

Courts and Legal Services Act 1990

1990 CHAPTER 41

PART II

LEGAL SERVICES

Modifications etc. (not altering text)

C1 Pt. 2: transfer of functions (19.8.2003) by The Secretary of State for Constitutional Affairs Order 2003 (S.I. 2003/1887), arts. 4, 5, **Sch. 1** (with arts. 6, 8)

Introductory

.7	The statutory objective and the general principle.
	F1
Toyt	ral Amandmants
Text	ıal Amendments
Textu F1	1al Amendments S. 17 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(a),

Textual Amendments

The statutory duty.

18

S. 18 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(b),
 Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h)(i)(viii)(aa) (with art. 9)

IF3 The Legal Services Consultative Panel



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Textual Amendments

F8 Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(v) (with arts. 6-8)

F822 Ombudsman's functions.

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Textual Amendments

F8 Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(v) (with arts. 6-8)

F823 Recommendations and orders.

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Textual Amendments

F8 Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(y) (with arts. 6-8)

F824 Advisory functions.

Textual Amendments

F8 Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(v) (with arts. 6-8)

F825 Procedure and offences.

Textual Amendments

F8 Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(v) (with arts. 6-8)

F8 2 6	Extension of Ombudsman's remit.
F8	al Amendments Ss. 21-26 repealed (6.10.2010 for the repeal of s. 22(8)(a), 1.1.2011 for the repeal of s. 23(2)(a), 31.12.2010 in so far as not already in force) by Legal Services Act 2007 (c. 29), ss. 159(2)(b), 211(2), Sch. 23 (with ss. 29, 192, 193); S.I. 2010/2089, arts. 2(a), 3, 4(a)(b)(v) (with arts. 6-8)
	Rights of audience and rights to conduct litigation
27	Rights of audience.
	F9
Textu F9	al Amendments S. 27 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(d), Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(i)(viii)(bb) (subject to art. 6, with art. 9)
28	Rights to conduct litigation.
Textu F10	al Amendments S. 28 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(e), Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(i)(viii)(bb) (subject to art. 6, with art. 9)
29	Authorised bodies.
Textu	al Amendments
F11	S. 29 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(f), Sch. 23 (with ss. 29, 192, 193)); S.I. 2009/3250, art. 2(i)(viii)(bb) (subject to art. 6, with art. 9)
31	Barristers and solicitors.
	F13

Textual Amendments

F13 S. 31 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(g), Sch. 23 (with ss. 29, 192, 193)); S.I. 2009/3250, art. 2(i)(viii)(cc) (with art. 9)

31A Employed advocates.

F14

Textual Amendments

F14 S. 31A repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 84(h), Sch. 23 (with ss. 29, 192, 193)); S.I. 2009/3250, art. 2(i)(viii)(cc) (with art. 9)

[F1631B Advocates and litigators employed by [F15Lord Chancellor].

[F17(1) This section applies where a person—

- (a) is authorised by a relevant approved regulator ("the regulator") to carry on an activity which constitutes the exercise of a right of audience or the conduct of litigation, and
- [F18(b)] is employed by the Lord Chancellor, or by any body established and maintained by the Lord Chancellor, under arrangements made for the purposes of Part 1 of the Legal Aid, Sentencing and Punishment of Offenders Act 2012.]
- (1A) Any rules of the regulator which fall within subsection (2) shall not have effect in relation to that person.]
 - (2) Rules of a [F19 regulator] fall within this subsection if they are—
 - (a) [F20 conduct rules] prohibiting or limiting the exercise of the right on behalf of members of the public by members of the [F19 regulator] who are employees; or
 - (b) rules of any other description prohibiting or limiting the provision of legal services to members of the public by such members of the [F19 regulator],

and either of the conditions specified in subsection (3) is satisfied.

- (3) Those conditions are—
 - (a) that the prohibition or limitation is on the exercise of the right, or the provision of the services, otherwise than on the instructions of solicitors (or other persons acting for the members of the public); and
 - (b) that the rules do not impose the same prohibition or limitation on members of the [F21 regulator] who have the right but are not employees.

[For the purposes of this section "relevant approved regulator" is to be construed in F²²(4) accordance with section 20(3) of the Legal Services Act 2007.]]

