Legal Services Act 2007

CHAPTER 29

LEGAL SERVICES ACT 2007

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Advice of Competition and Markets Authority

5 (1) The CMA must give the Board such advice as...

Advice of the Consumer Panel

6 (1) The Consumer Panel must give the Board such advice...

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7 A selected consultee may give the Board such advice as...

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Advice of the Lord Chancellor

4 The Lord Chancellor must give the Board such advice as...

Advice of Competition and Markets Authority

5 (1) The CMA must give the Board such advice as...

Advice of the Consumer Panel

6 (1) The Consumer Panel must give the Board such advice...

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7 A selected consultee may give the Board such advice as...

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8 (1) The Board must give the Lord Chief Justice—

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17 (1) The Consumer Panel must give the Board such advice...

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18 A selected consultee may give the Board such advice as...

Advice of the Lord Chief Justice

19 (1) The Board must give the Lord Chief Justice—

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Advice of Competition and Markets Authority

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Advice of selected consultees
6 A selected consultee may give the Board such advice as...

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Advice of selected consultees
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8 A person (“the consultee”) to whom a copy of the...

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9 (1) The Board must give the applicant a copy of...

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11 (1) The Board must make rules specifying how it will...

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Advice of Competition and Markets Authority

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24 A person (“the consultee”) to whom a copy of the...

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1 (1) Licensing rules must make provision about the form and...

**Determination of applications**

2 (1) Licensing rules must make the following provision about the...

**Review of determination**

3 Licensing rules must make provision for review by the licensing...

**Period of licence and renewal**

4 (1) The licensing rules may make provision—

**Continuity of licences**

5 (1) Licensing rules may make provision about the effect, on...

**Modification of licences**

6 (1) Licensing rules must make provision about the form and...

**Modifications under section 106 or 107**

7 (1) Licensing rules must make provision about the form and...

8 (1) Licensing rules must make the following provision in relation...

Part 2 — STRUCTURAL REQUIREMENTS

**Management**

9 (1) Licensing rules must require a licensed body to comply...

10 (1) Licensing rules may make further provision as to—
Head of Legal Practice

11 (1) Licensing rules must include the following requirements.
12 (1) Licensing rules must make provision—(a) about the procedures...

Head of Finance and Administration

13 (1) Licensing rules must include the following requirements.
14 (1) Licensing rules must make provision—(a) about the procedures...

Part 3 — PRACTICE REQUIREMENTS

Practising address

15 (1) Licensing rules must require a licensed body, other than...

Licensed activities

16 Licensing rules must provide that a licensed body may carry...

Compliance with regulatory arrangements etc

17 (1) Licensing rules must include the following provision.

Disqualified employees

18 (1) Licensing rules must include the following requirement.

Indemnification arrangements and compensation arrangements

19 (1) For the purpose of giving effect to indemnification arrangements...

Accounts

20 (1) The licensing rules must make provision as to the...

Part 4 — REGULATION

Fees

21 (1) The licensing rules must require licensed bodies to pay...

Financial penalties

22 The licensing rules must make provision as to—

Disqualifications

23 (1) Licensing rules must make provision as to the criteria...

Suspension or revocation of licence under section 101

24 (1) Licensing rules must make the following provision.
25 Licensing rules may make provision about other circumstances in which...
26 (1) Licensing rules must make provision about the criteria and...

SCHEDULE 12 — Entitlement to make an application for a licence to the Board
Application to Board

1 (1) A licensable body may apply to the Board for...

Board’s decision on an application under paragraph 1

2 (1) On an application under paragraph 1 the Board must,...

Licensing authority’s duty to make relevant determinations

3 (1) A licensable body may apply to each competent licensing...

“The Board”

4 In this Schedule references to the Board, unless otherwise stated,...

“Competent licensing authority”

5 “Competent licensing authority”, in relation to a licensable body, means...

“Potentially competent licensing authority”

6 (1) “Potentially competent licensing authority”, in relation to a licensable...

“Suitable regulatory arrangements”

7 (1) “Suitable regulatory arrangements”, in relation to a licensable body...

SCHEDULE 13 — Ownership of licensed bodies

Part 1 — Introductory

Restricted interests subject to approval

1 (1) The holding by a non-authorised person of a restricted...

Restricted interest

2 (1) “Restricted interest” means each of the following—

Material interest

3 (1) For the purposes of this Part of this Act,...

Controlled interest

4 (1) For the purposes of this Schedule, a person holds...

Associates, parent undertakings and voting power

5 (1) For the purposes of this Schedule “associate”, in relation...

The approval requirements

6 (1) For the purposes of this Schedule, the approval requirements...

Approval of multiple restricted interests

7 (1) This paragraph applies if a person (“P”) holds a...
Board’s power to prescribe rules

8 In this Schedule “prescribed” means prescribed by rules made by...

Lord Chancellor’s power to modify

9 The Lord Chancellor may, on the recommendation of the Board,...

Part 2 — APPROVAL OF RESTRICTED INTERESTS ON APPLICATION FOR LICENCE

Requirement to identify non-authorised persons

10 (1) Where a body applies to a licensing authority for...
11 (1) It is an offence for a person to fail...
12 (1) This paragraph applies if a person under a duty...

Requirement to notify non-authorised persons

13 (1) Where an applicant for a licence identifies a non-authorised...

