# SCHEDULES

# SCHEDULE 8

# INTERVENTION DIRECTIONS: PROCEDURE

# PART 2

# **REVOKING INTERVENTION DIRECTIONS**

# Introductory

- 13 (1) Where an intervention direction has effect in respect of a regulatory function of an approved regulator—
  - (a) the approved regulator may apply to the Board for the Board to revoke the direction, or
  - (b) the Board may give the approved regulator a notice stating the Board's intention to revoke the direction.
  - (2) An application under sub-paragraph (1)(a) must—
    - (a) be made in the form and manner specified by the Board, and
    - (b) be accompanied by such material as the applicant considers is likely to be needed for the purposes of this Part of this Schedule.

# **Commencement Information**

Sch. 8 para. 13 wholly in force at 1.1.2010; Sch. 8 para. 13 not in force at Royal Assent see s. 211; Sch. 8 para. 13(2)(a) in force at 1.1.2009 by S.I. 2008/3149, art. 2(c)(iii); Sch. 8 para. 13 in force otherwise at 1.1.2010 by S.I. 2009/3250, art. 2(c)(ii) (with art. 9)

# Board's duty to seek advice

- 14 (1) Where the Board has received an application under paragraph 13(1)(a), it must give each of the persons listed in sub-paragraph (3)—
  - (a) a copy of the application,
  - (b) a copy of any material which accompanied it, and
  - (c) a notice specifying a period within which any advice under paragraphs 15 to 18 must be given.
  - (2) Where the Board has given a notice under paragraph 13(1)(b), it must give each of the persons listed in sub-paragraph (3)—
    - (a) a copy of the notice, and
    - (b) a notice specifying a period within which any advice under paragraphs 15 to 18 must be given.

(3) The persons are—

- (a) the Lord Chancellor,
- (b) the  $[^{F1} CMA]$ ,
- (c) the Consumer Panel,
- (d) the Lord Chief Justice, and
- (e) such other persons as the Board considers it reasonable to consult in respect of the proposed revocation.
- (4) In this Part of this Schedule, in relation to an application or notice, "selected consultee" means a person within sub-paragraph (3)(e).

#### **Textual Amendments**

**F1** Word in Sch. 8 para. 14(3)(b) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), Sch. 6 para. 120(5); S.I. 2014/416, art. 2(1)(d) (with Sch.)

# Advice of the Lord Chancellor

15 The Lord Chancellor must give the Board such advice as the Lord Chancellor thinks fit in respect of the proposed revocation.

# *Advice of* [<sup>*F*<sup>2</sup></sup>*Competition and Markets Authority*]

#### **Textual Amendments**

- **F2** Words in Sch. 8 para. 16 cross-heading substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), Sch. 6 para. 120(6); S.I. 2014/416, art. 2(1)(d) (with Sch.)
- 16 (1) The [<sup>F3</sup>CMA] must give the Board such advice as it thinks fit regarding the proposed revocation.
  - (2) In deciding what advice to give, the [<sup>F3</sup>CMA] must, in particular, have regard to whether revoking the intervention direction would (or would be likely to) prevent, restrict or distort competition within the market for reserved legal services to any significant extent.

#### **Textual Amendments**

**F3** Word in Sch. 8 para. 16(1)(2) substituted (1.4.2014) by Enterprise and Regulatory Reform Act 2013 (c. 24), s. 103(3), Sch. 6 para. 120(7); S.I. 2014/416, art. 2(1)(d) (with Sch.)

# Advice of the Consumer Panel

- 17 (1) The Consumer Panel must give the Board such advice as it thinks fit regarding the proposed revocation.
  - (2) In deciding what advice to give, the Consumer Panel must, in particular, have regard to the likely impact which revoking the intervention direction would have on consumers.

#### *Advice of the selected consultees*

18 A selected consultee may give the Board such advice as the selected consultee thinks fit in respect of the proposed revocation.

# Advice of the Lord Chief Justice

- 19 (1) The Board must give the Lord Chief Justice—
  - (a) a copy of any advice duly given under paragraphs 15 to 18, and
  - (b) a notice specifying a period within which any advice under this paragraph must be given.
  - (2) The Lord Chief Justice must then give the Board such advice as the Lord Chief Justice thinks fit in respect of the proposed revocation.
  - (3) In deciding what advice to give, the Lord Chief Justice must, in particular, have regard to the likely impact which revoking the intervention direction would have on the courts in England and Wales.

# Information obtained by consultees

A person to whom a copy of the application or notice is given under paragraph 14(1) or (2) may, for the purposes of giving advice under paragraphs 15 to 19, request the approved regulator or any other person to provide that person with such additional information as may be specified by that person.

#### *Representations by approved regulator etc*

- 21 (1) The Board must—
  - (a) give the approved regulator a copy of any advice duly given under paragraphs 15 to 19, and
  - (b) publish that advice.
  - (2) The approved regulator and any body within sub-paragraph (3) may make to the Board—
    - (a) written representations, and
    - (b) if the Board authorises it to do so, oral representations,
    - about the advice.
  - (3) A body is within this sub-paragraph if it represents persons authorised by the approved regulator to carry on activities which are reserved legal activities.
  - (4) The Board may allow any other person to make written or oral representations about the advice.
  - (5) The Board must make rules governing the making of oral and written representations.
  - (6) Representations under this paragraph must be made within—
    - (a) the period of 28 days beginning with the day on which the advice is published under sub-paragraph (1), or
    - (b) such longer period as the Board may specify in a particular case.
  - (7) Where oral representations are made, the Board must prepare a report of those representations.

(8) Before preparing that report, the Board must—

- (a) give each person who made oral representations a reasonable opportunity to comment on a draft of the report of those representations, and
- (b) have regard to any comments duly made.
- (9) The Board must, as soon as practicable after the end of the period within which representations may be made under this paragraph, publish any written representations duly made and the report (if any) prepared under sub-paragraph (7).

# **Commencement Information**

Sch. 8 para. 21 wholly in force at 1.1.2010; Sch. 8 para. 21 not in force at Royal Assent see s. 211; Sch. 8 para. 21(5) in force at 1.1.2009 by S.I. 2008/3149, art. 2(c)(iii); Sch. 8 para. 21 in force otherwise at 1.1.2010 by S.I. 2009/3250, art. 2(c)(ii) (with art. 9)

# Further provision about publishing advice and representations

- 22 (1) Nothing in paragraph 21 operates—
  - (a) to prevent a person who gives advice under paragraphs 15 to 19 from publishing that advice, or
  - (b) to prevent a person who makes representations under paragraph 21 from publishing those representations.
  - (2) A person ("the publisher") publishing any such material (whether under paragraph 21 or otherwise) must, so far as practicable, exclude any matter which relates to the private affairs of a particular individual the publication of which, in the opinion of the publisher, would or might seriously and prejudicially affect the interests of that individual.

# Decision by the Board

# 23 (1) After considering—

- (a) in a case within paragraph 13(1)(a), the application and any accompanying material,
- (b) any advice duly given under paragraphs 15 to 19,
- (c) any representations duly made under paragraph 21, and
- (d) any other information which the Board considers relevant to the application or notice,

the Board must decide whether to revoke the intervention direction in accordance with the application or notice.

- (2) The Board must give notice of its decision ("the decision notice") to the approved regulator.
- (3) Where the Board decides to revoke the intervention direction, the decision notice must state the time the revocation is to take effect.
- (4) Where the Board decides not to revoke the intervention direction, the decision notice must specify the reasons for that decision.
- (5) The Board must publish the decision notice.

# Changes to legislation:

There are currently no known outstanding effects for the Legal Services Act 2007, Part 2.