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## SCHEDULES

### SCHEDULE 4

#### PROVISIONS SUBSTITUTED FOR SECTIONS 1 TO 6 OF 1978 ACT

##### PART I

##### EMPLOYMENT PARTICULARS

##### *Written particulars of employment*

##### *Employer's duty to give statement of employment particulars*

- 1 (1) Not later than two months after the beginning of an employee's employment with an employer, the employer shall give to the employee a written statement which may, subject to subsection (3) of section 2, be given in instalments before the end of that period.
- (2) The statement shall contain particulars of—
- (a) the names of the employer and employee,
  - (b) the date when the employment began, and
  - (c) the date on which the employee's period of continuous employment began (taking into account any employment with a previous employer which counts towards that period).
- (3) The statement shall also contain particulars, as at a specified date not more than seven days before the statement or instalment of the statement containing them is given, of—
- (a) the scale or rate of remuneration or the method of calculating remuneration,
  - (b) the intervals at which remuneration is paid (that is, weekly, monthly or other specified intervals),
  - (c) any terms and conditions relating to hours of work (including any terms and conditions relating to normal working hours),
  - (d) any terms and conditions relating to any of the following—
    - (i) entitlement to holidays, including public holidays, and holiday pay (the particulars given being sufficient to enable the employee's entitlement, including any entitlement to accrued holiday pay on the termination of employment, to be precisely calculated),
    - (ii) incapacity for work due to sickness or injury, including any provision for sick pay, and
    - (iii) pensions and pension schemes,
  - (e) the length of notice which the employee is obliged to give and entitled to receive to terminate his contract of employment,

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- (f) the title of the job which the employee is employed to do or a brief description of the work for which the employee is employed,
  - (g) where the employment is not intended to be permanent, the period for which it is expected to continue or, if it is for a fixed term, the date when it is to end,
  - (h) either the place of work or, where the employee is required or permitted to work at various places, an indication of that and of the address of the employer,
  - (j) any collective agreements which directly affect the terms and conditions of the employment including, where the employer is not a party, the persons by whom they were made, and
  - (k) where the employee is required to work outside the United Kingdom for a period of more than one month—
    - (i) the period for which he is to work outside the United Kingdom,
    - (ii) the currency in which remuneration is to be paid while he is working outside the United Kingdom,
    - (iii) any additional remuneration payable to him, and any benefits to be provided to or in respect of him, by reason of his being required to work outside the United Kingdom, and
    - (iv) any terms and conditions relating to his return to the United Kingdom.
- (4) Subsection (3)(d)(iii) shall not apply to the employees of any body or authority if—
- (a) the employees' pension rights depend on the terms of a pension scheme established under any provision contained in or having effect under any Act of Parliament, and
  - (b) the body or authority are required by any such provision to give to new employees information concerning their pension rights or the determination of questions affecting their pension rights.

*Section 1: supplementary*

- 2 (1) If, in the case of a statement under section 1, there are no particulars to be entered under any of the heads of paragraph (d) or (k) of subsection (3) of that section, or under any of the other paragraphs of subsection (2) or (3) of that section, that fact shall be stated.
- (2) A statement under section 1—
- (a) may refer the employee to the provisions of some other document which—
    - (i) the employee has reasonable opportunities of reading in the course of his employment, or
    - (ii) is made reasonably accessible to him in some other way,
 for particulars of any of the matters specified in heads (ii) and (iii) of paragraph (d) of subsection (3) of section 1, and
  - (b) may refer the employee to the law, or, subject to subsection (3), to the provisions of any collective agreement which directly affects the terms and conditions of the employment, for particulars of either of the matters specified in paragraph (e) of that subsection.
- (3) A statement under section 1 may refer the employee to the provisions of a collective agreement under subsection (2)(b) if, and only if, it is an agreement which—

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- (a) the employee has reasonable opportunities of reading in the course of his employment, or
  - (b) is made reasonably accessible to him in some other way.
- (4) The particulars required by section 1(2) and the following provisions of subsection (3)—
- (a) paragraphs (a) to (c),
  - (b) head (i) of paragraph (d),
  - (c) paragraph (f), and
  - (d) paragraph (h),
- shall be included in a single document (in this Part referred to as the “principal statement”).
- (5) Where before the end of the period of two months after the beginning of his employment an employee is to begin to work outside the United Kingdom for a period of more than one month, the statement under section 1 shall be given to him not later than the time when he leaves the United Kingdom in order to begin so to work.
- (6) A statement shall be given to a person under section 1 notwithstanding that his employment ends before the end of the period within which the statement is required to be given.