Licensing authority’s power to require information

14 (1) A licensing authority may require a non-authorised person identified...

Licence may not be granted unless non-authorised persons approved

15 (1) This paragraph applies where an applicant for a licence...

Unconditional approval of notified interest

16 (1) If the licensing authority is satisfied that the approval...

Conditional approval of notified interest

17 (1) If the licensing authority is not satisfied that the...
18 (1) The investor and the applicant may before the end...

Objection to notified interest

19 (1) If the licensing authority is not satisfied that the...
20 (1) The investor and the applicant may before the end...

Part 3 — APPROVAL OF RESTRICTED INTERESTS AFTER LICENCE IS ISSUED

Powers of licensing authority in relation to change of interests

Continuing notification requirements

21 (1) This paragraph applies where a non-authorised person (“the investor”)—...

Offences in connection with paragraph 21

22 (1) It is an offence for a person to fail...
23 (1) This paragraph applies if a person under the duty...
24 (1) It is an offence for a non-authorised person, who...
Duty of licensing authority following notification etc.

25 (1) The relevant licensing authority must— (a) following receipt of...

Licensing authority's power to require information

26 (1) A licensing authority may require the investor to provide...

Unconditional approval of notifiable interest

27 (1) If the licensing authority is satisfied that the approval...

Conditional approval of notifiable interest

28 (1) If the licensing authority is not satisfied that the...
29 (1) The investor and the licensed body may before the...

Duration of unconditional or conditional approval

30 In a case within paragraph 21(1)(a), the licensing authority's approval...

Objection to acquisition of notifiable interest

31 (1) If the licensing authority is not satisfied that the...
32 (1) The investor and the licensed body may before the...

POWERS OF LICENSING AUTHORITY WHERE NO CHANGE OF INTERESTS

Imposition of conditions (or further conditions) on existing restricted interest

33 (1) The relevant licensing authority may impose conditions (or further...
34 (1) The person and the licensed body may before the...

Variation and cancellation of conditions

35 (1) A person whose holding of a restricted interest in...

Objection to existing restricted interest

36 (1) The relevant licensing authority may object to a person's...
37 (1) The person and the licensed body may before the...

Power to impose share limit, voting limit etc

38 (1) Licensing rules may provide that— (a) a non-authorised person...

Obligation to notify where share limit or voting limit exceeded

39 (1) This paragraph applies in relation to a licensed body, ...
40 (1) This paragraph applies if a person under the duty...

Part 4 — ADDITIONAL RESTRICTIONS

Part 5 — ENFORCEMENT

DIVESTITURE
The divestiture condition

41 (1) The divestiture condition is satisfied in relation to a...
42 (1) If the relevant licensing rules make the provision mentioned...

Application for divestiture

43 (1) If the divestiture condition is satisfied in relation to...

Restriction notice

44 (1) A restriction notice is a notice directing that such...

Divestiture by High Court

45 (1) If the divestiture condition is satisfied by virtue of...

CONDITIONS

Enforcement of conditions

46 (1) If a person holds a restricted interest in a...

RECORDS OF DECISIONS

Duty to notify Board of decisions under this Schedule

47 (1) The relevant licensing authority must notify the Board where—...
48 (1) A licensing authority must notify the Board where under...

Power to notify Board where share limit or voting limit breached

49 (1) This paragraph applies if the relevant licensing rules make...
50 (1) The person concerned and the licensed body may before...

Board's list of persons subject to objections and conditions

51 (1) The Board must keep a list of the persons...

SCHEDULE 14 — Licensing authority's powers of intervention

Introductory

1 (1) This Schedule applies— (a) where, in relation to a...

Money: prohibition on payment

2 (1) The licensing authority may apply to the High Court...

Money etc: vesting in licensing authority

3 (1) The sums of money to which this paragraph applies,...
4 (1) Any rights to which this paragraph applies shall vest...
5 (1) If the licensing authority takes possession of any sum...
6 (1) The licensing authority may make rules governing its treatment...
Money: information

7 (1) The licensing authority may apply to the High Court...

Notice to produce or deliver documents

8 (1) The licensing authority may give notice to the licensed...

Order to produce or deliver documents

9 (1) The High Court may, on the application of the...

Taking possession of documents etc under notice or order

10 (1) This paragraph applies where the licensing authority takes possession...

Mail and other forms of communication

11 (1) The High Court, on the application of the licensing...

Use of documents in licensing authority's possession

12 (1) The licensing authority may apply to the High Court...
13 (1) The licensing authority may take copies of or extracts...

Trusts

14 (1) If the licensed body is a trustee of any...

General powers of licensing authority

15 The powers conferred by this Schedule in relation to sums...
16 The licensing authority may do all things which are reasonably...

Licensing authority's costs

17 (1) Any costs incurred by the licensing authority for the...
18 (1) The High Court, on the application of the licensing...

SCHEDULE 15 — The Office for Legal Complaints

Membership

1 (1) The OLC is to consist of the following members—...
2 (1) In appointing members of the OLC, the Board must...
3 (1) An ombudsman may be a member (but not chairman)...
4 In appointing members of the OLC, the Board must have...

Terms of appointment and tenure of members

5 A member of the OLC is to hold and vacate...
6 (1) A member of the OLC must be appointed for...
7 If a member of the OLC who is a lay...
8 (1) A member may at any time—
9 The chairman ceases to be chairman upon ceasing to be...
Remuneration etc of members

10 The chairman and other members of the OLC are to...
11 The terms of appointment of the chairman or any other...
12 If the Board thinks there are circumstances that make it...

