

Status: Point in time view as at 01/04/2002.

Changes to legislation: Financial Services and Markets Act 2000, Cross Heading: Investment advice is up to date with all changes known to be in force on or before 28 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

SCHEDULE 2

REGULATED ACTIVITIES

Modifications etc. (not altering text)

C1 Sch. 2 applied by 1974 c. 39, s. 16(6E)(c) (as inserted (1.9.2002) by S.I. 2001/544, arts. 2(1)(2)(b), 90(2); S.I. 2001/3538, art. 2(1))

PART I

REGULATED ACTIVITIES

Investment advice

- 7 Giving or offering or agreeing to give advice to persons on—
- (a) buying, selling, subscribing for or underwriting an investment; or
 - (b) exercising any right conferred by an investment to acquire, dispose of, underwrite or convert an investment.

Status:

Point in time view as at 01/04/2002.

Changes to legislation:

Financial Services and Markets Act 2000, Cross Heading: Investment advice is up to date with all changes known to be in force on or before 28 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.