SCHEDULES

SCHEDULE 1

Section 2.

PARTICULAR PURPOSES FOR WHICH PROVISION MAY BE MADE UNDER SECTION 2

PART I

LIST OF PURPOSES

Preliminary

- (1) Establishing standards, objectives or requirements in relation to emissions within the meaning of the regulations.
 - (2) Authorising the making of plans for—
 - (a) the setting of overall limits,
 - (b) the allocation of quotas, or
 - (c) the progressive improvement of standards or objectives,

relating to such emissions.

1

3

- (3) Authorising the making of schemes for the trading or other transfer of quotas so allocated.
- 2 (1) Determining the authorities (whether public or local or the Secretary of State) by whom functions conferred by the regulations—
 - (a) in relation to permits under the regulations, or
 - (b) otherwise for or in connection with the prevention or control of environmental pollution,

are to be exercisable (in this Schedule referred to as "regulators").

(2) Specifying any purposes for which any such functions are to be exercisable by regulators.

Enabling the Secretary of State to give directions which regulators are to comply with, or guidance which regulators are to have regard to, in exercising functions under the regulations, including—

- (a) directions providing for any functions exercisable by one regulator to be instead exercisable by another;
- (b) directions given for the purposes of the implementation of any obligations of the United Kingdom under the Community Treaties or under any international agreement to which the United Kingdom is a party;
- (c) directions relating to the exercise of any function in a particular case or class of case.

1

Permits

- Prohibiting persons from operating any installation or plant of any specified 4 description, or otherwise carrying on any activities of any specified description, except-(a) under a permit in force under the regulations, and in accordance with any conditions to which the permit is subject. (b) 5 Specifying restrictions or other requirements in connection with the grant of permits (including provisions for restricting the grant of permits to those who are fit and proper persons within the meaning of the regulations); and otherwise regulating the procedure to be followed in connection with the grant of permits. 6 (1) Prescribing the contents of permits. (2) Authorising permits to be granted subject to conditions imposed by regulators. (3) Securing that permits have effect subject toconditions specified in the regulations; or (a) (b) rules of general application specified in or made under the regulations. (1) Requiring permits or the conditions to which permits are subject to be reviewed by 7 regulators (whether periodically or in any specified circumstances). (2) Authorising or requiring the variation of permits or such conditions by regulators (whether on applications made by holders of permits or otherwise). (3) Regulating the making of changes in the operation of the installations or plant to which permits relate, or (a) in the case of permits for the carrying on of activities otherwise than in (b) the course of operating any installation or plant, in the carrying on of the activities. 8 (1) Regulating the transfer or surrender of permits. (2) Authorising the revocation of permits by regulators. (3) Authorising the imposition by regulators of requirements with respect to the taking of preventive or remedial action (by holders of permits or other persons) in connection with the surrender or revocation of permits. 9 Authorising the Secretary of State to make schemes for the charging by regulators of fees or other charges in respect of, or in respect of an application forthe grant of a permit, (a) (b) the variation of a permit or the conditions to which it is subject, or the transfer or surrender of a permit, (c) or in respect of the subsistence of a permit. 10 Authorising, or authorising a Minister of the Crown to make schemes for, the charging by Ministers of the Crown or public or local authorities of fees or other charges in respect of-(a) the testing or analysis of substances, the validating of, or of the results of, any testing or analysis of substances, (b)
 - (c) assessing how the environment might be affected by the release into it of any substances,

in cases where the testing, analysis, validating or assessing is in any way in anticipation of, or otherwise in connection with, the making of applications for the grant of permits or is carried out in pursuance of conditions to which any permit is subject.

Information, publicity and consultation

Enabling persons of any specified description (whether or not they are holders of permits) to be required—

- (a) to compile information—
 - (i) on emissions within the meaning of the regulations;
 - (ii) on energy consumption and on the efficiency with which energy is used;
 - (iii) on waste within the meaning of the regulations and on the destinations of such waste;
- (b) to provide such information in such manner as is specified in the regulations.

12 Securing—

11

- (a) that publicity is given to specified matters;
- (b) that regulators maintain registers of specified matters (but excepting information which under the regulations is, or is determined to be, commercially confidential and subject to any other exceptions specified in the regulations) which are open to public inspection;
- (c) that copies of entries in such registers, or of specified documents, may be obtained by members of the public.
- 13 Requiring or authorising regulators to carry out consultation in connection with the exercise of any of their functions; and providing for them to take into account representations made to them on consultation.

