

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

SCHEDULE 10

REGULATORS

PART IV

UTILITIES: MINOR AND CONSEQUENTIAL AMENDMENTS

The Telecommunications Act 1984 (c.12)

- 9 (1) The Telecommunications Act 1984 is amended as follows.
- (2) In section 13 (licence modification references to Competition Commission), for subsections (9) and (10) substitute—
- “(9) The provisions mentioned in subsection (9A) are to apply in relation to references under this section as if—
- (a) the functions of the Competition Commission in relation to those references were functions under the ^{M1}Fair Trading Act 1973 (in this Act referred to as “the 1973 Act”);
 - (b) the expression “merger reference” included a reference under this section;
 - (c) in section 70 of the 1973 Act—
 - (i) references to the Secretary of State were references to the Director, and
 - (ii) the reference to three months were a reference to six months.
- (9A) The provisions are—
- (a) sections 70 (time limit for report on merger) and 85 (attendance of witnesses and production of documents) of the 1973 Act;
 - (b) Part II of Schedule 7 to the Competition Act 1998 (performance of the Competition Commission’s general functions); and
 - (c) section 24 of the ^{M2}Competition Act 1980 (modification of provisions about performance of such functions).
- (10) For the purposes of references under this section, the Secretary of State is to appoint not less than three members of the Competition Commission.
- (10A) In selecting a group to perform the Commission’s functions in relation to any such reference, the chairman of the Commission must select up to three of the members appointed under subsection (10) to be members of the group.”
- (3) In section 14, omit subsection (2) (which falls with the repeal of the ^{M3}Restrictive Trade Practices Act 1976).

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (4) In section 16 (securing compliance with licence conditions), in subsection (5), after paragraph (a), omit “or” and after paragraph (b), insert “or
 (c) that the most appropriate way of proceeding is under the Competition Act 1998.”

^{F1}(5)

- (6) In section 95 (modification by orders under other enactments)—
 (a) in subsection (1), omit “or section 10(2)(a) of the 1980 Act”;
 (b) in subsection (2)—
 (i) after paragraph (a), insert “ or ”;
 (ii) omit paragraph (c) and the “or” immediately before it;
 (c) in subsection (3), omit “or the 1980 Act”.
- (7) In section 101(3) (general restrictions on disclosure of information)—
 (a) omit paragraphs (d) and (e) (which refer to the Restrictive Trade Practices Act 1976 and the ^{M4}Resale Prices Act 1976);
 (b) after paragraph (m), insert—
 “(n) the Competition Act 1998”.

- (8) At the end of section 101, insert—

“(6) Information obtained by the Director in the exercise of functions which are exercisable concurrently with the Director General of Fair Trading under Part I of the Competition Act 1998 is subject to sections 55 and 56 of that Act (disclosure) and not to subsections (1) to (5) of this section.”

Textual Amendments

- F1** Sch. 10 para. 9(5) repealed (20.6.2003) by Enterprise Act 2002 (c. 40), s. 279, Sch. 26; S.I. 2003/1397, art. 2(1), Sch. (with art. 8)

Commencement Information

- I1** Sch. 10 para. 9 wholly in force; Sch. 10 para. 9 not in force at Royal Assent see s. 76(3); Sch. 10 para. 9 in force for certain purposes at 26.11.1998 by S.I. 1998/2750, art. 2; Sch. 10 para. 9(7)(b) in force at 11.1.1999 by S.I. 1998/3166, art. 2, Sch.; Sch. 10 para. 9(1)(2)(5) in force at 1.4.1999 by S.I. 1999/505, art. 2, Sch. 2; Sch. 10 para. 9(3)(4)(6)(7)(a) and (8) in force at 1.3.2000 by S.I. 2000/344, art. 2, Sch.

Marginal Citations

- M1** 1973 c. 41.
M2 1980 c. 21.
M3 1976 c. 34.
M4 1976 c. 53.

The Gas Act 1986 (c.44)

- 10 (1) The Gas Act 1986 is amended as follows.

^{F2}(2)

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

(3) In section 25, omit subsection (2) (which falls with the repeal of the ^{M5}Restrictive Trade Practices Act 1976).

