (8), for “a group” substitute “ the group ”.
- (6) In paragraph 5, in sub-paragraph (1)—
  - (a) for “The group with the function of determining an appeal” substitute “ The CMA ”, and
  - (b) for “determination of the appeal” substitute “ determination of an appeal ”.
- (7) In paragraph 6—
  - (a) in sub-paragraph (1), for “Commission” (in each place where it occurs) substitute “ CMA ”,
  - (b) in sub-paragraph (4)—
    - (i) for “The Commission may take copies” substitute “ An authorised member of the CMA may, for the purpose of the exercise of the

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- functions of the CMA, make arrangements for copies to be taken”, and
- (ii) omit “to it”, and
- (c) in sub-paragraph (5), in paragraph (a), for the words from “Commission's” to the end of paragraph (a), substitute “CMA's behalf by an authorised member of the CMA”.
- (8) In paragraph 7—
- (a) in sub-paragraph (2), for “Commission” substitute “CMA”,
- (b) in sub-paragraph (5)(a), for “the Commission is not required” substitute “there is no requirement”,
- (c) in sub-paragraph (7), for “the Commission must pay that person” substitute “an authorised member of the CMA must arrange for that person to be paid”, and
- (d) in sub-paragraph (8), for the words from “Commission's” to the end, substitute “CMA's behalf by an authorised member of the CMA”.
- (9) In paragraph 8—
- (a) in sub-paragraph (1), for “Commission” substitute “CMA”, and
- (b) in sub-paragraph (4), for the words from “Commission's” to the end, substitute “CMA's behalf by an authorised member of the CMA”.
- (10) In paragraph 9, for “Commission” substitute “CMA”.
- (11) In paragraph 10, in sub-paragraph (1), for “a member of the Commission” substitute “an authorised member of the CMA”.
- (12) In paragraph 11, in sub-paragraphs (1), (3) and (4), for “Commission” (in each place where it occurs) substitute “CMA Board”.
- (13) In paragraph 12, in sub-paragraphs (1) and (2), for “Commission” (in each place where it occurs) substitute “CMA”.
- (14) In paragraph 13, in sub-paragraph (1)—
- (a) for the definition of “authorised member of the Commission” substitute—
- ““authorised member of the CMA”—
- (a) in relation to a power exercisable in connection with an appeal in respect of which a group has been constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, means a member of that group who has been authorised by the chair of the CMA to exercise that power;
- (b) in relation to a power exercisable in connection with an application for permission to bring an appeal, or otherwise in connection with an appeal in respect of which a group has not been so constituted by the chair of the CMA, means—
- (i) any member of the CMA Board who is also a member of the CMA panel, or
- (ii) any member of the CMA panel authorised by the Secretary of State (whether generally or specifically) to exercise the power in question.”,
- (b) omit the definition of “the Chairman”,

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(c) for the definition of “the Commission” substitute—

““CMA Board” and “CMA panel” have the same meaning as in Schedule 4 to the Enterprise and Regulatory Reform Act 2013;”, and

(d) omit the definition of a “group”.

**Commencement Information**

**I407** Sch. 6 para. 44 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Law Reform (Miscellaneous Provisions) (Scotland) Act 1990 (c. 40)*

45 The Law Reform (Miscellaneous Provisions) (Scotland) Act 1990 is amended as follows.

**Commencement Information**

**I408** Sch. 6 para. 45 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

46 In section 26 (consideration of applications made under section 25), in subsection (3), for “Director” substitute “ CMA ”.

**Commencement Information**

**I409** Sch. 6 para. 46 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

47 In section 31 (rules of conduct), in subsection (2), for “Director” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I410** Sch. 6 para. 47 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 48 (1) Section 40 (advisory and supervisory functions of Director) is amended as follows.
- (2) In subsections (1) and (2), for “Director” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (3)—
- (a) for “Director has completed his consideration he” substitute “ CMA has completed its consideration it ”, and
- (b) for “as he” substitute “ as it ”.
- (4) In subsection (4), for “The Director may publish any advice given by him” substitute “ The CMA may publish any advice given ”.
- (5) In subsection (5)—
- (a) for “Director” substitute “ CMA ”, and
- (b) for “Director's” substitute “CMA's”.
- (6) In the heading, for “Director” substitute “ CMA ”.

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**Commencement Information**

**I411** Sch. 6 para. 48 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 49 (1) Section 41 (investigatory powers of Director) is amended as follows.
- (2) In subsection (1)—
- (a) for “Director” substitute “ CMA ”, and
  - (b) in paragraphs (a) and (b), for “him” in each place substitute “ the CMA ”.
- (3) In the heading, for “Director” substitute “ CMA ”.

**Commencement Information**

**I412** Sch. 6 para. 49 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 50 In section 41A (enforcement of notices under section 41), in subsections (1) and (2), for “Office of Fair Trading” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I413** Sch. 6 para. 50 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 51 In section 44 (interpretation of Part 2)—
- (a) after the definition of “advocate” insert—
 

““the CMA” means the Competition and Markets Authority;”, and
  - (b) omit the definition of “the OFT”.

**Commencement Information**

**I414** Sch. 6 para. 51 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Water Industry Act 1991 (c. 56)*

- 52 The Water Industry Act 1991 is amended as follows.

**Commencement Information**

**I415** Sch. 6 para. 52 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 53 (1) Section 12 (determinations under conditions of appointment) is amended as follows.
- (2) In subsection (2)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “the Commission” substitute “ the CMA ”.
- (3) In subsection (3)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “that Commission” (in each place where it occurs) substitute “ the CMA ”.

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- (4) In subsection (3A), for “Commission” (in each place where it occurs) substitute “CMA”.
- (5) In subsections (3B) and (3C), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (6) After subsection (3C) insert—
  - “(3D) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by subsection (3B) read with section 16B).”

#### Commencement Information

**I416** Sch. 6 para. 53 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 54 (1) Section 14 (modification references) is amended as follows.
- (2) In subsection (1)—
    - (a) for “Competition Commission” substitute “CMA”, and
    - (b) for “the Commission” substitute “the CMA”.
  - (3) In subsection (2)—
    - (a) for “Competition Commission” substitute “CMA”, and
    - (b) for “the Commission” substitute “the CMA”.
  - (4) In subsection (3), for “Competition Commission” substitute “CMA”.
  - (5) In subsection (5)—
    - (a) for “Competition Commission” substitute “CMA”, and
    - (b) for “the Commission” (in each place where it occurs) substitute “the CMA”.
  - (6) In subsection (6), for “Competition Commission” substitute “CMA”.
  - (7) After subsection (6) insert—
    - “(6A) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by sections 14B and 16B).”
  - (8) In the heading, for “Monopolies Commission” substitute “CMA”.

#### Commencement Information

**I417** Sch. 6 para. 54 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 55 In section 14A (references under section 14: time limits), in subsections (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I418** Sch. 6 para. 55 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 56 (1) Section 15 (reports on modification references) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “CMA”.
- (3) In subsection (1A)—
- (a) for “Competition Commission” substitute “CMA”, and
  - (b) for the words from “in connection with the reference” to the end, substitute “by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference”.
- (4) In subsections (1B), (3) to (3C) and (4), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I419** Sch. 6 para. 56 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 57 In section 16 (modification following report), in subsections (1) and (4A), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I420** Sch. 6 para. 57 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 58 (1) Section 16A (power of veto following report) is amended as follows.
- (2) In subsection (1), for the words from the beginning to “the Commission”)” substitute “The CMA”.
- (3) In subsections (2) to (9), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) Omit subsection (10).
- (5) In the heading, for “Commission's” substitute “CMA's”.

**Commencement Information**

**I421** Sch. 6 para. 58 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 59 (1) Section 16B (power of veto following report: supplementary) is amended as follows.
- (2) In subsections (2) to (4), (6) and (8), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “Commission's” substitute “CMA's”.

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**Commencement Information**

**I422** Sch. 6 para. 59 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 60 In section 17 (modification by order under other enactments), in subsection (1), for “OFT, the Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I423** Sch. 6 para. 60 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 61 (1) Section 17K (water supply licences: modification references) is amended as follows.
- (2) In subsection (1)—
- (a) for the words from “Competition Commission” to “the Commission”) substitute “ CMA ”, and
- (b) for “Commission to” substitute “ CMA to ”.
- (3) In subsections (2) to (4), (6), (7) and (9), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) After subsection (9) insert—
- “(10) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by sections 17M and 17Q).”
- (5) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I424** Sch. 6 para. 61 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 62 In section 17L (references under section 17K: time limits), in subsections (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I425** Sch. 6 para. 62 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 63 (1) Section 17N (water supply licences: reports on modification references) is amended as follows.
- (2) In subsection (1), for “Commission” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) for “Commission” substitute CMA”, and
- (b) for the words from “in connection with” to the end substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”.

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- (4) In subsections (3) to (7) and (9), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I426** Sch. 6 para. 63 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 64 In section 17O (water supply licences: modification following report), in subsections (1) and (6), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I427** Sch. 6 para. 64 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 65 (1) Section 17P (water supply licences: power of veto following report) is amended as follows.
- (2) In subsections (1) to (8) and (10) and (11), for “Commission” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “Commission's” substitute “CMA's”.

**Commencement Information**

**I428** Sch. 6 para. 65 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 66 (1) Section 17Q (section 17P: supplementary) is amended as follows.
- (2) In subsections (2) to (4), (6) and (8), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I429** Sch. 6 para. 66 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 67 In section 17R (water supply licences: modification by order under other enactments), in subsection (1), for “OFT, the Commission” substitute “CMA”.

**Commencement Information**

**I430** Sch. 6 para. 67 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 68 In section 219 (general interpretation), in subsection (1)—
- (a) after the definition of “the Authority” insert—
- “the CMA” means the Competition and Markets Authority”, and
- (b) omit the definition of “the OFT”.



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**Commencement Information**

**I431** Sch. 6 para. 68 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Railways Act 1993 (c. 43)*

69 The Railways Act 1993 is amended as follows.

**Commencement Information**

**I432** Sch. 6 para. 69 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

70 (1) Section 13 (modification references) is amended as follows.

(2) In subsection (1)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(3) In subsection (2)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(4) In subsection (3), for “Competition Commission” substitute “ CMA ”.

(5) In subsection (5)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(6) In subsection (6)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.

(7) In subsection (7), for “Competition Commission” substitute “ CMA ”.

(8) After subsection (9) insert—

“(10) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by sections 13B and 15C).”

(9) In the heading, for “Monopolies Commission” substitute “ CMA ”.

**Commencement Information**

**I433** Sch. 6 para. 70 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

71 In section 13A (references under section 13: time limits), in subsections (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

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#### Commencement Information

**I434** Sch. 6 para. 71 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 72 (1) In section 13B (application of Enterprise Act 2002), in subsection (4)—
- (a) for “Competition Commission” substitute “CMA”, and
  - (b) for the words from “the words” to the end, substitute “—
    - (a) the words “, OFCOM or the Secretary of State” were omitted; and
    - (b) for the words “their functions” there were substituted “ its functions ”.”

#### Commencement Information

**I435** Sch. 6 para. 72 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 73 (1) Section 14 (reports on modification references) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “CMA”.
- (3) In subsection (1A)—
- (a) for “Competition Commission” substitute “CMA”, and
  - (b) for the words from “in connection with” to the end substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”.
- (4) In subsections (1B), (3) to (3C) and (4), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

**I436** Sch. 6 para. 73 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 74 In section 15 (modification following report), in subsections (1), (4A), (4C) and (4D), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

#### Commencement Information

**I437** Sch. 6 para. 74 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 75 (1) Section 15A (power to veto modifications following report) is amended as follows.
- (2) In subsections (1) to (5), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “Competition Commission's” substitute “CMA's”.

#### Commencement Information

**I438** Sch. 6 para. 75 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 76 (1) Section 15B (making of modifications) is amended as follows.
- (2) In subsections (1) to (5), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (3) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I439** Sch. 6 para. 76 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 77 (1) Section 15C (sections 15A and 15B: supplementary) is amended as follows.
- (2) In subsections (2) to (2B) and (2D), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (2F), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In subsection (2G)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for the words from “the words” to the end, substitute “—
- (a) the words “, OFCOM or the Secretary of State” were omitted; and
- (b) for the words “their functions” there were substituted “ its functions ”.”
- (5) In subsections (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I440** Sch. 6 para. 77 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 78 In section 16 (modification by order under other enactments), in subsection (1), for “OFT, the Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I441** Sch. 6 para. 78 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 79 (1) Section 74 (annual and other reports) is amended as follows.
- (2) In subsection (1)(b), for “Competition Commission's” substitute “CMA's”.
- (3) Omit subsection (7).

**Commencement Information**

**I442** Sch. 6 para. 79 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 80 In section 83 (interpretation of Part 1), in subsection (1)—
- (a) before the definition of “cross-border service” insert—

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- “the CMA” means the Competition and Markets Authority;”, and  
(b) omit the definition of “the OFT”.

#### Commencement Information

**I443** Sch. 6 para. 80 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 81 (1) Schedule 4A (review of access charges by regulators) is amended as follows.
- (2) In the italic cross-heading preceding paragraph 8, for “Competition Commission reference” substitute “reference to CMA”.
- (3) In paragraph 8, in sub-paragraph (2)(b), for “Competition Commission” substitute “CMA”.
- (4) In the italic cross-heading preceding paragraph 9, for “Competition Commission” substitute “CMA”.
- (5) In paragraph 9—
- (a) in sub-paragraphs (1), (3) to (5), and (6A) to (9), for “Competition Commission” (in each place where it occurs) substitute “CMA”, and
- (b) after sub-paragraph (9), insert—
- “(10) The functions of the CMA with respect to a reference under this paragraph are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of changes following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by paragraphs 10A and 15).”
- (6) In paragraph 10A, in sub-paragraph (4)—
- (a) for “Competition Commission” substitute “CMA”, and
- (b) for the words from “the words” to the end, substitute “—
- (a) the words “, OFCOM or the Secretary of State” were omitted; and
- (b) for the words “their functions” there were substituted “its functions”.
- (7) In paragraph 11—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “CMA”,
- (b) in sub-paragraph (4A)—
- (i) for “Competition Commission” substitute “CMA”, and
- (ii) for the words from “in connection with” to the end substitute “by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference”, and
- (c) in sub-paragraphs (4B) to (5C) and (6), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (8) In paragraph 12, in sub-paragraphs (1), (4B), (5), (8) and (9), for “Competition Commission” substitute “CMA”.

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- (9) In the italic cross-heading preceding paragraph 13, for “Competition Commission’s” substitute “CMA’s”.
- (10) In paragraph 13, in sub-paragraphs (1) to (5), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (11) In the italic cross-heading preceding paragraph 14, for “Competition Commission” substitute “ CMA ”.
- (12) In paragraph 14, in sub-paragraphs (1) to (3) and (5) and (6), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (13) In paragraph 15—
  - (a) in sub-paragraphs (2) to (2B) and (2D), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”,
  - (b) in sub-paragraph (2F), for “Commission” (in each place where it occurs) substitute “ CMA ”,
  - (c) in sub-paragraph (2G)—
    - (i) for “Competition Commission” substitute “ CMA ”, and
    - (ii) for the words from “the words” to the end, substitute “—
      - (a) the words “, OFCOM or the Secretary of State” were omitted; and
      - (b) for the words “their functions” there were substituted “ its functions ”.”, and
  - (d) in sub-paragraphs (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I444 Sch. 6 para. 81 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Utilities Act 2000 (c. 27)*

82 The Utilities Act 2000 is amended as follows.

**Commencement Information**

I445 Sch. 6 para. 82 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 83 (1) Section 5 (annual and other reports of Authority) is amended as follows.
  - (2) In subsection (1)(b), for “Competition Commission” substitute “ Competition and Markets Authority ”.
  - (3) Omit subsection (9).

**Commencement Information**

I446 Sch. 6 para. 83 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

84 Section 104 (specialist members of the Competition Commission) is omitted.

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**Commencement Information**

**I447** Sch. 6 para. 84 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Transport Act 2000 (c. 38)*

85 The Transport Act 2000 is amended as follows.

**Commencement Information**

**I448** Sch. 6 para. 85 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

86 (1) Section 12 (references) is amended as follows.

(2) In subsection (1)—

- (a) for “Competition Commission” substitute “ Competition and Markets Authority (referred to in this Chapter as “the CMA”) ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(3) In subsections (2), (3) and (5) to (8), for “Commission” (in each place where it occurs) substitute “ CMA ”.

(4) After subsection (8) insert—

“(8A) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by sections 12B and 18).”

(5) In the heading, for “Competition Commission” substitute “ Competition and Markets Authority ”.

**Commencement Information**

**I449** Sch. 6 para. 86 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

87 In section 12A (references under section 12: time limits), in subsections (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I450** Sch. 6 para. 87 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

88 In section 12B (references under section 12: application of Enterprise Act 2002), in subsection (4)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for the words from “the words” to the end, substitute “—
  - (a) the words “, OFCOM or the Secretary of State” were omitted; and

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- (b) for the words “their functions” there were substituted “ its functions ”.”

**Commencement Information**

**I451** Sch. 6 para. 88 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 89 (1) Section 13 (reports on references) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
- (3) In subsection (1A)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for the words from “in connection with” to the end, substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference. ”.
- (4) In subsections (1B) to (2C), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (5) In subsection (3), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I452** Sch. 6 para. 89 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 90 (1) Section 14 (modification following report) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
- (3) In subsection (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I453** Sch. 6 para. 90 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 91 (1) Section 15 (power to give direction) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
- (3) In subsection (2), for “Commission” substitute “ CMA ”.
- (4) In subsection (3)—
- (a) for “Commission” substitute “ CMA ”, and
- (b) for “Commission's” substitute “CMA's”.
- (5) In subsections (4), (9) and (10), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (6) In the heading, for “Commission's” substitute “CMA's”.

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#### Commencement Information

**I454** Sch. 6 para. 91 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 92 (1) Section 16 (position where direction given) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “CMA”.
- (3) In subsection (2)—
- (a) for “Commission” substitute “CMA”, and
  - (b) for “Commission's” substitute “CMA's”.
- (4) In subsection (3)—
- (a) for “Commission” substitute “CMA”, and
  - (b) for “Commission's” substitute “CMA's”.
- (5) In subsections (4) to (6), for “Commission” (in each place where it occurs) substitute “CMA”.
- (6) In the heading, for “Commission” substitute “CMA”.

#### Commencement Information

**I455** Sch. 6 para. 92 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 93 (1) Section 17 (duty as to modifications under section 16) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “CMA”.
- (3) In subsections (2) and (4) to (6), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) In the heading, for “Commission's” substitute “CMA's”.

#### Commencement Information

**I456** Sch. 6 para. 93 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 94 (1) Section 18 (sections 15 and 16: general) is amended as follows.
- (2) In subsections (2) to (4) and (6), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsection (8), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) In subsection (9)—
- (a) for “Competition Commission” substitute “CMA”, and
  - (b) for the words from “the words” to the end, substitute “—
    - (a) the words “, OFCOM or the Secretary of State” were omitted; and
    - (b) for the words “their functions” there were substituted “ its functions ”.”



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**Commencement Information**

**I457** Sch. 6 para. 94 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 95 In section 19 (modification by order under other enactments), in subsection (1), for “Office of Fair Trading, the Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I458** Sch. 6 para. 95 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 96 (1) Schedule 10 (competition test: functions and agreements relating to buses) is amended as follows.
- (2) In the italic cross-heading preceding paragraph 5, for “OFT” substitute “ CMA ”.
- (3) In paragraph 5—
- (a) for “Office of Fair Trading” substitute “ Competition and Markets Authority ”, and
- (b) for “OFT” substitute “ CMA ”.
- (4) In paragraphs 6, 7, 9, 10 and 12 to 14, for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 14A, in sub-paragraphs (2) to (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (6) In paragraphs 15 and 16, for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I459** Sch. 6 para. 96 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Communications Act 2003 (c. 21)*

- 97 The Communications Act 2003 is amended as follows.

**Commencement Information**

**I460** Sch. 6 para. 97 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 98 (1) Section 193 (reference of price control matters) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “ CMA ”.
- (3) In subsection (2)—
- (a) for “Competition Commission” substitute “ CMA ”,
- (b) for “the Commission is to determine that matter” substitute “ the determination of the matter is to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, and is to be performed ”,

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- (c) in paragraph (b), for “them” substitute “ the CMA ”, and
  - (d) in paragraph (c), for “Commission” substitute “ CMA ”.
- (4) In subsection (3)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “that Commission” substitute “ the CMA ”.
- (5) In subsection (4), for “Competition Commission” substitute “ CMA ”.
- (6) In subsection (6)—
- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “that Commission” substitute “ the CMA ”.
- (7) In subsections (7) and (8), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (8) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I461** Sch. 6 para. 98 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 99 Section 194 (composition of Competition Commission for price control references) is omitted.

**Commencement Information**

**I462** Sch. 6 para. 99 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 100 In section 197 (interpretation of Chapter 3), before the definition of “network access” insert—
- “ “the CMA” means the Competition and Markets Authority;”.

**Commencement Information**

**I463** Sch. 6 para. 100 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Energy Act 2004 (c. 20)*

- 101 The Energy Act 2004 is amended as follows.

**Commencement Information**

**I464** Sch. 6 para. 101 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 102 (1) Section 173 (appeals) is amended as follows.
- (2) In subsection (1)—
- (a) omit “shall lie to the Competition Commission”, and
  - (b) after “applies” insert “ shall lie to the Competition and Markets Authority (in this Chapter referred to as “the CMA”) ”.

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(3) In subsections (4) and (5), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

(4) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I465** Sch. 6 para. 102 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

103 (1) Section 174 (procedure on appeals) is amended as follows.

(2) Omit subsection (1).

(3) In subsection (2), omit “Instead.”.

(4) After subsection (2) insert—

“(2A) Except where specified otherwise in Schedule 22, the functions of the CMA with respect to appeals under section 173 are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”

**Commencement Information**

**I466** Sch. 6 para. 103 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

104 (1) Section 175 (determination of appeals) is amended as follows.

(2) In subsections (2) to (6), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

(3) In subsection (9)—

(a) for “Competition Commission” substitute “ CMA ”, and

(b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.

(4) In subsection (10), for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I467** Sch. 6 para. 104 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

105 Section 176 is omitted.

**Commencement Information**

**I468** Sch. 6 para. 105 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

106 Section 177 is omitted.

**Commencement Information**

**I469** Sch. 6 para. 106 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 107 (1) Schedule 22 (procedure for appeals under section 173) is amended as follows.
- (2) In paragraph 1—
- (a) in sub-paragraph (1), for “Commission” substitute “ CMA ”,
  - (b) in sub-paragraph (8), for “Commission's” substitute “CMA's”,
  - (c) in sub-paragraph (9)—
    - (i) for “Commission's” substitute “CMA's”, and
    - (ii) for “Commission” substitute “ CMA ”, and
  - (d) in sub-paragraph (12), for “the Commission must” substitute “ an authorised member of the CMA must ”.
- (3) In paragraph 2, in sub-paragraphs (1), (2), (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In paragraph 3, in sub-paragraphs (1) and (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 4, in sub-paragraph (1), for “Commission” substitute “ CMA ”.
- (6) In paragraph 5—
- (a) omit sub-paragraph (1),
  - (b) in sub-paragraph (2)—
    - (i) after “group”, insert “ constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 for the purpose of carrying out functions of the CMA with respect to an appeal under section 173 ”, and
    - (ii) for “Commission” substitute “ CMA panel ”,
  - (c) omit sub-paragraphs (3) to (7), and
  - (d) in sub-paragraph (8), for “a group” substitute “ the group ”.
- (7) In paragraph 6—
- (a) in sub-paragraph (1), for the words from the beginning to “that appeal” substitute “ The CMA must determine an appeal ”,
  - (b) in sub-paragraph (2)—
    - (i) for “group with the function of determining an appeal” substitute “ CMA ”, and
    - (ii) after “requirements” insert “ in respect of an appeal ”, and
  - (c) in sub-paragraph (3), for “Commission” substitute “ CMA ”.
- (8) In paragraph 7—
- (a) for “The group with the function of determining an appeal” substitute “ The CMA ”, and
  - (b) for “determination of the appeal” substitute “ determination of an appeal ”.
- (9) In paragraph 8—
- (a) in sub-paragraph (1), for “Commission” (in each place where it occurs) substitute “ CMA ”,
  - (b) in sub-paragraph (4)—
    - (i) for “The Commission may take copies” substitute “ An authorised member of the CMA may, for the purpose of the exercise of the functions of the CMA, make arrangements for copies to be taken ”, and

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- (ii) omit “to it”, and
  - (c) in sub-paragraph (5), for the words from “Commission's” to the end, substitute “ CMA's behalf by an authorised member of the CMA ”.
- (10) In paragraph 9—
  - (a) in sub-paragraph (2), for “Commission” substitute “ CMA ”,
  - (b) in sub-paragraph (5)(a), for “the Commission is not required” substitute “ there is no requirement ”,
  - (c) in sub-paragraph (7), for “the Commission must pay him” substitute “ an authorised member of the CMA must arrange for that person to be paid ”, and
  - (d) in sub-paragraph (8), for the words from “Commission's” to the end, substitute “ CMA's behalf by an authorised member of the CMA ”.
- (11) In paragraph 10—
  - (a) in sub-paragraph (1), for “Commission” substitute “ CMA ”, and
  - (b) in sub-paragraph (4), for the words from “Commission's” to the end, substitute “ CMA's behalf by an authorised member of the CMA ”
- (12) In paragraph 11, in sub-paragraph (1), for “a member of the Commission” substitute “ an authorised member of the CMA ”.
- (13) In paragraph 12, in sub-paragraphs (1), (3) and (4), for “Commission” (in each place where it occurs) substitute “ CMA Board ”.
- (14) In paragraph 13, in sub-paragraph (1), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (15) In paragraph 15, in sub-paragraph (1)—
  - (a) for the definition of “authorised member of the Commission” substitute—
    - ““authorised member of the CMA”—
    - (a) in relation to a power exercisable in connection with an appeal in respect of which a group has been constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, means a member of that group who has been authorised by the chair of the CMA to exercise that power;
    - (b) in relation to a power exercisable in connection with an application for permission to bring an appeal, or otherwise in connection with an appeal in respect of which a group has not been so constituted by the chair of the CMA, means—
      - (i) any member of the CMA Board who is also a member of the CMA panel, or
      - (ii) any member of the CMA panel authorised by the Secretary of State (whether generally or specifically) to exercise the power in question.”,
  - (b) omit the definition of “the Chairman”,
  - (c) for the definition of “the Commission” substitute—
    - ““the CMA” means the Competition and Markets Authority;”
    - “CMA Board” and “CMA panel” have the same meaning as in Schedule 4 to the Enterprise and Regulatory Reform Act 2013;”, and

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- (d) omit the definition of “a group”.

**Commencement Information**

**I470** Sch. 6 para. 107 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Legal Services Act 2007 (c. 29)*

- 108 The Legal Services Act 2007 is amended as follows.

**Commencement Information**

**I471** Sch. 6 para. 108 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 109 (1) Section 57 (reports) is amended as follows.
- (2) In subsection (1), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (2), for “OFT's” substitute “CMA's”.
- (4) In subsections (3) to (6), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I472** Sch. 6 para. 109 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 110 (1) Section 58 (Board's response to report) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsections (2) and (3), for “OFT's” (in each place where it occurs) substitute “CMA's”.
- (4) In subsection (4), for “OFT” substitute “ CMA ”.
- (5) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I473** Sch. 6 para. 110 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 111 (1) Section 59 (referral of report by Lord Chancellor) is amended as follows.
- (2) In subsections (1) to (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (3) In subsection (4), for the words from “must” to “its advice” substitute “ must make a reference to the chair of the CMA for the constitution of a group under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, for the purpose of advising the Lord Chancellor ”.

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(4) After subsection (4), insert—

“(5) Where a reference is made to the chair of the CMA under this section for the constitution of a group, the functions of the CMA under section 60, in relation to the matter concerned, are to be carried out on behalf of the CMA by the group so constituted (including functions under sections 109 to 115 of the Enterprise Act 2002, as applied by section 60(9)).”

(5) In the heading, omit “to the Competition Commission”.

#### Commencement Information

**I474** Sch. 6 para. 111 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 112 (1) Section 60 (duties of the Competition Commission) is amended as follows.
- (2) In subsection (1), for the words from “seeks” to “the Commission” substitute “ makes a reference under section 59, the CMA ”.
- (3) In subsection (2), for “The Commission must then make its own report” substitute “ The CMA must then make a report ”.
- (4) In subsection (3), for “Commission” substitute “ CMA ”.
- (5) In subsection (4)—
- (a) for “Commission” substitute “ CMA ”, and
- (b) for the words from “it receives” to the end substitute “ the reference in question is made to the chair of the CMA under section 59 ”.
- (6) In subsection (5), for “Commission's” substitute “CMA's”.
- (7) In subsection (6)—
- (a) for “Commission's” substitute “CMA's, and
- (b) for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (8) In subsection (7), for “Commission” substitute “ CMA ”.
- (9) In subsection (8)—
- (a) for “Commission's” substitute “CMA's, and
- (b) for “Commission” substitute “ CMA ”.
- (10) In subsection (9)—
- (a) in the words before paragraph (a), for “to the Commission” substitute “ to the CMA ”, and
- (b) in paragraph (b), for “Commission” substitute “ CMA ”.
- (11) In subsection (10)—
- (a) for “Commission” substitute “ CMA ”, and
- (b) for “OFT's report” substitute “ report made by the CMA under section 57 ”.
- (12) In the heading, for “Competition Commission” substitute “ CMA ”.

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**Commencement Information**

**I475** Sch. 6 para. 112 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 113 (1) Section 61 (Lord Chancellor's power to give directions) is amended as follows.
- (2) In subsection (1), for “OFT” substitute “ CMA ”.
- (3) In subsection (2), for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I476** Sch. 6 para. 113 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 114 In section 66 (Board's power to recommend orders), in subsection (3)(b), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I477** Sch. 6 para. 114 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 115 In section 67 (effect of Board's designation as approved regulator), in subsection (3), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I478** Sch. 6 para. 115 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 116 In section 207 (interpretation), in subsection (1)—
- (a) after the definition of “barrister” insert—
- “the CMA” means the Competition and Markets Authority;”, and
- (b) omit the definition of “the OFT”.

