

## SCHEDULES

### SCHEDULE 9

#### DIRECTOR’S RULES

##### *Parallel exemptions*

- 9 Rules may make provision as to—
- (a) the circumstances in which the Director may—
    - (i) impose conditions or obligations in relation to a parallel exemption,
    - (ii) vary or remove any such conditions or obligations,
    - (iii) impose additional conditions or obligations, or
    - (iv) cancel the exemption;
  - (b) as to the procedure to be followed by the Director if he is acting under section 10(5);
  - (c) the form and manner in which notice of a decision to take any of the steps in sub-paragraph (a) is to be given;
  - (d) the circumstances in which an exemption may be cancelled with retrospective effect.