



Legal Services Act 2007

2007 CHAPTER 29

PART 5

ALTERNATIVE BUSINESS STRUCTURES

Regulation of licensed bodies

98 Referral of employees etc to appropriate regulator

- (1) The relevant licensing authority may refer to an appropriate regulator any matter relating to the conduct of—
 - (a) an employee or manager of a licensed body;
 - (b) a person designated as a licensed body's Head of Legal Practice or Head of Finance and Administration.
- (2) The licensing authority may also refer any matter relating to the conduct of such a person to the Board.
- (3) Appropriate regulators are—
 - (a) if the person is an authorised person in relation to a reserved legal activity, any relevant approved regulator in relation to that person, and
 - (b) if the person carries on non-reserved activities, any person who exercises regulatory functions in relation to the carrying on of such activities by the person.

Commencement Information

11 S. 98 in force at 1.10.2011 by [S.I. 2011/2196](#), [art. 2\(1\)\(c\)](#)

Changes to legislation:

There are currently no known outstanding effects for the Legal Services Act 2007, Section 98.