**Commencement Information**

**I479** Sch. 6 para. 116 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 117 (1) Schedule 4 (approved regulators) is amended as follows.
- (2) In paragraph 5, in sub-paragraph (2)(a), for “OFT” substitute “ CMA ”.
- (3) In the italic cross-heading preceding paragraph 6, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
- (4) In paragraph 6, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 15, in sub-paragraph (5)(a), for “OFT” substitute “ CMA ”.



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#### Commencement Information

**I480** Sch. 6 para. 117 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 118 (1) Schedule 6 (alteration of reserved legal activities) is amended as follows.
- (2) In paragraph 3, in sub-paragraph (1)(b), for “OFT” substitute “ CMA ”.
  - (3) In paragraph 5, in sub-paragraphs (1) to (4), for “OFT” (in each place where it occurs) substitute “ CMA ”.
  - (4) In paragraph 8, in sub-paragraph (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.
  - (5) In paragraph 9, in sub-paragraph (2)(b), for “OFT” substitute “ CMA ”.
  - (6) In paragraph 11, in sub-paragraph (4)(a), for “OFT” substitute “ CMA ”.
  - (7) In paragraph 17, in sub-paragraph (4)(a), for “OFT” substitute “ CMA ”.

#### Commencement Information

**I481** Sch. 6 para. 118 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 119 (1) Schedule 7 (directions: procedure) is amended as follows.
- (2) In paragraph 3, in sub-paragraph (2)(b), for “OFT” substitute “ CMA ”.
  - (3) In the italic cross-heading preceding paragraph 5, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
  - (4) In paragraph 5, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I482** Sch. 6 para. 119 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 120 (1) Schedule 8 (intervention directions: procedure) is amended as follows.
- (2) In paragraph 3, in sub-paragraph (2)(b), for “OFT” substitute “ CMA ”.
  - (3) In the italic cross-heading preceding paragraph 5, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
  - (4) In paragraph 5, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
  - (5) In paragraph 14, in sub-paragraph (3)(b), for “OFT” substitute “ CMA ”.
  - (6) In the italic cross-heading preceding paragraph 16, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
  - (7) In paragraph 16, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

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#### Commencement Information

**I483** Sch. 6 para. 120 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 121 (1) Schedule 9 (cancellation of designation as approved regulator) is amended as follows.
- (2) In paragraph 3, in sub-paragraph (2)(a), for “OFT” substitute “ CMA ”.
- (3) In the italic cross-heading preceding paragraph 4, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
- (4) In paragraph 4, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I484** Sch. 6 para. 121 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 122 (1) Schedule 10 (designation of approved regulators as licensing authorities) is amended as follows.
- (2) In paragraph 3, in sub-paragraph (2)(a), for “OFT” substitute “ CMA ”.
- (3) In the italic cross-heading preceding paragraph 4, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
- (4) In paragraph 4, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.
- (5) In paragraph 13, in sub-paragraph (5)(a), for “OFT” substitute “ CMA ”.
- (6) In paragraph 19, in sub-paragraph (2)(a), for “OFT” substitute “ CMA ”.
- (7) In the italic cross-heading preceding paragraph 20, for “Office of Fair Trading” substitute “ Competition and Markets Authority ”.
- (8) In paragraph 20, in sub-paragraphs (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

#### Commencement Information

**I485** Sch. 6 para. 122 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 123 In Schedule 24 (index of defined expressions)—
- (a) at the appropriate place insert—
- 
- “CMA section 207”, and
- 
- (b) omit the reference to the OFT.

#### Commencement Information

**I486** Sch. 6 para. 123 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

*Postal Services Act 2011 (c. 5)*

124 The Postal Services Act 2011 is amended as follows.

**Commencement Information**

**I487** Sch. 6 para. 124 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 125 (1) Section 59 (price control decisions) is amended as follows.
- (2) In subsection (3), for “Competition Commission (“the Commission”)” substitute “Competition and Markets Authority (“the CMA”)”.
- (3) In subsections (5) to (12), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) After subsection (12) insert—
- “(12A) The CMA’s functions with respect to an appeal under this section are to be carried out on its behalf by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013”.

**Commencement Information**

**I488** Sch. 6 para. 125 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

126 In section 60 (section 59: supplementary), in subsections (1) and (4) to (6), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I489** Sch. 6 para. 126 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Health and Social Care Act 2012 (c. 7)*

127 The Health and Social Care Act 2012 is amended as follows.

**Commencement Information**

**I490** Sch. 6 para. 127 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 128 (1) Section 101 (licence condition modification references) is amended as follows.
- (2) In subsection (2)—
- (a) for “Competition Commission” substitute “CMA”, and
- (b) for “the Commission” substitute “the CMA”.
- (3) In subsection (4)—
- (a) for “Competition Commission” substitute “CMA”, and
- (b) for “the Commission” substitute “the CMA”.
- (4) After subsection (4) insert—

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“(4A) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of changes following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by paragraph 10 of Schedule 10).”

(5) In subsections (5) and (6), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

(6) In the heading, for “Competition Commission” substitute “ CMA ”.

#### Commencement Information

**I491** Sch. 6 para. 128 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

129 In section 102 (modification of conditions by order under other enactments), in subsection (1), for “Office of Fair Trading, Competition Commission” substitute “ CMA ”.

#### Commencement Information

**I492** Sch. 6 para. 129 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

130 In section 103 (standard condition as to transparency of certain criteria), in subsection (3)—

- (a) in paragraph (b), for “Competition Commission” substitute “ CMA ”, and
- (b) in paragraph (c), for “Office of Fair Trading, Competition Commission” substitute “ CMA ”.

#### Commencement Information

**I493** Sch. 6 para. 130 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

131 (1) Section 120 (responses to consultation on proposals for national tariff) is amended as follows.

(2) In subsections (1) and (4), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

(3) In subsection (5), omit the words from the beginning to “instead,”.

(4) After subsection (5), insert—

“(5A) Except where specified otherwise in Schedule 12, the functions of the CMA with respect to a reference under this section (including functions relating to the making of changes following a determination on a reference) are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”

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**Commencement Information**

**I494** Sch. 6 para. 131 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 132 (1) Section 121 (determination on reference under section 120) is amended as follows.
- (2) In subsections (1) to (3), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (3) In subsections (4) to (6) and (8) to (10), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I495** Sch. 6 para. 132 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 133 In section 122 (changes following determination on reference under section 120), in subsections (1) and (2), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I496** Sch. 6 para. 133 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 134 (1) Section 123 (power to veto changes proposed under section 122) is amended as follows.
- (2) In subsection (1), for “Competition Commission” substitute “CMA”.
- (3) In subsection (3), for “Commission” substitute “CMA”.
- (4) In subsection (4), for “Competition Commission” substitute “CMA”.
- (5) In subsections (5) to (7), for “Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I497** Sch. 6 para. 134 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 135 (1) Section 142 (responses to consultation on proposal to impose a levy) is amended as follows.
- (2) In subsections (1) and (4), for “Competition Commission” (in each place where it occurs) substitute “CMA”.
- (3) After subsection (4) insert—
- “(4A) The functions of the CMA with respect to a reference under this section are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of changes following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by paragraph 10 of Schedule 10).”

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- (4) In subsections (5) and (7), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I498** Sch. 6 para. 135 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 136 (1) Section 149 (electronic communications) is amended as follows.
- (2) In subsection (2)—
- (a) for “Competition Commission” substitute “CMA”, and
- (b) for “the Commission” substitute “the CMA”.
- (3) In subsections (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I499** Sch. 6 para. 136 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 137 In section 150 (interpretation etc. of Part 3), in subsection (1), after the definition of “anti-competitive behaviour” insert—
- ““the CMA” means the Competition and Markets Authority;”.

**Commencement Information**

**I500** Sch. 6 para. 137 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 138 (1) Schedule 10 (references by Monitor under section 101 or 142) is amended as follows.
- (2) In the heading, for “Competition Commission” substitute “CMA”.
- (3) In paragraph 1—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “CMA”, and
- (b) in sub-paragraph (2), for “the Commission” substitute “the CMA”.
- (4) In paragraph 2, for “Competition Commission” substitute “CMA”.
- (5) In paragraph 4—
- (a) in sub-paragraph (1)—
- (i) for “Competition Commission” substitute “CMA”, and
- (ii) for “the Commission” (in each place where it occurs) substitute “the CMA”, and
- (b) in sub-paragraph (2), for “Commission” substitute “CMA”.
- (6) In paragraph 5—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “CMA”,
- (b) in sub-paragraph (3), for “Commission” substitute “CMA”, and
- (c) in sub-paragraph (4), for “Competition Commission” substitute “CMA”.

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- (7) In paragraph 6—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ CMA ”,
  - (b) in sub-paragraph (2)—
    - (i) for “Commission” substitute “ CMA ”, and
    - (ii) for the words from “in connection with” to the end substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”, and
  - (c) in sub-paragraph (4), for “Commission” substitute “ CMA ”.
- (8) In paragraph 7, in sub-paragraphs (1) and (8), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (9) In the italic cross-heading preceding paragraph 8, for “Competition Commission's” substitute “CMA's”.
- (10) In paragraph 8—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ CMA ”, and
  - (b) in sub-paragraphs (3) to (5), (7), (8) and (10) to (12), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (11) In paragraph 9—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ CMA ”, and
  - (b) in sub-paragraphs (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (12) In paragraph 10, in sub-paragraph (2), for “Competition Commission” substitute “ CMA ”.

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**Commencement Information**

**I501** Sch. 6 para. 138 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 139 (1) Schedule 12 (procedure on references under section 120) is amended as follows.
- (2) In paragraph 2, in sub-paragraph (1), for “Competition Commission” substitute “ CMA ”.
  - (3) In the italic cross-heading preceding paragraph 3, for “Commission” substitute “ CMA ”.
  - (4) In paragraph 3—
    - (a) omit sub-paragraph (1),
    - (b) in sub-paragraph (2)—
      - (i) for “selected under this paragraph” substitute “ constituted by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 for the purpose of carrying out functions of the CMA with respect to a reference under section 120 ”, and
      - (ii) for “Commission” substitute “ CMA panel ”,
    - (c) omit sub-paragraphs (3) to (6), and
    - (d) in sub-paragraph (7), for “a group” substitute “ the group ”.

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- (5) In paragraph 4—
- (a) in sub-paragraph (1), for the words from the beginning to “the determination” substitute “ The CMA must make its determination on a reference ”,
  - (b) in sub-paragraph (2), for “that group” substitute “ the CMA ”, and
  - (c) in sub-paragraph (3), for “Competition Commission” substitute “ CMA ”.
- (6) In paragraph 5, in sub-paragraph (1)—
- (a) for “group with the function of determining a reference” substitute “ CMA ”, and
  - (b) for “of the appeal” substitute “ on a reference ”.
- (7) In paragraph 6, in sub-paragraphs (1) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
- (8) In paragraph 7—
- (a) in sub-paragraph (1), for “a group with the function of making a determination on a reference under section 120” substitute “ the CMA ”,
  - (b) in sub-paragraph (2), for “A group with that function” substitute “ The CMA ”,
  - (c) in sub-paragraph (3), for “Competition Commission” substitute “ CMA ”,
  - (d) in sub-paragraph (4)—
    - (i) for “Competition Commission” substitute “ CMA ”, and
    - (ii) in paragraph (b), after “evidence” insert “ to it ” and omit “to a group with that function”,
  - (e) in sub-paragraph (5), for “group conducting the hearing” substitute “ CMA ”,
  - (f) in sub-paragraph (8)—
    - (i) in paragraph (a), for “Competition Commission” substitute “ CMA ”, and
    - (ii) in paragraph (b), for “group conducting the hearing” substitute “ CMA ”, and
  - (g) in sub-paragraph (9), for “Competition Commission” substitute “ CMA ”.
- (9) In paragraph 8, in sub-paragraph (1)—
- (a) for “Commission” substitute “ CMA ”, and
  - (b) for “a group with the function of making a determination on a reference under section 120” substitute “ the CMA ”.
- (10) In paragraph 9, in sub-paragraph (2), for “Commission” substitute “ group constituted for the purpose of carrying out functions of the CMA with respect to the reference to which the notice or requirement relates ”.
- (11) In paragraph 10, in sub-paragraph (2) for the words from “Competition Commission's” to the end substitute “ CMA's behalf by a member of the group constituted for the purpose of carrying out functions of the CMA with respect to the reference to which the notice relates ”.
- (12) In paragraph 11—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ CMA Board ”, and
  - (b) in sub-paragraphs (4) and (5), for “Commission” substitute “ CMA Board ”.



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(13) In paragraph 12—

(a) for sub-paragraph (1) substitute—

“(1) Where the CMA makes a determination on a reference under section 120 it must make an order requiring the payment to it of the costs it incurred in connection with the reference.”, and

(b) in sub-paragraph (5)—

(i) for “The group that makes a determination on a reference under section 120” substitute “ The CMA ”, and

(ii) for “the determination” substitute “ a determination on a reference under section 120 ”.

**Commencement Information**

**I502** Sch. 6 para. 139 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Civil Aviation Act 2012 (c. 19)*

140 The Civil Aviation Act 2012 is amended as follows.

**Commencement Information**

**I503** Sch. 6 para. 140 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

141 (1) Section 24 (appeals: conditions of new licences) is amended as follows.

(2) In subsections (1), (3) and (5), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.

(3) In the heading, for “Competition Commission” substitute “ Competition and Markets Authority ”.

**Commencement Information**

**I504** Sch. 6 para. 141 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

142 (1) Section 25 (appeals: modification of licence conditions) is amended as follows.

(2) In subsections (1), (3), (5) and (7), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.

(3) In the heading, for “Competition Commission” substitute “ Competition and Markets Authority ”.

**Commencement Information**

**I505** Sch. 6 para. 142 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

143 In section 26 (when appeals may be allowed), for “Competition Commission” substitute “ Competition and Markets Authority ”.

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#### Commencement Information

**I506** Sch. 6 para. 143 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 144 (1) Section 27 (determination of appeal) is amended as follows.
- (2) In subsection (1) for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (3) In subsection (2)—
- (a) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
- (b) for “the Commission” substitute “ the Competition and Markets Authority ”.
- (4) In subsection (4)—
- (a) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
- (b) for “the Commission” substitute “ the Competition and Markets Authority ”.
- (5) In subsection (5), for “Competition Commission” substitute “ Competition and Markets Authority ”.

#### Commencement Information

**I507** Sch. 6 para. 144 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 145 (1) Section 28 (determination of appeal: time limits) is amended as follows.
- (2) In subsections (1), (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.
- (3) In subsection (5)—
- (a) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
- (b) for “the Commission” substitute “ the Competition and Markets Authority ”.
- (4) In subsections (6) to (8), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.

#### Commencement Information

**I508** Sch. 6 para. 145 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 146 (1) Section 29 (determination of appeal: publication etc) is amended as follows.
- (2) In subsection (1)—
- (a) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
- (b) for “the Commission” substitute “ the Competition and Markets Authority ”.
- (3) In subsections (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.

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- (4) In subsection (5)—
- (a) for “Competition Commission” substitute “ Competition and Markets Authority ” and
  - (b) for “Commission's opinion” (in each place where those words occur) substitute “ opinion of the Competition and Markets Authority ”.

**Commencement Information**

**I509** Sch. 6 para. 146 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 147 (1) Section 30 (procedure on appeals) is amended as follows.
- (2) In subsection (2), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (3) For subsection (4) substitute—
- “(4) Except where specified otherwise in Schedule 2, the functions of the Competition and Markets Authority with respect to an appeal under section 24 or 25 are to be carried out on behalf of the Competition and Markets Authority by a group constituted for the purpose, by the chair of the Competition and Markets Authority, under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”

**Commencement Information**

**I510** Sch. 6 para. 147 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 148 (1) Schedule 2 (appeals under sections 24 and 25) is amended as follows.
- (2) In paragraph 2—
- (a) in sub-paragraph (1)—
    - (i) for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (b) in sub-paragraphs (5) and (6), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”,
  - (c) in sub-paragraph (7), for “The Competition Commission” substitute “ An authorised member of the Competition and Markets Authority ”, and
  - (d) in sub-paragraph (8), in paragraph (d), for “Commission” substitute “ authorised member ”.
- (3) In paragraph 3, in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (4) In paragraph 4—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”,
  - (b) in sub-paragraph (2), for “on which the Competition Commission publishes its” substitute “of publication of the Competition and Markets Authority's”, and

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- (c) in sub-paragraph (3), for “Competition Commission” substitute “Competition and Markets Authority”.
- (5) In paragraph 5—
  - (a) in sub-paragraph (1)—
    - (i) for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (b) in sub-paragraph (2), for “Competition Commission” substitute “Competition and Markets Authority ”,
  - (c) in sub-paragraph (4), for “The Competition Commission must” substitute “An authorised member of the Competition and Markets Authority must ”, and
  - (d) in sub-paragraph (5), in paragraph (d), for “Commission” substitute “ authorised member ”.
- (6) In paragraph 6, in sub-paragraph (3), for “Competition Commission” substitute “Competition and Markets Authority ”.
- (7) In paragraph 7, in sub-paragraph (3), for “Competition Commission” substitute “Competition and Markets Authority ”.
- (8) In paragraph 8, in sub-paragraph (3), for “Competition Commission” substitute “Competition and Markets Authority ”.
- (9) In paragraph 9, in sub-paragraph (1), for “Competition Commission” substitute “Competition and Markets Authority ”.
- (10) In paragraph 10, in sub-paragraph (3), for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”.
- (11) In paragraph 11—
  - (a) in sub-paragraph (1)—
    - (i) for “Competition Commission's functions” substitute “ functions of the Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (b) in sub-paragraph (2), for “Competition Commission” substitute “Competition and Markets Authority ”, and
  - (c) in sub-paragraph (4)—
    - (i) for “The Competition Commission” substitute “ An authorised member of the Competition and Markets Authority ”, and
    - (ii) for first “it” substitute “ he or she ”.
- (12) In paragraph 12, in sub-paragraph (1), for “Competition Commission” substitute “Competition and Markets Authority ”.
- (13) In paragraph 13, in sub-paragraph (3), for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”.
- (14) In paragraph 14—
  - (a) in sub-paragraph (1)—

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- (i) for “Competition Commission's functions” substitute “ functions of the Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (b) in sub-paragraph (2), for “Competition Commission” substitute “ Competition and Markets Authority ”, and
  - (c) in sub-paragraph (4)—
    - (i) for “The Competition Commission” substitute “ An authorised member of the Competition and Markets Authority ”, and
    - (ii) for first “it” substitute “ he or she ”.
- (15) In paragraph 15—
  - (a) in sub-paragraph (1), for “The Competition Commission must” substitute “ An authorised member of the Competition and Markets Authority must ”,
  - (b) in sub-paragraph (2), for “the Competition Commission must comply with sub-paragraph (1)” substitute “ the requirements of sub-paragraph (1) must be complied with ”,
  - (c) in sub-paragraph (3), for “The Competition Commission must” substitute “ An authorised member of the Competition and Markets Authority must ”, and
  - (d) in sub-paragraph (4), in paragraph (d), for “Commission” substitute “ authorised member ”.
- (16) In paragraph 16—
  - (a) in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”, and
  - (b) in sub-paragraph (4), in paragraph (a), for “the Competition Commission” substitute “ an authorised member of the Competition and Markets Authority ”.
- (17) In paragraph 17—
  - (a) omit sub-paragraph (1),
  - (b) in sub-paragraph (2)—
    - (i) for “The group” substitute “ A group constituted by the chair of the Competition and Markets Authority, under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, for the purpose of carrying out functions of the Competition and Markets Authority with respect to an appeal under section 24 or 25 ”, and
    - (ii) for “Competition Commission” substitute “ CMA panel ”, and
  - (c) omit sub-paragraphs (3) and (4).
- (18) Omit paragraph 18.
- (19) In paragraph 19, in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (20) In paragraph 20—
  - (a) in sub-paragraph (1)—
    - (i) for “A group with the function of determining an appeal” substitute “ The Competition and Markets Authority ”,
    - (ii) for “the appeal” substitute “ an appeal ”, and

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- (iii) for “Competition Commission” substitute “ Competition and Markets Authority ”,
  - (b) in sub-paragraph (3)—
    - (i) for “A group with the function of determining an appeal” substitute “ The Competition and Markets Authority ”, and
    - (ii) for “the appeal” substitute “ an appeal ”, and
  - (c) in sub-paragraph (4)—
    - (i) for “A group with the function of determining an appeal” substitute “ The Competition and Markets Authority ”, and
    - (ii) for “the appeal” substitute “ an appeal ”.
- (21) In paragraph 21, in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (22) In paragraph 22—
- (a) in sub-paragraphs (1) and (2), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”,
  - (b) in sub-paragraph (3), for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”,
  - (c) in sub-paragraph (4)—
    - (i) for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (d) in sub-paragraphs (5) and (6), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”,
  - (e) in sub-paragraph (7)—
    - (i) for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”, and
    - (ii) for “Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”, and
  - (f) in sub-paragraph (9)—
    - (i) for “Competition Commission's decision” substitute “ decision of the Competition and Markets Authority ”, and
    - (ii) for “Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”.
- (23) In paragraph 23, in sub-paragraph (1), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (24) In paragraph 24—
- (a) in sub-paragraph (1)—
    - (i) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”, and
  - (b) in sub-paragraph (4), for the words from “on the” to the end substitute “ on behalf of the Competition and Markets Authority by an authorised member of the Competition and Markets Authority ”.
- (25) In paragraph 25—

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- (a) in sub-paragraph (1), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”,
  - (b) in sub-paragraph (3), for “The Competition Commission” substitute “ An authorised member of the Competition and Markets Authority ”,
  - (c) in sub-paragraph (7), in paragraph (a), for “the Competition Commission is not required” substitute “ there is no requirement ”,
  - (d) in sub-paragraph (9), for “the Competition Commission must pay the person” substitute “ an authorised member of the Competition and Markets Authority must arrange for the person to be paid ”, and
  - (e) in sub-paragraph (10), for the words from “on the” to the end substitute “ on behalf of the Competition and Markets Authority by an authorised member of the Competition and Markets Authority ”.
- (26) In paragraph 26—
- (a) in sub-paragraph (1), for “Competition Commission” (in each place where it occurs) substitute “ Competition and Markets Authority ”, and
  - (b) in sub-paragraph (5), for the words from “on the” to the end substitute “ on behalf of the Competition and Markets Authority by an authorised member of the Competition and Markets Authority ”.
- (27) In paragraph 27, for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (28) In paragraph 28, in sub-paragraph (2), for “A member of the Competition Commission” substitute “ An authorised member of the Competition and Markets Authority ”.
- (29) In paragraph 30—
- (a) in sub-paragraphs (1) to (4), for “the Competition Commission” (in each place where it occurs) substitute “ the Competition and Markets Authority ”,
  - (b) after sub-paragraph (4), insert—
    - “(4A) For the purposes of sub-paragraphs (1) to (4), the consent of the Competition and Markets Authority is to be given by an authorised member of the Competition and Markets Authority.”, and
  - (c) in sub-paragraph (6), for “Competition Commission” substitute “ Competition and Markets Authority ”.
- (30) In paragraph 31, in sub-paragraphs (1), (5) and (6), for “Competition Commission” (in each place where it occurs) substitute “ CMA Board ”.
- (31) In paragraph 32—
- (a) in sub-paragraph (1)—
    - (i) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”,
  - (b) in sub-paragraph (2)—
    - (i) for “Competition Commission” substitute “ Competition and Markets Authority ”, and
    - (ii) for “the Commission” substitute “ the Competition and Markets Authority ”, and

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- (c) in sub-paragraphs (3) and (4), for “Competition Commission” (in each place where it occurs) substitute “group”.
- (32) In paragraph 34—
- (a) for “Competition Commission” substitute “Competition and Markets Authority or a member of the Competition and Markets Authority”, and
- (b) “it must publish or send it” substitute “it must be published or sent”.
- (33) In paragraph 35, in sub-paragraph (1)—
- (a) for the definition of “authorised member of the Competition Commission” substitute—
- ““authorised member of the Competition and Markets Authority—
- (a) in relation to a power exercisable in connection with an appeal or application or direction in respect of which a group has been constituted by the chair of the Competition and Markets Authority under Schedule 4 to the Enterprise and Regulatory Reform Act 2013, means a member of that group who has been authorised by the chair of the Competition and Markets Authority to exercise that power;
- (b) in relation to a power exercisable in connection with an application for permission to bring an appeal, or otherwise in connection with an appeal or application or direction in respect of which a group has not been so constituted by the chair of the Competition and Markets Authority, means—
- (i) any member of the CMA Board who is also a member of the CMA panel, or
- (ii) any member of the CMA panel authorised by the Secretary of State (whether generally or specifically) to exercise the power in question;”
- (b) omit the definition of “Chairman”,
- (c) omit the definition of “a group”, and
- (d) before the definition of “intervener” insert—
- ““CMA Board” and “CMA panel” have the same meaning as in Schedule 4 to the Enterprise and Regulatory Reform Act 2013;”.

#### Commencement Information

**I511** Sch. 6 para. 148 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

## PART 2

### AMENDMENTS TO OTHER ENACTMENTS

*Electricity (Northern Ireland) Order 1992 (SI 1992/231 (N.I. 1))*



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#### Commencement Information

**I512** Sch. 6 para. 149 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

150 In article 2 (interpretation), in paragraph (2), before the definition of “the Department” insert—

“ “the CMA” means the Competition and Markets Authority;”.

#### Commencement Information

**I513** Sch. 6 para. 150 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

151 (1) Article 15 (modification references) is amended as follows.

(2) In paragraph (1)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(3) In paragraph (2)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(4) In paragraph (3), for “Competition Commission” substitute “ CMA ”.

(5) In paragraph (5)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.

(6) In paragraph (6)—

- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.

(7) In paragraph (7), for “Competition Commission” substitute “ CMA ”.

(8) After paragraph (7) insert—

“(7A) The functions of the CMA with respect to a reference under this Article are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by Articles 15B and 17A).”

(9) In paragraph (9), for the words from “members” to the end substitute “ persons to membership of the CMA for the purpose of being available for selection as members of a group constituted to carry out functions on behalf of the CMA with respect to a reference under this Article ”.

(10) In paragraph (9A), for “selecting” substitute “ constituting ”.

(11) In the heading, for “Monopolies Commission” substitute “ CMA ”.

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**Commencement Information**

**I514** Sch. 6 para. 151 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 152 In article 15A (references under article 15: time limits), in paragraphs (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I515** Sch. 6 para. 152 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 153 (1) Article 16 (reports on modification references) is amended as follows.
- (2) In paragraph (1), for “Competition Commission” substitute “CMA”.
- (3) In paragraph (1A)—
- (a) for “Competition Commission” substitute “CMA”, and
  - (b) for the words from “in connection with” to the end substitute “by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference”.
- (4) In paragraphs (1B), (3) to (3C) and (4), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I516** Sch. 6 para. 153 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 154 In article 17 (modification following report), in paragraphs (1) and (5), for “Competition Commission” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I517** Sch. 6 para. 154 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 155 (1) Article 17A (power to veto modifications following report) is amended as follows.
- (2) In paragraph (1), for the words from the beginning to “Commission”)” substitute “The CMA”.
- (3) In paragraphs (2) to (8), (10) to (12), (14) and (16), for “Commission” (in each place where it occurs) substitute “CMA”.
- (4) In the heading, for “Competition Commission's” substitute “CMA's”.

**Commencement Information**

**I518** Sch. 6 para. 155 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 156 In article 18 (modification by order under other statutory provisions), in paragraph (1), for “Office of Fair Trading, the Competition Commission” substitute “CMA”.

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**Commencement Information**

**I519** Sch. 6 para. 156 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 157 (1) Article 53 (annual and other reports) is amended as follows.
- (2) In paragraph (1)(a)(ii), for “Competition Commission” substitute “CMA’s”.
- (3) Omit paragraph (6).

**Commencement Information**

**I520** Sch. 6 para. 157 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Gas (Northern Ireland) Order 1996 (SI 1996/275 (N.I.2))*

- 158 The Gas (Northern Ireland) Order 1996 is amended as follows.

**Commencement Information**

**I521** Sch. 6 para. 158 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 159 In article 2 (interpretation), in paragraph (2), before the definition of “construction” insert—
- ““the CMA” means the Competition and Markets Authority;”.

**Commencement Information**

**I522** Sch. 6 para. 159 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 160 (1) Article 15 (modification references) is amended as follows.
- (2) In paragraph (1)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.
- (3) In paragraph (2)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.
- (4) In paragraph (3)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.
- (5) In paragraph (4), for “Competition Commission” substitute “ CMA ”.
- (6) In paragraph (6)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for “the Commission” substitute “ the CMA ”.
- (7) In paragraph (7)—

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- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.
- (8) In paragraph (8), for “Competition Commission” substitute “ CMA ”.
- (9) After paragraph (8) insert—
- “(8A) The functions of the CMA with respect to a reference under this Article are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by Articles 15B and 17A).”
- (10) In the heading, for “Monopolies Commission” substitute “ Competition and Markets Authority ”.

**Commencement Information**

**I523** Sch. 6 para. 160 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 161 In article 15A (references under article 15: time limits), in paragraphs (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I524** Sch. 6 para. 161 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 162 (1) Article 16 (reports on modification references) is amended as follows.
- (2) In paragraph (1), for “Competition Commission” substitute “ CMA ”.
  - (3) In paragraph (1A)—
    - (a) for “Competition Commission” substitute “ CMA ”, and
    - (b) for the words from “in connection with” to the end substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”.
  - (4) In paragraphs (1B), (3) to (3C) and (4), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I525** Sch. 6 para. 162 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 163 In article 17 (modification following report), in paragraphs (1) and (5A), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I526** Sch. 6 para. 163 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 164 (1) Article 17A (power to veto modifications following report) is amended as follows.

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- (2) In paragraph (1), for the words from the beginning to “Commission”)” substitute “The CMA ”.
- (3) In paragraphs (2) to (10), (13) to (15), (17) and (19), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In the heading, for “Competition Commission's” substitute “CMA's”.

**Commencement Information**

**I527** Sch. 6 para. 164 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 165 In article 18 (modification by order under other statutory provisions), in paragraph (1), for “Office of Fair Trading, the Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I528** Sch. 6 para. 165 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 166 (1) Article 32 (annual and other reports) is amended as follows.
- (2) In paragraph (1)(a)(ii), for “Monopolies Commission's” substitute “CMA's”.
  - (3) Omit paragraph (6).

