
Changes to legislation: Financial Services Act 2012, Paragraph 9 is up to date with all changes known to be in force on or before 10 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 12

AMENDMENTS OF PARTS 11 AND 23 OF FSMA 2000

PART 1

PART 11 OF FSMA 2000: INFORMATION GATHERING AND INVESTIGATIONS

- 9 (1) Section 169 (investigations at the request of an overseas regulator) is amended as follows.
- (2) In subsection (1), for “the Authority” substitute “ a regulator ”.
 - (3) In subsections (3) and (4), in each place, for “Authority” substitute “ regulator ”.
 - (4) In subsection (5), in each place, for “Authority” substitute “ regulator ”.
 - (5) In subsection (6), for “Authority” substitute “ regulator ”.
 - (6) In subsection (7), for “the Authority” substitute “ a regulator ”.
 - (7) In subsection (8), for “Authority” substitute “ regulator ”.
 - (8) In subsection (9), for “The Authority” substitute “ Each regulator ”.
 - (9) In subsection (11), for “Authority” substitute “ regulator ”.

Commencement Information

- I1** Sch. 12 para. 9 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3
I2 Sch. 12 para. 9 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)