Textual Amendments

- F15 Words in s. 31B heading substituted (1.4.2013) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), s. 151(1), Sch. 5 para. 39(2); S.I. 2013/453, art. 3(h) (with savings and transitional provisions in S.I. 2013/534, art. 6)
- F16 S. 31B inserted (31.7.2000) by 1999 c.22, s. 38 (with Sch. 14 para. 7(2)); S.I. 2000/1920, art. 2(a)

- F17 S. 31B(1)(1A) substituted (1.1.2010) for s. 31B(1) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 85(a) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F18 S. 31B(1)(b) substituted (1.4.2013) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), s. 151(1), Sch. 5 para. 39(3); S.I. 2013/453, art. 3(h) (with savings and transitional provisions in S.I. 2013/534, art. 6)
- F19 Words in s. 31B(2) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 85(b)(i) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F20 Words in s. 31B(2) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 85(b)(ii) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- **F21** Word in s. 31B(3) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, **Sch. 21** para. **85(c)** (with ss. 29, 192, 193); S.I. 2009/3250, art. **2(h)** (with art. 9)
- F22 S. 31B(4) inserted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 85(d) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)

[F2331C Change of authorised body.

[F24(1) Where a person—

- (a) has at any time been authorised by a relevant approved regulator to exercise a right of audience before a court in relation to proceedings of a particular description, and
- (b) becomes authorised by another relevant approved regulator to exercise a right of audience before that court in relation to that description of proceedings,
- any qualification regulations of the relevant approved regulator mentioned in paragraph (b) which relate to that right are not to have effect in relation to the person.]
- (2) Subsection (1) does not apply in relation to any qualification regulations to the extent that they impose requirements relating to continuing education or training which have effect in relation to the exercise of the right by all members of [F25] the relevant approved regulator] who have the right.
- (3) Subsection (1) does not apply to a person if he has been banned from exercising the right of audience by the [F26 relevant approved regulator] mentioned in paragraph (a) of that subsection as a result of disciplinary proceedings and that [F26 relevant approved regulator] has not lifted the ban.
- [In this section "relevant approved regulator" is to be construed in accordance with $^{\rm F27}(4)$ section 20(3) of the Legal Services Act 2007.]]

Textual Amendments

- F23 S. 31C inserted (31.7.2000) by 1999 c.22, s. 39 (with Sch. 7(2)); S.I. 2000/1920, art. 2(a)
- F24 S. 31C(1) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 86(a) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F25 Words in s. 31C(2) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 86(b) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F26 Words in s. 31C(3) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 86(c) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F27 S. 31C(4) inserted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 86(d) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)

Extension of conveyancing services

34	The Authorised Conveyancing Practitioners Board.
Textu F30	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
35	Functions of the Board and financial provisions.
Textu F31	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
36	Provision of conveyancing services by authorised practitioners. F32
Textu F32	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
37	Authorisation of practitioners.
	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
38	Refusal of approval and imposition of conditions.
Textu F34	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

39	Revocation and suspension of authorisation.
Textus F35	Al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
40	Regulations about competence and conduct etc. of authorised practitioners.
Textus F36	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
41	The Conveyancing Appeal Tribunals.
Textus F37	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
42	Appeals from Tribunals on points of law.
	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
43	The Conveyancing Ombudsman Scheme. F39
Textu	al Amendments Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
44	Compensation scheme.

Part II - Legal Services

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Textual Amendments

F40 Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

45 Advisory and supervisory functions of [F41Office of Fair Trading].

F42

Textual Amendments

- **F41** Words in s. 45 sidenote substituted (1.4.2003) by 2002 c. 40, ss. 278, 279, Sch. 25, para. 23(2)(g); S.I. 2003/766, art. 2, Sch. (with art. 3)
- **F42** Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

46 Investigatory powers of [F43OFT].

F44

Textual Amendments

- **F43** Words in s. 46 sidenote substituted (1.4.2003) by 2002 c. 40, ss. 278, 279, Sch. 25, para. 23(3)(b); S.I. 2003/766, art. 2, Sch. (with art. 3)
- **F44** Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

46A Enforcement of notices under section 46

F45

Textual Amendments

F45 Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

46B Altering, etc. documents required to be produced under section 46

F46

Textual Amendments

F46 Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

47	Power to obtain information and require production of documents.
	F47
Textu	al Amendments
F47	Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
48	Investigations on behalf of the Board.
	F48
Textu	al Amendments
F48	Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
49	Restrictions on disclosure of information.
	F49
Textu	al Amendments
F49	Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
50	Exceptions from restrictions on disclosure.
	F50
Textu	al Amendments
	Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
51	Board's intervention powers.
	F51
Textu	al Amendments
F51	Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}
52	Board's intervention powers: supplemental provisions.
J <u>u</u>	F52