**Commencement Information**

**I529** Sch. 6 para. 166 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Energy (Northern Ireland) Order 2003 (SI 2003/419 (N.I. 6))*

- 167 The Energy (Northern Ireland) Order 2003 is amended as follows.

**Commencement Information**

**I530** Sch. 6 para. 167 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 168 (1) Article 6 (annual and other reports of the Authority) is amended as follows.
- (2) In paragraph (1)(b), for “Competition Commission” substitute “ Competition and Markets Authority ”.
  - (3) Omit paragraph (10).

**Commencement Information**

**I531** Sch. 6 para. 168 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 169 In article 38 (modification of licences), in paragraphs (1) and (2), for “, the Office of Fair Trading or the Competition Commission” (in each place where those words occur) substitute “ or the Competition and Markets Authority ”.

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### Commencement Information

**I532** Sch. 6 para. 169 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 170 (1) Schedule 2 (orders altering licensable activities) is amended as follows.
- (2) In paragraph 1, after sub-paragraph (3), insert—
- “(4) In this Schedule, “the CMA” means the Competition and Markets Authority.”
- (3) In paragraph 2—
- (a) in sub-paragraph (4), for “Competition Commission” substitute “ CMA ”, and
- (b) in sub-paragraphs (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In the italic cross-heading preceding paragraph 3, for “Competition Commission” substitute “ CMA ”.
- (5) In paragraph 3—
- (a) in sub-paragraph (1)—
- (i) for “Competition Commission” substitute “ CMA ”, and
- (ii) for “the Commission” substitute “ the CMA ”,
- (b) in sub-paragraphs (2), (3), (5) and (6), for “Commission” (in each place where it occurs) substitute “ CMA ”, and
- (c) after sub-paragraph (6), insert—
- “(7) The functions of the CMA with respect to a reference under this paragraph (including functions under sections 109 to 115 of the Enterprise Act 2002, as applied by paragraph 5) are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013.”
- (6) In paragraph 4—
- (a) in sub-paragraph (2), for “Competition Commission” substitute “ CMA ”, and
- (b) in sub-paragraph (3), for “Commission” substitute “ CMA ”.
- (7) In paragraph 5, in sub-paragraph (4)—
- (a) for “Competition Commission” substitute “ CMA ”, and
- (b) for the words from “the words” to the end, substitute “ for the words “, OFCOM or the Secretary of State” there were substituted “or OFCOM” ”.
- (8) In paragraph 6—
- (a) in sub-paragraph (1), for “Competition Commission” substitute “ CMA ”,
- (b) in sub-paragraphs (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”,
- (c) in sub-paragraph (4)—
- (i) for “Commission” substitute “ CMA ”, and

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- (ii) for the words from “in connection with” to the end substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference ”, and
- (d) in sub-paragraphs (5) to (9) and (11), for “Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

I533 Sch. 6 para. 170 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Water Services etc (Scotland) Act 2005 (Consequential Provisions and Modifications) Order 2005 (SI 2005/3172)*

- 171 The Water Services etc (Scotland) Act 2005 (Consequential Provisions and Modifications) Order 2005 is amended as follows.

**Commencement Information**

I534 Sch. 6 para. 171 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 172 In article 2 (interpretation), in paragraph (1), for the definition of “the Commission” substitute—

““the CMA” means the Competition and Markets Authority;”.

**Commencement Information**

I535 Sch. 6 para. 172 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 173 (1) Article 3 (references) is amended as follows.
- (2) In paragraphs (1), (5) to (9), and (11) and (12), for “Commission” substitute “ CMA ”.
  - (3) After paragraph (12) insert—
    - “(13) The functions of the CMA with respect to a reference under this article are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by articles 5 and 10).”
  - (4) In the heading, for “Commission” substitute “ CMA ”.

**Commencement Information**

I536 Sch. 6 para. 173 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 174 In article 4 (references: time limits), in paragraphs (2) and (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

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#### Commencement Information

**I537** Sch. 6 para. 174 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

175 In article 5 (references: powers of investigation), in paragraph (4)(a), for paragraph (i) substitute—

“(i) the words “, OFCOM or the Secretary of State” were omitted;”.

#### Commencement Information

**I538** Sch. 6 para. 175 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

176 (1) Article 6 (consultation on proposals) is amended as follows.

(2) In paragraphs (1) to (3), for “Commission” (in each place where it occurs) substitute “CMA”.

(3) In the heading, for “Commission” substitute “CMA”.

#### Commencement Information

**I539** Sch. 6 para. 176 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

177 (1) Article 7 (reports on references) is amended as follows.

(2) In paragraphs (1) to (5), for “Commission” (in each place where it occurs) substitute “CMA”.

(3) In paragraph (6)—

(a) for “Commission” substitute “CMA”, and

(b) for the words from “constituted,” to the end substitute “constituted by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference”.

(4) In paragraphs (7) and (8), for “Commission” (in each place where it occurs) substitute “CMA”.

(5) In paragraph (10), for “Commission's” substitute “CMA's”.

(6) In paragraph (12), for “Commission” substitute “CMA”.

#### Commencement Information

**I540** Sch. 6 para. 177 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

178 (1) Article 8 (modifications following report) is amended as follows.

(2) In paragraph (1), for “Commission” substitute “CMA”.

(3) In paragraph (2)—

(a) for “Commission” substitute “CMA”, and

(b) for “Commission's” substitute “CMA's”.



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(4) In paragraph (3), for “Commission’s” substitute “CMA’s”.

(5) In paragraph (6), for “Commission” substitute “ CMA ”.

**Commencement Information**

**I541** Sch. 6 para. 178 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

179 (1) Article 9 (power of veto following report) is amended as follows.

(2) In paragraphs (1) to (3), for “Commission” (in each place where it occurs) substitute “ CMA ”.

(3) In paragraph (4)—

- (a) for “Commission” (in each place where it occurs) substitute “ CMA ”, and
- (b) for “Commission’s” (in each place where it occurs) substitute “CMA’s”.

(4) In paragraphs (5) to (8), for “Commission” (in each place where it occurs) substitute “ CMA ”.

(5) In the heading, for “Commission’s” substitute “CMA’s”.

**Commencement Information**

**I542** Sch. 6 para. 179 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

180 (1) Article 10 (article 9: supplementary) is amended as follows.

(2) In paragraphs (2), (3) and (5), for “Commission” (in each place where it occurs) substitute “ CMA ”.

(3) In paragraph (6)(a), for paragraph (i) substitute—

“(i) the words “, OFCOM or the Secretary of State” were omitted;”.

**Commencement Information**

**I543** Sch. 6 para. 180 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Water and Sewerage Services (Northern Ireland) Order 2006 (SI 2006/3336 N.I. 21)*

181 The Water and Sewerage Services (Northern Ireland) Order 2006 is amended as follows.

**Commencement Information**

**I544** Sch. 6 para. 181 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

182 In article 2 (interpretation), in paragraph (2)—

(a) after the definition of “the Authority”, insert—

“ “the CMA” means the Competition and Markets Authority;”, and

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- (b) omit the definition of “the OFT”.

**Commencement Information**

**I545** Sch. 6 para. 182 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 183 (1) Article 19 (determinations under conditions of appointment) is amended as follows.

- (2) In paragraph (2)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “the Commission” substitute “ the CMA ”.

- (3) In paragraph (3)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “that Commission” (in each place where it occurs) substitute “ the CMA ”.

- (4) In paragraph (4)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.

- (5) In paragraph (5), for “Competition Commission” substitute “ CMA ”.

- (6) In paragraph (6)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “the Commission” substitute “ the CMA ”.

- (7) After paragraph (6) insert—

“(7) The functions of the CMA with respect to a reference under this Article are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act, as applied by paragraph (5) read with Article 27).”

**Commencement Information**

**I546** Sch. 6 para. 183 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 184 (1) Article 21 (modification references) is amended as follows.

- (2) In paragraph (1)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “the Commission” substitute “ the CMA ”.

- (3) In paragraph (2)—

- (a) for “Competition Commission” substitute “ CMA ”, and  
(b) for “the Commission” substitute “ the CMA ”.

- (4) In paragraph (3), for “Competition Commission” substitute “ CMA ”.

- (5) In paragraph (5)—

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- (a) for “Competition Commission” substitute “ CMA ”, and
  - (b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.
- (6) In paragraph (6), for “Competition Commission” substitute “ CMA ”.
- (7) After paragraph (6) insert—
- “(7) The functions of the CMA with respect to a reference under this Article are to be carried out on behalf of the CMA by a group constituted for the purpose by the chair of the CMA under Schedule 4 to the Enterprise and Regulatory Reform Act 2013 (including functions relating to the making of modifications following a report on a reference, and functions under sections 109 to 115 of the Enterprise Act 2002, as applied by Articles 23 and 27).”
- (8) In the heading, for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I547** Sch. 6 para. 184 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 185 In article 22 (references under article 21: time limits), in paragraphs (2) and (3), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I548** Sch. 6 para. 185 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 186 (1) Article 24 (reports on modification references) is amended as follows.
- (2) In paragraph (1), for “Competition Commission” substitute “ CMA ”.
  - (3) In paragraph (2)—
    - (a) for “Competition Commission” substitute “ CMA ”, and
    - (b) for the words from “in connection with” to the end, substitute “ by the chair of the CMA for the purpose of carrying out the functions of the CMA with respect to the reference in question ”.
  - (4) In paragraphs (3) to (5), for “Competition Commission” (in each place where it occurs) substitute “ CMA ”.
  - (5) In paragraphs (6) and (7), for “Commission” (in each place where it occurs) substitute “ CMA ”.
  - (6) In paragraph (9), for “Competition Commission” substitute “ CMA ”.

**Commencement Information**

**I549** Sch. 6 para. 186 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 187 In article 25 (modifications following report), in paragraphs (1) and (5), for “Competition Commission” substitute “ CMA ”.

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#### Commencement Information

**I550** Sch. 6 para. 187 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 188 (1) Article 26 (power of veto following report) is amended as follows.
- (2) In paragraph (1), for “Competition Commission” substitute “ CMA ”.
- (3) In paragraphs (2) to (9), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In the heading, for “Commission's” substitute “CMA's”.

#### Commencement Information

**I551** Sch. 6 para. 188 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 189 (1) Article 27 (power of veto following report: supplementary) is amended as follows.
- (2) In paragraph (2), for “Competition Commission” substitute “ CMA ”.
- (3) In paragraphs (3), (4), (6) and (8), for “Commission” (in each place where it occurs) substitute “ CMA ”.
- (4) In the heading, for “Commission's” substitute “CMA's”.

#### Commencement Information

**I552** Sch. 6 para. 189 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 190 In article 28 (modification by order under other statutory provisions), in paragraph (1)—
- (a) for “OFT, the Competition Commission” substitute “ CMA ”, and
- (b) for “OFT, the Commission” substitute “ CMA ”.

#### Commencement Information

**I553** Sch. 6 para. 190 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 191 In article 57 (restrictions on disclosure of information), in sub-paragraph (7), for “Competition Commission” substitute “ CMA ”.

#### Commencement Information

**I554** Sch. 6 para. 191 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Legal Services (Scotland) Act 2010 (asp 16)*

- 192 The Legal Services (Scotland) Act 2010 is amended as follows.

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**Commencement Information**

**I555** Sch. 6 para. 192 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

193 In section 8 (pre-approval consideration), in subsection (1)(b), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I556** Sch. 6 para. 193 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

194 In section 15 (initial considerations), in subsections (1) and (2), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I557** Sch. 6 para. 194 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

195 In section 28 (communicating outside), in subsection (1)(e), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I558** Sch. 6 para. 195 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

196 In section 49 (majority ownership), in subsection (5)(b)(iii), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I559** Sch. 6 para. 196 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

197 (1) Section 76 (input) is amended as follows.  
(2) In subsections (1) to (3), for “OFT” (in each place where it occurs) substitute “ CMA ”.  
(3) In the heading, for “OFT” substitute “ CMA ”.

**Commencement Information**

**I560** Sch. 6 para. 197 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

198 In section 92 (certification of bodies), in subsections (4) and (5), for “OFT” (in each place where it occurs) substitute “ CMA ”.

**Commencement Information**

**I561** Sch. 6 para. 198 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 199 In section 103 (certification of bodies), in subsections (4) and (5), for “OFT” (in each place where it occurs) substitute “CMA”.

**Commencement Information**

**I562** Sch. 6 para. 199 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 200 (1) Section 113 (regard to input) is amended as follows.
- (2) In subsections (1) to (3), for “OFT” (in each place where it occurs) substitute “CMA”.
- (3) In the heading, for “OFT” substitute “CMA”.

**Commencement Information**

**I563** Sch. 6 para. 200 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 201 In section 122 (particular rules), in subsection (2), for “OFT” substitute “CMA”.

**Commencement Information**

**I564** Sch. 6 para. 201 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 202 In section 125 (citizens advice bodies), in subsection (4)(b), for “OFT” substitute “CMA”.

**Commencement Information**

**I565** Sch. 6 para. 202 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 203 In section 147 (further modification), in subsection (3)(d), for “OFT” substitute “CMA”.

**Commencement Information**

**I566** Sch. 6 para. 203 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 204 (1) In section 149 (definitions), in subsection (1)—
- (a) after the definition of “the 2007 Act” insert—
- ““CMA” means Competition and Markets Authority,” and”
- (b) omit the definition of “OFT”.

**Commencement Information**

**I567** Sch. 6 para. 204 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 205 In schedule 2 (directions), in paragraph 4, in sub-paragraph (3), for “OFT” (in each place where it occurs) substitute “CMA”.

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**Commencement Information**

**I568** Sch. 6 para. 205 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 206 In schedule 5 (amendment of authorisation), in paragraph 4, in sub-paragraph (2)(b)(i), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I569** Sch. 6 para. 206 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 207 In schedule 6 (rescission of authorisation), in paragraph 4, in sub-paragraph (2)(b)(i), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I570** Sch. 6 para. 207 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 208 In schedule 7 (surrender of authorisation), in paragraph 3, in sub-paragraph (1)(a)(ii), for “OFT” substitute “ CMA ”.

**Commencement Information**

**I571** Sch. 6 para. 208 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 209 In schedule 9 (index of expressions used), in the first table, in the column headed “Whole Act expressions”—
- (a) after the reference to “advocate”, insert a reference to “ CMA ”;
  - (b) omit the reference to “OFT”.

**Commencement Information**

**I572** Sch. 6 para. 209 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

SCHEDULE 7

Section 30(10)

MERGERS: INTERIM MEASURES

*Interim measures following references under section 22 or 33*

- 1 Part 3 of the 2002 Act (mergers) is amended as follows.

**Commencement Information**

**I573** Sch. 7 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 2 (1) Section 80 (interim undertakings) is amended as follows.

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- (2) In subsection (1), for “Subsections (2) and (3)” substitute “ Subsections (2) and (2A) ”.
- (3) After subsection (2) insert—
- “(2A) Where the CMA has reasonable grounds for suspecting that pre-emptive action has or may have been taken, it may, for the purpose of restoring the position to what it would have been had the action not been taken or otherwise for the purpose of mitigating its effects, accept from such of the parties concerned as it considers appropriate undertakings to take such action as it considers appropriate.”
- (4) After subsection (2A) insert—
- “(2B) A person may, with the consent of the CMA, take action or action of a particular description where the action would otherwise constitute a contravention of an undertaking under this section.”
- (5) Omit subsections (3) and (4).
- (6) In subsection (5), for “Any other undertaking” substitute “ An undertaking ”.
- (7) Omit subsection (6).

#### Commencement Information

**I574** Sch. 7 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 3 (1) Section 81 (interim orders) is amended as follows.
- (2) In subsection (1) for “Subsections (2) and (3)” substitute “ Subsections (2) and (2A) ”.
- (3) After subsection (2) insert—
- “(2A) Where the CMA has reasonable grounds for suspecting that pre-emptive action has or may have been taken, it may by order, for the purpose of restoring the position to what it would have been had the action not been taken or otherwise for the purpose of mitigating its effects—
- (a) do anything mentioned in subsection (2)(b) to (d);
- (b) impose such other obligations, prohibitions or restrictions as it considers appropriate for that purpose.”
- (4) After subsection (2A) insert—
- “(2B) A person may, with the consent of the CMA, take action or action of a particular description where the action would otherwise constitute a contravention of an order under this section.”
- (5) Omit subsections (3) and (4).
- (6) In subsection (5), for “Any other order” substitute “ An order ”.
- (7) Omit subsection (6).



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### Commencement Information

**I575** Sch. 7 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Interim measures: public interest and special interest cases*

- 4 (1) Schedule 7 (enforcement regime for public interest and special public interest cases) is amended as follows.
- (2) Omit paragraph 1 (interim undertakings).
- (3) In paragraph 2 (interim orders), after sub-paragraph (2) insert—
- “(2A) Sub-paragraph (2B) applies where—
- (a) an intervention notice or special intervention notice is in force, and
- (b) the Secretary of State or the CMA has reasonable grounds for suspecting that pre-emptive action has or may have been taken.
- (2B) The Secretary of State or (as the case may be) the CMA may by order, for the purpose of restoring the position to what it would have been had the pre-emptive action not been taken or otherwise for the purpose of mitigating its effects—
- (a) do anything mentioned in sub-paragraph (2)(b) to (d);
- (b) impose such other obligations, prohibitions or restrictions as it considers appropriate for that purpose.”
- (4) In that paragraph, after sub-paragraph (2B) insert—
- “(2C) A person may, with the consent of the Secretary of State or (as the case may be) the CMA, take action or action of a particular description where the action would otherwise constitute a contravention of an order under this paragraph by the Secretary of State or (as the case may be) the CMA.”
- (5) In that paragraph, in sub-paragraph (4)—
- (a) omit “or the OFT”,
- (b) for “by the OFT” substitute “ by the CMA ”, and
- (c) omit “or (as the case may be) the OFT”.
- (6) In that paragraph, in sub-paragraph (8), omit “1 or”.
- (7) In that paragraph, in sub-paragraph (10), for the words from “the order” to the end of the sub-paragraph substitute “—
- (a) the Secretary of State or (as the case may be) the CMA has reasonable grounds for suspecting that it is or may be the case that two or more enterprises have ceased to be distinct or that arrangements are in progress or in contemplation which, if carried into effect, will result in two or more enterprises ceasing to be distinct; or
- (b) the order relates to a special merger situation which has been, or may have been, created.”
- (8) In that paragraph, after sub-paragraph (11) insert—

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“(12) In this paragraph “pre-emptive action” means action which might prejudice the reference or possible reference concerned under section 45 or (as the case may be) 62 or impede the taking of any action under this Part which may be justified by the Secretary of State’s decisions on the reference.”

**Commencement Information**

**I576** Sch. 7 para. 4 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

*Interim measures: duration of interim orders under section 72*

- 5 (1) Section 72 (initial enforcement orders: completed mergers) is amended as follows.
- (2) Omit subsection (5).
- (3) In subsection (6)—
- (a) in the words before paragraph (a), omit “section 81 or”, and
  - (b) in paragraph (a), for the words from “at the end of” to the end of the paragraph substitute “—
    - (i) if the CMA accepts an undertaking under section 80 or makes an order under section 81, on the acceptance of the undertaking or the making of the order, and
    - (ii) otherwise on the final determination of the reference concerned;”.

**Commencement Information**

**I577** Sch. 7 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

SCHEDULE 8

Section 32(2)

MERGERS: TIME-LIMITS

- 1 Part 3 of the 2002 Act (mergers) is amended as follows.

**Commencement Information**

**I578** Sch. 8 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 2 In section 22 (duty to make references in relation to completed mergers), in subsection (3)—
- (a) before paragraph (a) insert—
    - “(za) the period within which the CMA is required by section 34ZA to decide whether the duty to make the reference applies has expired without such a decision having been made;”, and
  - (b) in paragraph (a) omit “or 96(3)”.

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#### Commencement Information

**I579** Sch. 8 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

3 In section 33 (duty to make references in relation to anticipated mergers), in subsection (3)—

(a) before paragraph (a) insert—

“(za) the period within which the CMA is required by section 34ZA to decide whether the duty to make the reference applies has expired without such a decision having been made;”, and

(b) in paragraph (a) omit “or 96(3)”.

#### Commencement Information

**I580** Sch. 8 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

4 After section 34 insert—

#### “34ZA Time-limits for decisions about references

(1) In carrying out its function of deciding whether to make a reference under section 22 or 33, the CMA shall, within the initial period—

(a) decide whether the duty to make a reference under the section applies (taking account of the power under section 22(2) or (as the case may be) 33(2) and the operation of section 22(3) or (as the case may be) 33(3)); and

(b) inform the persons carrying on the enterprises concerned by notice of the decision and of the reasons for it.

(2) Nothing in this section prevents the CMA from making a reference under section 22 or 33 in the event that—

(a) it decides that the duty to make a reference does not apply because it is considering whether to accept undertakings under section 73; but

(b) no such undertakings are offered or accepted.

(3) In this section—

“the initial period” means (subject to any extension under section 34ZB) the period of 40 working days beginning with—

(a) where the CMA is carrying out its function in consequence of the giving of a merger notice under section 96, the first working day after the day on which the CMA gives notice under section 96(2A) to the person who gave the merger notice, and

(b) in any other case, the first working day after the day on which the CMA informs the persons carrying on the enterprises concerned by notice that it has sufficient information to enable it to begin an investigation for the purposes of deciding whether to make a reference;

“working day” means any day which is not—

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- (a) a Saturday, a Sunday, Good Friday or Christmas Day, or
  - (b) a day which is a bank holiday in England and Wales.
- (4) For the purposes of paragraph (a) in the definition of “initial period” in subsection (3), the CMA is carrying out its function in consequence of the giving of a merger notice under section 96 if it is considering whether to make a reference under section 22 or 33 in relation to—
- (a) arrangements of which notice is given in the merger notice or arrangements which do not differ from them in any material respect, or
  - (b) the creation of any relevant merger situation which is, or may be, created in consequence of carrying such arrangements into effect.
- (5) Nothing in this section applies where section 34A(2) or 46A(2) applies (duties where case referred by the European Commission).

### **34ZB Extension of time-limits**

- (1) The CMA may extend the initial period mentioned in section 34ZA(1) if it considers that a relevant person has failed (with or without a reasonable excuse) to comply with any requirement of a notice under section 109 in relation to the case in question.
- (2) In subsection (1), “relevant person” means—
- (a) any person carrying on any of the enterprises concerned;
  - (b) any person who (whether alone or as a member of a group) owns or has control of any such person; or
  - (c) any officer, employee or agent of any person mentioned in paragraph (a) or (b).
- (3) For the purposes of subsection (2), a person or group of persons able, directly or indirectly, to control or materially to influence the policy of a body of persons corporate or unincorporate, but without having a controlling interest in that body of persons, may be treated as having control of it.
- (4) Where an intervention notice is in force in relation to the matter concerned, the CMA may extend the initial period by no more than 20 working days.
- (5) The CMA may by notice extend the initial period if the European Commission is considering a request made, in relation to the matter concerned, by the United Kingdom (whether alone or with others) under article 22(1) of the EC Merger Regulation (but is not yet proceeding with the matter in pursuance of such a request).
- (6) An extension under subsection (1) or (4) comes into force when published under section 107.
- (7) An extension under subsection (1) continues in force until—
- (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
  - (b) the CMA publishes its decision to cancel the extension.
- (8) An extension under subsection (5) shall be for the period which—

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- (a) begins when notice is given under that subsection, and
- (b) ends when the CMA gives notice of the completion by the European Commission of its consideration of the request of the United Kingdom.

(9) In this section, “working day” has the same meaning as in section 34ZA.

### **34ZC Sections 34ZA and 34ZB: supplementary**

- (1) An extension of the period mentioned in section 34ZA(1) may be made under each of subsections (1), (4) or (5) of section 34ZB.
- (2) No more than one extension is possible under section 34ZB(4).
- (3) Where a period is extended or further extended under section 34ZB(1), (4) or (5), the period as extended or (as the case may be) further extended shall, subject to subsections (4) and (5), be calculated by taking the period being extended and adding to it the period of the extension (whether or not those periods overlap in time).
- (4) Subsection (5) applies where—
  - (a) the period mentioned in section 34ZA(1) is further extended;
  - (b) the further extension and at least one previous extension is made under one or more of subsections (1) and (5) of section 34ZB; and
  - (c) the same days or fractions of days are included in or comprise the further extension and are included in or comprise at least one such previous extension.
- (5) In calculating the period of the further extension, any days or fractions of days of the kind mentioned in subsection (4)(c) are to be disregarded.
- (6) The Secretary of State may by order do either or both of the following—
  - (a) amend section 34ZA so as to alter the period of 40 working days mentioned in subsection (3) of that section or any period for the time being mentioned in that subsection in substitution for that period;
  - (b) amend section 34ZB so as to alter the period of 20 working days mentioned in subsection (4) of that section or any period for the time being mentioned in that subsection in substitution for that period.
- (7) But no alteration may be made by virtue of subsection (6) which results in—
  - (a) the period for the time being mentioned in section 34ZA(3) exceeding 40 working days; or
  - (b) the period for the time being mentioned in section 34ZB(4) exceeding 20 working days.
- (8) Before making an order under subsection (6), the Secretary of State shall consult the CMA and such other persons as the Secretary of State considers appropriate.
- (9) In this section, “working day” has the same meaning as in section 34ZA.”

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#### **Commencement Information**

**1581** Sch. 8 para. 4 partly in force; sch. 8 para. 4 in force for specified purposes at Royal Assent, see s.103(1)(i)

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**I582** Sch. 8 para. 4 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 (1) Section 39 (time-limits for investigations and reports) is amended as follows.
- (2) In subsection (5) for “subsection (4)” substitute “ this section ”.
- (3) After subsection (8) insert—
- “(8A) In the case of a report on a reference under section 33, the CMA may provide that a specified period of no more than 3 weeks is to be disregarded for the purposes of any time-limit for the preparation and publication of the report which applies by virtue of this section if—
- (a) a relevant person has so requested before the end of the period of 3 weeks beginning with the date of the reference concerned; and
  - (b) the CMA reasonably believes that the arrangements in question might be abandoned.
- (8B) If the CMA exercises the power under subsection (8A), the CMA shall publish a notice to that effect.”

#### Commencement Information

**I583** Sch. 8 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 6 After section 41 (duty to remedy effects of completed or anticipated mergers) insert—
- “41A Time-limit for discharging duty under section 41**
- (1) The CMA shall discharge its duty under section 41(2) within the period of 12 weeks beginning with the date on which it publishes the report concerned under section 38.
  - (2) The CMA may extend, by no more than 6 weeks, the period within which its duty under section 41(2) shall be discharged if it considers that there are special reasons for doing so.
  - (3) The CMA may extend the period within which its duty under section 41(2) shall be discharged if it considers that a relevant person has failed (whether with or without reasonable excuse) to comply with any requirement of a notice under section 109 which is given in relation to the reference.
  - (4) In subsection (3), “relevant person” means—
    - (a) any person carrying on any of the enterprises concerned;
    - (b) any person who (whether alone or as a member of a group) owns or has control of any such person; or
    - (c) any officer, employee or agent of any person mentioned in paragraph (a) or (b).
  - (5) For the purposes of subsection (4), a person or group of persons able, directly or indirectly, to control or materially to influence the policy of a body of persons corporate or unincorporate, but without having a controlling interest in that body of persons, may be treated as having control of it.

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- (6) An extension under subsection (2) or (3) comes into force when published under section 107.
- (7) An extension under subsection (3) continues in force until—
  - (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
  - (b) the CMA publishes its decision to cancel the extension.

#### **41B Section 41A: supplementary**

- (1) A period extended under section 41A(2) may also be extended under section 41A(3), and a period extended under section 41A(3) may also be extended under section 41A(2).
- (2) No more than one extension is possible under section 41A(2).
- (3) Where a period is extended or further extended under section 41A(2) or (3), the period as extended or (as the case may be) further extended is, subject to subsections (4) and (5), to be calculated by taking the period being extended and adding to it the period of the extension (whether or not those periods overlap in time).
- (4) Subsection (5) applies where—
  - (a) the period within which the CMA must discharge its duty under section 41(2) is further extended;
  - (b) the further extension and at least one previous extension is made under section 41A(3); and
  - (c) the same days or fractions of days are included in or comprise the further extension and are included in or comprise at least one such previous extension.
- (5) In calculating the period of the further extension, any days or fractions of days of the kind mentioned in subsection (4)(c) are to be disregarded.
- (6) The Secretary of State may by order amend section 41A so as to alter either or both of the following periods—
  - (a) the period of 12 weeks mentioned in subsection (1) of that section or any period for the time being mentioned in that subsection in substitution for that period;
  - (b) the period of 6 weeks mentioned in subsection (2) of that section or any period for the time being mentioned in that subsection in substitution for that period.
- (7) But no alteration may be made by virtue of subsection (6) which results in—
  - (a) the period for the time being mentioned in section 41A(1) exceeding 12 weeks; or
  - (b) the period for the time being mentioned in section 41A(2) exceeding 6 weeks.
- (8) Before making an order under subsection (6) the Secretary of State shall consult the CMA and such other persons as the Secretary of State considers appropriate.”

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#### Commencement Information

**I584** Sch. 8 para. 6 partly in force; sch. 8 para. 6 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I585** Sch. 8 para. 6 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

7 After section 73 (undertakings in lieu of references under section 22 or 33) insert—

#### “73A Time-limits for consideration of undertakings

- (1) A party concerned who wishes to offer an undertaking to the CMA for the purposes of section 73(2) must do so before the end of the period of 5 working days beginning with—
  - (a) the day after the CMA gives the person the notice required by section 34ZA(1)(b); or
  - (b) in a case where subsection (2) of section 34A applies, the day after the CMA gives the person the notice required by paragraph (b) of that subsection.
- (2) If an undertaking is offered for those purposes, the CMA shall, before the end of the period of 10 working days beginning with the day mentioned in subsection (1)—
  - (a) decide whether there are reasonable grounds for believing that the undertaking or a modified version of it might be accepted by the CMA under section 73(2), and
  - (b) if it considers that it might be, give notice to the person who offered the undertaking that it is considering it.
- (3) If such a notice is given, the CMA shall decide whether to accept the undertaking before the end of the period of 50 working days beginning with the day mentioned in subsection (1).
- (4) The CMA may extend the period mentioned in subsection (3), by no more than 40 working days, if it considers that there are special reasons for doing so.
- (5) The CMA shall prepare and publish guidance in relation to the exercise of its power under subsection (4).
- (6) The CMA may revise any such guidance and, where it does so, shall publish the revised statement.
- (7) The CMA may extend the period mentioned in subsection (3) if it considers that a relevant person has failed (with or without reasonable excuse) to comply with any requirement of a notice given under section 109 in relation to the case in question.
- (8) In subsection (7), “relevant person” means—
  - (a) any person carrying on any of the enterprises concerned;
  - (b) any person who (whether alone or as a member of a group) owns or has control of any such person; or
  - (c) any officer, employee or agent of any person mentioned in paragraph (a) or (b).