Staff

13 The OLC may appoint such staff as it considers appropriate...
14 Staff appointed under paragraph 13 are to be—
15 A member of staff appointed under paragraph 13 may be...
16 The terms and conditions on which an ombudsman, or any...
17 The OLC may pay compensation for loss of employment to...

Arrangements for assistance

18 (1) The OLC may make arrangements with such persons as...

Committees

19 (1) The OLC may establish committees. (2) Any committee so...

Proceedings

20 (1) The OLC may regulate its own procedure, and the...
21 The validity of any act of the OLC is not...

Delegation of functions

22 (1) The OLC may authorise— (a) the chairman or any...

Budget

23 (1) The OLC must, before the start of each financial...

Land

24 (1) During the initial 5 year period, the OLC must...

Borrowing

25 (1) The OLC must not borrow money, except—

Accounts

26 (1) The OLC must— (a) keep proper accounts and proper...

Status

27 (1) The OLC is not to be regarded—

Application of seal and proof of instruments

28 The application of the seal of the OLC is to...
29 Any contract or instrument which, if entered into or executed...
30 A document purporting to be duly executed under the seal...
Disqualification

31 (1) In Part 2 of Schedule 1 to the House...

Freedom of information

32 In Part 6 of Schedule 1 to the Freedom of...

Public records

33 In Schedule 1 to the Public Records Act 1958 (c....

Exemption from liability in damages

34 (1) This paragraph applies to— (a) the OLC,

SCHEDULE 16 — The Law Society, solicitors, recognised bodies and foreign lawyers

Part 1 — THE SOLICITORS ACT 1974 (C. 47)

1 The Solicitors Act 1974 is amended in accordance with this...
2 In section 1A (practising certificates: employed solicitors)—
3 The Solicitors Act 1974 (c. 47)
4 In section 2 (training regulations)— (a) in subsection (1) omit...
5 In section 3 (admission as solicitor), in subsection (2)—
6 In section 6 (keeping of the roll) omit subsections (2)...
7 In section 7 (entry of name and restoration of name...
8 (1) Section 8 (removal or restoration of name at solicitor's...
9 For sections 9 and 10 (applications for and issue of...
10 After section 10 (as inserted by paragraph 9) insert— Register...
11 For section 11 (fee payable on issue of practising certificate)...
12 Omit section 12 (discretion of Society with respect to issue...
13 Omit section 12A (additional fee payable by certain solicitors on...
14 For section 13 (appeals in connection with issue of practising...
15 The Solicitors Act 1974 (c. 47)
16 (1) Section 13A (imposition of conditions while practising certificates are...
17 In section 13B (suspension of practising certificates where solicitors convicted...
18 Omit section 14 (commencement, expiry and replacement of practising certificates)....
19 In section 15 (suspension of practising certificates), in subsection (1A)...
20 (1) Section 16 (duration of suspension of practising certificates) is...
21 In section 17 (publicity in relation to suspension of practising...
22 The Solicitors Act 1974 (c. 47)
23 For section 18 (evidence as to holding of practising certificates)...
24 Omit section 19 (rights of practising and rights of audience)....
25 For section 20 (unqualified person not to act as solicitor)...
26 Omit— (a) sections 22 and 22A (unqualified person not to...
27 In section 24 (application of penal provisions to body corporate),...
28 In section 26 (time limit for commencement of certain proceedings)...
29 Omit section 27 (saving for persons authorised to conduct legal...
30 (1) Section 28 (regulations) is amended as follows.
31 (1) Section 31 (rules as to professional practice, conduct and...
32 (1) Section 32 (accounts rules and trust accounts rules) is...
33 (1) Section 33 (interest on clients’ money) is amended as...
34 (1) Section 33A (inspection of practice bank accounts etc) is...
35 (1) Section 34 (accountants’ reports) is amended as follows.
36 After section 34 insert— Sole solicitors Employees of solicitors (1)
   Rules made by the Society may provide for any...
37 For section 36 (compensation fund) substitute— Compensation grants
   (1) The Society may make rules concerning the grant of...
38 In section 37 (professional indemnity)— (a) in subsection (1) for...
39 Omit section 37A (redress for inadequate professional services).
40 Omit section 40 (solicitor not to commence or defend actions...
41 (1) Section 41 (employment by solicitor of person struck off...
42 (1) Section 43 (control of solicitors’ employees and consultants) is...
43 In section 44 (offences in connection with orders under section...
44 For section 44B (examination of files) substitute— Provision of
   information...
45 For section 44C (payment of costs of investigations) substitute—
   Power...
46 After that section insert— Disciplinary powers of the Society
   Disciplinary...
47 (1) Section 46 (solicitors disciplinary tribunal) is amended as follows....
48 After that section insert— Funding of the Tribunal (1) The Tribunal
   must submit to the Society in respect...
49 In section 47 (jurisdiction and powers of Tribunal)—
50 In section 48 (orders of Tribunal)— (a) in subsection (2)(b)—...
51 (1) Section 49 (appeals from Tribunal) is amended as follows....
52 After that section insert— Appeals to the Tribunal instead of...
53 In section 54 (restrictions on powers to strike names off...
54 (1) Section 56 (orders as to remuneration for non-contentious
   business)...
55 In section 57 (non-contentious business agreements)— (a) in
   subsection (2)...
56 In section 60 (effect of contentious business agreements)—
57 In section 61 (enforcement of contentious business agreements)—
58 In section 62 (contentious business agreements by certain
   representatives)—
59 In section 63 (effect on contentious business agreement of death...
60 In section 64 (form of bill of costs for contentious...
61 In section 65 (security for costs and termination of retainer),....
62 In section 66 (taxations with respect to contentious business)—
63 In section 67 (inclusion of disbursements in bill of costs),...
64 (1) Section 69 (action to recover solicitor’s costs) is amended...
65 In section 70 (taxation on application of party chargeable or...
66 In section 71 (taxation on application of third parties)—
67 In section 72 (supplementary provisions as to taxations)—
68 In section 73 (charging orders), in subsection (1)—
69 In section 74 (special provisions as to contentious business done...
70 Omit the following provisions— section 76 (non-practising solicitors
   eligible for...
71 For section 79 (committees and sub-committees of the Council)
   substitute—...
72 In section 80 (powers to act on behalf of Society)—...
73 Omit sections 81 and 81A (administration of oaths and taking...
74 Before section 87 (interpretation) insert— Rules (1) Rules made by the Society under this Act may...
75 In section 87(1) (interpretation)— (a) in the definition of “client...
76 In section 89 (consequential amendments etc.), omit subsection (7).
77 (1) Schedule 1 (intervention in solicitor’s practice) is amended as...
78 Omit Schedule 1A (inadequate professional services).
79 Omit Schedule 2 (the compensation fund).