Enforcement and offences

- 14 (1) Conferring on regulators functions with respect to the monitoring and inspection of the carrying on of activities to which permits relate, including—
 - (a) power to take samples or to make copies of information;
 - (b) power to arrange for preventive or remedial action to be taken at the expense of holders of permits.
 - (2) Authorising regulators to appoint suitable persons to exercise any such functions and conferring powers (such as those specified in section 108(4) of the ^{M1}Environment Act 1995) on persons so appointed.

Marginal Citations

M1 1995 c. 25.

- 15 (1) Authorising regulators to serve on holders of permits—
 - (a) notices requiring them to take remedial action in respect of contraventions, actual or potential, of conditions to which their permits are subject;

<i>Status:</i> Point in time view as at 21/03/2000.	
Changes to legislation: There are currently no known outstanding effects for the	
Pollution Prevention and Control Act 1999. (See end of Document for details)	

- (b) notices requiring them to provide such financial security as the regulators serving the notices consider appropriate pending the taking of remedial action in respect of any such contraventions;
- (c) notices requiring them to take steps to remove imminent risks of serious environmental pollution (whether or not arising from any such contraventions).
- (2) Providing for the enforcement of such notices by proceedings in the High Court or any court of competent jurisdiction in Scotland.
- 16 Authorising regulators to suspend the operation of permits so far as having effect to authorise the carrying on of activities to which they relate.
- 17 The creation of offences and dealing with matters relating to such offences, including—
 - (a) the provision of defences; and
 - (b) evidentiary matters.
- 18 Enabling, where a person has been convicted of an offence under the regulations—
 - (a) a court dealing with that person for the offence to order the taking of remedial action (in addition to or instead of imposing any punishment); or
 - (b) a regulator to arrange for such action to be taken at that person's expense.

Appeals

19 Conferring rights of appeal in respect of decisions made, notices served or other things done (or omitted to be done) under the regulations; and making provision for (or for the determination of) matters relating to the making, considering and determination of such appeals (including provision for or in connection with the holding of inquiries or hearings).

General

- 20 (1) Making provision which, subject to any modifications that the Secretary of State considers appropriate, corresponds or is similar to—
 - (a) any provision made by or under, or capable of being made under, Part I or II of the ^{M2}Environmental Protection Act 1990 or made by any of sections 157, 158 and 160 of that Act; or
 - (b) any provision made, or capable of being made, under section 2(2) of the ^{M3}European Communities Act 1972 in connection with one of the relevant directives.
 - (2) In sub-paragraph (1) "the relevant directives" means—
 - (a) Council Directive 96/61/EC concerning integrated pollution prevention and control;
 - (b) Council Directive 75/442/EEC on waste, as amended; and
 - (c) any other directive of the Council of the European Communities designated by the Secretary of State for the purposes of this paragraph by order made by statutory instrument.
 - (3) Making provision about the application of the regulations to the Crown.

Marginal CitationsM21990 c. 43.M31972 c. 68.

PART II

SUPPLEMENTARY PROVISIONS

Particular types of pollution

21 The regulations may provide for specified provisions of the regulations to have effect in relation only to such environmental pollution as is specified.

Determination of matters by regulators

22 The regulations may make provision for anything which, by virtue of paragraphs 5 to 8, could be provided for by the regulations to be determined under the regulations by regulators.

Imposition of conditions

- In connection with the determination of conditions as mentioned in paragraph 6(3)(a) the regulations may in particular provide—
 - (a) for such conditions to be determined in the light of any specified general principles and any directions or guidance given under the regulations;
 - (b) for such guidance to include guidance sanctioning reliance by a regulator on any arrangements referred to in the guidance to operate to secure a particular result as an alternative to imposing a condition.

Charging schemes

- 24 The regulations may—
 - (a) require any such scheme as is mentioned in paragraph 9 or 10 to be so framed that the fees and charges payable under the scheme are sufficient, taking one year with another, to cover such expenditure (whether or not incurred by the regulator or other person to whom they are so payable) as is specified;
 - (b) authorise any such scheme to make different provision for different cases (and specify particular kinds of such cases).

Offences

- 25 (1) The regulations may provide for any such offence as is mentioned in paragraph 17 to be triable—
 - (a) only summarily; or
 - (b) either summarily or on indictment.
 - (2) The regulations may provide for such an offence to be punishable—
 - (a) on summary conviction by—

- (i) imprisonment for a term not exceeding such period as is specified (which may not exceed six months), or
- (ii) a fine not exceeding such amount as is specified (which may not exceed £20,000),
- or both; or
- (b) on conviction on indictment by-
 - (i) imprisonment for a term not exceeding such period as is specified (which may not exceed five years), or
 - (ii) a fine,

or both.