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- (9) For the purposes of subsection (8), a person or group of persons able, directly or indirectly, to control or materially influence the policy of a body of persons corporate or unincorporate, but without having a controlling interest in that body of persons, may be treated as having control of it.
- (10) An extension under subsection (4) or (7) comes into force when published under section 107.
- (11) An extension under subsection (7) continues in force until—
  - (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
  - (b) the CMA publishes its decision to cancel the extension.
- (12) In this section and section 73B, “working day” means any day which is not—
  - (a) a Saturday, a Sunday, Good Friday or Christmas Day, or
  - (b) a day which is a bank holiday in England and Wales.

### **73B Section 73A: supplementary**

- (1) A period extended under section 73A(4) may also be extended under section 73A(7), and a period extended under section 73A(7) may also be extended under section 73A(4).
- (2) No more than one extension is possible under section 73A(4).
- (3) Where a period is extended or further extended under section 73A(4) or (7), the period as extended or (as the case may be) further extended is, subject to subsections (4) and (5), to be calculated by taking the period being extended and adding to it the period of the extension (whether or not those periods overlap in time).
- (4) Subsection (5) applies where—
  - (a) the period within which the CMA must discharge its duty under section 73A(3) is further extended,
  - (b) the further extension and at least one previous extension is made under section 73A(7), and
  - (c) the same days or fractions of days are included in or comprise the further extension and are included in or comprise at least one such previous extension.
- (5) In calculating the period of the further extension, any days or fractions of days of the kind mentioned in subsection (4)(c) are to be disregarded.
- (6) The Secretary of State may by order amend section 73A so as to alter one or more of the periods for the time being mentioned in the section.
- (7) But no alteration may be made by virtue of subsection (6) which results in—
  - (a) the period mentioned in section 73A(1) exceeding 5 working days;
  - (b) the period mentioned in section 73A(2) exceeding 10 working days;
  - (c) the period mentioned in section 73A(3) exceeding 50 working days;
  - (d) the period mentioned in section 73A(4) exceeding 40 working days.

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- (8) Before making an order under subsection (6) the Secretary of State shall consult the CMA and such other persons as the Secretary of State considers appropriate.”

**Commencement Information**

**I586** Sch. 8 para. 7 partly in force; sch. 8 para. 7 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I587** Sch. 8 para. 7 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 8 (1) Section 96 (merger notices) is amended as follows.
- (2) In subsection (1), for the words from “of proposed arrangements” to the end of the subsection substitute “ of arrangements or proposed arrangements which might have resulted or might result in the creation of a relevant merger situation. ”
- (3) In subsection (2) after paragraph (a) (and before the “and” immediately following it) insert—
- “(aa) shall contain the prescribed information;”.
- (4) After subsection (2) insert—
- “(2A) Where the CMA is satisfied that a merger notice meets the requirements of subsection (2), it shall give notice to that effect to the person who gave the merger notice.”
- (5) Omit subsections (3) and (4).

**Commencement Information**

**I588** Sch. 8 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 9 Sections 97 and 98 (period for considering merger notices) are omitted.

**Commencement Information**

**I589** Sch. 8 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 10 (1) Section 99 (certain functions in relation to merger notices) is amended as follows.
- (2) In subsection (1), for “the period for considering any merger notice begins” substitute “ the initial period (within the meaning of section 34ZA) begins in relation to the merger notice ”.
- (3) In subsection (5), for “the period for considering any merger notice” substitute “ the initial period (within the meaning of section 34ZA) in relation to a merger notice ”.

**Commencement Information**

**I590** Sch. 8 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 11 (1) Section 100 (exceptions to protection given by merger notices) is amended as follows.

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- (2) In subsection (1)—
- (a) in the words before paragraph (a), for “Section 96(3) does not” substitute “Sections 22(3)(za) and 33(3)(za) do not ”,
  - (b) in those words, for “to the Commission” substitute “ under section 22 or (as the case may be) 33 ”,
  - (c) in paragraph (a), for “the period for considering the merger notice” substitute “ the initial period (within the meaning of section 34ZA) in relation to the merger notice ”,
  - (d) omit paragraph (b),
  - (e) in paragraph (c), omit the words from “by such time” to the end of the paragraph, and
  - (f) in paragraph (e), for “the period for considering the merger notice” substitute “ the initial period (within the meaning of section 34ZA) in relation to the merger notice ”.
- (3) In subsection (2)—
- (a) in paragraph (a), for “section 22, 33 or 45” substitute “ section 22 or 33 ”, and
  - (b) in paragraph (b), for “section 96(3) does not” substitute “ sections 22(3)(za) and 33(3)(za) do not ”.
- (4) In subsection (3), for “Section 96(3) does not” substitute “ Sections 22(3)(za) and 33(3)(za) do not ”.

**Commencement Information**

**I591** Sch. 8 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 12 (1) Section 101 (merger notices: regulations) is amended as follows.
- (2) In subsection (2), in paragraph (a), omit “section 97(1), (2), (3) or (4) or”.
- (3) In that subsection, omit paragraphs (c) to (f).

**Commencement Information**

**I592** Sch. 8 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 13 (1) Section 124 (order and regulations under Part 3) is amended as follows.
- (2) In subsection (4)—
- (a) after “section” insert “ 34ZC(6), ”,
  - (b) after “40(8),” insert “ 41B(6), ”, and
  - (c) after “68,” insert “ , 73B(6), ”.
- (3) In subsection (5)—
- (a) after “67(7),” insert “ 34ZC(6), ”,
  - (b) after “40(8),” insert “ 41B(6), ”, and
  - (c) after “65(3),” insert “ 73B(6), ”.

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#### Commencement Information

**I593** Sch. 8 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

## SCHEDULE 9

Section 34(4)

### MARKETS: CROSS-MARKET REFERENCES

1 Part 4 of the 2002 Act (market investigations) is amended as follows.

#### Commencement Information

**I594** Sch. 9 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

2 (1) Section 133 (contents of references) is amended as follows.

(2) In subsection (1)—

- (a) omit the “and” following paragraph (b),
- (b) in paragraph (c), at the beginning insert “ in the case of an ordinary reference, ”, and
- (c) after paragraph (c) insert “; and
- (d) in the case of a cross-market reference, the feature or features concerned and the descriptions of goods or services to which it or they relate.”

(3) In subsection (2)—

- (a) in paragraph (a), after “a supply” insert “ or, in the case of a cross-market reference, supplies ”, and
- (b) in paragraph (b), after “an acquisition” insert “ or, in the case of a cross-market reference, acquisitions ”.

#### Commencement Information

**I595** Sch. 9 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

3 (1) Section 134 (questions to be decided on market investigation references) is amended as follows.

(2) In subsection (1), for “a market investigation” substitute “ an ordinary ”.

(3) After subsection (1) insert—

“(1A) The CMA shall, on a cross-market reference, decide in relation to each feature and each combination of the features specified in the reference, whether the feature or combination of features, as it relates to goods or services of one or more than one of the descriptions so specified, prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom.”

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(4) In subsection (2), for “a market investigation” substitute “ an ordinary ”.

(5) After subsection (2) insert—

“(2A) For the purposes of this Part, in relation to a cross-market reference, there is an adverse effect on competition if a feature or a combination of the features specified in the reference, as that feature or combination of features relates to goods or services of one or more than one of the descriptions so specified, prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom.”

(6) In subsection (5)(a), after “the market” insert “ or markets ”.

(7) In subsection (7), after “the market” insert “ or markets ”.

(8) In subsection (8)(a)(i), after “the market” insert “ or markets ”.

**Commencement Information**

**I596** Sch. 9 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

4 In section 138 (duty to remedy adverse effects), in subsection (5), after “the market” insert “ or markets ”.

**Commencement Information**

**I597** Sch. 9 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

5 (1) Section 141 (questions to be decided where intervention notice under section 139(1) is in force) is amended as follows.

(2) In subsection (2), after “shall” insert “ , on an ordinary reference, ”.

(3) After subsection (2) insert—

“(2A) The CMA shall, on a cross-market reference, decide in relation to each feature and each combination of the features specified in the reference, whether the feature or combination of features, as it relates to goods or services of one or more than one of the descriptions so specified, prevents, restricts or distorts competition in connection with the supply or acquisition of any goods or services in the United Kingdom or a part of the United Kingdom.”

(4) In subsection (6), after “the market” insert “ or markets ”.

**Commencement Information**

**I598** Sch. 9 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

6 In section 147 (remedial action by the Secretary of State), in subsection (5), after “the market” insert “ or markets ”.

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#### Commencement Information

**I599** Sch. 9 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 7 In section 154 (undertakings in lieu of references), in subsection (4), after “the market” insert “ or markets ”.

#### Commencement Information

**I600** Sch. 9 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 8 (1) Section 156 (effect of undertakings under section 154) is amended as follows.
- (2) Before subsection (1) insert—
- “(A1) No market investigation reference shall be made by the CMA or the appropriate Minister in relation to any feature, or combination of features, of a market in the United Kingdom for goods or services if—
- (a) the CMA has accepted an undertaking or group of undertakings under section 154 within the previous 12 months;
  - (b) the feature or combination of features to which the undertaking or group of undertakings relates is the same as the feature or combination of features to which the reference would relate; and
  - (c) the goods or services to which the undertaking or group of undertakings relates are of the same description as the goods or services to which the reference would relate.”
- (3) In subsection (1)—
- (a) in the words before paragraph (a), for “market investigation” substitute “ ordinary ”,
  - (b) in paragraph (a), after “has” insert “ , instead of making an ordinary reference, ”, and
  - (c) in paragraph (b), for “the feature, or combination of features, relates” substitute “ the reference would relate ”.
- (4) In subsection (2), for “Subsection (1) does” substitute “ Subsections (A1) and (1) do ”.

#### Commencement Information

**I601** Sch. 9 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 9 (1) Section 184 (index of defined expressions for Part 4) is amended as follows.
- (2) In the second column of the entry for “Adverse effect on competition”, after “134(2)” insert “ and (2A) ”.
- (3) After the entry in the table for “Consumer” insert—
- |                         |                  |
|-------------------------|------------------|
| “Cross-market reference | Section 131(6)”. |
|-------------------------|------------------|
- (4) Before the entry in the table for “Public interest consideration” insert—

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“Ordinary reference

Section 131(6)”.

**Commencement Information**

**I602** Sch. 9 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

SCHEDULE 10

Section 35(10)

MARKETS: PUBLIC INTEREST INTERVENTIONS

1 Part 4 of the 2002 Act (market investigations) is amended as follows.

**Commencement Information**

**I603** Sch. 10 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

2 (1) Section 131 (power to make market investigation references) is amended as follows.

(2) In subsection (4)(b), after “132” insert “ or 140A(6) ”.

(3) In subsection (6), in the definition of “market investigation reference”, after “132” insert “ or 140A(6) ”.

**Commencement Information**

**I604** Sch. 10 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

3 In section 132 (ministerial power to make references), in subsection (4)—

(a) after “if” insert “—

(a)”,

and

(b) at the end insert “; or

(b) a reference has been made under section 140A(6) in relation to the same matter but has not been finally determined.”

**Commencement Information**

**I605** Sch. 10 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

4 In section 135 (variation of references), in subsection (1), for “by it or (as the case may be) by him” substitute “ by it under section 131 or (as the case may be) by the appropriate Minister under section 132 ”.

**Commencement Information**

**I606** Sch. 10 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 5 (1) Section 140 (supplementary provision about intervention notices under section 139(1)) is amended as follows.
- (2) In subsection (1)—
- (a) for paragraphs (a) and (b) substitute—
- “(a) the matter to which the market study notice or (as the case may be) the consultation under section 169 concerned relates;
- (b) the date of publication of that notice or (as the case may be) on which the process of consultation began;”, and”
- (b) in paragraph (c), for “case” substitute “ matter ”.
- (3) In subsection (2), for “case” (in the second place where it occurs) substitute “ matter ”.
- (4) After subsection (4) insert—
- “(4A) An intervention notice under section 139(1) shall also cease to be in force if—
- (a) it mentions a public interest consideration which was not finalised on the giving of the notice or public interest considerations which, at that time, were not finalised;
- (b) no other public interest consideration is mentioned in the notice;
- (c) at least 24 weeks has elapsed since the giving of the notice;
- (d) the public interest consideration mentioned in the notice has not been finalised within that period of 24 weeks or (as the case may be) none of the public interest considerations mentioned in the notice has been finalised within that period of 24 weeks; and
- (e) the Secretary of State has not, by the end of that period of 24 weeks, made a reference under section 140A in relation to the matter.
- (4B) Subsection (4D) applies in a case where—
- (a) an intervention notice ceases to be in force in accordance with subsection (4A);
- (b) the CMA has, before the time at which the notice ceases to be in force, prepared a market study report in relation to the matter within the period permitted by section 131B(4) and given it to the Secretary of State in accordance with section 140A(3)(b); and
- (c) the report contains the decision of the CMA that it should make a reference in relation to the matter concerned under section 131.
- (4C) Subsection (4D) also applies in a case where—
- (a) an intervention notice ceases to be in force in accordance with subsection (4A); and
- (b) the CMA has, before the time at which the notice ceases to be in force—
- (i) decided that it should make an ordinary reference or a cross-market reference under section 131 in relation to the matter concerned; and
- (ii) given a document containing its decision, the reasons for it and such information as the CMA considers appropriate for facilitating a proper understanding of the reasons for



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its decision to the Secretary of State in accordance with section 140A(3)(c).

(4D) In a case to which this subsection applies—

- (a) the CMA shall, as soon as reasonably practicable, make a reference in relation to the matter under section 131; and
- (b) the reference is to be treated for the purposes of this Part as having been made in accordance with the requirements imposed by this Part.”

(5) In subsection (5)—

(a) before paragraph (a) insert—

- “(za) the CMA accepts an undertaking under section 154 instead of making a reference under section 131 in relation to the matter;
- (zb) the CMA publishes notice that it has otherwise decided not to make a reference under section 131 in relation to the matter;
- (zc) the period permitted for the preparation by the CMA of the market study report in relation to the matter and for the report to be published under section 131B(4) or (as the case may be) given to the Secretary of State under section 140A(3) has expired and no such report has been so prepared or no such action has been taken;
- (zd) the Secretary of State makes a reference under section 140A(5) in relation to the matter;”

- (b) in paragraph (a), after “143(1) or (3)” insert “ or (as the case may be) 143A(2) or (3) ”,
- (c) in paragraph (c), after “143(1)” insert “ or (as the case may be) 143A(2) ”,
- (d) in paragraph (d), at the end insert “ or (as the case may be) fails to make and publish a decision under subsection (2) of section 146A within the period required by subsection (6) of that section ”,
- (e) in paragraph (e), at the end insert “ or (as the case may be) decides under section 146A(2) to make no finding at all in relation to the matter ”, and
- (f) in paragraph (f), after “147(2)” insert “ or (as the case may be) 147A(2) ”.

(6) In subsection (6)—

(a) before paragraph (a) insert—

- “(za) in a case falling within subsection (5)(za), the acceptance of the undertaking concerned;
- (zb) in a case falling within subsection (5)(zb), the publication of the notice concerned;”

(b) in paragraph (a), after “(5)” insert “ (zc), ”, and

(c) after paragraph (a) insert—

- “(aa) in a case falling within subsection (5)(zd), the making of the reference concerned;”

(7) After subsection (6) insert—

“(6A) In subsection (6)(za) the reference to the acceptance of the undertaking concerned shall, in a case where the CMA has accepted a group of

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undertakings under section 154, be treated as a reference to the acceptance of the last undertaking in the group; but undertakings which vary, supersede or revoke earlier undertakings shall be disregarded for the purposes of subsections (5)(za) and (6)(za).”

(8) In subsection (7), after “147(2)” insert “ or (as the case may be) 147A(2) ”.

#### Commencement Information

**I607** Sch. 10 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

6 After section 140A (inserted by section 35) insert—

#### “140B Variation of restricted PI references and full PI references

- (1) The Secretary of State may at any time vary a restricted PI reference or a full PI reference.
- (2) The Secretary of State shall consult the CMA before varying any such reference.
- (3) But subsection (2) does not apply if the CMA requested the variation concerned.
- (4) No variation under this section is capable of altering the public interest consideration or considerations specified in the reference.”

#### Commencement Information

**I608** Sch. 10 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

7 (1) Section 141 (questions to be decided where section 139(1) intervention notice is in force) is amended as follows.

(2) For subsection (1) substitute—

“(1) This section applies where the Secretary of State makes a restricted PI reference.”

(3) For the heading, substitute “ Restricted PI references: questions to be decided by CMA ”.

#### Commencement Information

**I609** Sch. 10 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

8 (1) Section 142 (investigations and reports) is amended as follows.

(2) In subsection (1)—

- (a) for the words from the beginning to “the Commission” substitute “ Where the Secretary of State makes a restricted PI reference or a full PI reference, the CMA ”, and
- (b) after “section 143(1) or (3)” insert “ or (as the case may be) 143A(2) or (3) ”.

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- (3) In subsection (2)—
- (a) in paragraph (a), at the end insert “ or (as the case may be) 141A ”,
  - (b) omit the “and” after paragraph (b), and
  - (c) after paragraph (c) insert “; and
  - (d) in the case of a report in relation to a full PI reference in respect of which the Secretary of State appointed a public interest expert, a summary of the views of the expert.”

- (4) After subsection (2) insert—

“(2A) A summary of the views of a public interest expert in a report under this section shall be approved by the expert before action is taken in relation to the report under section 143A(2) or (3).”

**Commencement Information**

**I610** Sch. 10 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 9 (1) Section 143 (publication etc of reports) is amended as follows.
- (2) Before subsection (1) insert—
- “(A1) This section applies in relation to a report prepared under section 142 in respect of a restricted PI reference.”
- (3) In subsection (1), in the words before paragraph (a), for “a report under section 142” substitute “ the report ”.
- (4) Omit subsection (2).
- (5) In subsection (3), for “a report under section 142” substitute “ the report ”.
- (6) Omit subsections (5) to (8).
- (7) For the heading substitute “ Restricted PI references: publication etc of reports of CMA ”.

**Commencement Information**

**I611** Sch. 10 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 10 After section 143 insert—

**“143A Full PI references: publication etc of reports of CMA**

- (1) This section applies in relation to a report prepared under section 142 in respect of a full PI reference.
- (2) The CMA shall publish the report if it contains—
- (a) the decision of the CMA that there is no adverse effect on competition;
  - (b) the decision of the CMA that there is an adverse effect on competition but that the feature or combination of features which

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- gave rise to it does not operate and may not be expected to operate against the public interest; or
- (c) the decisions of the CMA that there is one or more than one adverse effect on competition and that one or more than one of the features or combinations of features which gave rise to an adverse effect on competition operates or may be expected to operate against the public interest but, on the question mentioned in section 141A(5)(a), and in relation to each effect adverse to the public interest concerned, that no action should be taken by the Secretary of State.
- (3) The CMA shall give the report to the Secretary of State if it contains the decisions of the CMA—
- (a) that there is one or more than one adverse effect on competition and that one or more than one of the features or combinations of features which gave rise to an adverse effect on competition operates or may be expected to operate against the public interest; and
- (b) in relation to at least one effect adverse to the public interest concerned, that action should be taken by the Secretary of State.
- (4) The Secretary of State shall publish, no later than publication of the Secretary of State's decision under section 146A(2) in relation to the case, a report of the CMA given to the Secretary of State under subsection (3) and not required to be published by virtue of section 148A(3).”

#### Commencement Information

**I612** Sch. 10 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 11 (1) Section 144 (time-limits for investigations and reports in public interest cases) is amended as follows.
- (2) In subsection (1)—
- (a) for “the date of the reference” substitute “ the relevant date ”, and
- (b) for the words from “publish it” to the end substitute “ publish it under section 143(1) or 143A(2) or (as the case may be) give it to the Secretary of State in accordance with section 143(3) or 143A(3). ”
- (3) After subsection (1) insert—
- “(1A) For the purposes of subsection (1), the “relevant date” is—
- (a) in the case of a report in relation to a restricted PI reference or to a full PI reference which specifies that the Secretary of State does not propose to appoint a public interest expert, the date of the reference;
- (b) in the case of a report in relation to a full PI reference which specifies that the Secretary of State proposes to appoint a public interest expert, the earliest of the following—
- (i) the date of the appointment of the expert;
- (ii) the date on which the Secretary of State gives notice to the CMA that the Secretary of State no longer intends to appoint such an expert;
- (iii) the end of the period of 2 months beginning with the date of the reference.”

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- (4) In subsection (4), after “143(1) or (3)” insert “ or (as the case may be) 143A(2) or (3) ”.

**Commencement Information**

**I613** Sch. 10 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 12 (1) Section 145 (restrictions where public interest considerations not finalised: Part 4) is amended as follows.
- (2) In subsection (2), after “143(3)” insert “ or (as the case may be) 143A(3) ”.
- (3) In subsection (3), after “141(3)” insert “ or (as the case may be) 141A(4) and (5). ”
- (4) In subsection (4), after “141(3)” insert “ or (as the case may be) 141A(4) and (5). ”

**Commencement Information**

**I614** Sch. 10 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 13 (1) Section 146 (decision of Secretary of State) is amended as follows.
- (2) In subsection (1), in the words before paragraph (a), for “report of the Commission” substitute “ report of the CMA in relation to a restricted PI reference ”.
- (3) In the heading, at the beginning, insert “ Restricted PI references: ”.

**Commencement Information**

**I615** Sch. 10 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 14 After section 146 insert—

**“146A Full PI references: decision of Secretary of State**

- (1) Subsection (2) applies where the Secretary of State has received a report of the CMA in relation to a full PI reference which—
- (a) has been prepared under section 142;
  - (b) contains the decisions of the CMA that there is one or more than one adverse effect on competition and that one or more than one of the features or combinations of features that gave rise to an adverse effect on competition operates or may be expected to operate against the public interest and that, in relation to at least one effect adverse to the public interest concerned, action should be taken by the Secretary of State; and
  - (c) has been given to the Secretary of State as required by section 143A(3).
- (2) The Secretary of State shall decide whether to make an adverse public interest finding in relation to the matter and whether to make no finding at all in the matter.

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- (3) For the purposes of this Part, the Secretary of State makes an adverse public interest finding in relation to a matter if, in relation to that matter, the Secretary of State decides—
- (a) that there is an adverse effect on competition;
  - (b) that there is one or more than one admissible public interest consideration which is relevant to the matter; and
  - (c) taking account only of any adverse effect on competition and any relevant admissible public interest consideration or considerations, that any feature or combination of features which gave rise to an adverse effect on competition operates or may be expected to operate against the public interest.
- (4) The Secretary of State may make no finding at all in a matter only if the Secretary of State decides that there is no admissible public interest consideration which is relevant to a consideration of the matter concerned.
- (5) In deciding whether to make an adverse public interest finding under subsection (2), the Secretary of State shall accept the decision of the CMA as to whether there is an adverse effect on competition in relation to the matter.
- (6) The Secretary of State shall make and publish the decision under subsection (2) within the period of 90 days beginning with the receipt of the report of the CMA under section 142.
- (7) In this section “admissible public interest consideration” means a public interest consideration which—
- (a) was mentioned in the intervention notice concerned; and
  - (b) was not disregarded by the CMA for the purposes of its report under section 142.”

#### Commencement Information

**I616** Sch. 10 para. 14 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 15 In section 147 (remedial action by Secretary of State), in the heading, at the beginning, insert “ Restricted PI references: ”.

#### Commencement Information

**I617** Sch. 10 para. 15 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 16 After section 147 insert—

#### “147A Full PI references: remedial action by Secretary of State

- (1) Subsection (2) applies where the Secretary of State has decided under subsection (2) of section 146A within the period required by subsection (6) of that section to make an adverse public interest finding in relation to a matter and has published the decision within the period so required.
- (2) The Secretary of State may take such action under section 159 or 161 as the Secretary of State considers to be reasonable and practicable to remedy,

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mitigate or prevent any of the effects adverse to the public interest which have resulted from, or may be expected to result from, the features or combinations of features in question.

- (3) In making a decision under subsection (2), the Secretary of State shall, in particular, have regard to the report of the CMA under section 142.
- (4) In making a decision under subsection (2), the Secretary of State may, in particular, have regard to—
  - (a) the need to achieve as comprehensive a solution as is reasonable and practicable to the effects adverse to the public interest concerned; and
  - (b) any detrimental effects on customers so far as resulting from those effects.”

#### Commencement Information

**I618** Sch. 10 para. 16 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 17 (1) Section 148 (reversion of the matter) is amended as follows.
  - (2) Omit subsections (3) to (5).
  - (3) For the heading substitute “ Restricted PI references: reversion of the matter to CMA ”.

#### Commencement Information

**I619** Sch. 10 para. 17 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 18 After section 148 insert—

#### “148A Full PI references: reversion of the matter to CMA

- (1) This section applies if—
  - (a) the Secretary of State decides under section 146A(2) to make no finding at all in the matter; or
  - (b) the Secretary of State fails to make and publish the decision under subsection (2) of section 146A within the period required by subsection (6) of that section.
- (2) The CMA shall proceed under section 138 as if—
  - (a) a reference under section 131 had been made (in accordance with the requirements imposed by this Part) instead of a full PI reference; and
  - (b) its report had been prepared and published under section 136 within the period permitted by section 137.
- (3) The CMA shall publish the report which has been prepared by it under section 142 (if still unpublished) as soon as it becomes able to proceed by virtue of subsection (2).
- (4) In relation to proceedings by virtue of subsection (2), the reference in section 138(3) to decisions of the CMA included in its report by virtue of

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section 134(4) is to be construed as a reference to decisions which were included in the report of the CMA by virtue of section 141A(6).

- (5) Where the CMA becomes under a duty to proceed as mentioned in subsection (2), references in this Part to a reference under section 131, so far as necessary, are to be construed accordingly.
- (6) Where the CMA, in proceeding by virtue of subsection (2), intends to proceed in a way which is not consistent with its decisions as included in its report by virtue of section 141A(6), it shall not so proceed without the consent of the Secretary of State.
- (7) The Secretary of State shall not withhold consent under subsection (6) unless the Secretary of State believes that the proposed alternative way of proceeding will operate against the public interest.
- (8) For the purposes of subsection (7) a proposed alternative way of proceeding will operate against the public interest only if any admissible public interest consideration or considerations outweigh the considerations which have led the CMA to propose proceeding in that way.
- (9) In deciding whether to withhold consent under subsection (6), the Secretary of State shall accept the CMA's view of what, if the only relevant consideration were how to remedy, mitigate or prevent the adverse effect on competition concerned or any detrimental effect on customers so far as resulting from the adverse effect on competition, would be the most appropriate way to proceed.
- (10) In this section “admissible public interest consideration” has the same meaning as in section 146A.”

#### Commencement Information

**I620** Sch. 10 para. 18 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 19 (1) Section 149 (intervention notices under section 139(2)) is amended as follows.
  - (2) In subsection (1)(c), for “case” substitute “ proposal to accept the undertaking ”.
  - (3) In subsection (2), for “case” (in the second place where it occurs) substitute “ proposal to accept the undertaking ”.

#### Commencement Information

**I621** Sch. 10 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 20 In section 150 (power of veto of Secretary of State: undertakings in lieu), before subsection (1) insert—
  - “(A1) Where an intervention notice under subsection 139(1) is in force, the CMA shall not, without the consent of the Secretary of State, accept any proposed undertaking under section 154 in relation to the matter concerned.”



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**Commencement Information**

**I622** Sch. 10 para. 20 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 21 (1) Section 151 (further interaction of intervention notices with general procedure) is amended as follows.
- (2) For subsection (1) substitute—
- “(1) Sections 134(1), (1A), (4), (6) and (7), 136(1) to (6), 137(1) to (6), 138 and 138A do not apply in relation to a restricted PI reference or a full PI reference.”
- (3) In subsection (2), for the words from “, the Commission” to the end substitute “ at a time after the Secretary of State has made a restricted PI reference or a full PI reference, the CMA shall proceed as if the reference concerned had instead been made under section 131 (in accordance with the requirements imposed by this Part). ”
- (4) In subsection (4), for the words from “, the Commission shall” to the end substitute “, the CMA shall proceed as if the restricted PI reference or (as the case may be) the full PI reference concerned had instead been made by the CMA under section 131 (in accordance with the requirements imposed by this Part). ”
- (5) For the heading, substitute “ Public interest intervention cases: interaction with general procedure ”.

**Commencement Information**

**I623** Sch. 10 para. 21 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 22 In section 152 (certain duties in relation to providing information), omit subsection (2).

**Commencement Information**

**I624** Sch. 10 para. 22 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 23 In section 155 (undertakings in lieu: procedural requirements), in subsection (3)(a), at the end insert “ or (but for the effect of section 140A(3)) it would have had power to make and which it would otherwise have intended to make ”.

**Commencement Information**

**I625** Sch. 10 para. 23 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 24 (1) Section 157 (interim undertakings: Part 4) is amended as follows.
- (2) In subsection (1)(b), after “143(3)” insert “ or (as the case may be) 143A(3) ”.
- (3) In subsection (6)—
- (a) in the definition of “pre-emptive action”, for “or (as the case may be) 147(2)” substitute “, 147(2) or (as the case may be) 147A(2)”, and
- (b) for the definition of “relevant authority” substitute—

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““the relevant authority” means—

- (a) in the case of a restricted PI reference or a full PI reference, the Secretary of State;
- (b) in any other case, the CMA.”