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Textual Amendments

F52 Ss. 34-52 repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 87, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/503, {art. 2(d)(f)((vi)(aa)}

Licensed conveyancers

53 The Council for Licensed Conveyancers.

- [F53(1) The Council for Licensed Conveyancers has the powers necessary to enable it to become designated as an approved regulator in relation to one or more of the reserved legal activities within subsection (1A).
 - (1A) The reserved legal activities to which this subsection applies are—
 - (a) the exercise of a right of audience;
 - (b) the conduct of litigation;
 - (c) probate activities.
 - (2) If the Council becomes an approved regulator in relation to one or more of those activities, it may, in that capacity, authorise a person to carry on a relevant activity only if the person is a licensed conveyancer.
 - (3) Where the Council authorises a licensed conveyancer to carry on a relevant activity, it is to do so by issuing a licence to the licensed conveyancer.]
 - (4) Any such licence may be granted as a separate licence or as part of a composite licence comprising the licensed conveyancer's licence issued under Part II of the Administration of Justice Act 1985 and any other licence which the Council may grant to the licensed conveyancer concerned.

1	'5 ') F54																																
1	. J	,	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠	٠

- [F55(6) Where the Council exercises any of its powers in connection with—
 - (a) an application for designation as an approved regulator in relation to a reserved legal activity within subsection (1A), or
 - (b) the authorising of a person to carry on a relevant activity,
 - it is to do so subject to any requirements to which it is subject in accordance with the provisions of the Legal Services Act 2007.]
 - (7) Schedule 8 makes further provision in connection with the powers given to the Council by this section and the provision made by the Act of 1985 in relation to licensed conveyancers, including amendments of Part II of that Act.
 - (8) The [F56Lord Chancellor] may by order make such—
 - (a) amendments of, or modifications to, the provisions of Part II of the Act of 1985; or
 - (b) transitional or consequential provision,

as he considers necessary or expedient in connection with the provision made by this section and Schedule 8.

- (9) Subject to any provision made by this section, Schedule 8 or any order made by the [F57Lord Chancellor] under subsection (8), the provisions of Part II of the Act of 1985 shall, with the necessary modifications, apply with respect to—
 - (a) any application for an advocacy, litigation or probate licence;
 - (b) any such licence;
 - (c) the practice of any licensed conveyancer which is carried on by virtue of any such licence;
 - (d) rules made by the Council under Schedule 8;
 - (e) F58
 - (f) any other matter dealt with by this section or Schedule 8,

as they apply with respect to the corresponding matters dealt with by Part II of that Act.

[F59(10) For the purposes of this section—

- (a) "right of audience", "conduct of litigation", "probate activities" and "reserved legal activity" have the same meaning as in the Legal Services Act 2007;
- (b) references to designation as an approved regulator are to designation as an approved regulator—
 - (i) by Part 1 of Schedule 4 to the Legal Services Act 2007, by virtue of an order under paragraph 5 of Schedule 22 to that Act, or
 - (ii) under Part 2 of Schedule 4 to that Act:
- (c) "relevant activity" means an activity which is a reserved legal activity—
 - (i) which is within subsection (1A), and
 - (ii) in relation to which the Council is designated as an approved regulator by Part 1 of Schedule 4 to that Act (by virtue of an order under paragraph 5 of Schedule 22 to that Act) or under Part 2 of that Schedule.]

Textual Amendments

- F53 S. 53(1)(1A)(2)(3) substituted (1.1.2010) for s. 53(1)-(3) by Legal Services Act 2007 (c. 29), ss. 182, 211, Sch. 17 para. 34(2) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(f)(iii) (with art. 9)
- F54 S. 53(5) repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 182, 210, 211, Sch. 17 para. 34(3), Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, arts. 2(f)(iii)(i)(viii)(dd) (with art. 9)
- F55 S. 53(6) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 182, 211, Sch. 17 para. 34(4) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(f)(iii) (with art. 9)
- F56 Words in s. 53(8) substituted (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 182, 211, Sch. 17 para. 34(5) (with ss. 29, 192, 193); S.I. 2009/503, art. 2(c)(ii)
- F57 Words is s. 53(9) substituted (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 182, 211, Sch. 17 para. 34(6)(a) (with ss. 29, 192, 193); S.I. 2009/503, art. 2(c)(ii)
- F58 S. 53(9)(e) repealed (31.3.2009) by Legal Services Act 2007 (c. 29), ss. 182, 210, 211, Sch. 17 para. 34(6)(b), Sch. 23 (with ss. 29, 192, 193); S.I. 2009/503, art. 2(c)(ii)(i)(vi)(aa)
- F59 S. 53(10) inserted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 182, 211, Sch. 17 para. 34(7) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(f)(iii) (with art. 9)