^{F3}(4)

(5) In section 28 (orders for securing compliance with certain provisions), in subsection (5), after paragraph (aa), omit “or” and after paragraph (b), insert “or (c) that the most appropriate way of proceeding is under the Competition Act 1998.”

(6) In section 42(3) (general restrictions on disclosure of information)—
(a) omit paragraphs (e) and (f) (which refer to the Restrictive Trade Practices Act 1976 and the ^{M6}Resale Prices Act 1976);
(b) after paragraph (n), insert—
“(o) the Competition Act 1998”.

(7) At the end of section 42, insert—
“(7) Information obtained by the Director in the exercise of functions which are exercisable concurrently with the Director General of Fair Trading under Part I of the Competition Act 1998 is subject to sections 55 and 56 of that Act (disclosure) and not to subsections (1) to (6) of this section.”

Textual Amendments

- F2** Sch. 10 para. 10(2) repealed (20.6.2003) by [The Enterprise Act 2002 \(Consequential and Supplemental Provisions\) Order 2003 \(S.I. 2003/1398\)](#), art. 1, [Sch. para. 32\(2\)](#)
- F3** Sch. 10 para. 10(4) repealed (20.6.2003) by [Enterprise Act 2002 \(c. 40\)](#), s. 279, [Sch. 26](#); [S.I. 2003/1397](#), art. 2(1), [Sch.](#) (with art. 8)

Commencement Information

- I2** Sch. 10 para. 10 wholly in force; Sch. 10 para. 10 not in force at Royal Assent see s. 76(3); Sch. 10 para. 10 in force for certain purposes at 26.11.1998 by [S.I. 1998/2750](#), [art. 2](#); Sch. 10 para. 10(6)(b) in force at 11.1.1999 by [S.I. 1998/3166](#), [art. 2](#), [Sch.](#); Sch. 10 para. 10(1)(2) in force at 1.4.1999 by [S.I. 1999/505](#), [art. 2](#), [Sch. 2](#); Sch. 10 para. 10 (3)-(5)(6)(a) and (7) in force at 1.3.2000 by [S.I. 2000/344](#), [art. 2](#), [Sch.](#)

Marginal Citations

- M5** 1976 c. 34.
M6 1976 c. 53.

The Water Act 1989 (c.15)

- 11 In section 174(3) of the Water Act 1989 (general restrictions on disclosure of information)—
(a) omit paragraphs (d) and (e) (which refer to the Restrictive Trade Practices Act 1976 and the Resale Prices Act 1976);
(b) after paragraph (l), insert—
“(l) the Competition Act 1998”.

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Commencement Information

- I3** Sch. 10 para. 11 wholly in force; Sch. 10 para. 11 not in force at Royal Assent see s. 76(3); Sch. 10 para. 11(b) in force at 11.1.1999 by [S.I. 1998/3166, art. 2, Sch.](#); Sch. 10 para. 11(a) in force at 1.3.2000 by [S.I. 2000/344, art. 2, Sch.](#)

The Electricity Act 1989 (c.29)

- 12 (1) The Electricity Act 1989 is amended as follows.
- (2) In section 12 (modification references to Competition Commission), for subsections (8) and (9) substitute—
- “(8) The provisions mentioned in subsection (8A) are to apply in relation to references under this section as if—
- (a) the functions of the Competition Commission in relation to those references were functions under the 1973 Act;
 - (b) the expression “merger reference” included a reference under this section;
 - (c) in section 70 of the 1973 Act—
 - (i) references to the Secretary of State were references to the Director, and
 - (ii) the reference to three months were a reference to six months.
- (8A) The provisions are—
- (a) sections 70 (time limit for report on merger) and 85 (attendance of witnesses and production of documents) of the 1973 Act;
 - (b) Part II of Schedule 7 to the Competition Act 1998 (performance of the Competition Commission’s general functions); and
 - (c) section 24 of the 1980 Act (modification of provisions about performance of such functions).
- (9) For the purposes of references under this section, the Secretary of State is to appoint not less than eight members of the Competition Commission.
- (9A) In selecting a group to perform the Commission’s functions in relation to any such reference, the chairman of the Commission must select up to three of the members appointed under subsection (9) to be members of the group.”
- (3) In section 13, omit subsection (2) (which falls with the repeal of the ^{M7}Restrictive Trade Practices Act 1976).
- ^{F4}(4)
- (5) In section 25 (orders for securing compliance), in subsection (5), after paragraph (b), omit “or” and after paragraph (c), insert “or
- (d) that the most appropriate way of proceeding is under the Competition Act 1998.”
- ^{F5}(6)
- (7) In section 57(3) (general restrictions on disclosure of information)—