**Commencement Information**

**I626** Sch. 10 para. 24 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 25 In section 158 (interim orders: Part 4), in subsection (1)(b), after “143(3)” insert “ or (as the case may be) 143A(3) ”.

**Commencement Information**

**I627** Sch. 10 para. 25 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 26 In section 159 (final undertakings: Part 4), in subsection (2), after “147” insert “ or (as the case may be) 147A ”.

**Commencement Information**

**I628** Sch. 10 para. 26 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 27 (1) Section 160 (order-making power where final undertakings not fulfilled: Part 4) is amended as follows.
- (2) In subsection (2), for “or (as the case may be) 147(2)” substitute “ , 147(2) or (as the case may be) 147A(2) ”.
- (3) In subsection (3), for “or (as the case may be) 147” substitute “ or 147 or (as the case may be) subsections (3) and (4) of section 147A ”.

**Commencement Information**

**I629** Sch. 10 para. 27 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 28 In section 161 (final orders: Part 4), in subsection (2), after “147” insert “ or (as the case may be) 147A ”.

**Commencement Information**

**I630** Sch. 10 para. 28 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 29 In section 169 (certain duties of relevant authorities to consult: Part 4), in subsection (6)—
- (a) in the definition of “relevant authority”, at the end insert “ or the Secretary of State ”,
  - (b) in the definition of “relevant decision”, in paragraph (b), after “appropriate Minister” (in the first place where it occurs) insert “ (other than the Secretary of State acting alone) ”, and

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- (c) also in that definition, after paragraph (b) insert—
- “in the case of the Secretary of State, any decision by the Secretary of State—
- (i) to make a reference under section 132;
  - (ii) to vary under section 135 such a reference;
  - (iii) in a case where the Secretary of State is required to make a reference under section 140A, whether to make a reference under subsection (5) or (6) of that section; or
  - (iv) to vary under section 140B a reference made under section 140A(6).”

**Commencement Information**

**I631** Sch. 10 para. 29 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 30 (1) Section 172 (further publicity requirements: Part 4) is amended as follows.
- (2) In subsection (1), in paragraph (a), after “section 131” insert “, other than a reference treated as so made by virtue of section 140A(5)(b) ”.
- (3) In subsection (2), omit paragraph (d).
- (4) In subsection (3)—
- (a) after paragraph (d) insert—
    - “(da) any reference made by him under section 140A(5) or (6);
    - (db) any variation made by him under section 140B of a reference under section 140A(6);”, and
  - (b) in paragraph (e), after “147(2)” insert “ or (as the case may be) 147A(2) ”.
- (5) In subsection (7), omit “or (2)(d)”.
- (6) In subsection (7A) (inserted by Schedule 12), at the end insert “ or (3)(da) ”.
- (7) In subsection (8), in paragraph (a), after “146(2)” insert “ or 146A(2) ”.
- (8) In subsection (10), after “147(2)” insert “ or 147A(2) ”.

**Commencement Information**

**I632** Sch. 10 para. 30 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 31 In section 177 (excisions from reports: Part 4), in subsection (5), omit “, 143(2) and (5) to (7), 148(3) to (5)”.

**Commencement Information**

**I633** Sch. 10 para. 31 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 32 (1) Section 183 (interpretation: Part 4) is amended as follows.
- (2) In subsection (3), in paragraph (a), for the words before sub-paragraph (i) substitute “ where the reference is made under section 131 or 132— ”.

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- (3) In that subsection, in paragraph (b)—
- (a) for the words before sub-paragraph (i) substitute “ where the reference is a restricted PI reference or a full PI reference— ”,
  - (b) in sub-paragraph (i), after “143(1) or (3)” insert “ or (as the case may be) 143A(2) or (3) ”,
  - (c) in sub-paragraph (ii), omit “(disregarding the fact that the notice was given)”,
  - (d) in sub-paragraph (iii), after “143(1)” insert “ or (as the case may be) 143A(2) ”,
  - (e) in sub-paragraph (iv), omit “(disregarding the fact that the notice was given)”,
  - (f) for sub-paragraph (v) substitute—
    - “(v) the Secretary of State has failed to make and publish a decision under subsection (2) of section 146 within the period permitted by subsection (3) of that section or (as the case may be) under subsection (2) of section 146A within the period permitted by subsection (6) of that section and the reference is finally determined under paragraph (a) above;”,
  - (g) in sub-paragraph (vi), omit “(disregarding the fact that the notice was given)”,
  - (h) after sub-paragraph (vi) insert—
    - “(via) the Secretary of State has made no finding at all under section 146A(2) and the reference is finally determined under paragraph (a) above;”,
  - (i) omit the “or” after sub-paragraph (vii),
  - (j) after sub-paragraph (vii) insert—
    - “(viiia) the Secretary of State has made an adverse public interest finding under section 146A(2) but has decided under section 147A(2) neither to accept an undertaking under section 159 nor to make an order under section 161;”, and
  - (k) after sub-paragraph (viii) insert “; or
    - (ix) the Secretary of State has made an adverse public interest finding under section 146A(2) and has accepted an undertaking under section 159 or made an order under section 161.”
- (4) In subsection (4)(c), after “(b)(viii)” insert “ or (ix) ”.
- (5) In subsection (5), for “or (vi)” substitute “ , (vi) or (via) ”.
- (6) In subsection (6), after “(b)(viii)” insert “ and (ix) ”.

#### Commencement Information

**I634** Sch. 10 para. 32 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 33 (1) Section 184 (index of defined expressions in Part 4) is amended as follows.
- (2) After the entry in the table for “Adverse effect on competition” insert—



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- (6) A person who commits an offence under subsection (4) is liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding 2 years or to a fine or to both.
- (7) The CMA shall not proceed against a person under subsection (1) in relation to an act which constitutes an offence under subsection (4) if that person has been found guilty of that offence.
- (8) In deciding whether and, if so, how to proceed under subsection (1) or (3) or section 138A(3), the CMA shall have regard to the statement of policy which was most recently published under section 174E at the time the failure or (as the case may be) the obstruction or delay concerned occurred.
- (9) In this section—
  - (a) the reference to the production of a document includes a reference to the production of a legible and intelligible copy of information recorded otherwise than in legible form; and
  - (b) the reference to suppressing a document includes a reference to destroying the means of reproducing information recorded otherwise than in legible form.

#### **174B Restriction on powers to impose penalties under section 174A**

- (1) No penalty shall be imposed by virtue of section 174A(1) or (3) if more than 4 weeks have passed since the day which is the relevant day in the case in question; but this subsection shall not apply in relation to any variation or substitution of the penalty which is permitted by virtue of this Part.
- (2) In the following provisions of this section, “the section 174 power” means the power under section 174 to which the failure or (as the case may be) the obstruction or delay in question relates.
- (3) Where the section 174 power is exercised for the purpose mentioned in section 174(1)(a), the relevant day is the day when the CMA finally concludes the carrying out of its section 5 functions.
- (4) Where the section 174 power is exercised in connection with an enforcement function (within the meaning of that section), the relevant day is the day when the enforcement undertaking concerned is superseded or released or (as the case may be) the enforcement order concerned is revoked.
- (5) Except where subsection (3) or (4) applies, the relevant day is the day determined in accordance with the following provisions of this section.
- (6) Where the section 174 power is exercised for the purpose mentioned in section 174(1)(b) in connection with a matter that is the subject of a possible reference under section 131, the relevant day is the day when the CMA finally decides whether to make the reference.
- (7) Where the section 174 power is exercised for the purpose mentioned in section 174(1)(b) in connection with a matter that is the subject of a reference

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under section 131 or 132, the relevant day is the day when the reference is finally determined (see section 183).

- (8) Where the section 174 power is exercised for the purpose mentioned in section 174(1)(c) in connection with a matter that is the subject of a possible reference under section 140A(5) or (6), the relevant day is the day when the Secretary of State makes the reference.
- (9) Where the section 174 power is exercised for the purpose mentioned in section 174(1)(c) in connection with a matter that is the subject of a reference under section 140A(6), the relevant day is the day when the reference is finally determined (see section 183).

### **174C Section 174B: supplementary provision**

- (1) For the purpose of section 174B(3), the CMA finally concludes the carrying out of its section 5 functions if—
  - (a) the CMA publishes the market study report under section 131B(4) or (as the case may be) gives it to the Secretary of State under section 140A(3)(b); or
  - (b) the period permitted for the preparation by the CMA of the market study report and for the report to be published under section 131B(4) or (as the case may be) given to the Secretary of State under section 140A(3)(b) expires and no such report has been so prepared or no such action has been taken.
- (2) For the purpose of section 174B(3), the time when the CMA finally concludes the carrying out of its section 5 functions is—
  - (a) in a case falling within subsection (1)(a), the publication of the report or (as the case may be) the giving of it to the Secretary of State;
  - (b) in a case falling within subsection (1)(b), the expiry of the period concerned.
- (3) For the purpose of section 174B(6), the CMA finally decides whether to make a reference under section 131 if—
  - (a) the CMA makes such a reference;
  - (b) the CMA accepts an undertaking under section 154 instead of making such a reference;
  - (c) the CMA publishes notice that it has otherwise decided not to make such a reference; or
  - (d) the period permitted for the preparation by the CMA of a market study report in relation to the matter and for the report to be published under section 131B(4) has expired and no such report has been so prepared or published.
- (4) For the purpose of section 174B(6), the time when the CMA finally decides whether to make a reference under section 131 is—
  - (a) in a case falling within subsection (3)(a), the making of the reference;
  - (b) in a case falling within subsection (3)(b), the acceptance of the undertaking concerned;
  - (c) in a case falling within subsection (3)(c), the publication of the notice concerned;

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- (d) in a case falling within subsection (3)(d), the expiry of the period concerned.
- (5) In subsection (4)(b) the reference to the acceptance of the undertaking concerned shall, in a case where the CMA has accepted a group of undertakings under section 154, be treated as a reference to the acceptance of the last undertaking in the group; but undertakings which vary, supersede or revoke earlier undertakings shall be disregarded for the purposes of subsections (3)(b) and (4)(b).

#### **174D Penalties**

- (1) A penalty imposed under section 174A(1) or (3) shall be of such amount as the CMA considers appropriate.
- (2) In the case of a penalty imposed under section 174A(1), the amount may be—
  - (a) a fixed amount;
  - (b) an amount calculated by reference to a daily rate; or
  - (c) a combination of a fixed amount and an amount calculated by reference to a daily rate.
- (3) In the case of a penalty imposed under section 174A(3), the amount shall be a fixed amount.
- (4) A penalty imposed under section 174A(1) shall not—
  - (a) in the case of a fixed amount, exceed such amount as the Secretary of State may by order specify;
  - (b) in the case of an amount calculated by reference to a daily rate, exceed such amount per day as the Secretary of State may so specify; and
  - (c) in the case of a fixed amount and an amount calculated by reference to a daily rate, exceed such fixed amount and such amount per day as the Secretary of State may so specify.
- (5) A penalty imposed under section 174A(3) shall not exceed such amount as the Secretary of State may by order specify.
- (6) An order under subsection (4) or (5) shall not specify—
  - (a) in the case of a fixed amount, an amount exceeding £30,000;
  - (b) in the case of an amount calculated by reference to a daily rate, an amount per day exceeding £15,000; and
  - (c) in the case of a fixed amount and an amount calculated by reference to a daily rate, a fixed amount exceeding £30,000 and an amount per day exceeding £15,000.
- (7) Before making an order under subsection (4) or (5), the Secretary of State shall consult—
  - (a) the CMA; and
  - (b) such other persons as the Secretary of State considers appropriate.
- (8) In imposing a penalty by reference to a daily rate—



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- (a) no account is to be taken of any days before the service on the person concerned of notice of the penalty under section 112 (as applied by subsection (10)); and
  - (b) unless the CMA determines an earlier date (whether before or after the penalty is imposed), the amount payable ceases to accumulate at the beginning of the earliest of the days mentioned in subsection (9).
- (9) Those days are—
- (a) the day on which the requirement of the notice concerned under section 174 is satisfied;
  - (b) the day which is the relevant day in the case in question for the purposes of section 174B.
- (10) Sections 112 to 115 apply in relation to a penalty imposed under section 174A(1) or (3) as they apply in relation to a penalty imposed under section 110(1) or (3).

#### **174E Statement of policy on penalties**

- (1) The CMA shall prepare and publish a statement of policy in relation to the enforcement of notices given under section 174.
- (2) The statement shall, in particular, include a statement about the considerations relevant to the determination of the nature and amount of any penalty imposed under section 174A(1) or (3).
- (3) The CMA may revise its statement of policy and, where it does so, it shall publish the revised statement.
- (4) The CMA shall consult such persons as it considers appropriate when preparing or revising its statement of policy.”

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#### **Commencement Information**

- I636** Sch. 11 para. 1 partly in force; sch. 11 para. 1 in force for specified purposes at Royal Assent, see s.103(1)(i)
- I637** Sch. 11 para. 1 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Investigation powers: miscellaneous consequential amendments*

- 2 Part 4 of the 2002 Act (market investigations) is amended as follows.

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#### **Commencement Information**

- I638** Sch. 11 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 3 Omit section 175 (enforcement of powers under section 174: offences).

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#### **Commencement Information**

- I639** Sch. 11 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

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- 4 Omit section 176 (investigation powers of the Commission).

**Commencement Information**

**I640** Sch. 11 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 In section 179 (review of decisions under Part 4), in subsection (2)(a), for “section 110(1) or (3) as applied by section 176” substitute “ section 174A(1) or (3) ”.

**Commencement Information**

**I641** Sch. 11 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 6 (1) Section 181 (orders under Part 4) is amended as follows.
- (2) In subsection (4), for the words from “or 161,” to “section 176” substitute “ , 161, 174D(4) or (5), or under section 114(3)(b) or (4)(b) as applied by section 174D ”.
- (3) In subsection (10)—
- (a) omit “111(4) or (6) or”, and
  - (b) for “176” substitute “ 174D ”.

**Commencement Information**

**I642** Sch. 11 para. 6 partly in force; sch. 11 para. 6 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I643** Sch. 11 para. 6 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

SCHEDULE 12

Section 38

MARKETS: TIME-LIMITS

*Market studies and decisions whether to make a reference under section 131*

- 1 Before section 131 of the 2002 Act (power to make market investigation references) insert—

*“Market studies*

**Duty to publish market study notice**

130A(1) Where the CMA is proposing to carry out its functions under section 5 in relation to a matter for the purposes mentioned in subsection (2), the CMA must publish a notice under this section (referred to in this Part as a “market study notice”).

- (2) The purposes are—

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- (a) to consider the extent to which a matter in relation to the acquisition or supply of goods or services of one or more than one description in the United Kingdom has or may have effects adverse to the interests of consumers; and
  - (b) to assess the extent to which steps can and should be taken to remedy, mitigate or prevent any such adverse effects.
- (3) A market study notice shall, in particular, specify—
- (a) the matter in relation to which the CMA is proposing to carry out its functions under section 5;
  - (b) the period during which representations may be made to the CMA in relation to the matter; and
  - (c) the dates by which the CMA is required to comply with the requirements imposed on it by sections 131A and 131B.”

#### Commencement Information

I644 Sch. 12 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

2 After section 131 of that Act insert—

#### “131A Decisions about references under section 131: consultation

- (1) This section applies to a case where the CMA has published a market study notice and—
  - (a) the CMA is proposing to make a reference under section 131 in relation to the matter specified in the notice; or
  - (b) a representation has been made to the CMA within the period specified in the notice under section 130A(3)(b) to the effect that such a reference should be made but the CMA is proposing not to make such a reference.
- (2) The CMA shall—
  - (a) publish notice of the proposal concerned; and
  - (b) consult the relevant persons about the proposal, in such manner as it considers practicable, before deciding whether to make a reference.
- (3) The CMA may, for the purposes of subsection (1), ignore any representation which it considers to be frivolous or vexatious.
- (4) For the purposes of subsection (2), a person is a “relevant person” if the CMA considers that its decision whether to make a reference is likely to have a substantial impact on the person's interests.
- (5) In consulting a person for the purposes of this section, the CMA shall, so far as practicable, give its reasons for the proposal.
- (6) In considering what is practicable for the purposes of this section, the CMA shall, in particular, have regard to—
  - (a) the restrictions imposed by the time-table for making the decision (see section 131B); and
  - (b) any need to keep what is proposed, or the reasons for it, confidential.

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### **131B Market studies and the making of decisions to refer: time-limits**

- (1) Where the CMA has published a market study notice in a case to which section 131A applies, the CMA shall, within the period of 6 months beginning with the date on which it publishes the notice—
  - (a) publish the notice under section 131A(2)(a); and
  - (b) begin the process of consultation under section 131A(2)(b) (but the CMA need not complete the process within that period).
- (2) Subsection (3) applies where—
  - (a) the CMA has published a market study notice;
  - (b) no representation has been made to the CMA within the period specified in the notice under section 130A(3)(b) to the effect that a reference under section 131 should be made in relation to the matter specified in the notice; and
  - (c) the CMA has decided not to make such a reference.
- (3) The CMA shall, within the period of 6 months beginning with the date on which it publishes the market study notice, publish notice of the decision not to make a reference.
- (4) Where the CMA has published a market study notice it shall, within the period of 12 months beginning with the date on which it publishes the notice, prepare and publish a report (referred to in this Part as a “market study report”) which sets out—
  - (a) the findings of the CMA in relation to the matter specified in the notice; and
  - (b) the action (if any) which the CMA proposes to take in relation to the matter.
- (5) In a case to which section 131A applies, the market study report shall, in particular, contain—
  - (a) the decision of the CMA to make a reference under section 131 in relation to the matter specified in the market study notice, the decision to accept an undertaking under section 154 instead of making such a reference or (as the case may be) the decision otherwise not to make such a reference;
  - (b) the CMA's reasons for the decision; and
  - (c) such information as the CMA considers appropriate for facilitating a proper understanding of its reasons for the decision.
- (6) Where a market study report contains a decision of the CMA to make a reference under section 131 in relation to a matter, the CMA shall, at the same time as it publishes the report, make the reference.
- (7) This section is subject to section 140A (duty of Secretary of State to refer in public interest intervention cases).

### **131C Time-limits under section 131B: supplementary**

- (1) The Secretary of State may by order amend section 131B so as to alter one or more of the following periods—

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- (a) the period of 6 months mentioned in subsection (1) or (3) or any period for the time being mentioned in either of those subsections in substitution for that period;
  - (b) the period of 12 months mentioned in subsection (4) or any period for the time being there mentioned in substitution for that period.
- (2) But no alteration may be made by virtue of subsection (1) which results in—
- (a) the period for the time being mentioned in subsection (1) or (3) exceeding 6 months; or
  - (b) the period for the time being mentioned in subsection (4) exceeding 12 months.
- (3) Before making an order under this section the Secretary of State shall consult the CMA and such other persons as the Secretary of State considers appropriate.”

#### Commencement Information

- I645** Sch. 12 para. 2 partly in force; sch. 12 para. 2 in force for specified purposes at Royal Assent, see s.103(1)(i)
- I646** Sch. 12 para. 2 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Market investigations and reports*

- 3 (1) Section 137 of the 2002 Act (time-limits for market investigations and reports) is amended as follows.
- (2) In subsection (1), for “two years” substitute “ 18 months ”.
- (3) After subsection (2) insert—
- “(2A) The CMA may extend, by no more than 6 months, the period within which its report under section 136 is to be prepared and published if it considers that there are special reasons for doing so.
- (2B) An extension under subsection (2A) shall come into force when published under section 172.
- (2C) No more than one extension is possible under subsection (2A).”
- (4) For subsection (3) substitute—
- “(3) The Secretary of State may by order amend this section so as to alter one or more of the following periods—
- (a) the period of 18 months mentioned in subsection (1) or any period for the time being there mentioned in substitution for that period;
  - (b) the period of 6 months mentioned in subsection (2A) or any period for the time being there mentioned in substitution for that period.”

(5) For subsection (4) substitute—

“(4) But no alteration shall be made by virtue of subsection (3) which results in—

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- (a) the period for the time being mentioned in subsection (1) exceeding 18 months; or
- (b) the period for the time being mentioned in subsection (2A) exceeding 6 months.”

#### Commencement Information

- I647** Sch. 12 para. 3 partly in force; sch. 12 para. 3 in force for specified purposes at Royal Assent, see s.103(1)(i)
- I648** Sch. 12 para. 3 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### Remedies implementation

- 4 In section 138 of the 2002 Act (duty to remedy adverse effects), in subsection (2), after “shall,” insert “ within the period permitted by section 138A, ”.

#### Commencement Information

- I649** Sch. 12 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 After section 138 of that Act insert—

#### “138A Time-limits for discharging duty under section 138

- (1) The CMA shall discharge its duty under section 138(2) within the period of 6 months beginning with the date on which it publishes the report concerned under section 136.
- (2) The CMA may extend, by no more than 4 months, the period within which its duty under section 138(2) is required to be discharged if it considers that there are special reasons for doing so.
- (3) The CMA may extend the period within which its duty under section 138(2) is required to be discharged if it considers that—
  - (a) a person has failed (whether with or without reasonable excuse) to comply with any requirement of a notice under section 174 which was given in relation to the reference; and
  - (b) the failure is preventing the CMA from properly discharging its duty under section 138(2).
- (4) An extension under subsection (2) or (3) shall come into force when published under section 172.
- (5) An extension under subsection (3) continues in force until—
  - (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
  - (b) the CMA publishes its decision to cancel the extension.

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### 138B Section 138A: supplementary

- (1) A period extended under section 138A(2) may also be extended under section 138A(3), and a period extended under section 138A(3) may also be extended under section 138A(2).
- (2) No more than one extension is possible under section 138A(2).
- (3) Where a period is extended or further extended under section 138A(2) or (3), the period as extended or (as the case may be) further extended shall, subject to subsections (4) and (5), be calculated by taking the period being extended and adding to it the period of the extension (whether or not those periods overlap in time).
- (4) Subsection (5) applies where—
  - (a) the period within which the CMA shall discharge its duty under section 138(2) is further extended;
  - (b) the further extension and at least one previous extension is made under section 138A(3); and
  - (c) the same days or fractions of days are included in or comprise the further extension and are included in or comprise at least one such previous extension.
- (5) In calculating the period of the further extension, any days or fractions of days of the kind mentioned in subsection (4)(c) shall be disregarded.
- (6) The Secretary of State may by order amend section 138A so as to alter one or more of the following periods—
  - (a) the period of 6 months mentioned in subsection (1) or any period for the time being there mentioned in substitution for that period;
  - (b) the period of 4 months mentioned in subsection (2) or any period for the time being there mentioned in substitution for that period.
- (7) But no alteration shall be made by virtue of subsection (6) which results in—
  - (a) the period for the time being mentioned in section 138A(1) exceeding 6 months; or
  - (b) the period for the time being mentioned in section 138A(2) exceeding 4 months.
- (8) Before making an order under subsection (6) the Secretary of State shall consult the CMA and such other persons as the Secretary of State considers appropriate.”

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#### Commencement Information

**1650** Sch. 12 para. 5 in force at 1.4.2014 in so far as not already in force by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Time-limits: public interest intervention cases*

- 6 (1) Section 144 of the 2002 Act (time-limits for investigations and reports in public interest intervention cases) is amended as follows.

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- (2) In subsection (1), for “two years” substitute “ 18 months ”.
- (3) After subsection (1A) (inserted by Schedule 10) insert—
- “(1B) The CMA may extend, by no more than 6 months, the period within which its report under section 142 is to be prepared and action is to be taken in relation to it under section 143(1) or (3) or (as the case may be) 143A(2) or (3) if it considers that there are special reasons for doing so.
- (1C) An extension under subsection (1B) shall come into force when published under section 172.
- (1D) No more than one extension is possible under subsection (1B).”
- (4) In subsection (2)—
- (a) after “amend” insert “—
- (a)”,
- (b) for “two years” substitute “ 18 months ”, and
- (c) at the end insert “;
- (b) subsection (1B) so as to alter the period of 6 months mentioned in that subsection or any period for the time being mentioned in that subsection in substitution for that period.”
- (5) In subsection (3)—
- (a) after “results in” insert “—
- (a)”,
- (b) for “two years” substitute “ 18 months ”, and
- (c) at the end insert “;or
- (b) the period for the time being mentioned in subsection (1B) exceeding 6 months.”

#### Commencement Information

**I651** Sch. 12 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

#### *Time-limits: consequential and other minor amendments*

7 Part 4 of the 2002 Act (market investigations) is amended as follows.

#### Commencement Information

**I652** Sch. 12 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

8 In the heading of that Part, at the beginning insert “ Market Studies and ”.

#### Commencement Information

**I653** Sch. 12 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

9 In the heading of Chapter 1, at the beginning insert “ Market Studies and ”.



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**Commencement Information**

**I654** Sch. 12 para. 9 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

- 10 (1) Section 132 (ministerial power to make references) is amended as follows.
- (2) In subsection (1)—
- (a) after “services” insert “—
- (a),
- and
- (b) at the end insert “; and
- (b) in a case in which the CMA has published a market study notice under section 130A, the period permitted by section 131B for the preparation and publication by the CMA of the market study report has expired.”
- (3) In subsection (2)(b), for “to make such a reference” substitute “to publish a market study notice in relation to the matter concerned”.

**Commencement Information**

**I655** Sch. 12 para. 10 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

- 11 In section 135 (variation of references), omit subsection (4).

**Commencement Information**

**I656** Sch. 12 para. 11 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

- 12 In section 156 (effect of undertakings under section 154), after subsection (2) insert—
- “(3) The expiry of the period mentioned in section 131B(4) does not prevent the making of a market investigation reference if the CMA has accepted an undertaking or group of undertakings under section 154 and—
- (a) the CMA considers that any undertaking concerned has been breached and has given notice of that fact to the person responsible for giving the undertaking; or
- (b) the person responsible for giving any undertaking concerned supplied, in connection with the matter, information to the OFT which was false or misleading in a material respect.”

**Commencement Information**

**I657** Sch. 12 para. 12 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

- 13 In section 169 (certain duties of relevant authorities to consult: Part 4), in subsection (6), in the definition of “relevant decision”—
- (a) in paragraph (a), for sub-paragraph (i) substitute—
- “(i) to make a reference under section 131 in a case where the CMA has not published a market study

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notice under section 130A in relation to the matter concerned;

(ia) as to whether to accept undertakings under section 154 instead of making any reference under section 131;”, and

(b) in paragraph (b)(i), omit “as to whether”.

#### Commencement Information

**I658** Sch. 12 para. 13 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

14 (1) Section 172 (further publicity requirements: Part 4) is amended as follows.

(2) In subsection (1), after paragraph (a) insert—

“(aa) any decision not to make a reference under section 131 following a consultation in relation to the matter concerned under section 169;”.

(3) In subsection (2)—

(a) before paragraph (a) insert—

“(za) any extension by it under section 137 of the period within which a report under section 136 is to be prepared and published;

(zb) any extension by it under section 138A of the period within which its duty under section 138(2) is to be discharged;”, and

(b) after paragraph (b) insert—

“(ba) any extension by it under section 144 of the period within which a report under section 142 is to be prepared and action is to be taken in relation to it;”.

(4) After subsection (7) insert—

“(7A) Subsection (6) shall not apply in relation to any case falling within subsection (1)(a).”

#### Commencement Information

**I659** Sch. 12 para. 14 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

15 In section 179 (review of decisions under Part 4), in subsection (2), before paragraph (a) insert—

“(za) does not include a decision whether to carry out functions under section 5 in a case where the CMA is, or would have been, required to publish a market study notice (see section 130A(1));”.

#### Commencement Information

**I660** Sch. 12 para. 17 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

16 (1) Section 181 (orders under Part 4) is amended as follows.

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(2) In subsection (3), for “136(9), 137(3)” substitute “ 131C(1), 136(9), 137(3), 138B(6) ”.

(3) In subsection (4), for “137(3)” substitute “ 131C(1), 137(3), 138B(6) ”.

**Commencement Information**

**I661** Sch. 12 para. 16 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I662** Sch. 12 para. 16 in force at 1.4.2014 in so far as not already in force by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

17 In section 184 (index of defined expressions in Part 4), after the entry in the table for “market investigation reference” insert—

“Market study notice	Section 130A(1)
“Market study report	Section 131B(4)”

**Commencement Information**

**I660** Sch. 12 para. 17 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

SCHEDULE 13

Section 41

EXTENSION OF POWERS TO ISSUE WARRANTS UNDER THE 1998 ACT TO CAT

1 The 1998 Act is amended as follows.

**Commencement Information**

**I663** Sch. 13 para. 1 in force at 1.4.2014 by [S.I. 2014/416](#), [art. 2\(1\)\(d\)](#) (with [Sch.](#))

2 (1) Section 28 (power to enter business premises under a warrant) is amended as follows.

(2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the court or the Tribunal may issue a warrant if it is satisfied that— ”.

(3) In subsection (3), for “the judge” substitute “ the court or (as the case may be) the Tribunal ”.

(4) After subsection (7) insert—

“(7A) An application for a warrant under this section must be made—

- (a) in the case of an application to the court, in accordance with rules of court;
- (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

#### Commencement Information

**I664** Sch. 13 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 3 (1) Section 28A (power to enter domestic premises under a warrant) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the court or the Tribunal may issue a warrant if it is satisfied that— ”.
- (3) In subsection (3), for “the judge” substitute “ the court or (as the case may be) the Tribunal ”.
- (4) After subsection (8) insert—
- “(8A) An application for a warrant under this section must be made—
- (a) in the case of an application to the court, in accordance with rules of court;
  - (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

#### Commencement Information

**I665** Sch. 13 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 4 In section 61 (interpretation of Part 2), after the definition of “the Treaty” insert—
- ““the Tribunal” means the Competition Appeal Tribunal;”
- “Tribunal rules” means rules under section 15 of the Enterprise Act 2002.””.