Commencement Information

S. 53 wholly in force at 7.12.2004; s. 53 in force at 1.4.1991 (except in so far as it relates to certain exemptions under section 55) see s. 124(3) and S.I. 1991/608, art. 2, Sch.; s. 53 otherwise in force at 7.12.2004 by S.I. 2004/2950, art. 2

Probate services

Preparation of papers for probate etc.

Textual Amendments

F60 S. 54 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 88, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/3250, **art. 2(h)(i)(viii)(dd)** (subject to art. 6, with art. 9)

Preparation of probate papers etc: exemption from section 23(1) of Solicitors Act 1974.

F61																

Textual Amendments

F61 S. 55 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 88, **Sch.** 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h)(i)(viii)(dd) (subject to art. 6, with art. 9)

Administration of oaths etc. by justices in certain probate business.

- (1) Every justice shall have power to administer any oath or take any affidavit which is required for the purposes of an application for a grant of probate or letters of administration made in any non-contentious or common form probate business.
- (2) A justice before whom any oath or affidavit is taken or made under this section shall state in the jurat or attestation at what place and on what date the oath or affidavit is taken or made.
- (3) No justice shall exercise the powers conferred by this section in any proceedings in which he is interested.
- (4) A document purporting to be signed by a justice administering an oath or taking an affidavit shall be admitted in evidence without proof of the signature and without proof that he is a justice.
- (5) In this section—

"affidavit" has the same meaning as in the M1Commissioners for Oaths Act 1889;

"justice" means a justice of the peace;

"letters of administration" includes all letters of administration of the effects of deceased persons, whether with or without a will annexed, and whether granted for general, special or limited purposes; and

"non-contentious or common form probate business" has the same meaning as in section 128 of the M2 F62 Senior Courts Act 1981].

Textual Amendments

F62 S. 56: for the words "Supreme Court Act 1981" wherever they occur there is substituted (1.10.2009) the words "Senior Courts Act 1981" by virtue of Constitutional Reform Act 2005 (c. 4), ss. 59, 148(1), Sch. 11 para. 1(2); S.I. 2009/1604, art. 2(d)

Commencement Information

I5 S. 56 wholly in force at 1.7.1991 see s. 124(3) and S.I. 1991/1364, art. 2, sch.

Marginal Citations

M1 1889 c. 10.

M2 1981 c. 54.

57 Notaries

- (1) Public notaries shall no longer be appointed to practise only within particular districts in England, or particular districts in Wales.
- (2) It shall no longer be necessary to serve a period of apprenticeship before being admitted as a public notary.
- (3) Accordingly, the following enactments relating to public notaries shall cease to have effect—
 - (a) section 2 of the M3Public Notaries Act 1801 (which provides that no person shall be admitted as a public notary unless he has served as an apprentice for seven years);
 - (b) section 1 of the M4Public Notaries Act 1833 (which restricts the requirement to serve an apprenticeship to London and an area of ten miles from the Royal Exchange);
 - (c) section 2 of the Public Notaries Act 1833 (appointment of public notaries to practise within particular districts in England);
 - (d) section 3 of the M5Public Notaries Act 1843 (which reduced the period of apprenticeship to five years);
 - (e) section 37 of the M6Welsh Church Act 1914 (appointment of public notaries to practise within particular districts in Wales); and
 - (f) section 29 of the M7Administration of Justice Act 1969 (which reduced the period of apprenticeship for public notaries in London).
- (4) The Master may by rules make provision—
 - (a) as to the educational and training qualifications which must be satisfied before a person may be granted a faculty to practise as a public notary;
 - (b) as to further training which public notaries are to be required to undergo;
 - (c) for regulating the practice, conduct and discipline of public notaries;
 - (d) supplementing the provision made by subsections (8) and (9);
 - (e) as to the keeping by public notaries of records and accounts;
 - (f) as to the handling by public notaries of clients' money;
 - (g) as to the indemnification of public notaries against losses arising from claims in respect of civil liability incurred by them;
 - (h) as to compensation payable for losses suffered by persons in respect of dishonesty on the part of public notaries or their employees; and