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (a) omit paragraphs (d) and (e) (which refer to the ^{M8}Restrictive Trade Practices Act 1976 and the ^{M9}Resale Prices Act 1976);
- (b) after paragraph (no), insert—
“*(nop)* the Competition Act 1998”.

(8) At the end of section 57, insert—

“(7) Information obtained by the Director in the exercise of functions which are exercisable concurrently with the Director General of Fair Trading under Part I of the Competition Act 1998 is subject to sections 55 and 56 of that Act (disclosure) and not to subsections (1) to (6) of this section.”

Textual Amendments

- F4** Sch. 10 para. 12(4) repealed (20.6.2003) by Enterprise Act 2002 (c. 40), s. 279, Sch. 26; S.I. 2003/1397, art. 2(1), Sch. (with art. 8)
- F5** Sch. 10 para. 12(6) repealed (20.6.2003) by Enterprise Act 2002 (c. 40), s. 279, Sch. 26; S.I. 2003/1397, art. 2(1), Sch. (with art. 8)

Commencement Information

- I4** Sch. 10 para. 12 wholly in force; Sch. 10 para. 12 not in force at Royal Assent see s. 76(3); Sch. 10 para. 12(7)(b) in force at 11.1.1999 by S.I. 1998/3166, art. 2, Sch.; Sch. 10 para. 12(1)(2)(6) in force at 1.4.1999 by S.I. 1999/505, art. 2, Sch. 2; Sch. 10 para. 12 (3)-(5)(7)(a) and (8) in force at 1.3.2000 by S.I. 2000/344, art. 2, Sch.

Marginal Citations

- M7** 1976 c. 34.
- M8** 1976 c. 34.
- M9** 1976 c. 53.

The Water Industry Act 1991 (c.56)

- 13 (1) The Water Industry Act 1991 is amended as follows.
- (2) In section 12(5) (determinations under conditions of appointment)—
 - (a) after “this Act”, insert “ or ”;
 - (b) omit “or the 1980 Act”.
 - (3) In section 14 (modification references to Competition Commission), for subsections (7) and (8) substitute—
 - “(7) The provisions mentioned in subsection (7A) are to apply in relation to references under this section as if—
 - (a) the functions of the Competition Commission in relation to those references were functions under the 1973 Act;
 - (b) the expression “merger reference” included a reference under this section;
 - (c) in section 70 of the 1973 Act—
 - (i) references to the Secretary of State were references to the Director, and
 - (ii) the reference to three months were a reference to six months.