Part 2 — THE ADMINISTRATION OF JUSTICE ACT 1985 (C. 61)
80 The Administration of Justice Act 1985 is amended in accordance...
81 (1) Section 9 (incorporated practices) is amended as follows.
82 After that section insert— Legal services bodies (1) For the purposes of section 9, a “legal services...
83 (1) Section 10 (penalty for pretending to be a body...
84 (1) Section 43 (jurisdiction and powers of Solicitors Disciplinary Tribunal...
85 In the title of Schedule 2, for “Incorporated practices” substitute...
86 In paragraph 1 of that Schedule (interpretation)—
87 For paragraph 2 of that Schedule (appeal against refusal of...
88 For paragraph 3 of that Schedule (accounts rules) substitute— (1) This paragraph applies where rules made under section 32(1)... 
89 In paragraph 4 of that Schedule (interest on clients’ money)—...
90 After that paragraph insert— Where rules made under section 32 of the 1974 Act...
91 For paragraph 4A of that Schedule (inspection of bank accounts)... 
92 For paragraph 5 of that Schedule (accountants' reports) substitute— Where rules made under section 34 of the 1974 Act...
93 After that paragraph insert— Where rules made under section 34 of the 1974 Act...
94 For paragraph 6 of that Schedule (compensation fund) substitute— (1) Section 36 of the 1974 Act applies in relation...
95 In paragraph 7 of that Schedule (solicitor who is justice...
96 In paragraph 9 of that Schedule (restriction on employment of...
97 In paragraph 10 of that Schedule (failure to disclose striking...
98 Omit paragraph 11 of that Schedule (control of employment of...
99 Omit paragraph 12 of that Schedule (offences in connection with...
100 Omit paragraph 13 of that Schedule (redress for inadequate professional...
101 For paragraph 14 of that Schedule (examination of files) substitute—...
102 For paragraph 14A of that Schedule (payment of costs of...
103 After that paragraph insert— Disciplinary powers of the Society (1) This paragraph applies where the Society is satisfied that...
104 In paragraph 16 of that Schedule (complaints to Tribunal with...
105 In paragraph 17 of that Schedule (procedure on applications and...
106 In paragraph 18 of that Schedule (powers of Tribunal with...
107 After that paragraph insert— (1) Where, on the hearing of any complaint made to...
108 In paragraph 20 of that Schedule (powers of Tribunal in...
109 In paragraph 21 of that Schedule (revocation of recognition by...
110 In paragraph 23 (orders as to remuneration for non-contentious business)—...
111 For paragraph 24 of that Schedule (effect of contentious business...
112 In paragraph 25 of that Schedule (effect of supervening incapacity...
113 In paragraph 26 of that Schedule (taxations with respect to...
114 In paragraph 28 (power of court to order recognised body...
115 For paragraph 29 of that Schedule (actions to recover costs)... 
116 In paragraph 30 (power of Society to inspect files relating...
117 In paragraph 31 of that Schedule (bank accounts)—
118 After that paragraph insert— Where rules made under section 32(1) of the 1974 Act...
119 (1) Paragraph 32 of that Schedule (intervention by Society) is...
120 For paragraph 33 of that Schedule (further grounds for intervention)—...
121 In paragraph 34 of that Schedule (powers of intervention on...
122 In paragraph 35 of that Schedule (provision relating to the...
123 In paragraph 36 of that Schedule (privilege from disclosure etc)—...