#### Commencement Information

**I666** Sch. 13 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 5 (1) Section 62 (power to enter business premises under a warrant: Article 20 inspections) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the High Court or the Tribunal must issue a warrant if it is satisfied that— ”.
- (3) After subsection (8) insert—
- “(8A) An application for a warrant under this section must be made—
- (a) in the case of an application to the High Court, in accordance with rules of court;
  - (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

**Commencement Information**

**I667** Sch. 13 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 6 (1) Section 62A (power to enter non-business premises under a warrant: Article 21 inspections) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the High Court or the Tribunal must issue a warrant if it is satisfied that— ”.
- (3) After subsection (10) insert—
- “(10A) An application for a warrant under this section must be made—
- (a) in the case of an application to the High Court, in accordance with rules of court;
  - (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Commencement Information**

**I668** Sch. 13 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 7 (1) Section 63 (power to enter business premises under a warrant: Article 22(2) inspections) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the High Court or the Tribunal must issue a warrant if it is satisfied that— ”.
- (3) After subsection (8) insert—
- “(8A) An application for a warrant under this section must be made—
- (a) in the case of an application to the High Court, in accordance with rules of court;
  - (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Commencement Information**

**I669** Sch. 13 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 8 In section 65C (interpretation of Part 2A), in subsection (2), after the entry for “the Treaty” (but before the “and” following it) insert—
- ““the Tribunal;”
- “Tribunal rules;””.

**Commencement Information**

**I670** Sch. 13 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- 9 (1) Section 65G (power to enter business premises under a warrant: Article 22(1) investigations) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the court or the Tribunal may issue a warrant if it is satisfied that— ”.
- (3) In subsection (3), for “the judge” substitute “ the court or (as the case may be) the Tribunal ”.
- (4) After subsection (8) insert—
- “(8A) An application for a warrant under this section must be made—
- (a) in the case of an application to the court, in accordance with rules of court;
- (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Commencement Information**

**I671** Sch. 13 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

- 10 (1) Section 65H (power to enter domestic premises under a warrant: Article 22(1) investigations) is amended as follows.
- (2) In subsection (1), for the words before paragraph (a) substitute “ On an application made to it by the CMA, the court or the Tribunal may issue a warrant if it is satisfied that— ”.
- (3) In subsection (3), for “the judge” substitute “ the court or (as the case may be) the Tribunal ”.
- (4) After subsection (8) insert—
- “(8A) An application for a warrant under this section must be made—
- (a) in the case of an application to the court, in accordance with rules of court;
- (b) in the case of an application to the Tribunal, in accordance with Tribunal rules.”

**Commencement Information**

**I672** Sch. 13 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(d) (with Sch.)

SCHEDULE 14

Section 51(5)

REGULATORS: USE OF POWERS UNDER THE 1998 ACT

*Gas Act 1986 (c. 44)*

- 1 The Gas Act 1986 is amended as follows.

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

**Commencement Information**

**I673** Sch. 14 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 2 (1) Section 28 (orders for securing compliance) is amended as follows.
- (2) In subsections (1), (2) and (4), for “(5) and” (in each place where it occurs) substitute “(4A) to”.
- (3) After subsection (4) insert—
- “(4A) Before making a final order or making or confirming a provisional order, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (4B) The Authority shall not make a final order or make or confirm a provisional order if it considers that it would be more appropriate to proceed under the Competition Act 1998.”
- (4) In subsection (5), omit paragraph (c) and the “or” preceding it.
- (5) In subsection (6)—
- (a) in the words before paragraph (a), after “the Authority” insert “decides that it would be more appropriate to proceed under the Competition Act 1998 or”, and
- (b) in paragraph (a), after “that it” insert “has so decided or”.

**Commencement Information**

**I674** Sch. 14 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 3 In section 30A (penalties), for subsection (2) substitute—
- “(2) Before imposing a penalty on a regulated person under subsection (1), the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (2A) The Authority shall not impose a penalty on a regulated person under subsection (1) if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

**Commencement Information**

**I675** Sch. 14 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Electricity Act 1989 (c. 29)*

- 4 The Electricity Act 1989 is amended as follows.

**Commencement Information**

**I676** Sch. 14 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

- 5 (1) Section 25 (orders for securing compliance) is amended as follows.
- (2) In subsections (1), (2) and (4), for “(5) and” (in each place where it occurs) substitute “(4A) to”.
- (3) After subsection (4) insert—
- “(4A) Before making a final order or making or confirming a provisional order, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (4B) The Authority shall not make a final order or make or confirm a provisional order if it considers that it would be more appropriate to proceed under the Competition Act 1998.”
- (4) In subsection (5), omit paragraph (d) and the “or” preceding it.
- (5) In subsection (6)—
- (a) in the words before paragraph (a), after “the Authority” insert “decides that it would be more appropriate to proceed under the Competition Act 1998 or”, and
- (b) in paragraph (a), after “that it” insert “has so decided or”.

**Commencement Information**

**I677** Sch. 14 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 6 In section 27A (penalties), for subsection (2) substitute—
- “(2) Before imposing a penalty on a regulated person under subsection (1), the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (2A) The Authority shall not impose a penalty on a regulated person under subsection (1) if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

**Commencement Information**

**I678** Sch. 14 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 7 In section 43 (functions with respect to competition), in subsection (6), for the words from the beginning to “(3) above” substitute “If any question arises as to whether subsection (2) or (3) above applies to any particular case”.

**Commencement Information**

**I679** Sch. 14 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Water Industry Act 1991 (c. 56)*

- 8 The Water Industry Act 1991 is amended as follows.



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**Commencement Information**

**I680** Sch. 14 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

9 (1) Section 19 (exception to duty to enforce) is amended as follows.

(2) For subsection (1A) substitute—

“(1A) Before making an enforcement order or confirming a provisional enforcement order, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(1B) The Authority shall not make an enforcement order or confirm a provisional enforcement order if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

(3) In subsection (3)—

- (a) in the words before paragraph (a), for “is satisfied as mentioned in subsection (1A) above” substitute “ has decided that it would be more appropriate to proceed under the Competition Act 1998 ”, and
- (b) in paragraph (a), after “satisfied” insert “ or has so decided ”.

**Commencement Information**

**I681** Sch. 14 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

10 In section 22A (penalties), for subsection (13) substitute—

“(13) Before imposing a penalty under this section, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(14) The Authority shall not impose a penalty under this section if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

**Commencement Information**

**I682** Sch. 14 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Railways Act 1993 (c. 43)*

11 The Railways Act 1993 is amended as follows.

**Commencement Information**

**I683** Sch. 14 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

12 (1) Section 55 (orders for securing compliance) is amended as follows.

(2) For subsection (5A) substitute—

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“(5A) Before making a final order or making or confirming a provisional order, the Office of Rail Regulation shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(5AA) The Office of Rail Regulation shall not make a final order or make or confirm a provisional order if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

(3) In subsection (5D)(b), for “(5A)” substitute “ (5AA) ”.

**Commencement Information**

**I684** Sch. 14 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

13 In section 57A (penalties), for subsection (6) substitute—

“(6) Before imposing a penalty under this section, the Office of Rail Regulation shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(7) The Office of Rail Regulation shall not impose a penalty under this section if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

**Commencement Information**

**I685** Sch. 14 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

14 In section 67 (functions of the Office of Rail Regulation with respect to competition), in subsection (8), for the words from the beginning to “(3) above” substitute “ If any question arises as to whether subsection (2) or (3) above applies to any particular case ”.

**Commencement Information**

**I686** Sch. 14 para. 14 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Transport Act 2000 (c. 38)*

15 (1) Section 21 of the Transport Act 2000 (exceptions to duties to secure compliance) is amended as follows.

(2) In subsection (1), omit paragraph (b) and the “or” preceding it.

(3) After subsection (5) insert—

“(6) Before making a final order or making or confirming a provisional order, the CAA must consider whether it would be more appropriate to proceed under the Competition Act 1998.

(7) The CAA must not make a final order or make or confirm a provisional order to the extent that it considers that it would be more appropriate to proceed under the Competition Act 1998.”

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**Commencement Information**

**I687** Sch. 14 para. 15 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Communications Act 2003 (c. 21)*

16 The Communications Act 2003 is amended as follows.

**Commencement Information**

**I688** Sch. 14 para. 16 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

17 In section 94 (notification of contravention of SMP apparatus conditions), for subsection (10) substitute—

“(10) Before giving a notification under this section, OFCOM must consider whether it would be more appropriate to proceed under the Competition Act 1998.

(10A) OFCOM must not give a notification under this section if they consider that it would be more appropriate to proceed under the Competition Act 1998.

(10B) In a case where OFCOM decide that it would be more appropriate to proceed under the Competition Act 1998, they must publish a statement to that effect in such manner as they consider appropriate for bringing their decision to the attention of persons whom they consider are likely to be affected by it.”

**Commencement Information**

**I689** Sch. 14 para. 17 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

18 In section 96A (notification of contravention of condition other than SMP apparatus condition), for subsection (5) substitute—

“(5) Before giving a notification under this section, OFCOM must consider whether it would be more appropriate to proceed under the Competition Act 1998.

(6) OFCOM must not give a notification under this section if they consider that it would be more appropriate to proceed under the Competition Act 1998.

(7) In a case where OFCOM decide that it would be more appropriate to proceed under the Competition Act 1998, they must publish a statement to that effect in such manner as they consider appropriate for bringing their decision to the attention of persons whom they consider are likely to be affected by it.”

**Commencement Information**

**I690** Sch. 14 para. 18 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

*Postal Services Act 2011 (c. 5)*

19 In Schedule 7 to the Postal Services Act 2011 (enforcement of regulatory requirements) for paragraph 4 substitute—

- “4 (1) Before giving a notification under paragraph 2, OFCOM must consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (2) OFCOM must not give a notification under paragraph 2 if they consider that it would be more appropriate to proceed under the Competition Act 1998.
- (3) In a case where OFCOM decide that it would be more appropriate to proceed under the Competition Act 1998, they must publish a statement to that effect in such manner as they consider appropriate for bringing their decision to the attention of persons whom they consider are likely to be affected by it.”

**Commencement Information**

**I691** Sch. 14 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*Health and Social Care Act 2012 (c. 7)*

PROSPECTIVE

F4220 .....

**Textual Amendments**

**F42** Sch. 14 paras. 20-22 omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), Sch. 12 para. 9; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)

PROSPECTIVE

F4221 .....

**Textual Amendments**

**F42** Sch. 14 paras. 20-22 omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), Sch. 12 para. 9; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)

PROSPECTIVE

F4222 .....

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

### Textual Amendments

**F42** Sch. 14 paras. 20-22 omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), Sch. 12 para. 9; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)

*The Electricity (Northern Ireland) Order 1992 (S.I. 1992/231 (N.I. 1))*

- 23 In article 46 of the Electricity (Northern Ireland) Order 1992, in paragraph (6), for the words from the beginning to “(2A) or (3)” substitute “ If any question arises as to whether paragraph (2) or (3) applies to any particular case ”.

### Commencement Information

**I692** Sch. 14 para. 23 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*The Energy (Northern Ireland) Order 2003 (S.I. 2003/419 (N.I. 6))*

- 24 The Energy (Northern Ireland) Order 2003 is amended as follows.

### Commencement Information

**I693** Sch. 14 para. 24 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 25 (1) Article 42 (orders for securing compliance) is amended as follows.
- (2) In paragraph (1), for “, (5) and” substitute “ and (5) to ”.
- (3) In paragraphs (2) and (4), for “(5) and” substitute “ (5) to ”.
- (4) In paragraph (5), omit sub-paragraph (b) and the “or” preceding it.
- (5) After that paragraph insert—
- “(5A) Before making a final order or making or confirming a provisional order in relation to a licence holder, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.
- (5B) The Authority shall not make a final order or make or confirm a provisional order in relation to a licence holder if it considers that it would be more appropriate to proceed under the Competition Act 1998.”
- (6) In paragraph (7)—
- (a) in the words before sub-paragraph (a), after “(6)” insert “ or decides that it would be more appropriate to proceed under the Competition Act 1998 ”, and
- (b) in sub-paragraph (a), after “satisfied” insert “ or has so decided ”.

### Commencement Information

**I694** Sch. 14 para. 25 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

- 26 In article 45 (financial penalties), for paragraph (3) substitute—

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“(3) Before imposing a penalty under paragraph (1) or (2) the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(3A) The Authority shall not impose a penalty under paragraph (1) or (2) if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

#### Commencement Information

**I695** Sch. 14 para. 26 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

*The Water and Sewerage Services (Northern Ireland) Order 2006 (S.I. 2006/3336 (N.I. 21))*

27 The Water and Sewerage Services (Northern Ireland) Order 2006 is amended as follows.

#### Commencement Information

**I696** Sch. 14 para. 27 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

28 (1) Article 31 (exceptions to duty to enforce) is amended as follows.

(2) In paragraph (1), omit sub-paragraph (d) and the “or” preceding it.

(3) After that paragraph insert—

“(1A) Before making an enforcement order or confirming a provisional enforcement order under Article 30, the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

(1B) The Authority shall not make an enforcement order or confirm a provisional enforcement order under that Article if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

(4) In paragraph (3)—

(a) in the words before sub-paragraph (a)—

(i) for “, (c) or (d)” substitute “ or (c) ”, and

(ii) after “paragraph (1)” insert “ or decides that it would be more appropriate to proceed under the Competition Act 1998 ”, and

(b) in sub-paragraph (a), after “so satisfied” insert “ or has so decided ”.

#### Commencement Information

**I697** Sch. 14 para. 28 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

29 In article 35 (financial penalties), for paragraph (11) substitute—

“(11) Before imposing a penalty under this Article the Authority shall consider whether it would be more appropriate to proceed under the Competition Act 1998.

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(12) The Authority shall not impose a penalty under this Article if it considers that it would be more appropriate to proceed under the Competition Act 1998.”

**Commencement Information**

**I698** Sch. 14 para. 29 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(e) (with Sch.)

SCHEDULE 15

Section 57

MINOR AND CONSEQUENTIAL AMENDMENTS: PART 4

*Civil Aviation Act 1982 (c. 16)*

1 In Schedule 1 to the Civil Aviation Act 1982 (constitution etc. of the Authority), in paragraph 15—

- (a) the existing text becomes sub-paragraph (1), and
- (b) after that sub-paragraph insert—

“(2) The power in sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

**I699** Sch. 15 para. 1 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Gas Act 1986 (c. 44)*

2 In section 36A of the Gas Act 1986 (functions with respect to competition), in subsections (3), (3A) and (7)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

**I700** Sch. 15 para. 2 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Electricity Act 1989 (c. 29)*

3 In section 43 of the Electricity Act 1989 (functions with respect to competition), in subsections (3), (3A) and (6)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

**I701** Sch. 15 para. 3 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

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*Water Industry Act 1991 (c. 56)*

4 The Water Industry Act 1991 is amended as follows.

**Commencement Information**

**I702** Sch. 15 para. 4 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

5 In section 31 (functions with respect to competition), in subsections (3), (4A) and (8)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

**I703** Sch. 15 para. 5 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

6 In Schedule 1A (constitution etc. of the Authority), in paragraph 10, after sub-paragraph (2) insert—

“(3) Sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

**I704** Sch. 15 para. 6 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Railways Act 1993 (c. 43)*

7 In section 67 of the Railways Act 1993 (functions with respect to competition), in subsections (3), (3A) and (8)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

**I705** Sch. 15 para. 7 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Competition Act 1998 (c. 41)*

8 The Competition Act 1998 is amended as follows.

**Commencement Information**

**I706** Sch. 15 para. 8 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

9 In section 26 (powers when conducting investigations), in subsection (3)(b), for “42 to” substitute “43 and”.

**Commencement Information**

**I707** Sch. 15 para. 9 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)



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- 10 In section 38 (guidance on level of penalties), in subsection (9), for “an appeal tribunal” substitute “ the Tribunal ”.

**Commencement Information**

**I708** Sch. 15 para. 10 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 11 In section 54 (regulators), in subsection (1)—
- (a) omit paragraph (c), and
  - (b) for paragraph (f) substitute—  
“(f) the Northern Ireland Authority for Utility Regulation;”.

**Commencement Information**

**I709** Sch. 15 para. 11 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 12 (1) Schedule 1 (exclusions: mergers and concentrations) is amended as follows.
- (2) In paragraph 5—
- (a) omit “to the Competition Commission” (in each place where it occurs), and
  - (b) for “the Commission” (in each place where it occurs) substitute “ the CMA ”.

**Commencement Information**

**I710** Sch. 15 para. 12 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Utilities Act 2000 (c. 27)*

- 13 In Schedule 1 to the Utilities Act 2000 (constitution etc. of the Authority), in paragraph 9, after sub-paragraph (2) insert—
- “(2A) Sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

**I711** Sch. 15 para. 13 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Transport Act 2000 (c. 38)*

- 14 In section 86 of the Transport Act 2000 (functions with respect to competition), in subsections (3), (4)(b) and (7)(b), after “38(1) to (6)” (in each place where it occurs) insert “ , 40B(1) to (4) ”.

**Commencement Information**

**I712** Sch. 15 para. 14 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

*Enterprise Act 2002 (c. 40)*

15 The Enterprise Act 2002 is amended as follows.

**Commencement Information**

**I713** Sch. 15 para. 15 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

16 (1) Section 25 (extension of time-limits) is amended as follows.

(2) In subsection (2), for the words from “has failed” to the end of the subsection substitute “ has failed (with or without a reasonable excuse) to comply with any requirement of a notice under section 109 ”.

(3) For subsection (3) substitute—

“(3) An extension under subsection (2) shall come into force when notice of the extension is given and end—

- (a) when the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
- (b) if earlier, the CMA cancels the extension.”

**Commencement Information**

**I714** Sch. 15 para. 16 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

17 Omit section 31 (information powers in relation to completed mergers).

**Commencement Information**

**I715** Sch. 15 para. 17 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

18 (1) Section 32 (supplementary provision for purposes of sections 25 and 31) is amended as follows.

(2) Omit subsections (1) to (3).

(3) In subsection (4), omit “or subsection (3)(a) above”.

(4) In the heading, for “sections 25 and 31” substitute “ section 25 ”.

**Commencement Information**

**I716** Sch. 15 para. 18 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

19 (1) Section 34A (duty where case referred by European Commission) is amended as follows.

(2) For subsection (5) substitute—

“(5) The CMA may extend the preliminary assessment period if it considers that any of the persons carrying on the enterprises concerned has failed (whether

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with or without reasonable excuse) to comply with any requirement of a notice under section 109.”

(3) For subsection (6) substitute—

“(6) An extension under subsection (5) shall come into force when published under section 107.

(6A) An extension under subsection (5) shall continue in force until—

- (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
- (b) the CMA publishes its decision to cancel the extension.”

(4) Omit subsection (7).

**Commencement Information**

I717 Sch. 15 para. 19 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

20 Omit section 34B (power to request information in referred cases).

**Commencement Information**

I718 Sch. 15 para. 20 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

21 (1) Section 42 (intervention by Secretary of State in certain public interest cases) is amended as follows.

(2) In subsection (1), in paragraph (d)(i)—

- (a) for “section 22(3)(a) or (e)” substitute “ section 22(3)(za), (a) or (e) ”, and
- (b) for “33(3)(a) or (e)” substitute “ 33(3)(za), (a) or (e) ”.

(3) In subsection (5), for “to 32” substitute “ to 30 ”.

(4) In subsection (6), in the words before paragraph (a), for “to 32” substitute “ to 30 ”.

(5) In that subsection, in paragraph (b), for “sections 25(1) to (3), (6) and (8) and 31” substitute “ section 25(1) to (3), (6) and (8) ”.

(6) In that subsection, in paragraph (h)—

- (a) omit “, and the power to request information under section 31(1) as so applied,”, and
- (b) after “existing time-limits” insert “ by virtue of section 24 (as so applied) ”.

(7) In that subsection, in paragraph (i), after “existing time-limits” insert “ by virtue of section 24 (as so applied) ”.

(8) In that subsection, omit paragraph (j).

(9) In that subsection, in paragraph (k), for “to 32” substitute “ to 30 ”.

(10) In that subsection, omit paragraph (l) and the word “and” immediately preceding it.

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**Commencement Information**

**I719** Sch. 15 para. 21 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 22 In section 46 (references under section 45: supplementary), in subsection (1)(a), omit “or 96(3)”.

**Commencement Information**

**I720** Sch. 15 para. 22 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 23 (1) Section 46B (extension of preliminary assessment period) is amended as follows.
- (2) For subsection (1) substitute—
- “(1) The CMA may extend the preliminary assessment period for the purposes of section 46A if it considers that any of the persons carrying on the enterprises concerned has failed (whether with or without reasonable excuse) to comply with any requirement of a notice under section 109.”
- (3) Omit subsection (2).
- (4) For subsection (3) substitute—
- “(3) An extension under subsection (1) shall come into force when published under section 107.
- (3A) An extension under subsection (1) shall continue in force until—
- (a) the person concerned provides the information or documents to the satisfaction of the CMA or (as the case may be) appears as a witness in accordance with the requirements of the CMA; or
- (b) the CMA publishes its decision to cancel the extension.”
- (5) Omit subsection (4).

**Commencement Information**

**I721** Sch. 15 para. 23 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 24 Omit section 46C (power to request information in referred cases).

**Commencement Information**

**I722** Sch. 15 para. 24 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 25 (1) Section 49 (variation of references under section 45) is amended as follows.
- (2) In subsection (2), omit “1”.
- (3) In subsection (3), omit “1”.
- (4) In subsection (4) —
- (a) omit “any undertaking accepted under paragraph 1 of Schedule 7, or”, and
- (b) for “that Schedule” substitute “Schedule 7”.

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- (5) In subsection (5)—
- (a) omit “undertaking or” (in each place where it occurs),
  - (b) omit “, accepted or” (in each place where it occurs), and
  - (c) omit “, superseded, released”.

**Commencement Information**

**I723** Sch. 15 para. 25 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 26 (1) Section 59 (intervention by Secretary of State in special public interest cases) is amended as follows.
- (2) In subsection (5), for “to 32” substitute “ to 30 ”.
  - (3) In subsection (6), in the words before paragraph (a), for “to 32” substitute “ to 30 ”.
  - (4) In that subsection, in paragraph (c), for “sections 25(1) to (3), (6) and (8) and 31” substitute “ section 25(1) to (3), (6) and (8) ”.
  - (5) In that subsection, in paragraph (g), omit “, and the power to request information under section 31(1) as so applied,”.
  - (6) In that subsection, omit paragraph (h).
  - (7) In that subsection, in paragraph (i), for “to 32” substitute “ to 30 ”.
  - (8) In that subsection, omit paragraph (j) and the word “and” immediately preceding it.

**Commencement Information**

**I724** Sch. 15 para. 26 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 27 (1) Section 64 (cancellation and variation of references under section 62) is amended as follows.
- (2) In subsection (3), omit “1,”.
  - (3) In subsection (4)—
    - (a) omit “any undertaking accepted under paragraph 1 of Schedule 7, or”, and
    - (b) for “that Schedule” substitute “ Schedule 7 ”.
  - (4) In subsection (5)—
    - (a) omit “undertaking or” (in each place where it occurs),
    - (b) omit “, accepted or” (in each place where it occurs), and
    - (c) omit “, superseded, released”.

**Commencement Information**

**I725** Sch. 15 para. 27 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 28 (1) Section 67 (intervention to protect legitimate interests) is amended as follows.
- (2) In subsection (7), for “to 32” substitute “ to 30 ”.

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(3) In subsection (8), in the words before paragraph (a), for “to 32” substitute “to 30”.

(4) In that subsection, in paragraph (d), for “sections 25, 31 and 32” substitute “section 25”.

**Commencement Information**

**I726** Sch. 15 para. 28 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

29 In section 68 (scheme for protecting legitimate interests), in subsection (4)(a), for “to 32” substitute “to 30”.

**Commencement Information**

**I727** Sch. 15 para. 29 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

30 In section 77 (restrictions on certain share dealings: completed mergers), in subsection (1)(b), omit “71 or”.

**Commencement Information**

**I728** Sch. 15 para. 30 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

31 In section 78 (restrictions on certain share dealings: anticipated mergers), in subsection (1)(b), for “section 81” substitute “section 72 or 81”.

**Commencement Information**

**I729** Sch. 15 para. 31 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

32 In section 89 (subject matter of undertakings), in subsection (2)—  
(a) omit “71,”, and  
(b) omit “1,”.

**Commencement Information**

**I730** Sch. 15 para. 32 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

33 (1) Section 93 (further role of OFT in relation to undertakings and orders) is amended as follows.

(2) In subsection (1), in paragraph (b), omit “1,”.

(3) In subsection (2), omit “1,”.

(4) In subsection (4), omit “1,”.

**Commencement Information**

**I731** Sch. 15 para. 33 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

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- 34 In section 94 (rights to enforce undertakings and orders), in subsection (8), omit “1”.

**Commencement Information**

**I732** Sch. 15 para. 34 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 35 (1) Section 99 (functions in relation to merger notices) is amended as follows.
- (2) Omit subsections (2) to (4).
- (3) In subsection (5), in paragraph (c), for the words from “or any” to “as required” substitute “ or the person who gave the merger notice has failed (with or without a reasonable excuse) to comply with any requirement of a notice under section 109 in relation to the case concerned ”.

**Commencement Information**

**I733** Sch. 15 para. 35 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 36 (1) Section 107 (further publicity requirements) is amended as follows.
- (2) In subsection (1), for paragraph (a) substitute—
- “(a) any decision made by it that the duty to make a reference under section 22 or 33 applies and any such reference made by it;
  - (aa) any decision made by it that the duty to make such a reference does not apply (other than a decision made by virtue of subsection (2)(b) of section 33);”.
- (3) In that subsection, after paragraph (aa) insert—
- “(ab) any notice given by it as mentioned in paragraph (b) of the definition of “initial period” in section 34ZA(3);
  - (ac) any extension by it under section 34ZB of the initial period;
  - (ad) any decision made by it to cancel an extension as mentioned in section 34ZB(7)(b);
  - (ae) any extension by it under section 34A of the preliminary assessment period;
  - (af) any decision made by it to cancel an extension as mentioned in section 34A(6A)(b);.
  - (ag) any extension by it under section 46B of the preliminary assessment period;
  - (ah) any decision made by it to cancel an extension as mentioned in section 46B(3A)(b);”.
- (4) In that subsection, omit paragraph (d).
- (5) In that subsection, after paragraph (e) insert—
- “(ea) any notice given by it under section 73A(2)(b);
  - (eb) any extension by it under section 73A of the period for considering whether to accept an undertaking under section 73;
  - (ec) any decision made by it to cancel an extension as mentioned in section 73A(11)(b);”.

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- (6) In that subsection, in paragraph (f), for “such an undertaking or order” substitute “an order mentioned in paragraph (e)”.
- (7) At the end of that subsection insert “; and  
(i) any notice given by it under section 96(2A).”
- (8) In subsection (2), after paragraph (e) insert—  
“(ea) any extension by it under section 41A of the period within which its duty under section 41(2) is to be discharged;  
(eb) any decision made by it to cancel an extension as mentioned in section 41A(7)(b);”.
- (9) In subsection (3), omit paragraphs (h) and (i).

**Commencement Information**

**I734** Sch. 15 para. 36 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 37 In section 130 (index of defined expressions), omit the entry for “Undertakings under paragraph 1 of Schedule 7”.

**Commencement Information**

**I735** Sch. 15 para. 37 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 38 (1) Schedule 7 (enforcement regime for public interest and special public interest cases) is amended as follows.
- (2) In paragraph 7, in sub-paragraph (1), for paragraph (b) substitute—  
“(b) no orders under paragraph 2 are in force in relation to the relevant merger situation concerned or (as the case may be) the special merger situation concerned.”
- (3) In paragraph 8, in sub-paragraph (1), for paragraph (b) substitute—  
“(b) no orders under paragraph 2 are in force in relation to the relevant merger situation concerned or (as the case may be) the special merger situation concerned.”

**Commencement Information**

**I736** Sch. 15 para. 38 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 39 In Schedule 15 (enactments conferring functions for the purposes of which specified information may be disclosed), at the end insert—“ The Health and Social Care Act 2012. ”

**Commencement Information**

**I737** Sch. 15 para. 39 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)



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*Office of Communications Act 2002 (c. 11)*

40 In Schedule 1 to the Office of Communications Act 2002 (constitution etc. of the OFCOM), in paragraph 18—

- (a) the existing text becomes sub-paragraph (1), and
- (b) after that sub-paragraph insert—

“(2) Sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

**I738** Sch. 15 para. 40 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Railways and Transport Safety Act 2003 (c. 20)*

41 In Schedule 1 to the Rail and Transport Safety Act 2003 (constitution etc. of the Office of Rail Regulation), in paragraph 7—

- (a) the existing text becomes sub-paragraph (1), and
- (b) after that sub-paragraph insert—

“(2) Sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

**I739** Sch. 15 para. 41 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Communications Act 2003 (c. 21)*

42 The Communications Act 2003 is amended as follows.

**Commencement Information**

**I740** Sch. 15 para. 42 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

43 (1) Section 192 (appeals against decisions by OFCOM etc) is amended as follows.

(2) In subsection (1), after paragraph (d) insert—

“(e) a decision by the CMA to which effect is given by an order made under section 193A.”

(3) In subsection (6)(b), after “the Secretary of State” insert “, by the CMA ”.

**Commencement Information**

**I741** Sch. 15 para. 43 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

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- 44 In section 193 (reference of price control matters), in subsection (10), after “this section” insert “ and section 193A ”.

**Commencement Information**

I742 Sch. 15 para. 44 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 45 In section 195 (decisions of the Tribunal), in subsection (9), for “or the Secretary of State” (in each place it occurs) substitute “, the Secretary of State or the CMA ”.

**Commencement Information**

I743 Sch. 15 para. 45 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 46 In section 371 (functions under the Competition Act 1998), in subsections (2) and (3)(a), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4) ”.

**Commencement Information**

I744 Sch. 15 para. 46 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Health and Social Care Act 2012 (c. 7)*

- 47 The Health and Social Care 2012 is amended as follows.

**Commencement Information**

I745 Sch. 15 para. 47 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 48 In section 72 (functions under the Competition Act 1998), in subsections (2) and (3), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4) ”.

**Commencement Information**

I746 Sch. 15 para. 48 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 49 In Schedule 8 (constitution etc. of Monitor), in paragraph 11, after sub-paragraph (2) insert—

“(2A) Sub-paragraph (2) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

**Commencement Information**

I747 Sch. 15 para. 49 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Civil Aviation Act 2012 (c. 19)*

- 50 The Civil Aviation Act 2012 is amended as follows.