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (7A) The provisions are—
- (a) sections 70 (time limit for report on merger) and 85 (attendance of witnesses and production of documents) of the 1973 Act;
 - (b) Part II of Schedule 7 to the Competition Act 1998 (performance of the Competition Commission’s general functions); and
 - (c) section 24 of the 1980 Act (modification of provisions about performance of such functions).
- (8) For the purposes of references under this section, the Secretary of State is to appoint not less than eight members of the Competition Commission.
- (8A) In selecting a group to perform the Commission’s functions in relation to any such reference, the chairman of the Commission must select one or more of the members appointed under subsection (8) to be members of the group.”
- (4) In section 15, omit subsection (2) (which falls with the repeal of the Restrictive Trade Practices Act 1976).
- (5) In section 17 (modification by order under other enactments)—
- (a) in subsection (1), omit paragraph (b) and the “or” immediately before it;
 - (b) in subsection (2)—
 - (i) after paragraph (a), insert “ or ”;
 - (ii) omit paragraph (c) and the “or” immediately before it;
 - (c) in subsection (4), omit “or the 1980 Act”.
- (6) In section 19 (exceptions to duty to enforce), after subsection (1), insert—
- “(1A) The Director shall not be required to make an enforcement order, or to confirm a provisional enforcement order, if he is satisfied that the most appropriate way of proceeding is under the Competition Act 1998.”
- (7) In section 19(3), after “subsection (1) above”, insert “ or, in the case of the Director, is satisfied as mentioned in subsection (1A) above, ”.
- ^{F6}(8)
- (9) After section 206(9) (restriction on disclosure of information), insert—
- “(9A) Information obtained by the Director in the exercise of functions which are exercisable concurrently with the Director General of Fair Trading under Part I of the Competition Act 1998 is subject to sections 55 and 56 of that Act (disclosure) and not to subsections (1) to (9) of this section.”
- (10) In Schedule 15 (disclosure of information), in Part II (enactments in respect of which disclosure may be made)—
- (a) omit the entries relating to the ^{M10}Restrictive Trade Practices Act 1976 and the ^{M11}Resale Prices Act 1976;
 - (b) after the entry relating to the ^{M12}Railways Act 1993, insert the entry— “ The Competition Act 1998 ”.

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- F6** Sch. 10 para. 13(8) repealed (20.6.2003) by [Enterprise Act 2002 \(c. 40\), s. 279, Sch. 26](#); [S.I. 2003/1397, art. 2\(1\), Sch. \(with art. 8\)](#)

Commencement Information

- I5** Sch. 10 para. 13 wholly in force; Sch. 10 para. 13 not in force at Royal Assent see s. 76(3); Sch. 10 para. 13(10)(b) in force at 11.1.1999 by [S.I. 1998/3166, art. 2, Sch.](#); Sch. 10 para. 13(1)(3)(8) in force at 1.4.1999 by [S.I. 1999/505, art. 2, Sch. 2](#); Sch. 10 para. 13 (2)(4)-(7)(9) and (10)(a) in force at 1.3.2000 by [S.I. 2000/344, art. 2, Sch.](#)

Marginal Citations

- M10** [1976 c. 34.](#)
M11 [1976 c. 53.](#)
M12 [1993 c. 43.](#)

The Water Resources Act 1991 (c.57)

- 14 In Schedule 24 to the Water Resources Act 1991 (disclosure of information), in Part II (enactments in respect of which disclosure may be made)—
- (a) omit the entries relating to the Restrictive Trade Practices Act 1976 and the Resale Prices Act 1976;
 - (b) after the entry relating to the ^{M13}Coal Industry Act 1994, insert the entry—
“ The Competition Act 1998 ”.

Commencement Information

- I6** Sch. 10 para. 14 wholly in force; Sch. 10 para. 14 not in force at Royal Assent see s. 76(3); Sch. 10 para. 14(b) in force at 11.1.1999 by [S.I. 1998/3166, art. 2, Sch.](#); Sch. 10 para. 14(a) in force at 1.3.2000 by [S.I. 2000/344, art. 2, Sch.](#)

Marginal Citations

- M13** [1994 c. 21.](#)

The Railways Act 1993 (c.43)

- 15 (1) The Railways Act 1993 is amended as follows.
- ^{F7}(2)
- (3) In section 14, omit subsection (2) (which falls with the repeal of the ^{M14}Restrictive Trade Practices Act 1976).
- ^{F8}(4)
- (5) In section 22, after subsection (6), insert—
- “(6A) Neither the Director General of Fair Trading nor the Regulator may exercise, in respect of an access agreement, the powers given by section 32 (enforcement directions) or section 35(2) (interim directions) of the Competition Act 1998.