Part 3 — THE COURTS AND LEGAL SERVICES ACT 1990 (C. 41)

124 The Courts and Legal Services Act 1990 is amended in...
125 In section 89 (foreign lawyers: recognised bodies and partnerships with...
126 Schedule 14 to that Act (foreign lawyers: partnerships and recognised...
127 In paragraph 1 (interpretation) omit the definitions of “the Council”...
128 In paragraph 2 (application for registration)— (a) for “Council” in...
129 After paragraph 2 insert— (1) The Society may direct that a foreign lawyer's registration...
130 In paragraph 3 (duration of registration) in sub-paragraphs (2) and...
131 In paragraph 5 (intervention in practices)— (a) in sub-paragraph (3)(a)...
132 For paragraph 6 (compensation fund) substitute— Section 36 of the 1974 Act applies in relation to...
133 For paragraph 7 (contributions to fund) substitute — Section 36A(2) and (3) of the 1974 Act applies in...
134 For paragraph 8 (accountants' reports) substitute — Section 34 of the Act of 1974 applies in relation...
135 In paragraph 14 (appeal against conditions or refusals)—
136 In paragraph 15 (jurisdiction and powers of disciplinary tribunal)—
137 In paragraph 16(2) (foreign lawyers assisting Tribunal) for “Master of...
138 In paragraph 17 (appeals from Tribunal)— (a) in sub-paragraph (1)...

SCHEDULE 17 — Licensed conveyancing

Part 1 — ADMINISTRATION OF JUSTICE ACT 1985 (C. 61)

1 Part 2 of the Administration of Justice Act 1985 (licensed...
2 In section 11 (provision of conveyancing services by licensed conveyancers)—...
3 In section 12 (establishment of the Council), omit subsection (2)....
4 (1) Section 15 (issue of licences by Council) is amended...
5 (1) Section 16 (conditional licences) is amended as follows.
6 After section 16 insert— Additional fee payable by certain persons...
7 (1) Section 17 (imposition of conditions during currency of licence)...
8 After section 17 insert— Variation of conditions (1) This section applies where a licensed conveyancer's licence has...
9 In section 18 (suspension or termination of licences), after subsection...
10 In section 20 (rules as to professional practice, conduct and...
11 In section 22 (keeping of accounts and establishment of client...
12 (1) Section 24 (preliminary investigation of disciplinary cases) is amended...
13 After section 24 insert— Determination of allegations by Investigating Committee...
14 In section 25 (the Discipline and Appeals Committee), in subsection...
(1) Section 26 (proceedings in disciplinary cases) is amended as...

In section 27 (removal of disqualification from holding a licence),...

In section 28 (revocation of licence on grounds of fraud),...

In section 29 (appeals from decisions of Council in relation),...

(1) Section 31 (application of Schedule 5) is amended as...

(1) Section 32 (provision of conveyancing services by recognised bodies),...

After section 32 (provision of conveyancing by recognised bodies) insert—...

For section 33 (legal professional privilege), substitute—Legal professional privilege...

After that section insert—Administration of oaths by licensed conveyancers...

In section 34 (modification of existing enactments relating to conveyancing),...

In section 35 (penalty for pretending to be a licensed),...

In section 36 (offences by bodies corporate)—

In section 38 (rules)—(a) omit subsection (1), and

In section 39(1) (interpretation of Part 2)—

(1) Schedule 3 (Council for Licensed Conveyancers: supplementary provisions) is...

(1) Schedule 4 (the Discipline and Appeals Committee: supplementary provisions),...

(1) Schedule 5 (intervention in licensed conveyancer's practice) is amended...

(1) Schedule 6 (bodies recognised under section 32: supplementary provisions),...

Part 2 — COURTS AND LEGAL SERVICES ACT 1990 (C. 41)

The Courts and Legal Services Act 1990 is amended in...

(1) Section 53 (the Council for Licensed Conveyancers) is amended...

(1) Schedule 8 (licensed conveyancers) is amended as follows.

SCHEDULE 18 — Immigration advice and immigration services

Part 1 — QUALIFYING REGULATORS

Designation orders

1 In this Part of this Schedule “designation order” means an...

Continuity of existing rights

2 Each of the following bodies is a qualifying regulator for...

Application to become a qualifying regulator

3 (1) This paragraph applies where a body wishes to become...

Consultation and representations

4 Paragraphs 4 to 12 of Schedule 4 (consultation requirements etc...)

Determination of application

5 (1) The Board must make rules specifying how it will...

6 (1) After considering—(a) the application and accompanying material,
Effect of application

7 (1) Where an application is granted under paragraph 6, the...

Loss of qualifying regulator status

8 (1) Where a qualifying regulator—

Part 2 — AMENDMENTS OF THE IMMIGRATION AND ASYLUM ACT 1999 (C. 33)

9 The Immigration and Asylum Act 1999 is amended in accordance...

10 In section 82(1) (interpretation of Part 5), after the definition...

11 In section 83 (the Immigration Services Commissioner), after subsection (6)...

12 (1) Section 84 (provision of immigration services) is amended as...

13 (1) Section 86 (designated professional bodies) is amended as follows...

14 After section 86 insert—

“Designated qualifying regulator” means a body which is a...

15 (1) Section 90 (orders by disciplinary bodies) is amended as ...

16 In section 166(4) (orders requiring approval by Parliament), after...

17 (1) Schedule 5 (the Immigration Services Commissioner) is amended...

Part 3 — TRANSITIONAL PROVISION

The transitional period

18 (1) In this Part of this Schedule references to “the...

Barristers etc

19 (1) During the transitional period, every barrister is deemed to...

20 (1) During the transitional period, every registered European lawyer...

Solicitors etc

21 (1) During the transitional period, each of the following is...