**Changes to legislation:** Enterprise and Regulatory Reform Act 2013 is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

**Commencement Information**

I748 Sch. 15 para. 50 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 51 In section 62 (functions under Competition Act 1998), in subsections (2) and (4), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

I749 Sch. 15 para. 51 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

- 52 In section 63 (Competition Act 1998: supplementary), in subsection (1), after “38(1) to (6)” insert “, 40B(1) to (4)”.

**Commencement Information**

I750 Sch. 15 para. 52 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Electricity (Northern Ireland) Order 1992 (SI 1992/231 (N.I. 1))*

- 53 In article 46 of the Electricity (Northern Ireland) Order 1992 (functions with respect to competition), in paragraphs (3), (3A) and (6)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

I751 Sch. 15 para. 53 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Gas (Northern Ireland) Order 1996 (SI 1996/275 (N.I. 2))*

- 54 In article 23 of the Gas (Northern Ireland) Order 1996 (functions with respect to competition), in paragraphs (3), (3A) and (6)(b), after “38(1) to (6)” (in each place where it occurs) insert “, 40B(1) to (4)”.

**Commencement Information**

I752 Sch. 15 para. 54 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

*Energy (Northern Ireland) Order 2003 (SI 2003/419 (N.I. 6))*

- 55 In Schedule 1 to the Energy (Northern Ireland) Order 2003 (constitution etc. of the Authority), in paragraph 9, after sub-paragraph (2) insert—  
“(2A) Sub-paragraph (1) is subject to provision in rules made under section 51 of the Competition Act 1998 by virtue of paragraph 1A of Schedule 9 to that Act in respect of the exercise of a function under Part 1 of that Act.”

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#### Commencement Information

**I753** Sch. 15 para. 55 in force at 1.4.2014 by S.I. 2014/416, art. 2(1)(f) (with Sch.)

## SCHEDULE 16

Section 60(5)

### LOCAL LISTED BUILDING CONSENT ORDERS: PROCEDURE

#### Commencement Information

**I754** Sch. 16 partly in force; sch. 16 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I755** Sch. 16 in force at 6.4.2014 in so far as not already in force by S.I. 2014/416, art. 3(d)

In the Planning (Listed Buildings and Conservation Areas) Act 1990, after Schedule 2 insert—

### “SCHEDULE 2A

Section 26D

### LOCAL LISTED BUILDING CONSENT ORDERS: PROCEDURE

#### *Preparation*

- 1 (1) A local listed building consent order must be prepared in accordance with such procedure as is prescribed by regulations under this Act.
- (2) The regulations may include provision as to—
  - (a) the preparation, submission, approval, adoption, revision, revocation and withdrawal of a local listed building consent order;
  - (b) notice, publicity, and inspection by the public;
  - (c) consultation with and consideration of views of such persons and for such purposes as are prescribed;
  - (d) the making and consideration of representations.

#### *Revision*

- 2 (1) The local planning authority may at any time prepare a revision of a local listed building consent order.
- (2) An authority must prepare a revision of a local listed building consent order—
  - (a) if the Secretary of State directs them to do so, and
  - (b) in accordance with such timetable as the Secretary of State directs.
- (3) This Schedule applies to the revision of a local listed building consent order as it applies to the preparation of the order.
- (4) A local listed building consent order may not be varied except by revision under this paragraph.

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*Order to be adopted*

- 3 A local listed building consent order is of no effect unless it is adopted by resolution of the local planning authority.

*Annual report*

- 4 (1) While a local listed building consent order is in force the local planning authority must prepare reports containing such information as is prescribed as to the extent to which the order is achieving its purposes.
- (2) A report under this paragraph must—
- (a) be in respect of a period—
    - (i) which the authority considers appropriate in the interests of transparency,
    - (ii) which begins with the end of the period covered by the authority's most recent report under this paragraph (or, in the case of the first report, with the day the order comes into force), and
    - (iii) which is not longer than 12 months or such shorter period as is prescribed;
  - (b) be in such form as is prescribed;
  - (c) contain such other matter as is prescribed.
- (3) The authority must make its reports under this section available to the public.”

SCHEDULE 17

Section 63

HERITAGE PLANNING REGULATION

*National Heritage Act 1983 (c. 47)*

- 1 (1) Section 33 of the National Heritage Act 1983 (the Commission's general function) is amended as follows.
- (2) In subsection (2A)—
- (a) in paragraph (a) after “1979” insert “, under section 196D of the Town and Country Planning Act 1990”, and
  - (b) in paragraph (b) for “that Part or of that Act of 1990” substitute “ Part 1 of the Ancient Monuments and Archaeological Areas Act 1979 or the Planning (Listed Buildings and Conservation Areas) Act 1990 ”.
- (3) After subsection (2A) insert—
- “(2AA) In relation to an actual or apprehended breach of planning control in respect of relevant demolition, in section 187B of the Town and Country Planning Act 1990 (injunctions restraining breaches of planning control) reference to a local planning authority includes reference to the Commission.
- (2AB) In subsection (2AA)—
- “breach of planning control” has the same meaning as in the Town and Country Planning Act 1990 (see section 171A of that Act);

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“relevant demolition” has the same meaning as in section 196D of that Act.”

**Commencement Information**

**I756** Sch. 17 para. 1 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

*Town and Country Planning Act 1990 (c. 8)*

2 The Town and Country Planning Act 1990 is amended as follows.

**Commencement Information**

**I757** Sch. 17 para. 2 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

3 In section 108 (compensation for refusal or conditional grant of planning permission formerly granted by order) after subsection (3E) insert—

“(3F) This section does not apply to the extent that the development referred to in subsection (1)(b) would, while permitted by a development order, have required conservation area consent under the Planning (Listed Buildings and Conservation Areas) Act 1990.”

**Commencement Information**

**I758** Sch. 17 para. 3 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

4 In section 171B (time limits for enforcement of breaches of planning control) after subsection (2) insert—

“(2A) There is no restriction on when enforcement action may be taken in relation to a breach of planning control in respect of relevant demolition (within the meaning of section 196D).”

**Commencement Information**

**I759** Sch. 17 para. 4 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

5 In section 174 (appeal against enforcement notice) before subsection (3) insert—

“(2C) Where any breach of planning control constituted by the matters stated in the notice relates to relevant demolition (within the meaning of section 196D), an appeal may also be brought on the grounds that—

- (a) the relevant demolition was urgently necessary in the interests of safety or health;
- (b) it was not practicable to secure safety or health by works of repair or works for affording temporary support or shelter; and
- (c) the relevant demolition was the minimum measure necessary.”

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#### Commencement Information

**I760** Sch. 17 para. 5 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

6 After section 196C insert—

#### *“Conservation areas*

#### **196D Offence of failing to obtain planning permission for demolition of unlisted etc buildings in conservation areas in England**

- (1) It is an offence for a person to carry out or cause or permit to be carried out relevant demolition without the required planning permission.
- (2) It is also an offence for a person to fail to comply with any condition or limitation subject to which planning permission for relevant demolition is granted.
- (3) In this section “relevant demolition” means the demolition of a building that—
  - (a) is situated in a conservation area in England; and
  - (b) is not a building to which section 74 of the Planning (Listed Buildings and Conservation Areas) Act 1990 does not apply by virtue of section 75 of that Act (listed buildings, certain ecclesiastical buildings, scheduled monuments and buildings described in a direction of the Secretary of State under that section).
- (4) It is a defence for a person accused of an offence under this section to prove the following matters—
  - (a) that the relevant demolition was urgently necessary in the interests of safety or health;
  - (b) that it was not practicable to secure safety or health by works of repair or works for affording temporary support or shelter;
  - (c) that the relevant demolition was the minimum measure necessary; and
  - (d) that notice in writing of the relevant demolition was given to the local planning authority as soon as reasonably practicable.
- (5) A person guilty of an offence under this section is liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding 12 months or a fine or both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding 2 years or a fine or both.
- (6) In relation to an offence committed before the coming into force of section 154(1) of the Criminal Justice Act 2003, subsection (5)(a) has effect as if the reference to 12 months were to 6 months.
- (7) In relation to an offence committed before the coming into force of section 85(1) of the Legal Aid, Sentencing and Punishment of Offenders Act 2012, subsection (5)(a) has effect as if the reference to a fine were a reference to a fine not exceeding £20,000.

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- (8) In determining the amount of any fine to be imposed on a person convicted of an offence under this section, the court must in particular have regard to any financial benefit which has accrued or appears likely to accrue to that person in consequence of the offence.
- (9) Where, after a person commits an offence under this section, planning permission is granted for any development carried out before the grant of the permission, that grant does not affect the person's liability for the offence.”

#### Commencement Information

**I761** Sch. 17 para. 6 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

#### *Planning (Listed Buildings and Conservation Areas) Act 1990 (c. 9)*

- 7 The Planning (Listed Buildings and Conservation Areas) Act 1990 is amended as follows.
- 8 (1) Section 1 (listing of buildings of special architectural or historic interest) is amended as follows.
- (2) In subsection (5) after “shall” insert “, subject to subsection (5A)(a), ”.
- (3) After subsection (5) insert—
- “(5A) In a list compiled or approved under this section, an entry for a building situated in England may provide—
- (a) that an object or structure mentioned in subsection (5)(a) or (b) is not to be treated as part of the building for the purposes of this Act;
- (b) that any part or feature of the building is not of special architectural or historic interest.”
- 9 (1) Section 6 (issue of certificate that building not intended to be listed) is amended as follows.
- (2) Before subsection (1) insert—
- “(A1) The Secretary of State may, on the application of any person, issue a certificate stating that the Secretary of State does not intend to list a building situated in England.”
- <sup>F43</sup>(3) . . . . .
- (4) In subsection (2) for “such a certificate” substitute “ a certificate under subsection (A1) or (1) ”.
- (5) In subsection (3) after “subsection” insert “ (A1) or ”.

#### Textual Amendments

**F43** Sch. 17 para. 9(3) omitted (21.5.2016) by virtue of Historic Environment (Wales) Act 2016 (anaw 4), ss. 27(4), 41(2)



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#### Commencement Information

**I762** Sch. 17 para. 9 in force at 25.6.2013 by S.I. 2013/1455, art. 2(d) (with art. 4(2))

- 10 In section 32(1)(a) (purchase notice on refusal or conditional grant of consent)—
- (a) for “listed building consent in respect of a building” substitute “ on an application for listed building consent in respect of a building, consent ”;
  - (b) before “is revoked” insert “ such consent granted on an application ”.

#### Commencement Information

**I763** Sch. 17 para. 10 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

- 11 In section 62(2) (validity of certain orders and decisions), after paragraph (a) insert—
- “(aa) any decision to approve or reject a local listed building consent order or part of such an order;
  - (ab) any decision on an appeal under section 26K;”.

#### Commencement Information

**I764** Sch. 17 para. 11 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 12 (1) Section 74 (control of demolition in conservation areas) is amended as follows.
- (2) In subsection (1) after the first “area” insert “ in Wales ”.
- (3) After subsection (2) insert—
- “(2A) Sections 56, 66(1) and 90(2) to (4) have effect in relation to buildings in conservation areas in England as they have effect in relation to listed buildings, subject to such exceptions and modifications as may be prescribed by regulations.”
- (4) In subsection (3) after “areas” insert “ in Wales ”.
- (5) In subsection (4) for “Any such regulations” substitute “ Regulations made under subsection (3) ”.

#### Commencement Information

**I765** Sch. 17 para. 12 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

- 13 In section 75 (cases in which section 74 does not apply) in subsection (11)—
- (a) for “that section”, in both places those words appear, substitute “ section 74 ”, and
  - (b) after “43” insert “ or section 196D of the principal Act (offence of failing to obtain, or comply with, planning permission for demolition of unlisted etc building in conservation area in England) ”.

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**Commencement Information**

**I766** Sch. 17 para. 13 in force at 1.10.2013 by S.I. 2013/2227, art. 2(m)

- 14 In section 82(3) (application of Act to land and works of local planning authorities) for “to 29” substitute “ to 26, 28, 29 ”.

**Commencement Information**

**I767** Sch. 17 para. 14 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 15 In section 82A(2) (application to the Crown), after paragraph (c) insert—  
“(ca) section 26J;”.

**Commencement Information**

**I768** Sch. 17 para. 15 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 16 In section 88(2)(c) (rights of entry) after “11” insert “ , 26J ”.

**Commencement Information**

**I769** Sch. 17 para. 16 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 17 In section 92(2)(b) (application to Isles of Scilly), after “Schedules 1, 2” insert “ , 2A ”.

**Commencement Information**

**I770** Sch. 17 para. 17 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 18 (1) Section 93 (regulations and orders) is amended as follows.  
(2) In subsection (4) after “8(5),” insert “ 26C, ”.  
(3) In subsection (5A) after “section” insert “ 26C or ”.

**Commencement Information**

**I771** Sch. 17 para. 18 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

- 19 (1) Schedule 3 (determination of certain appeals by person appointed by Secretary of State) is amended as follows.  
(2) In paragraph 1(1), 2(8)(a) and 3(3) after “20” insert “ , 26K ”.  
(3) In paragraph 2(1) after paragraph (a) (before “and” at the end) insert—  
“(aa) in relation to an appeal under section 26K, as the Secretary of State has under section 26K(4) to (6);”.

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**Commencement Information**

**I772** Sch. 17 para. 19 in force at 6.4.2014 by S.I. 2014/416, art. 3(e)

*Application*

- 20 Paragraph 8 applies in relation to entries for buildings that are listed, or entries that are amended, on or after the date on which that paragraph comes into force.

**Commencement Information**

**I773** Sch. 17 para. 20 in force at 25.6.2013 by S.I. 2013/1455, art. 2(d) (with art. 4(2))

SCHEDULE 18

Section 71(2)

ADJUDICATORS: BANKRUPTCY APPLICATIONS BY DEBTORS AND BANKRUPTCY ORDERS

**Commencement Information**

**I774** Sch. 18 partly in force; sch. 18 in force for specified purposes at Royal Assent, see s.103(1)(i)

“CHAPTER A1

ADJUDICATORS: BANKRUPTCY APPLICATIONS BY DEBTORS AND BANKRUPTCY ORDERS

**Bankruptcy applications to an adjudicator**

- 263(1) An individual may make an application to an adjudicator in accordance with this Chapter for a bankruptcy order to be made against him or her.
- (2) An individual may make a bankruptcy application only on the ground that the individual is unable to pay his or her debts.

**Debtors against whom an adjudicator may make a bankruptcy order**

- 263(1) An adjudicator has jurisdiction to determine a bankruptcy application only if—
- (a) the centre of the debtor's main interests is in England and Wales, or
  - (b) the centre of the debtor's main interests is not in a member state of the European Union which has adopted the EC Regulation, but the test in subsection (2) is met.
- (2) The test is that—
- (a) the debtor is domiciled in England and Wales, or
  - (b) at any time in the period of three years ending with the day on which the application is made to the adjudicator, the debtor—
    - (i) has been ordinarily resident, or has had a place of residence, in England and Wales, or

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- (ii) has carried on business in England and Wales.
- (3) The reference in subsection (2) to the debtor carrying on business includes—
  - (a) the carrying on of business by a firm or partnership of which the debtor is a member, and
  - (b) the carrying on of business by an agent or manager for the debtor or for such a firm or partnership.
- (4) In this section, references to the centre of the debtor's main interests have the same meaning as in Article 3 of the EC Regulation.

### **Conditions applying to bankruptcy application**

- 263~~I~~<sup>J</sup>) A bankruptcy application must include—
  - (a) such particulars of the debtor's creditors, debts and other liabilities, and assets, as may be prescribed, and
  - (b) such other information as may be prescribed.
- (2) A bankruptcy application is not to be regarded as having been made unless any fee or deposit required in connection with the application by an order under section 415 has been paid to such person, and within such period, as may be prescribed.
- (3) A bankruptcy application may not be withdrawn.
- (4) A debtor must notify the adjudicator if, at any time before a bankruptcy order is made against the debtor or the adjudicator refuses to make such an order—
  - (a) the debtor becomes able to pay his or her debts, or
  - (b) a bankruptcy petition has been presented to the court in relation to the debtor.

### **Determination of bankruptcy application**

- 263~~K~~<sup>L</sup>) After receiving a bankruptcy application, an adjudicator must determine whether the following requirements are met—
  - (a) the adjudicator had jurisdiction under section 263I to determine the application on the date the application was made,
  - (b) the debtor is unable to pay his or her debts at the date of the determination,
  - (c) no bankruptcy petition is pending in relation to the debtor at the date of the determination, and
  - (d) no bankruptcy order has been made in respect of any of the debts which are the subject of the application at the date of the determination.
- (2) If the adjudicator is satisfied that each of the requirements in subsection (1) are met, the adjudicator must make a bankruptcy order against the debtor.
- (3) If the adjudicator is not so satisfied, the adjudicator must refuse to make a bankruptcy order against the debtor.
- (4) The adjudicator must make a bankruptcy order against the debtor or refuse to make such an order before the end of the prescribed period (“the determination period”).

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### **Adjudicator's requests for further information**

- 263(1) An adjudicator may at any time during the determination period request from the debtor information that the adjudicator considers necessary for the purpose of determining whether a bankruptcy order must be made.
- (2) The adjudicator may specify a date before which information requested under subsection (1) must be provided; but that date must not be after the end of the determination period.
- (3) If the rules so prescribe, a request under subsection (1) may include a request for information to be given orally.
- (4) The rules may make provision enabling or requiring an adjudicator to request information from persons of a prescribed description in prescribed circumstances.

### **Making of bankruptcy order**

- 263M) This section applies where an adjudicator makes a bankruptcy order as a result of a bankruptcy application.
- (2) The order must be made in the prescribed form.
- (3) The adjudicator must—
- (a) give a copy of the order to the debtor, and
  - (b) give notice of the order to persons of such description as may be prescribed.

### **Refusal to make a bankruptcy order: review and appeal etc.**

- 263N) Where an adjudicator refuses to make a bankruptcy order on a bankruptcy application, the adjudicator must give notice to the debtor—
- (a) giving the reasons for the refusal, and
  - (b) explaining the effect of subsections (2) to (5).
- (2) If requested by the debtor before the end of the prescribed period, the adjudicator must review the information which was available to the adjudicator when the determination that resulted in the refusal was made.
- (3) Following a review under subsection (2) the adjudicator must—
- (a) confirm the refusal to make a bankruptcy order, or
  - (b) make a bankruptcy order against the debtor.
- (4) Where the adjudicator confirms a refusal under subsection (3), the adjudicator must give notice to the debtor—
- (a) giving the reasons for the confirmation, and
  - (b) explaining the effect of subsection (5).
- (5) If the refusal is confirmed under subsection (3), the debtor may appeal against the refusal to the court before the end of the prescribed period.

### **False representations and omissions**

- 263O) It is an offence knowingly or recklessly to make any false representation or omission in—
- (a) making a bankruptcy application to an adjudicator, or

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- (b) providing any information to an adjudicator in connection with a bankruptcy application.
- (2) It is an offence knowingly or recklessly to fail to notify an adjudicator of a matter in accordance with a requirement imposed by or under this Part.
- (3) It is immaterial for the purposes of an offence under this section whether or not a bankruptcy order is made as a result of the application.
- (4) It is not a defence in proceedings for an offence under this section that anything relied on, in whole or in part, as constituting the offence was done outside England and Wales.
- (5) Proceedings for an offence under this section may only be instituted—
  - (a) by the Secretary of State, or
  - (b) by or with the consent of the Director of Public Prosecutions.”

## SCHEDULE 19

Section 71(3)

## ADJUDICATORS: MINOR AND CONSEQUENTIAL AMENDMENTS

1 The Insolvency Act 1986 is amended in accordance with this Schedule.

**Commencement Information**

I775 Sch. 19 para. 1 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

2 In section 253 (application for interim order), omit subsection (5).

**Commencement Information**

I776 Sch. 19 para. 2 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

3 In section 255 (cases in which interim order can be made), in subsection (1)(b) for “petition for his own bankruptcy” substitute “ make a bankruptcy application ”.

**Commencement Information**

I777 Sch. 19 para. 3 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

4 (1) Section 256A (debtor's proposal and nominee's report) is amended as follows.

(2) In subsection (1) omit the words from “unless” to the end.

(3) In subsection (3) for “petition for his own bankruptcy” substitute “ make a bankruptcy application ”.

**Commencement Information**

I778 Sch. 19 para. 4 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

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- 5 For the heading to Chapter 1 of Part 9 substitute “ The court: bankruptcy petitions and bankruptcy orders ”.

**Commencement Information**

**I779** Sch. 19 para. 5 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 6 In section 264 (who may present a bankruptcy petition), in subsection (1) omit paragraph (b).

**Commencement Information**

**I780** Sch. 19 para. 6 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 7 For section 265 (conditions to be satisfied in respect of debtor) substitute—

**“265 Creditor's petition: debtors against whom the court may make a bankruptcy order**

- (1) A bankruptcy petition may be presented to the court under section 264(1) (a) only if—
- (a) the centre of the debtor's main interests is in England and Wales, or
  - (b) the centre of the debtor's main interests is not in a member state of the European Union which has adopted the EC Regulation, but the test in subsection (2) is met.
- (2) The test is that—
- (a) the debtor is domiciled in England and Wales, or
  - (b) at any time in the period of three years ending with the day on which the petition is presented, the debtor—
    - (i) has been ordinarily resident, or has had a place of residence, in England and Wales, or
    - (ii) has carried on business in England and Wales.
- (3) The reference in subsection (2) to the debtor carrying on business includes—
- (a) the carrying on of business by a firm or partnership of which the debtor is a member, and
  - (b) the carrying on of business by an agent or manager for the debtor or for such a firm or partnership.
- (4) In this section, references to the centre of the debtor's main interests have the same meaning as in Article 3 of the EC Regulation.”

**Commencement Information**

**I781** Sch. 19 para. 7 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 8 In section 266 (bankruptcy petitions: other preliminary conditions), in subsection (4) omit “, (b)”.

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**Commencement Information**

**I782** Sch. 19 para. 8 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 9 (1) Sections 272 to 274A (and the cross-heading immediately preceding those sections) (debtor's petition) are repealed.
- (2) In consequence of the repeal of section 274A by sub-paragraph (1), omit paragraph 3 of Schedule 20 to the Tribunals, Courts and Enforcement Act 2007 (debt relief orders: consequential amendments).

**Commencement Information**

**I783** Sch. 19 para. 9 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [arts. 3, 4](#))

- 10 For the cross-heading immediately before section 278 substitute— “ CHAPTER 1A Commencement and duration of bankruptcy ”.

**Commencement Information**

**I784** Sch. 19 para. 10 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 11 In section 278 (commencement and continuance), in paragraph (b) (discharge of bankruptcy order) omit “the following provisions of”.

**Commencement Information**

**I785** Sch. 19 para. 11 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 12 In section 279 (duration of bankruptcy), in subsection (6) for “adjudged” substitute “ made ”.

**Commencement Information**

**I786** Sch. 19 para. 12 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 13 In section 282 (court's power to annul bankruptcy order), in subsection (2)—
- (a) omit “, (b)”,
  - (b) after “section 264(1)” insert “ or on a bankruptcy application ”, and
  - (c) in paragraph (a) after “pending” insert “ or the application was ongoing ”.

**Commencement Information**

**I787** Sch. 19 para. 13 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 14 In section 283 (definition of bankrupt's estate), in subsection (5)(a) for “adjudged” substitute “ made ”.



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**Commencement Information**

**I788** Sch. 19 para. 14 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 15 (1) Section 284 (restrictions on dispositions of property) is amended as follows.
- (2) In subsection (1) for “adjudged” substitute “ made ”.
- (3) In subsection (3) for “presentation of the petition for the bankruptcy order” substitute “ making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy petition ”.
- (4) In subsection (4), in paragraph (a) before “petition” insert “ bankruptcy application had been made or (as the case may be) that the bankruptcy ”.

**Commencement Information**

**I789** Sch. 19 para. 15 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 16 (1) Section 285 (restriction on proceedings and remedies) is amended as follows.
- (2) In subsection (1)—
- (a) after “when” insert “ proceedings on a bankruptcy application are ongoing or ”, and
- (b) for “adjudged” substitute “ made ”.
- (3) In subsection (2) after “proof that” insert “ a bankruptcy application has been made or ”.

**Commencement Information**

**I790** Sch. 19 para. 16 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 17 (1) Section 286 (power to appoint interim receiver) is amended as follows.
- (2) Omit subsection (2).
- (3) In subsection (8), for “adjudged” substitute “ made ”.

**Commencement Information**

**I791** Sch. 19 para. 17 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 18 In section 288 (statement of affairs), in subsection (1) for “debtor's petition” substitute “ bankruptcy application ”.

**Commencement Information**

**I792** Sch. 19 para. 18 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 19 In section 290 (public examination of bankrupt), in subsection (4)(a) for “adjudged” substitute “ made ”.

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#### Commencement Information

**I793** Sch. 19 para. 19 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

F44<sup>20</sup> .....

#### Textual Amendments

**F44** Sch. 19 paras. 20-22 omitted (6.4.2017) by virtue of [Small Business, Enterprise and Employment Act 2015 \(c. 26\)](#), s. 164(1), [Sch. 10 para. 12](#); [S.I. 2016/1020](#), reg. 4(f)

F44<sup>21</sup> .....

#### Textual Amendments

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F44<sup>22</sup> .....

#### Textual Amendments

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23 In section 298 (removal of trustee and vacation of office), in subsections (7) and (8) for “court” substitute “prescribed person”.

#### Commencement Information

**I794** Sch. 19 para. 23 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 24 (1) Section 299 (release of trustee) is amended as follows.
- (2) In subsection (1)(a) for “to the court” substitute “under this paragraph to the prescribed person”.
- (3) In subsection (3)(a) for “court” substitute “prescribed person”.

#### Commencement Information

**I795** Sch. 19 para. 24 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 25 (1) Section 320 (court order vesting disclaimed property) is amended as follows.
- (2) In subsection (2)(c) before “bankruptcy” insert “bankruptcy application was made or (as the case may be) the”.
- (3) In subsection (3)(c) before “bankruptcy” insert “bankruptcy application was made or (as the case may be) the”.

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**Commencement Information**

**I796** Sch. 19 para. 25 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 26 In section 321 (orders under section 320 in respect of leaseholds), in subsection (1) (a) before “bankruptcy” insert “ bankruptcy application was made or (as the case may be) the ”.

**Commencement Information**

**I797** Sch. 19 para. 26 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 27 In section 323 (mutual credit and set-off), in subsection (3) before “a bankruptcy” insert “ proceedings on a bankruptcy application relating to the bankrupt were ongoing or that ”.

**Commencement Information**

**I798** Sch. 19 para. 27 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 28 In section 334 (stay of distribution in case of second bankruptcy), in subsection (2) before “presentation of the petition” insert “ making of the application or (as the case may be) the ”.

**Commencement Information**

**I799** Sch. 19 para. 28 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 29 (1) Section 336 (rights of occupation etc of bankrupt's spouse or civil partner) is amended as follows.
- (2) In subsection (1) for “presentation of the petition for the bankruptcy order” substitute “ making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy petition ”.
- (3) In subsection (2) for “adjudged” substitute “ made ”.

**Commencement Information**

**I800** Sch. 19 para. 29 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 30 In section 337 (rights of occupation of bankrupt), in subsection (1)—
- (a) in paragraph (a) for “adjudged” substitute “ made ”, and
- (b) in paragraph (b) before “bankruptcy petition” insert “ bankruptcy application was made or (as the case may be) the ”.

**Commencement Information**

**I801** Sch. 19 para. 30 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

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- 31 In section 339 (transactions at an undervalue), in subsection (1) for “adjudged” substitute “made”.

**Commencement Information**

**I802** Sch. 19 para. 31 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 32 In section 340 (preferences), in subsection (1) for “adjudged” substitute “made”.

**Commencement Information**

**I803** Sch. 19 para. 32 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 33 In section 341 (meaning of “relevant time” under sections 339 and 340), in subsection (1)(a) for “presentation of the bankruptcy petition on which the individual is adjudged” substitute “making of the bankruptcy application as a result of which, or (as the case may be) the presentation of the bankruptcy petition on which, the individual is made”.

**Commencement Information**

**I804** Sch. 19 para. 33 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 34 (1) Section 342 (orders under sections 339 and 340) is amended as follows.
- (2) In subsection (1) for “adjudged” substitute “made”.
- (3) In subsection (5)—
- (a) for paragraph (a) substitute—
- “(a) of the fact that the bankruptcy application as a result of which, or (as the case may be) the bankruptcy petition on which, the individual in question is made bankrupt has been made or presented; or”, and
- (b) in paragraph (b) for “adjudged” substitute “made”.

**Commencement Information**

**I805** Sch. 19 para. 34 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 35 In section 342A (recovery of excessive pension contributions), in subsection (1) for “adjudged” substitute “made”.

**Commencement Information**

**I806** Sch. 19 para. 35 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 36 In section 343 (extortionate credit transactions), in subsection (1) for “adjudged” substitute “made”.

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**Commencement Information**

**I807** Sch. 19 para. 36 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 37 (1) Section 344 (avoidance of general assignment of book debts) is amended as follows.
- (2) In subsection (1) for “adjudged” substitute “ made ”.
- (3) In subsection (2) before “presentation” insert “ making of the bankruptcy application or (as the case may be) the ”.

**Commencement Information**

**I808** Sch. 19 para. 37 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 38 In section 345 (contracts to which bankrupt is a party), in subsection (1) for “adjudged” substitute “ made ”.

**Commencement Information**

**I809** Sch. 19 para. 38 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 39 (1) Section 346 (enforcement procedures) is amended as follows.
- (2) In subsections (1) and (2) for “adjudged” substitute “ made ”.
- (3) In subsection (3)—
- (a) in paragraph (b) before “bankruptcy” insert “ bankruptcy application has been made or a ”, and
- (b) in paragraph (c) before “on that petition” insert “ as a result of that application or ”.
- (4) In subsection (4)(a) after “while” insert “ proceedings on a bankruptcy application are ongoing or (as the case may be) ”.

**Commencement Information**

**I810** Sch. 19 para. 39 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 40 (1) Section 347 (distress, etc) is amended as follows.
- (2) In subsection (2)—
- (a) after “individual to whom” insert “ a bankruptcy application or ”, and
- (b) before “on that petition” insert “ as a result of that application or ”.
- (3) In subsection (3) for “adjudged” substitute “ made ”.

