Status: Point in time view as at 01/04/2016.

Changes to legislation: There are currently no known outstanding effects for the Flood and Water Management Act 2010, Cross Heading: Flood plans. (See end of Document for details)

SCHEDULES

SCHEDULE 4

RESERVOIRS

Flood plans

- 20 (1) Section 12A (flood plans) is amended as follows.
 - (2) In subsection (1) omit the words from "setting" to the end.
 - (3) After section 12A(1) insert—
 - "(1A) A flood plan is a document—
 - (a) giving information about the areas that may be flooded in the event of an uncontrolled escape of water from the large raised reservoir,
 - (b) specifying the action that the undertaker would take in order to prevent an uncontrolled escape of water, and
 - (c) specifying the action that the undertaker would take in order to control or mitigate the effects of a flood."

Commencement Information

- I1 Sch. 4 para. 20 in force at 30.7.2013 for E. by S.I. 2013/1590, art. 3(b)
- I2 Sch. 4 para. 20 in force at 1.4.2016 for W. by S.I. 2016/79, art. 2(d)
- 21 After section 12A insert—

"12AA Flood plans: preparation

- (1) This section applies where an undertaker is directed to prepare a flood plan under section 12A.
- (2) The undertaker must prepare a flood plan in consultation with the appointed engineer.
- (3) The undertaker may not provide a copy of, or publish, a flood plan in accordance with a direction under section 12A(2)(d) or (e) unless the appointed engineer has certified that the requirements of a direction under section 12A(2)(a) and (b) are satisfied.
- (4) The undertaker must test a flood plan at such times and in such manner as may be directed by the appointed engineer.
- (5) In the event of flooding, or if flooding is reasonably expected to occur, the undertaker must implement the flood plan without delay.
- (6) The undertaker—

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- (a) must keep a flood plan under review, and
- (b) may revise a flood plan.
- (7) The undertaker must revise the flood plan in accordance with the directions of the appointed engineer.
- (8) The following apply to a revision of a flood plan as they apply to a flood plan—
 - (a) subsections (2) to (7), and
 - (b) any requirements of a direction under section 12A.
- (9) In this section "appointed engineer" means—
 - (a) in the case of a high-risk reservoir, the supervising engineer, and
 - (b) in any other case, the engineer appointed for the purposes of this section."

Commencement Information

- I3 Sch. 4 para. 21 in force at 30.7.2013 for E. by S.I. 2013/1590, art. 3(b)
- I4 Sch. 4 para. 21 in force at 1.4.2016 for W. by S.I. 2016/79, art. 2(d)
- 22 (1) Section 19 (references) is amended as follows.
 - (2) After subsection (1) insert—
 - "(1A) If an engineer determines that the requirements of a direction under section 12A(2)(a) and (b) are not satisfied for the purposes of section 12AA(3), the undertaker may refer the matter to a referee in accordance with rules under this section."
 - (3) In subsection (2) after "recommendation" insert " or determination".
 - (4) In subsections (3) and (4) for "this section" substitute "subsection (1)".
 - (5) After subsection (4) insert—
 - "(4A) A referee under subsection (1A) may direct the engineer to issue a certificate for the purposes of section 12AA(3)."

Commencement Information

- I5 Sch. 4 para. 22 in force at 1.10.2011 for specified purposes by S.I. 2011/2204, art. 3(1)(f)
- 16 Sch. 4 para. 22 in force at 30.7.2013 for E. so far as not already in force by S.I. 2013/1590, art. 3(b)
- I7 Sch. 4 para. 22 in force at 1.4.2016 for W. in so far as not already in force by S.I. 2016/79, art. 2(d)
- 23 (1) Section 20 (reports, certificates etc.) is amended as follows.
 - (2) In subsection (4)(c) omit "modifying any such report as is mentioned in paragraph (b) above".
 - (3) After subsection (4)(g) (inserted by paragraph 17(6)) add—
 - "(h) any direction given under section 12AA(4) or (7)."

Status: Point in time view as at 01/04/2016.

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Commencement Information

- I8 Sch. 4 para. 23 in force at 30.7.2013 for E. by S.I. 2013/1590, art. 3(b)
- I9 Sch. 4 para. 23 in force at 1.4.2016 for W. by S.I. 2016/79, art. 2(d)
- In section 22 (criminal liability) after subsection (1AD) (inserted by paragraph 19) insert—
 - "(1AE) An undertaker who fails to comply with section 12AA(4), (6)(a) or (7) is guilty of an offence and liable—
 - (a) on conviction on indictment, to a fine, or
 - (b) on summary conviction, to a fine not exceeding the statutory maximum.
 - (1AF) An undertaker who fails to comply with section 12AA(5) is guilty of an offence and liable on summary conviction to a fine not exceeding level 5 on the standard scale."

Commencement Information

- I10 Sch. 4 para. 24 in force at 30.7.2013 for E. by S.I. 2013/1590, art. 3(b)
- II1 Sch. 4 para. 24 in force at 1.4.2016 for W. by S.I. 2016/79, art. 2(d)

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