22 (1) During the transitional period, every registered European lawyer...

Legal Executives

23 (1) During the transitional period, a person who is authorised...

SCHEDULE 19 — Claims management services

1 The Compensation Act 2006 (c. 29) is amended in accordance...

2 (1) Section 4 (provision of regulated claims management services) is...

3 (1) Section 5 (the Regulator) is amended as follows.

4 In section 6 (exemptions) after subsection (3) insert—

5 (1) Section 7 (enforcement: offence) is amended as follows.

6 (1) Section 8 (enforcement: the Regulator) is amended as follows....

7 In section 9 (regulations), after subsection (2) insert—

8 (1) Section 11 (pretending to be authorised etc ) is...

9 In section 13 (appeals and references to Claims Management Services...

10 In section 14 (interpretation), in the definition of “the Regulator”...
11 (1) The Schedule (claims management regulations) is amended as follows:...

SCHEDULE 20 — Amendments in relation to the Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5)

Solicitors (Scotland) Act 1980 (c. 46)

1 (1) The Solicitors (Scotland) Act 1980 is amended as follows:...

Law Reform (Miscellaneous Provisions) (Scotland) Act 1990 (c. 40)

2 In the Law Reform (Miscellaneous Provisions) (Scotland) Act 1990, omit...

SCHEDULE 21 — Minor and consequential amendments

Public Notaries Act 1801 (c. 79)

1 The Public Notaries Act 1801 is amended in accordance with...

2 In section 1 (no person to be created to act)...

3 In section 14 (Act not to extend to certain persons)...

Public Notaries Act 1843 (c. 90)

4 The Public Notaries Act 1843 is amended in accordance with...

5 After section 7 insert— Effect of admission or grant of...

6 In section 8 (Master of Faculties may issue commissions to...

7 Omit section 10 (offence of practising as notary without authorisation)...

Bills of Exchange Act 1882 (c. 61)

8 The Bills of Exchange Act 1882 is amended in accordance...

9 In section 51 (noting or protest of bill), after subsection...

10 In section 94 (protest when notary not accessible)—

Commissioners for Oaths Act 1889 (c. 10)

11 The Commissioners for Oaths Act 1889 is amended in accordance...

12 In section 1(3) (powers of commissioners for oaths) omit from...

13 In section 6 (powers as to oaths and notarial acts)...

Benefices Act 1898 (c. 48)

14 In section 3 of the Benefices Act 1898 (appeal against...

Children and Young Persons Act 1933 (c. 12)

15 The Children and Young Persons Act 1933 is amended in...

16 In section 37(1) (power to clear court while child or...

17 In section 43 (admission of deposition in evidence), for “counsel...

18 In section 47(2)(b) (procedure in youth courts), for “solicitors and...

19 In section 49(11) (restrictions on reports of proceedings), omit the...

20 In section 107 (interpretation), after the definition of “legal guardian”...
**Legal Services Act 2007 (c. 29)**  
**Changes to legislation:** Legal Services Act 2007 is up to date with all changes known to be in force on or before 23 March 2019. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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**London Building Acts (Amendment) Act 1939 (c. xcvii)**

21 In section 115 of the London Building Acts (Amendment) Act...

**Accommodation Agencies Act 1953 (c. 23)**

22 In section 1 of the Accommodation Agencies Act 1953 (illegal...)

**Geneva Conventions Act 1957 (c. 52)**

23 In section 3 of the Geneva Conventions Act 1957 (legal...)

**Horserace Betting Levy Act 1969 (c. 14)**

24 In section 4 of the Horserace Betting Levy Act 1969...

**Taxes Management Act 1970 (c. 9)**

25 In section 20B of the Taxes Management Act 1970 (restrictions...)

**Powers of Attorney Act 1971 (c. 27)**

26 In section 3 of the Powers of Attorney Act 1971...

**Poisons Act 1972 (c. 66)**

27 In section 9(7) of the Poisons Act 1972 (right to...

**Local Government Act 1972 (c. 70)**

28 In section 223 of the Local Government Act 1972 (appearance...)

**Matrimonial Causes Act 1973 (c. 18)**

29 In section 6 of the Matrimonial Causes Act 1973 (attempts...)

**Fair Trading Act 1973 (c. 41)**

30 In section 29 of the Fair Trading Act 1973 (powers...)

**Consumer Credit Act 1974 (c. 39)**

31 In section 146 of the Consumer Credit Act 1974 (exceptions...)

**Sex Discrimination Act 1975 (c. 65)**

32 In section 77 of the Sex Discrimination Act 1975 (validity...)

**Bail Act 1976 (c. 63)**

33 The Bail Act 1976 is amended in accordance with paragraphs...

34 In section 3 (general provisions), in subsection (6)(e) for “an...”

35 In section 5 (supplementary provisions about decisions on bail)—

**Race Relations Act 1976 (c. 74)**

36 The Race Relations Act 1976 is amended in accordance with...

37 In section 67A (national security: procedure), in subsection (3)(a) for...

38 In section 72 (validity and revision of contracts), in subsection...

39 . . . . . . . . . .
Patents Act 1977 (c. 37)

40 In section 102 of the Patents Act 1977 (rights of...
In section 41 (application of disciplinary provisions to legal aid...)

In section 69(2) (commencement) for “Secretary of State” substitute “...

