



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART VI **U.K.**

OFFICIAL LISTING

[^{F1}Transparency obligations

VALID FROM 08/11/2006

[^{F1}89C Provision of information by issuers of transferable securities **U.K.**

- (1) Transparency rules may make provision requiring the issuer of transferable securities, in circumstances specified in the rules—
 - (a) to make public information to which this section applies, or
 - (b) to notify to the competent authority information to which this section applies,or to do both.
- (2) In the case of every issuer, this section applies to—
 - (a) information required by Article 4 of the transparency obligations directive;
 - (b) information relating to the rights attached to the transferable securities, including information about the terms and conditions of those securities which could indirectly affect those rights; and
 - (c) information about new loan issues and about any guarantee or security in connection with any such issue.
- (3) In the case of an issuer of debt securities, this section also applies to information required by Article 5 of the transparency obligations directive.
- (4) In the case of an issuer of shares, this section also applies to—
 - (a) information required by Article 5 of the transparency obligations directive;
 - (b) information required by Article 6 of that directive;

Status: Point in time view as at 01/04/2005. This version of this provision is not valid for this point in time.

Changes to legislation: Financial Services and Markets Act 2000, Section 89C is up to date with all changes known to be in force on or before 03 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (c) voteholder information—
 - (i) notified to the issuer, or
 - (ii) relating to the proportion of voting rights held by the issuer in respect of shares in the issuer;
- (d) information relating to the issuer's capital; and
- (e) information relating to the total number of voting rights in respect of shares or shares of a particular class.]

Textual Amendments

- F1** Ss. 89A-89G and cross-heading inserted (8.11.2006) by [Companies Act 2006 \(c. 46\)](#), **ss. 1266(1)**, 1300(1)(a) (with s. 1266(2))

Status:

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