
Changes to legislation: Financial Services Act 2012, Paragraph 9 is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 12 **U.K.**

AMENDMENTS OF PARTS 11 AND 23 OF FSMA 2000

PART 1 **U.K.**

PART 11 OF FSMA 2000: INFORMATION GATHERING AND INVESTIGATIONS

- 9 (1) Section 169 (investigations at the request of an overseas regulator) is amended as follows.
- (2) In subsection (1), for “the Authority” substitute “ a regulator ”.
 - (3) In subsections (3) and (4), in each place, for “Authority” substitute “ regulator ”.
 - (4) In subsection (5), in each place, for “Authority” substitute “ regulator ”.
 - (5) In subsection (6), for “Authority” substitute “ regulator ”.
 - (6) In subsection (7), for “the Authority” substitute “ a regulator ”.
 - (7) In subsection (8), for “Authority” substitute “ regulator ”.
 - (8) In subsection (9), for “The Authority” substitute “ Each regulator ”.
 - (9) In subsection (11), for “Authority” substitute “ regulator ”.

Commencement Information

- 11** [Sch. 12 para. 9](#) in force at 24.1.2013 for specified purposes by [S.I. 2013/113](#), art. 2(1)(c), [Sch. Pt. 3](#)
- 12** [Sch. 12 para. 9](#) in force at 1.4.2013 in so far as not already in force by [S.I. 2013/423](#), art. 3, [Sch.](#)

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)