**Modifications etc. (not altering text)**

**C8** Sch. 19 para. 40(3) modified (18.11.2015) by [Finance \(No. 2\) Act 2015 \(c. 33\)](#), [Sch. 8 para. 33\(2\)](#)

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**Commencement Information**

**I811** Sch. 19 para. 40 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 41 In section 348 (apprenticeships, etc), in subsection (1)(a) for “petition on which the order was made” substitute “ application for the order was made or (as the case may be) the petition for the order ”.

**Commencement Information**

**I812** Sch. 19 para. 41 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 42 In section 350 (application of Chapter 6 of Part 9: bankruptcy offences), in subsection (1) after “applies” insert “—
- (a) where an adjudicator has made a bankruptcy order as a result of a bankruptcy application, or
  - (b)”.

**Commencement Information**

**I813** Sch. 19 para. 42 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 43 (1) Section 351 (definitions for the purposes of Chapter 6 of Part 9) is amended as follows.
- (2) In paragraph (b) before “presentation” insert “ making of the bankruptcy application or (as the case may be) the ”.
  - (3) Omit paragraph (c), and the preceding “and”.

**Commencement Information**

**I814** Sch. 19 para. 43 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 44 (1) Section 354 (concealment of property) is amended as follows.
- (2) In subsection (1)(c) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.
  - (3) In subsection (3)(a) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.

**Commencement Information**

**I815** Sch. 19 para. 44 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 45 (1) Section 355 (concealment of books and papers; falsification) is amended as follows.
- (2) In subsection (2)(d) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.
  - (3) In subsection (3)(b) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.

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**Commencement Information**

**I816** Sch. 19 para. 45 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 46 In section 356 (false statements), in subsection (2)(c) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.

**Commencement Information**

**I817** Sch. 19 para. 46 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 47 In section 358 (absconding), in paragraph (b) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.

**Commencement Information**

**I818** Sch. 19 para. 47 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 48 (1) Section 359 (fraudulent dealing with property obtained on credit) is amended as follows.
- (2) In subsection (1) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.
- (3) In subsection (2) before “petition” insert “ the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy ”.

**Commencement Information**

**I819** Sch. 19 para. 48 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 49 In section 360 (obtaining credit and engaging in business), in subsection (1)(b) for “adjudged” substitute “ made ”.

**Commencement Information**

**I820** Sch. 19 para. 49 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 50 (1) Section 364 (power of arrest) is amended as follows.
- (2) In subsection (1)(a) after “to whom a” insert “ bankruptcy application or a ”.
- (3) In subsection (2) before “presentation” insert “ making of the bankruptcy application or the ”.

**Commencement Information**

**I821** Sch. 19 para. 50 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

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- 51 In section 376 (time limits), after “anything” insert “ (including anything in relation to a bankruptcy application) ”.

**Commencement Information**

**I822** Sch. 19 para. 51 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 52 (1) Section 381 (definition of “bankrupt” and associated terminology) is amended as follows.
- (2) In subsection (1) for “adjudged” (in both places where it occurs) substitute “ made ”.
- (3) After subsection (1) insert—
- “(1A) Bankruptcy application” means an application to an adjudicator for a bankruptcy order.”
- (4) In subsection (2) for “adjudging” substitute “ making ”.

**Commencement Information**

**I823** Sch. 19 para. 52 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 53 In section 383 (definition of “creditor” etc.), in subsection (1)(b)—
- (a) after “to whom a” insert “ bankruptcy application or ”, and
- (b) after “that” insert “ application or ”.

**Commencement Information**

**I824** Sch. 19 para. 53 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 54 In section 384 (definitions of “prescribed” and “the rules”), in subsection (1) omit “section 273;”.

**Commencement Information**

**I825** Sch. 19 para. 54 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 55 In section 385 (miscellaneous definitions), in subsection (1)—
- (a) before the definition of “the court” insert—
- ““adjudicator” means a person appointed by the Secretary of State under section 398A;”,
- (b) in the definition of “the debtor”, in paragraph (b)—
- (i) before “bankruptcy petition” insert “ bankruptcy application or a ”, and
- (ii) after “to whom the” insert “ application or ”,
- (c) omit the definition of “debtor's petition”, and
- (d) before the definition of “dwelling house” insert—
- “determination period” has the meaning given in section 263K(4);”.



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**Commencement Information**

**I826** Sch. 19 para. 55 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 56 In section 387 (meaning of “the relevant date”), in subsection (6)(a) after “after” insert “ the making of the bankruptcy application or (as the case may be) ”.

**Commencement Information**

**I827** Sch. 19 para. 56 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 57 In section 389A (authorisation of nominees and supervisors), in subsection (3)(a) for “adjudged” substitute “ made ”.

**Commencement Information**

**I828** Sch. 19 para. 57 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 58 In section 390 (persons not qualified to act as insolvency practitioners), in subsection (4)(a) for “adjudged” substitute “ made ”.

**Commencement Information**

**I829** Sch. 19 para. 58 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 59 (1) Section 415 (fees orders) is amended as follows.
- (2) In subsection (1)—
- (a) after paragraph (a) omit “and”, and
  - (b) at the end of paragraph (b) insert “and
  - (c) the performance by an adjudicator of functions under Part 9 of this Act.”.
- (3) After subsection (1) insert—
- “(1A) An order under subsection (1) may make different provision for different purposes, including by reference to the manner or form in which proceedings are commenced.”

**Commencement Information**

**I830** Sch. 19 para. 59 partly in force; sch. 19 para. 59 in force for specified purposes at Royal Assent, see s.103(1)(i)

**I831** Sch. 19 para. 59 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

- 60 In section 421A (insolvent estates: joint tenancies), in subsection (9) in the definition of “value lost to the estate”, for “adjudged” substitute “ made ”.

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#### Commencement Information

**I832** Sch. 19 para. 60 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 61 In section 424 (who may apply for an order under section 423 in respect of transactions entered into at an undervalue), in subsection (1)(a) for “adjudged” substitute “made”.

#### Commencement Information

**I833** Sch. 19 para. 61 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 62 In Schedule 4ZA (conditions for making a debt relief order), for paragraph 3 substitute—
- “3 A bankruptcy application under Part 9—
- (a) has not been made before the determination date; or
  - (b) has been so made, but proceedings on the application have been finally disposed of before that date.”

#### Commencement Information

**I834** Sch. 19 para. 62 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 63 (1) In Schedule 4A (bankruptcy restrictions orders), paragraph 2 is amended as follows.
- (2) In sub-paragraph (2)—
- (a) in paragraph (a), for the words from “petition” to the end substitute “the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy petition and ending with the date of the application for the bankruptcy restrictions order”, and
  - (b) in paragraph (j), for “presentation of the petition” substitute “the making of the bankruptcy application or (as the case may be) the presentation of the bankruptcy petition”.
- (3) In sub-paragraph (4) omit the definition of “before petition”.

#### Commencement Information

**I835** Sch. 19 para. 63 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 64 In Schedule 6 (categories of preferential debts), in paragraph 14(1) for “adjudged” substitute “made”.

#### Commencement Information

**I836** Sch. 19 para. 64 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

- 65 (1) Schedule 9 (provisions capable of inclusion in individual insolvency rules) is amended as follows.
- (2) After paragraph 4 insert—

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*“Adjudicators*

- 4A Provision for regulating the practice and procedure of adjudicators in the discharge of functions for the purposes of Part 9 of this Act.
- 4B Provision about the form and content of a bankruptcy application (including an application for a review of an adjudicator's determination).”

(3) After paragraph 4B (as inserted by sub-paragraph (2)) insert—

*“Appeals against determinations by adjudicators*

- 4C Provision about the making and determining of appeals to the court against a determination by an adjudicator, including provision—
  - (a) enabling the court to make a bankruptcy order on such an appeal, and
  - (b) about where such appeals lie.”

(4) After paragraph 24 insert—

- “24A Provision requiring adjudicators—
  - (a) to keep files and other records relating to bankruptcy applications and bankruptcies resulting from bankruptcy applications,
  - (b) to make files and records available for inspection by persons of a prescribed description, and
  - (c) to provide files and records, or copies of them, to persons of a prescribed description.
- 24B Provision requiring an adjudicator to make returns to the Secretary of State of the adjudicator's business under Part 9 of this Act.
- 24C Provision requiring official receivers—
  - (a) to keep files and other records relating to bankruptcy applications and bankruptcies resulting from bankruptcy applications, and
  - (b) to make files and records available for inspection by persons of a prescribed description.
- 24D Provision requiring a person to whom notice is given under section 293(2), 295(3), 298(7) or (8) or section 299(1)(a) or (3)(a)—
  - (a) to keep files and other records of notices given under the section in question, and
  - (b) to make files and records available for inspection by persons of a prescribed description.”

**Commencement Information**

**1837** Sch. 19 para. 65 partly in force; sch. 19 para. 65 in force for specified purposes at Royal Assent, see s.103(1)(i)

**1838** Sch. 19 para. 65 in force at 6.4.2016 by S.I. 2016/191, art. 2 (with art. 3)

66 (1) In the Table in Schedule 10 (punishment of offences), insert the following entry after the entry relating to section 262A(1)—

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“2630	False representations or omissions in connection with a bankruptcy application.	(1)	On indictment	(1)	7 years or a fine, or both.
		(2)	Summary	(2)	12 months or the statutory maximum, or both.”

- (2) In the application of the entry inserted by sub-paragraph (1) in relation to an offence committed before [F452 May 2022], the reference in the fourth column to “12 months” is to be read as a reference to “6 months”.

#### Textual Amendments

- F45** Words in Sch. 19 para. 66(2) substituted (28.4.2022) by [The Criminal Justice Act 2003 \(Commencement No. 33\) and Sentencing Act 2020 \(Commencement No. 2\) Regulations 2022 \(S.I. 2022/500\)](#), regs. 1(2), 5(1), [Sch. Pt. 1](#)

#### Commencement Information

- I839** Sch. 19 para. 66 in force at 6.4.2016 by [S.I. 2016/191](#), [art. 2](#) (with [art. 3](#))

## SCHEDULE 20

Section 72(4)

### ABOLITION OF AGRICULTURAL WAGES BOARD AND RELATED ENGLISH BODIES: CONSEQUENTIAL PROVISION

- 1 In section 28 of the Rent (Agriculture) Act 1976 (duty of housing authority upon receiving application that agricultural worker be re-housed etc), in subsection (3), for “The authority” substitute “ If the dwelling-house is in Wales, the authority ”.

#### Commencement Information

- I840** Sch. 20 para. 1 in force at 1.10.2013 by [S.I. 2013/1455](#), [art. 3\(a\)](#) (with [art. 4\(1\)-\(3\)](#))

- 2 The repeals and revocations in the following table have effect.

<i>Reference</i>	<i>Extent of repeal or revocation</i>
Agricultural Wages Act 1948 (c. 47)	Section 1. In section 2— (a) in subsection (1)— (a) the words “England and”, and (b) paragraph (a), and (b) subsection (4). Sections 3 to 4. Sections 6 to 16.

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	In section 17—
	(a) in subsection (1), the definition of “the national minimum wage”, and
	(b) subsection (1A).
	Sections 17A to 19.
	Schedules 1, 2 and 4.
The Agricultural Wages Committee Regulations 1949 (S.I. 1949/1885)	Regulation 3(2)(a) and the word “and” after it. Regulation 16.
Public Records Act 1958 (c. 51)	In the table at the end of paragraph 3 of Schedule 1, the words “Agricultural Wages Board.”
Parliamentary Commissioner Act 1967 (c. 13)	In Schedule 2, the words “Agricultural Wages Board for England and Wales.”
Agriculture Act 1967 (c. 22)	Section 67.
Agriculture (Miscellaneous Provisions) Act 1968 (c. 34)	Section 46.
Agricultural Wages Committees (Wages Structure) Regulations 1971 (S.I. 1971/844)	The whole instrument.
Agricultural Wages Committees (Areas) Order 1974 (S.I. 1974/515)	In article 3(1), the words—
	(a) “Subject to the provisions of this order”, and
	(b) “an agricultural wages committee for each county in England and”.
	Article 3(2).
	Article 4.
	The Schedule.
Social Security (Consequential Provisions) Act 1975 (c. 18)	In Schedule 2, paragraph 32.
House of Commons Disqualification Act 1975 (c. 24)	In Part 3 of Schedule 1, the words “Member appointed by a Minister of the Crown of the Agricultural Wages Board for England and Wales.”
Northern Ireland Assembly Disqualification Act 1975 (c. 25)	In Part 3 of Schedule 1, the words “of the Agricultural Wages Board for England and Wales or”.
Social Security Pensions Act 1975 (c. 60)	In Schedule 4, paragraph 10.
Employment Protection Act 1975 (c. 71)	Section 97(1) and (2). Schedule 9. In Schedule 17, paragraph 12.

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Agriculture (Miscellaneous Provisions) Act 1976 (c. 55)	In section 4(1)(c), the words from “(including” to the end.
Agricultural Wages Committees (New Combinations of Counties) Order 1981 (S.I. 1981/179)	The whole order.
Agricultural Wages Committee (Cleveland, Durham, Northumberland and Tyne and Wear) Order 1989 (S.I. 1989/1173)	The whole order.
Social Security (Consequential Provisions) Act 1992 (c. 6)	In Schedule 2, paragraph 4.
Agricultural Wages Committees (Areas) (England) Order 1995 (S.I. 1995/3186)	The whole order.
Employment Rights Act 1996 (c. 18)	In section 35— (a) in subsection (2), paragraph (a) and the word “or” at the end of that paragraph, and (b) in subsection (3), paragraph (b) and the “and” before that paragraph.
National Minimum Wage Act 1998 (c. 39)	In section 16(6)— (a) in the definition of “the agricultural wages legislation”, paragraph (a), and (b) in the definition of “relevant authority”, paragraphs (a), (b) and (c).  In section 16A(5)— (a) in the definition of “enforcement officer”, paragraph (b), and (b) in the definition of “the relevant legislation”, paragraph (b).  Section 46(4)(a).  In section 47— (a) subsection (1)(a), (b) subsection (2)(a) and (d), (c) subsection (4)(a), (d) in subsection (4)(b), the words “(similar provision for Scotland)”, and (e) subsection (6)(a).  In section 55(1), in the definition of “regulations”, the words “by the Secretary of State and the Minister of Agriculture, Fisheries and Food acting jointly or”.  Part 1 of Schedule 2.
National Minimum Wage Regulations 1999 (S.I. 1999/584)	Regulation 38(5)(a).

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Freedom of Information Act 2000 (c. 36)	In Part 6 of Schedule 1, the words “An Agricultural Wages Board for England and Wales”.
Criminal Justice Act 2003 (c. 44)	In Schedule 25, paragraph 28.
Employment Relations Act 2004 (c. 24)	Section 47. In Schedule 1, paragraph 1.
Public Contracts Regulations 2006 (S.I. 2006/5)	In Schedule 1, in the entry relating to the Agricultural Wages Board and agricultural wages committees, the words “Board and”.
Employment Act 2008 (c. 24)	Section 8(6). Section 9(6).
Public Contracts (Scotland) Regulations 2012 (S.S.I. 2012/88)	In Schedule 1, in the entry relating to the Agricultural Wages Board and agricultural wages committees, the words “Board and”.

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#### Modifications etc. (not altering text)

- C9** Sch. 20 para. 2 excluded (E.W.) (30.7.2014) by [Agricultural Sector \(Wales\) Act 2014 \(anaw 6\)](#), **ss. 12(2)(a)**, 19 (with s. 14(1))

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#### Commencement Information

- I841** Sch. 20 para. 2 in force at 25.6.2013 for specified purposes by [S.I. 2013/1455](#), **art. 2(c)**, **Sch. 1** (with **art. 4(2)**)
- I842** Sch. 20 para. 2 in force at 1.10.2013 for specified purposes for E. by [S.I. 2013/1455](#), **art. 3(b)**, **Sch. 2** (with **art. 4(1)-(2)**, (4), **Sch. 3**)
- I843** Sch. 20 para. 2 in force at 16.12.2013 for specified purposes by [S.I. 2013/2979](#), **art. 2(d)**, **Sch. Pt. 2**
- I844** Sch. 20 para. 2 in force at 16.12.2013 for specified purposes for E. by [S.I. 2013/2979](#), **art. 2(c)**, **Sch. Pt. 1** (with **art. 4**)
- I845** Sch. 20 para. 2 in force at 31.3.2014 for specified purposes for E. by [S.I. 2013/2979](#), **art. 3** (as amended (26.3.2014) by [S.I. 2014/824](#), **art. 2(a)**)
- I846** Sch. 20 para. 2 in force at 15.9.2014 for specified purposes by [S.I. 2014/2481](#), **art. 2**
- I847** Sch. 20 para. 2 in force at 31.12.2014 for specified purposes for E. by [S.I. 2013/2979](#), **art. 3A** (as inserted (26.3.2014) by [S.I. 2014/824](#), **art. 2(b)** and substituted (14.9.2014) by [S.I. 2014/2481](#), **art. 4**)

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## SCHEDULE 21

Section 73

## UNNECESSARY REGULATION: MISCELLANEOUS

**PART 1**

## NOTIFICATION OF TV SALES ETC

*Wireless Telegraphy Act 1967 (c. 72)*

- 1 The Wireless Telegraphy Act 1967 (the remaining provisions of which make provision for, and in connection with, the recording and notification of the sale or hire of televisions etc) is repealed.
- 2 In consequence, the repeals in the following table have effect.

<i>Short title and chapter</i>	<i>Extent of repeal</i>
Post Office Act 1969 (c. 48)	Section 3.
Customs and Excise Management Act 1979 (c. 2)	In paragraph 12 of Schedule 4, the entries in the table relating to the Wireless Telegraphy Act 1967.
Broadcasting Act 1990 (c. 42)	Section 180. Part 2 of Schedule 18.
Communications Act 2003 (c. 21)	Section 367. Section 393(5)(c). Section 404(4)(d). In Schedule 17, paragraph 39.
Wireless Telegraphy Act 2006 (c. 36)	Section 111(6)(a). Section 118(6)(a). In Schedule 7, paragraph 2.

*Saving provision*

- 3 The repeal of section 3 of the Post Office Act 1969 by paragraph 2 does not affect the construction of any provision mentioned in subsection (1)(i) or (ii) of that section that continues to have effect after the commencement of the repeal.

**PART 2**

## WATER UNDERTAKERS: IN-AREA BAN

*Water Industry Act 1991 (c. 56)*

- 4 In section 2 of the Water Industry Act 1991 (general duties with respect to water industry), omit subsection (3)(d)(iii) (duty of Secretary of State and the Water



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Services Regulation Authority where a licensed water supplier is connected to a relevant undertaker).

### PART 3

#### BANKRUPTCY EARLY DISCHARGE PROCEDURE

##### *Insolvency Act 1986 (c. 45)*

- 5 In section 279 of the Insolvency Act 1986 (duration of bankruptcy), omit subsection (2) (bankrupt discharged early if official receiver files with the court a notice stating that investigation of the conduct and affairs of the bankrupt is unnecessary or concluded).

#### Commencement Information

1848 Sch. 21 para. 5 in force at 1.10.2013 by S.I. 2013/2227, art. 2(n) (with art. 6)

## SCHEDULE 22

Section 77(4)

### LICENSING OF COPYRIGHT AND PERFORMERS' RIGHTS

#### PART 1

##### REGULATION OF LICENSING BODIES

- 1 In the Copyright, Designs and Patents Act 1988, before Schedule 1 insert—

“SCHEDULE  
A1

##### REGULATION OF LICENSING BODIES

###### *Codes of practice*

- 1 (1) The Secretary of State may by regulations make provision for a licensing body to be required to adopt a code of practice that complies with criteria specified in the regulations.
- (2) The regulations may provide that, if a licensing body fails to adopt such a code of practice, any code of practice that is approved for the purposes of that licensing body by the Secretary of State, or by a person designated by the Secretary of State under the regulations, has effect as a code of practice adopted by the body.
- (3) The regulations must provide that a code is not to be approved for the purposes of provision under sub-paragraph (2) unless it complies with criteria specified in the regulations.

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- 2 Regulations under paragraph 1 may make provision as to conditions that are to be satisfied, and procedures that are to be followed—
- (a) before a licensing body is required to adopt a code of practice as described in paragraph 1(1);
  - (b) before a code of practice has effect as one adopted by a licensing body as described in paragraph 1(2).

*Licensing code ombudsman*

- 3 (1) The Secretary of State may by regulations make provision—
- (a) for the appointment of a person (the “licensing code ombudsman”) to investigate and determine disputes about a licensing body’s compliance with its code of practice;
  - (b) for the reference of disputes to the licensing code ombudsman;
  - (c) for the investigation and determination of a dispute so referred.
- (2) Provision made under this paragraph may in particular include provision—
- (a) about eligibility for appointment as the licensing code ombudsman;
  - (b) about the disputes to be referred to the licensing code ombudsman;
  - (c) requiring any person to provide information, documents or assistance to the licensing code ombudsman for the purposes of an investigation or determination;
  - (d) requiring a licensing body to comply with a determination of the licensing code ombudsman;
  - (e) about the payment of expenses and allowances to the licensing code ombudsman.

*Code reviewer*

- 4 (1) The Secretary of State may by regulations make provision—
- (a) for the appointment by the Secretary of State of a person (the “code reviewer”) to review and report to the Secretary of State on—
    - (i) the codes of practice adopted by licensing bodies, and
    - (ii) compliance with the codes of practice;
  - (b) for the carrying out of a review and the making of a report by that person.
- (2) The regulations must provide for the Secretary of State, before appointing a person as the code reviewer, to consult persons whom the Secretary of State considers represent the interests of licensing bodies, licensees, members of licensing bodies, and the Intellectual Property Office.
- (3) The regulations may, in particular, make provision—
- (a) requiring any person to provide information, documents or assistance to the code reviewer for the purposes of a review or report;
  - (b) about the payment of expenses and allowances to the code reviewer.
- (4) In this paragraph “member”, in relation to a licensing body, means a person on whose behalf the body is authorised to negotiate or grant licences.

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### *Sanctions*

- 5 (1) The Secretary of State may by regulations provide for the consequences of a failure by a licensing body to comply with—
- (a) a requirement to adopt a code of practice under provision within paragraph 1(1);
  - (b) a code of practice that has been adopted by the body in accordance with a requirement under provision within paragraph 1(1), or that has effect as one adopted by the body under provision within paragraph 1(2);
  - (c) a requirement imposed on the body under any other provision made under this Schedule;
  - (d) an authorisation under regulations under section 116A or 116B;
  - (e) a requirement imposed by regulations under section 116A or 116B;
  - (f) an authorisation under regulations under paragraph 1A or 1B of Schedule 2A;
  - (g) a requirement imposed by regulations under paragraph 1A or 1B of that Schedule.
- (2) The regulations may in particular provide for—
- (a) the imposition of financial penalties or other sanctions;
  - (b) the imposition of sanctions on a director, manager or similar officer of a licensing body or, where the body's affairs are managed by its members, on a member.
- (3) The regulations must include provision—
- (a) for determining whether there has been a failure to comply with a requirement or code of practice for the purposes of any provision made under sub-paragraph (1);
  - (b) for determining any sanction that may be imposed in respect of the failure to comply;
  - (c) for an appeal against a determination within paragraph (a) or (b).
- (4) A financial penalty imposed under sub-paragraph (2) must not be greater than £50,000.
- (5) The regulations may provide for a determination within sub-paragraph (3) (a) or (3)(b) to be made by the Secretary of State or by a person designated by the Secretary of State under the regulations.
- (6) The regulations may make provision for requiring a person to give the person by whom a determination within sub-paragraph (3)(a) falls to be made (the “adjudicator”) any information that the adjudicator reasonably requires for the purpose of making that determination.

### *Fees*

- 6 (1) The Secretary of State may by regulations require a licensing body to which regulations under any other paragraph of this Schedule apply to pay fees to the Secretary of State.

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- (2) The aggregate amount of fees payable under the regulations must not be more than the cost to the Secretary of State of administering the operation of regulations under this Schedule.

### *General*

- 7 (1) The power to make regulations under this Schedule includes in particular power—
- (a) to make incidental, supplementary or consequential provision, including provision extending or restricting the jurisdiction of the Copyright Tribunal or conferring powers on it;
  - (b) to make provision for bodies of a particular description, or carrying out activities of a particular description, not to be treated as licensing bodies for the purposes of requirements imposed under regulations under this Schedule;
  - (c) to make provision that applies only in respect of licensing bodies of a particular description, or only in respect of activities of a particular description;
  - (d) otherwise to make different provision for different purposes.
- (2) Regulations under a paragraph of this Schedule may amend Part 1 or Part 2, or any other enactment or subordinate legislation passed or made before the paragraph in question comes into force, for the purpose of making consequential provision or extending or restricting the jurisdiction of the Copyright Tribunal or conferring powers on it.
- (3) The power to make regulations is exercisable by statutory instrument.
- (4) A statutory instrument containing regulations may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament.
- 8 References in this Schedule to a licensing body are to a body that is a licensing body for the purposes of Chapter 7 of Part 1 or Chapter 2 of Part 2, and references to licensees are to be construed accordingly.”

## PART 2

### PERFORMERS' RIGHTS

- 2 Schedule 2A to the Copyright, Designs and Patents Act 1988 (licensing of performers' property rights) is amended as follows.
- 3 In the heading of the Schedule omit “property”.
- 4 In paragraph 1, after sub-paragraph (4) insert—
- “(5) Schedule A1 confers powers to provide for the regulation of licensing bodies.”
- 5 After paragraph 1 insert—

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*“Power to provide for licensing of orphan rights*

- 1A (1) The Secretary of State may by regulations provide for the grant of licences to do, or authorise the doing of, acts to which section 182, 182A, 182B, 182C, 182CA, 183 or 184 applies in respect of a performance, where—
- (a) the performer's consent would otherwise be required under that section, but
  - (b) the right to authorise or prohibit the act qualifies as an orphan right under the regulations.
- (2) The regulations may—
- (a) specify a person or a description of persons authorised to grant licences, or
  - (b) provide for a person designated in the regulations to specify a person or a description of persons authorised to grant licences.
- (3) The regulations must provide that, for a right to qualify as an orphan right, it is a requirement that the owner of the right has not been found after a diligent search made in accordance with the regulations.
- (4) The regulations must provide for any licence—
- (a) to have effect as if granted by the missing owner;
  - (b) not to give exclusive rights;
  - (c) not to be granted to a person authorised to grant licences.
- (5) The regulations may apply in a case where it is not known whether a performer's right subsists, and references to a right, to a missing owner and to an interest of a missing owner are to be read as including references to a supposed right, owner or interest.

*Extended collective licensing*

- 1B (1) The Secretary of State may by regulations provide for a licensing body that applies to the Secretary of State under the regulations to be authorised to grant licences to do, or authorise the doing of, acts to which section 182, 182A, 182B, 182C, 182CA, 183 or 184 applies in respect of a performance, where the right to authorise or prohibit the act is not owned by the body or a person on whose behalf the body acts.
- (2) An authorisation must specify the acts to which any of those sections applies that the licensing body is authorised to license.
- (3) The regulations must provide for the rights owner to have a right to limit or exclude the grant of licences by virtue of the regulations.
- (4) The regulations must provide for any licence not to give exclusive rights.

*General provision about licensing*

- 1C (1) This paragraph and paragraph 1D apply to regulations under paragraphs 1A and 1B.

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- (2) The regulations may provide for a body to be or remain authorised to grant licences only if specified requirements are met, and for a question whether they are met to be determined by a person, and in a manner, specified in the regulations.
  - (3) The regulations may specify other matters to be taken into account in any decision to be made under the regulations as to whether to authorise a person to grant licences.
  - (4) The regulations must provide for the treatment of any royalties or other sums paid in respect of a licence, including—
    - (a) the deduction of administrative costs;
    - (b) the period for which sums must be held;
    - (c) the treatment of sums after that period (as bona vacantia or otherwise).
  - (5) The regulations must provide for circumstances in which an authorisation to grant licences may be withdrawn, and for determining the rights and obligations of any person if an authorisation is withdrawn.
  - (6) The regulations may include other provision for the purposes of authorisation and licensing, including in particular provision—
    - (a) for determining the rights and obligations of any person if a right ceases to qualify as an orphan right (or ceases to qualify by reference to any rights owner), or if a rights owner exercises the right referred to in paragraph 1B(3), while a licence is in force;
    - (b) about maintenance of registers and access to them;
    - (c) permitting the use of a work for incidental purposes including an application or search;
    - (d) for a right conferred by section 205C to be treated as having been asserted under section 205D;
    - (e) for the payment of fees to cover administrative expenses.
- 1D (1) The power to make regulations includes power—
- (a) to make incidental, supplementary or consequential provision, including provision extending or restricting the jurisdiction of the Copyright Tribunal or conferring powers on it;
  - (b) to make transitional, transitory or saving provision;
  - (c) to make different provision for different purposes.
- (2) Regulations under any provision may amend this Part, or any other enactment or subordinate legislation passed or made before that provision comes into force, for the purpose of making consequential provision or extending or restricting the jurisdiction of the Copyright Tribunal or conferring powers on it.
  - (3) Regulations may make provision by reference to guidance issued from time to time by any person.
  - (4) The power to make regulations is exercisable by statutory instrument.

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- (5) A statutory instrument containing regulations may not be made unless a draft of the instrument has been laid before and approved by a resolution of each House of Parliament.”
- 6 In section 205A of the Copyright, Designs and Patents Act 1988, and in the italic heading before that section (licensing of performers' property rights), omit “property”.

**Changes to legislation:**

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**Changes and effects yet to be applied to :**

- s. 74 coming into force by [S.I. 2015/641 art. 2](#) (S.I. 2015/641 is revoked (20.7.2015) without ever being in force by S.I. 2015/1558, art. 2)
- Sch. 17 para. 12(2) omitted by [2023 asc 3 Sch. 13 para. 188\(a\)](#)
- Sch. 17 para. 12(4) omitted by [2023 asc 3 Sch. 13 para. 188\(b\)](#)
- Sch. 17 para. 12(5) omitted by [2023 asc 3 Sch. 13 para. 188\(b\)](#)
- specified provision(s) amendment to earlier commencing SI 2013/1455 art. 3 4 Sch. 3 by [S.I. 2013/2271 art. 2](#)
- specified provision(s) revocation of earlier commencing SI 2015/641 by [S.I. 2015/1558 art. 2](#)