Interpretation

5 In this Schedule—

"functions" includes powers and duties;

"the regulations" means regulations under section 2;

"specified" means specified in regulations under that section.

SCHEDULE 2

Section 6.

CONSEQUENTIAL AND MINOR AMENDMENTS

Prevention of Oil Pollution Act 1971 (c.60)

- In section 11A(1) of the Prevention of Oil Pollution Act 1971 (provisions of Act not to apply to escapes etc. authorised by Part I of the Environmental Protection Act 1990)—
 - (a) for "(2A), 3(1) and 11(1)" substitute " 3(1) "; and
 - (b) at the end insert " or a permit granted under regulations under section 2 of the Pollution Prevention and Control Act 1999. "

Commencement Information

I1 Sch. 2 para. 1 wholly in force; Sch. 2 para. 1 not in force at Royal Assent see s. 7(3); Sch. 2 para. 1 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 1 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Public Health (Control of Disease) Act 1984 (c.22)

- 2 In section 7(4) of the Public Health (Control of Disease) Act 1984 (enactments conferring functions assignable to the London port health authority), after paragraph (m) insert—
 - "(n) regulations under section 2 of the Pollution Prevention and Control Act 1999."

26

1

Commencement Information

I2 Sch. 2 para. 2 partly in force; Sch. 2 para. 2 not in force at Royal Assent see s. 7(3); Sch. 2 para. 2 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

Environmental Protection Act 1990 (c.43)

3

The Environmental Protection Act 1990 has effect subject to the following amendments.

Commencement Information

- I3 Sch. 2 para. 3 wholly in force; Sch. 2 para. 3 not in force at Royal Assent see s. 7(3); Sch. 2 para. 3 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 3 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)
- In section 8(7) (expenditure to be covered by charging schemes under the section is that of local enforcing authorities in exercising their functions under Part I of the Act), at the end insert "together with the expenditure incurred by the Environment Agency in exercising, in relation to authorisations granted by local enforcing authorities or the prescribed processes to which such authorisations relate, such of its functions as are specified in the scheme."

Commencement Information

- I4 Sch. 2 para. 4 partly in force; Sch. 2 para. 4 not in force at Royal Assent see s. 7(3); Sch. 2 para. 4 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2
- ⁵ In section 77(2) (waste disposal licences: transition from Part I of the ^{M4}Control of Pollution Act 1974), at the beginning insert " Subject to section 4 of the Pollution Prevention and Control Act 1999, ".

Commencement Information

I5 Sch. 2 para. 5 wholly in force; Sch. 2 para. 5 not in force at Royal Assent see s. 7(3); Sch. 2 para. 5 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 5 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Marginal Citations

M4 1974 c. 40.

6

In section 79(10) (restrictions on taking proceedings for statutory nuisance where proceedings possible under Part I of the Act), after "Part I" insert " or under regulations under section 2 of the Pollution Prevention and Control Act 1999."

Commencement Information

I6 Sch. 2 para. 6 wholly in force; Sch. 2 para. 6 not in force at Royal Assent see s. 7(3); Sch. 2 para. 6 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 6 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Water Industry Act 1991 (c.56)

In paragraph (a) of each of subsections (3) and (4) of section 206 of the Water Industry Act 1991 (exceptions from restrictions on disclosure of information), for "or the ^{M5}Environment Act 1995" substitute ", the Environment Act 1995 or regulations under section 2 of the Pollution Prevention and Control Act 1999".

Commencement Information

Sch. 2 para. 7 partly in force; Sch. 2 para. 7 not in force at Royal Assent see s. 7(3); Sch. 2 para. 7 in 17 force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

Marginal Citations

M5 1995 c. 25.

Water Resources Act 1991 (c.57)

8 In paragraph (a) of each of subsections (2) and (3) of section 204 of the Water Resources Act 1991 (exceptions from restrictions on disclosure of information), for "or the 1995 Act" substitute ", the 1995 Act or regulations under section 2 of the Pollution Prevention and Control Act 1999".

Commencement Information

Sch. 2 para. 8 partly in force; Sch. 2 para. 8 not in force at Royal Assent see s. 7(3); Sch. 2 para. 8 in 18 force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

Clean Air Act 1993 (c.11)

The Clean Air Act 1993 has effect subject to the following amendments.