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (6B) Subsection (6A) does not apply to the exercise of the powers given by section 35(2) in respect of conduct—
- (a) which is connected with an access agreement; and
 - (b) in respect of which section 35(1)(b) of that Act applies.”
- (6) In section 55 (orders for securing compliance), after subsection (5), insert—
- “(5A) The Regulator shall not make a final order, or make or confirm a provisional order, in relation to a licence holder or person under closure restrictions if he is satisfied that the most appropriate way of proceeding is under the Competition Act 1998.”
- (7) In section 55—
- ^{F9}(a)
 - (b) in subsection (11), for “subsection (10)” substitute “ subsections (5A) and (10) ”.
- (8) Omit section 131 (modification of Restrictive Trade Practices Act 1976).
- (9) In section 145(3) (general restrictions on disclosure of information)—
- (a) omit paragraphs (d) and (e) (which refer to the ^{M15}Restrictive Trade Practices Act 1976 and the ^{M16}Resale Prices Act 1976);
 - (b) after paragraph (q), insert—
 “(qq) the Competition Act 1998.”
- (10) After section 145(6), insert—
- “(6A) Information obtained by the Regulator in the exercise of functions which are exercisable concurrently with the Director General of Fair Trading under Part I of the Competition Act 1998 is subject to sections 55 and 56 of that Act (disclosure) and not to subsections (1) to (6) of this section.”

Textual Amendments

- F7** Sch. 10 para. 15(2) repealed (20.6.2003) by [The Enterprise Act 2002 \(Consequential and Supplemental Provisions\) Order 2003 \(S.I. 2003/1398\)](#), art. 1, **Sch. para. 32(2)**
- F8** Sch. 10 para. 15(4) repealed (20.6.2003) by [Enterprise Act 2002 \(c. 40\)](#), s. 279, **Sch. 26**; S.I. 2003/1397, art. 2(1), Sch. (with art. 8)
- F9** Sch. 10 para. 15(7)(a) repealed (1.2.2001) by [2000 c. 38](#), s. 274, **Sch. 31 Pt. IV**; S.I. 2001/57, art 3(1), **Sch. 2 Pt. I**

Commencement Information

- I7** Sch. 10 para. 15 wholly in force; Sch. 10 para. 15 not in force at Royal Assent see s. 76(3); Sch. 10 para. 15(9)(b) in force at 11.1.1999 by [S.I. 1998/3166](#), art. 2, **Sch.**; Sch. 10 para. 15(1)(2) in force at 1.4.1999 by [S.I. 1999/505](#), art. 2, **Sch. 2**; Sch. 10 para. 15(3)-(8), (9)(a) and (10) in force at 1.3.2000 by [S.I. 2000/344](#), art. 2, **Sch.**

Marginal Citations

- M14** 1976 c. 34.
M15 1976 c. 34.
M16 1976 c. 53.

Status: Point in time view as at 20/06/2003.

Changes to legislation: Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

The Channel Tunnel Rail Link Act 1996 (c.61)

- 16 (1) The Channel Tunnel Rail Link Act 1996 is amended as follows.
- (2) In section 21 (duties as to exercise of regulatory functions), in subsection (6), at the end of the paragraph about regulatory functions, insert “other than any functions assigned to him by virtue of section 67(3) of that Act (“Competition Act functions”).
- (7) The Regulator may, when exercising any Competition Act function, have regard to any matter to which he would have regard if—
- (a) he were under the duty imposed by subsection (1) or (2) above in relation to that function; and
 - (b) the matter is one to which the Director General of Fair Trading could have regard if he were exercising that function.”
- (3) In section 22 (restriction of functions in relation to competition etc.), for subsection (3) substitute—
- “(3) The Rail Regulator shall not be entitled to exercise any functions assigned to him by section 67(3) of the ^{M17}Railways Act 1993 (by virtue of which he exercises concurrently with the Director General of Fair Trading certain functions under Part I of the Competition Act 1998 so far as relating to matters connected with the supply of railway services) in relation to—
- (a) any agreements, decisions or concerted practices of the kind mentioned in section 2(1) of that Act that have been entered into or taken by, or
 - (b) any conduct of the kind mentioned in section 18(1) of that Act that has been engaged in by,
- a rail link undertaker in connection with the supply of railway services, so far as relating to the rail link.”

Marginal Citations

M17 1993 c. 43.

Status:

Point in time view as at 20/06/2003.

Changes to legislation:

Competition Act 1998, Part IV is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.