



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### <sup>F1</sup>PART X

#### RULES AND GUIDANCE

#### CHAPTER I

#### RULE-MAKING POWERS

##### Textual Amendments

- F1** Pt. 9A substituted for ss. 138-164 (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), ss. **24(1)**, 122(3) (with Sch. 20); S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3; S.I. 2013/423, art. 3, Sch.

**138** **General rule-making power.**

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**139** **Miscellaneous ancillary matters.**

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**139A** **General rules about remuneration**

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**139B** **Rules about recovery plans**

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*Status: Point in time view as at 29/08/2017.*

*Changes to legislation: Financial Services and Markets Act 2000, Chapter I is up to date with all changes known to be in force on or before 11 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

**139C Rules about resolution plans**

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**139D Sections 139B and 139C: interpretation**

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**139E Rules about recovery and resolution plans: supplementary provision**

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**139F Special provision in relation to resolution plans**

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**140 Restriction on managers of certain collective investment schemes.**

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**141 Insurance business rules.**

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**142 Insurance business: regulations supplementing Authority’s rules.**

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**143 Endorsement of codes etc.**

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*Specific rules*

**144 Price stabilising rules.**

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**145 Financial promotion rules.**

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**146 Money laundering rules.**

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**147 Control of information rules.**

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*Modification or waiver*

**148 Modification or waiver of rules.**

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*Contravention of rules*

**149 Evidential provisions.**

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**150 Actions for damages.**

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**151 Limits on effect of contravening rules.**

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*Procedural provisions*

**152 Notification of rules to the Treasury.**

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**153 Rule-making instruments.**

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**154 Verification of rules.**

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**155 Consultation.**

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**156 General supplementary powers.**

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**Status:**

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