Social Security Act 1986 (c. 50)

In section 56 of the Social Security Act 1986 (legal...

Building Societies Act 1986 (c. 53)

The Building Societies Act 1986 is amended in accordance with...

In section 52 (powers to obtain information and documents etc)—...

In section 67 (directors, etc, not to accept commission in...

In section 69 (disclosure and record of income of related...

Ministry of Defence Police Act 1987 (c. 4)

In section 4 of the Ministry of Defence Police Act...

Income and Corporation Taxes Act 1988 (c. 1)

In the Income and Corporation Taxes Act 1988, in section...

Copyright, Designs and Patents Act 1988 (c. 48)

The Copyright, Designs and Patents Act 1988 is amended in...

In section 276 (persons entitled to describe themselves as patent...-

In section 280 (privilege for communications with patent agents)—

In section 281 (power of comptroller to refuse to deal...

In section 286 (interpretation)— (a) for “agent” substitute “ attorney...

Omit section 292 (rights and duties of registered patent agents...

Law of Property (Miscellaneous Provisions) Act 1989 (c. 34)

In section 1 of the Law of Property (Miscellaneous Provisions)...

Companies Act 1989 (c. 40)

In section 87 of the Companies Act 1989 (exceptions from...

Courts and Legal Services Act 1990 (c. 41)

The Courts and Legal Services Act 1990 is amended in...

Omit the following provisions— (a) section 17 (the statutory objective...

In section 31B (advocates and litigators employed by Legal Services...

In section 31C (change of authorised body)—

Omit sections 34 to 52 (extension of conveyancing services).

Omit sections 54 and 55 (preparation of probate papers etc)....

In section 60 (regulation of right of Scottish and Northern...

After that section insert— Procedural requirements relating to

recommendations for...

Omit section 63 (legal professional privilege).

Omit section 69 (exemption from liability for damages etc).

Omit section 70 (offences).

In section 71 (qualification for judicial and certain other appointments)

—...

In section 75 (judges etc barred from legal practice), after...

Omit section 113 (administration of oaths and taking of affidavits)...
97  (1) Section 119 (interpretation) is amended as follows.
98  In section 120 (regulations and orders) omit—
99  In section 125 (power to make provision consequential on provision...
100  Omit the following provisions— (a) Schedule 4 (authorised bodies);
101  In paragraph 17 of Schedule 19 (revocation of appointment under...

Environmental Protection Act 1990 (c. 43)

102  In section 114 (appointment etc of inspectors), in subsection (4)...

Friendly Societies Act 1992 (c. 40)

103  In section 62 of the Friendly Societies Act 1992 (powers...

Trade Union and Labour Relations Consolidation Act 1992 (c. 52)

104  The Trade Union and Labour Relations Consolidation Act 1992 is...
105  In section 194 (offence of failure to notify), in subsection...
106  In section 216 (constitution and proceedings of court of inquiry)—...
107  In section 288 (restriction on contracting out), in subsection (4B)(a)...

Finance Act 1993 (c. 34)

108  In Schedule 21 of the Finance Act 1993 (oil taxation)—...

Trade Marks Act 1994 (c. 26)

109  The Trade Marks Act 1994 is amended in accordance with...
110  In section 52 (power to make provision in connection with...
111  In section 84 (unregistered persons not to be described as...
112  In section 86 (use of the term “trade mark attorney”)...
113  In section 87 (privilege for communications with registered trade mark...
114  In section 88 (power of registrar to refuse to deal...
115  In section 104 (index of defined expressions, in the entry...

Criminal Justice and Public Order Act 1994 (c. 33)

116  In section 38 of the Criminal Justice and Public Order...

Environment Act 1995 (c. 25)

117  In section 54 of the Environment Act 1995 (appearance in...

Disability Discrimination Act 1995 (c. 50)

118  In Part 1 of Schedule 3A to the Disability Discrimination...

Police Act 1996 (c. 16)

119  In section 84 of the Police Act 1996 (representation at...

Employment Rights Act 1996 (c. 18)

120  In section 203 of the Employment Rights Act 1996 (restrictions...
Family Law Act 1996 (c. 27)
121 In Schedule 4 of the Family Law Act 1996—

Civil Procedure Act 1997 (c. 12)
122 In section 2 of the Civil Procedure Act 1997 (Civil...

Sexual Offences (Protected Material) Act 1997 (c. 39)
123 In section 2 of the Sexual Offences (Protected Material) Act...

National Minimum Wage Act 1998 (c. 39)
124 The National Minimum Wage Act 1998 is amended in accordance...
125 In section 33 (proceedings for offences)— (a) in subsection (1)... 126 In section 49 (restrictions on contracting out) for subsection (7)(a)...

Access to Justice Act 1999 (c. 22)
127 The Access to Justice Act 1999 is amended in accordance...
128 In section 16(4) (code of conduct) after “consult” insert “...
129 In section 44 (barristers employed by solicitors)—
130 In section 45 (fees on application for appointment as Queen's...
131 In section 46 (Bar practising certificates)— (a) omit subsection (2)(b)...

Youth Justice and Criminal Evidence Act 1999 (c. 23)
132 In section 63 of the Youth Justice and Criminal Evidence...

Criminal Justice and Court Services Act 2000 (c. 43)
133 In section 15 of the Criminal Justice and Court Services...