Commencement Information

- Sch. 2 para. 9 wholly in force; Sch. 2 para. 9 not in force at Royal Assent see s. 7(3); Sch. 2 para. 9 19 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 9 in force (S.) at 29.9.2000 by S.S.I. 2000/322. art. 2(b)
- In section 33(1) (cable burning), after "a process subject to Part I of the Environmental Protection Act 1990" insert " or an activity subject to regulations 10 under section 2 of the Pollution Prevention and Control Act 1999".

Commencement Information

- I10 Sch. 2 para. 10 wholly in force; Sch. 2 para. 10 not in force at Royal Assent see s. 7(3); Sch. 2 para. 10 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 10 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)
- 11 In section 35(3) (limit on investigations into emissions from certain processes), after "any process subject to Part I of the Environmental Protection Act 1990" insert " or activity subject to regulations under section 2 of the Pollution Prevention and Control Act 1999".

7

9

Commencement Information

Sch. 2 para. 11 wholly in force; Sch. 2 para. 11 not in force at Royal Assent see s. 7(3); Sch. 2 para. 11 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 11 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Railways Act 1993 (c.43)

12 In section 4(9) of the Railways Act 1993 (interpretation), for the definition of "environment" substitute—

""the environment" means all, or any, of the following media, namely, the air, water and land (and the medium of air includes the air within buildings and the air within other natural or man-made structures above or below ground);".

Commencement Information

Sch. 2 para. 12 wholly in force; Sch. 2 para. 12 not in force at Royal Assent see s. 7(3); Sch. 2 para. 12 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 12 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Merchant Shipping Act 1995 (c.21)

13 After section 136 of the Merchant Shipping Act 1995 (oil pollution) insert—

"136A Discharges etc. authorised under other enactments.

The provisions of sections 131(1) and 136(1) shall not apply to any discharge which is made under, and the provisions of section 136(1) shall not apply to any escape which is authorised by, an authorisation granted under Part I of the ^{M6}Environmental Protection Act 1990 or a permit granted under regulations under section 2 of the Pollution Prevention and Control Act 1999."

Commencement Information

II3 Sch. 2 para. 13 partly in force; Sch. 2 para. 13 not in force at Royal Assent see s. 7(3); Sch. 2 para. 13 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

Marginal Citations

M6 1990 c. 43.

Environment Act 1995 (c.25)

14 The Environment Act 1995 has effect subject to the following amendments.

Commencement Information

- Sch. 2 para. 14 wholly in force; Sch. 2 para. 14 not in force at Royal Assent see s. 7(3); Sch. 2 para. 14 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 14 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)
- 15
- In section 5(5) (pollution control powers and functions), after paragraph (h) insert— "(i) regulations under section 2 of the Pollution Prevention and Control Act 1999;".

Commencement Information

I15 Sch. 2 para. 15 partly in force; Sch. 2 para. 15 not in force at Royal Assent see s. 7(3); Sch. 2 para. 15 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

- 16 In section 33(5) (pollution control powers and functions in Scotland), before the word "and" at the end of paragraph (g) insert—
 - "(ga) regulations under section 2 of the Pollution Prevention and Control Act 1999;".

Commencement Information

- I16 Sch. 2 para. 16 wholly in force; Sch. 2 para. 16 not in force at Royal Assent see s. 7(3); Sch. 2 para. 16 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 16 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)
- 17 In section 56(1) (interpretation of Part I), for the definition of "the environment" substitute—

""the environment" means all, or any, of the following media, namely, the air, water and land (and the medium of air includes the air within buildings and the air within other natural or man-made structures above or below ground);".

Commencement Information

- Sch. 2 para. 17 wholly in force; Sch. 2 para. 17 not in force at Royal Assent see s. 7(3); Sch. 2 para. 17 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 17 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)
- 18 (1) Section 113(5) (disclosure of information: interpretation) is amended as follows.
 - (2) For the definition of "the environment" substitute—

""the environment" means all, or any, of the following media, namely, the air, water and land (and the medium of air includes the air within buildings and the air within other natural or man-made structures above or below ground);".

(3) In the definition of "local enforcing authority", at the end of paragraph (b) insert " or ".