*Statement to include note about disciplinary procedures*

- 3 (1) A statement under section 1 shall include a note—
- (a) specifying any disciplinary rules applicable to the employee or referring the employee to the provisions of a document which—
    - (i) the employee has reasonable opportunities of reading in the course of his employment, or
    - (ii) is made reasonably accessible to him in some other way,and which specifies such rules,
  - (b) specifying, by description or otherwise—
    - (i) a person to whom the employee can apply if he is dissatisfied with any disciplinary decision relating to him, and
    - (ii) a person to whom the employee can apply for the purpose of seeking redress of any grievance relating to his employment,and the manner in which any such application should be made,
  - (c) where there are further steps consequent on any such application, explaining those steps or referring to the provisions of a document which—
    - (i) the employee has reasonable opportunities of reading in the course of his employment, or
    - (ii) is made reasonably accessible to him in some other way,and which explains them, and
  - (d) stating whether a contracting-out certificate is in force for the employment.
- (2) Subsection (1)(a) to (c) shall not apply to rules, disciplinary decisions, grievances or procedures relating to health or safety at work.
- (3) The note need not comply with the following provisions of subsection (1)—
- (a) paragraph (a),

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(b) in paragraph (b), sub-paragraph (i) and the words following sub-paragraph (ii) so far as relating to sub-paragraph (i), and

(c) paragraph (c),

if on the date when the employee's employment began the relevant number of employees was less than twenty.

(4) In subsection (3) "the relevant number of employees", in relation to an employee, means the number of employees employed by his employer added to the number of employees employed by any associated employer.

*Employer's duty to give statement of changes*

4 (1) If, after the date to which a statement given under section 1 relates, or, where no such statement is given, after the end of the period within which a statement under section 1 is required to be given, there is a change in any of the matters particulars of which are required by sections 1 to 3 to be included or referred to in a statement under section 1, the employer shall at the earliest opportunity and, in any event, not later than—

(a) one month after the change, or

(b) where the change results from the employee being required to work outside the United Kingdom for a period of more than one month, the time when he leaves the United Kingdom in order to begin so to work, if that is earlier,

give to the employee a written statement containing particulars of the change.

(2) In a case where the statement under section 1 is given in instalments, subsection (1) applies—

(a) in relation to—

(i) matters particulars of which are required to be (whether they are or not) included in the instalment comprising the principal statement, and

(ii) other matters particulars of which are included or referred to in that instalment;

(b) in relation to matters particulars of which are included or referred to in any other instalment; and

(c) in relation to any change occurring after the end of the two-month period within which a statement under section 1 is required to be given in matters particulars of which were required to be included in the statement given under section 1 but which were not included in any instalment;

as it applies in relation to matters particulars of which are required to be included or referred to in a statement under section 1 not given in instalments.

(3) A statement under subsection (1)—

(a) may refer the employee to the provisions of some other document which—

(i) the employee has reasonable opportunities of reading in the course of his employment, or

(ii) is made reasonably accessible to him in some other way,

for a change in any of the matters specified in sections 1(3)(d) (ii) and (iii) and 3(1)(a) and (c), and

(b) may refer the employee to the law, or, subject to subsection (4), to the provisions of any collective agreement which directly affects the terms and

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conditions of the employment, for a change in either of the matters specified in section 1(3)(e).

- (4) A statement under subsection (1) may refer the employee to the provisions of a collective agreement under subsection (3)(b) if, and only if, it is an agreement which—
- (a) the employee has reasonable opportunities of reading in the course of his employment, or
  - (b) is made reasonably accessible to him in some other way.
- (5) Where after an employer has given to an employee a statement under section 1—
- (a) either—
    - (i) the name of the employer (whether an individual or a body corporate or partnership) is changed without any change in the identity of the employer, or
    - (ii) the identity of the employer is changed in circumstances in which the continuity of the employee's period of employment is not broken, and
  - (b) the change does not involve any change in any of the matters (other than the names of the parties) particulars of which are required by sections 1 to 3 to be included in the statement,
- the person who immediately after the change is the employer shall not be required to give to the employee a statement under section 1 but the change shall be treated as a change falling within subsection (1) of this section.
- (6) A statement under subsection (1) which informs an employee of a change such as is referred to in subsection (5)(a)(ii) shall specify the date on which the employee's period of continuous employment began.

#### *Exclusion of sections 1 to 4 in case of certain employees*

- 5 (1) Sections 1 to 4 shall not apply to an employee if—
- (a) his employment continues for less than one month, or
  - (b) he is employed under a contract which normally involves employment for less than eight hours weekly.
- (2) Sections 1 to 4 shall apply to an employee who at any time comes or ceases to come within the exceptions from those sections provided for by subsection (1)(b) and sections 141 and 144, and under section 149, as if his employment with his employer terminated or began at that time.
- (3) The fact that section 1 is directed by subsection (2) to apply to an employee as if his employment began on his ceasing to come within the exceptions referred to in that subsection shall not affect the obligation under section 1(2)(b) to specify the date on which his employment actually began.

#### *Power of Secretary of State to require particulars of further matters*

- 6 The Secretary of State may by order provide that section 1 shall have effect as if particulars of such further matters as may be specified in the order were included in the particulars required by that section; and, for that purpose, the order may include such provisions amending that section as appear to the Secretary of State to be expedient.