Finance Act 2002 (c. 23)
134 The Finance Act 2002 is amended in accordance with paragraphs...
135 In Schedule 34 (stamp duty: withdrawal of group relief: supplementary...
136 In Schedule 35 (stamp duty: withdrawal of relief for company...

Income Tax (Earnings and Pensions) Act 2003 (c. 1)
137 In section 343 of the Income Tax (Earnings and Pensions)...

Finance Act 2003 (c. 14)
138 In Schedule 13 to the Finance Act 2003 (stamp duty...

Licensing Act 2003 (c. 17)
139 The Licensing Act 2003 is amended in accordance with paragraphs...
140 In section 58 (meaning of “certified copy” in section 57...
141 In section 95 (meaning of “certified copy” in section 94...

Courts Act 2003 (c. 39)
142 The Courts Act 2003 is amended in accordance with paragraphs...
143 In section 70 (Criminal Procedure Rule Committee)—
144  (1) Section 77 (Family Procedure Rule Committee) is amended as...

Criminal Justice Act 2003 (c. 44)

145  The Criminal Justice Act 2003 is amended in accordance with...
146  In section 56 (interpretation of Part 8), in subsection (1),...
147  In section 159 (disclosure of pre-sentence reports etc), in subsection...
148  In section 160 (other reports of local probation boards and...

Children Act 2004 (c. 31)

149  In section 37 of the Children Act 2004 (Welsh family...

Civil Partnership Act 2004 (c. 33)

150  In section 42 of the Civil Partnership Act 2004 (attempts...

Public Services Ombudsman (Wales) Act 2005 (c. 10)

151  In section 13 of the Public Services Ombudsman (Wales) Act...

Equality Act 2006 (c. 3)

152  In Schedule 2 to the Equality Act 2006 (representations to...

Natural Environment and Rural Communities Act 2006 (c. 16)

153  In section 12(2) of the Natural Environment and Rural Communities...

National Health Service Act 2006 (c. 41)

154  In section 194(4) of the National Health Service Act 2006...

National Health Service (Wales) Act 2006 (c. 42)

155  In section 142(4) of the National Health Service (Wales) Act...

Companies Act 2006 (c. 46)

156  In Schedule 2 to the Companies Act 2006 (exceptions from...

Income Tax Act 2007 (c. 3)

157  The Income Tax Act 2007 is amended in accordance with...
158  In section 748 (power to obtain information)—
159  In section 749 (restrictions on particulars to be provided by...
160  In section 771 (power to obtain information)—
161  In section 788 (power to obtain information)—

Tribunals, Courts and Enforcement Act 2007 (c. 15)

162  (1) Section 51 of the Tribunals, Courts and Enforcement Act...

SCHEDULE 22 — Transitional and transitory provision

Transitory power to modify the functions of bodies

1  (1) Paragraphs 2 to 4 have effect until such time...
2  (1) The Lord Chancellor may by order modify, or make...
(1) This paragraph applies where a body makes a recommendation...

Paragraphs 2 and 3 apply in relation to the Solicitors...

Approved regulators

(1) Sub-paragraph (2) applies where during the pre-commencement period—

Licensed Conveyancers

(1) In this paragraph the transitional period means the period...

(1) This paragraph applies to any licence issued by the...

Until the repeal of paragraphs 17 and 19 of Schedule...

Exercise of Board’s functions pending appointment of Chief Executive

(1) Until the first Chief Executive of the Board is...

Interim Chief Executive of the OLC

(1) The Lord Chancellor may appoint a person as the...

1 Solicitors

(1) Until such time as section 59(1) of the Constitutional...

Solicitors Act 1974 (c. 47)

(1) During the transitional period, the reference to an authorised...

(1) During the transitional period, section 44B of the Solicitors...

(1) During the transitional period, section 69 of the Solicitors...

Recognised bodies

(1) During the transitional period, any reference in sections 9,...

(1) During the transitional period (within the meaning of paragraph...

(1) During the relevant period, the legal professional privilege provisions...

Functions transferred to the Lord Chancellor

(1) This paragraph applies where, by virtue of an amendment...

SCHEDULE 23 — Repeals

SCHEDULE 24 — Index of defined expressions
Changes to legislation:
Legal Services Act 2007 is up to date with all changes known to be in force on or before 23 March 2019. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

<table>
<thead>
<tr>
<th>Changes and effects yet to be applied to:</th>
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<tbody>
<tr>
<td>s. 64(5) words omitted by S.I. 2018/1149 Sch. para. 39</td>
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<tr>
<td>s. 111(2) modified by S.I. 2019/375 Sch. para. 13(3)</td>
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<td>s. 111(2)(d) words substituted by S.I. 2019/375 Sch. para. 13(2)(b)</td>
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<tr>
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<tr>
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<tr>
<td>Sch. 21 para. 73 repealed by 2008 c. 4 Sch. 28 Pt. 8</td>
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<tr>
<td>Sch. 22 para. 15(1)(d) modified by S.I. 2019/375 Sch. para. 13(9)(b)</td>
</tr>
<tr>
<td>Sch. 22 para. 15(1)(d) omitted by S.I. 2019/375 Sch. para. 13(9)(a)</td>
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</tbody>
</table>

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:
Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 111(2)(c)(ca) substituted for s. 111(2)(c) by S.I. 2019/375 Sch. para. 13(2)(a)
- Sch. 12 para. 110 repealed by S.I. 2019/269 Sch. 5 para. 6