Commencement Information

Sch. 2 para. 18 wholly in force; Sch. 2 para. 18 not in force at Royal Assent see s. 7(3); Sch. 2 para. 18 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2; Sch. 2 para. 18 in force (S.) at 29.9.2000 by S.S.I. 2000/322, art. 2(b)

Finance Act 1996 (c.8)

- 19 In section 66 of the Finance Act 1996 (landfill tax: definition of landfill site), after paragraph (b) insert—
 - "(ba) a permit under regulations under section 2 of the Pollution Prevention and Control Act 1999 is in force in relation to the land and authorises deposits or disposals in or on the land,".

Commencement Information

I19 Sch. 2 para. 19 partly in force; Sch. 2 para. 19 not in force at Royal Assent see s. 7(3); Sch. 2 para. 19 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

Government of Wales Act 1998 (c.38)

20

- In Schedule 3 to the Government of Wales Act 1998 (provisions about the transfer of functions), in paragraph 6(3) (meaning of "relevant transferred environmental function"), after paragraph (b) insert "or
 - (c) under, or under regulations under section 2 of, the Pollution Prevention and Control Act 1999,".

Commencement Information

I20 Sch. 2 para. 20 partly in force; Sch. 2 para. 20 not in force at Royal Assent see s. 7(3); Sch. 2 para. 20 in force (E.W.) at 21.3.2000 by S.I. 2000/800, art. 2

		VALID FROM 01/04/2015
	SCHEDULE 3	
	REPEALS	
Extent Information		
E1 For extent of re	epeals see s. 7(7).	
Chapter	Short title	Extent of repeal
1971 c. 60.	Prevention of Oil Pollution Act 1971.	In section 11A(1), the words "an authorisation

Status: Point in time view as at 21/03/2000.

Changes to legislation: There are currently no known outstanding effects for the Pollution Prevention and Control Act 1999. (See end of Document for details)

		granted under Part I of the Environmental Protection Act 1990 or".
1984 c. 22.	Public Health (Control of Disease) Act 1984.	Section 7(4)(1).
1990 c. 43.	Environmental Protection Act 1990.	Sections 1 to 28. ^{F1} Schedule 1.
1991 c. 56.	Water Industry Act 1991.	Section 138(2) to (4). In section 206(3)(a), "I or". In section 206(4)(a), "I or".
1991 c. 57.	Water Resources Act 1991.	Section 88(1)(b). In section 204(2)(a), "I or". In section 204(3)(a), "I or".
1991 c. 60.	Water Consolidation (Consequential Provisions) Act 1991.	In Schedule 1, paragraph 56.
1993 c. 11.	Clean Air Act 1993.	In section 33(1), the words "a process subject to Part I of the Environmental Protection Act 1990 or". In section 35(3), the words "process subject to Part I of the Environmental Protection Act 1990 or". Section 36(3). Section 41.
1993 c. 12.	Radioactive Substances Act 1993.	In Schedule 4, paragraph 6.
1994 c. 19.	Local Government (Wales) Act 1994.	In Schedule 9, paragraph 17(1).
1995 c. 21.	Merchant Shipping Act 1995.	In section 136A, the words "an authorisation granted under Part I of the Environmental Protection Act 1990 or".
1995 c. 25.	Environment Act 1995.	In section 5(5)(e), "I,". In section 33(5)(e), "I,". In section 56(1), paragraph (b) of the definition of "environmental licence" in relation to the Environment Agency and paragraph (c) of the definition of "environmental licence" in relation to the Scottish Environment Protection Agency.

1998 c. 38.	Government of Wales Act 1998.	In Schedule 3, paragraph $6(3)(a)$.
1996 c. 8.	Finance Act 1996.	Section $43A(4)(b)$ to (d).
		definition of "local enforcing authority", in paragraph (g) of the definition of "pollution control functions" in relation to the Environment Agency or the Scottish Environment Protection Agency, "I,", and in paragraph (a) of the definition of "pollution control functions" in relation to a local enforcing authority, "I or". Section 111(6). In section 113(5), in the definition of "local enforcing authority", paragraph (d) and the "or" preceding it. In section 114(2)(a)(iii), "15 22(5),". In Schedule 20, in paragraph 4(3)(b), "22(5),". In Schedule 22, paragraphs 45 to 61.

Textual Amendments

Words in Sch. 3 repealed (30.6.2014) by Regulatory Reform (Scotland) Act 2014 (asp 3), s. 61(2),
Sch. 3 para. 36; S.S.I. 2014/160, art. 2(1)(2), Sch.

Status:

Point in time view as at 21/03/2000.

Changes to legislation:

There are currently no known outstanding effects for the Pollution Prevention and Control Act 1999.