

*Status: Point in time view as at 24/01/2013.*

*Changes to legislation: Financial Services Act 2012, Paragraph 18 is up to date with all changes known to be in force on or before 07 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 9

#### DISCIPLINE AND ENFORCEMENT

#### PART 4

##### DISCIPLINARY MEASURES

- 18 (1) Section 211 (statements of policy: procedure) is amended as follows.
- (2) In subsection (1)—
- (a) for “issuing” substitute “ a regulator issues ”, and
  - (b) for “Authority”, in both places, substitute “ regulator ”.
- (3) In subsections (2) to (4) and (5) (in both places), for “Authority” substitute “ regulator ”.
- (4) In subsection (6), for “The Authority” substitute “ A regulator ”.

#### Commencement Information

**II** Sch. 9 para. 18 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3

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