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- (i) requiring the payment, in such circumstances as may be prescribed, of such reasonable fees as may be prescribed, including in particular fees for—
 - (i) the grant of a faculty;
 - (ii) the issue of a practising certificate by the Court of Faculties of the Archbishop of Canterbury; or
 - (iii) the entering in that court of a practising certificate issued under the M8Solicitors Act 1974.
- (5) The repeal of section 2 of the Act of 1833 and section 37 of the Act of 1914 by this Act shall not affect any appointment made under either of those sections; but the Master may by rules make such provision as he considers necessary or expedient in consequence of either, or both, of those repeals.
- (6) Rules made under subsection (5) may, in particular, provide for the grant by the Master of a new faculty for any person to whom the Notary Public (Welsh Districts) Rules 1924 applied immediately before the commencement of this section, in place of the faculty granted to him by the Clerk of the Crown in Chancery.
- (7) Subsections (4) to (6) shall not be taken to prejudice—
 - (a) any other power of the Master to make rules; or
 - (b) any rules made by him under any such power.
- (8) With effect from the operative date, any restriction placed on a qualifying district notary, in terms of the district within which he may practise as a public notary, shall cease to apply.
- (9) In this section—
 - "Master" means the Master of the Faculties;
 - "the operative date" means the date on which subsection (1) comes into force or, if on that date the notary concerned is not a qualifying district notary (having held his faculty for less than five years)—
 - (a) the date on which he becomes a qualifying district notary; or
 - (b) such earlier date, after the commencement of subsection (1), as the Master may by rules prescribe for the purpose of this subsection;
 - "prescribed" means prescribed by rules made under this section; and
 - "qualifying district notary" means a person who—
 - (a) holds a faculty as a notary appointed under section 2 of the Act of 1833 or section 37 of the Act of 1914; and
 - (b) has held it for a continuous period of at least five years.
- (10) Section 5 of the ^{M9}Ecclesiastical Licences Act 1533 (which amongst other things now has the effect of requiring faculties to be registered by the Clerk of the Crown in Chancery) shall not apply in relation to any faculty granted to a public notary.

F63(11)																			
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Textual Amendments

F63 S. 57(11) repealed (1.11.1999) by 1999 c.22, s. 106, **Sch. 15 Pt. II** (with Sch. 14 paras. 7(2), 36(9)); S.I. 1999/2657, art. 3(b), **Sch. 2 Pt. II** para. 3(a)

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Commencement Information
       S. 57 wholly in force at 1.7.1991 see s. 124(3) and S.I. 1991/1364, art. 2, Sch.
Marginal Citations
 M3
       1801 c. 79.
 M4
       1833 c. 70.
 M5
       1843 c. 90.
 M6
       1914 c. 91.
 M7
       1969 c. 58.
 M8
       1974 c. 47.
       1533 c. 21.
 M9
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Miscellaneous

[F6458 Conditional fee agreements.

- (1) A conditional fee agreement which satisfies all of the conditions applicable to it by virtue of this section shall not be unenforceable by reason only of its being a conditional fee agreement; but (subject to subsection (5)) any other conditional fee agreement shall be unenforceable.
- (2) For the purposes of this section and section 58A—
 - (a) a conditional fee agreement is an agreement with a person providing advocacy or litigation services which provides for his fees and expenses, or any part of them, to be payable only in specified circumstances; ^{F65}...
 - (b) a conditional fee agreement provides for a success fee if it provides for the amount of any fees to which it applies to be increased, in specified circumstances, above the amount which would be payable if it were not payable only in specified circumstances [F66 and
 - (c) references to a success fee, in relation to a conditional fee agreement, are to the amount of the increase.]
- (3) The following conditions are applicable to every conditional fee agreement—
 - (a) it must be in writing;
 - (b) it must not relate to proceedings which cannot be the subject of an enforceable conditional fee agreement; and
 - it must comply with such requirements (if any) as may be prescribed by the [F67Lord Chancellor].
- (4) The following further conditions are applicable to a conditional fee agreement which provides for a success fee—
 - (a) it must relate to proceedings of a description specified by order made by the [F67Lord Chancellor];
 - (b) it must state the percentage by which the amount of the fees which would be payable if it were not a conditional fee agreement is to be increased; and
 - (c) that percentage must not exceed the percentage specified in relation to the description of proceedings to which the agreement relates by order made by the [F67]Lord Chancellor].
- [The additional conditions are applicable to a conditional fee agreement which—F68(4A) (a) provides for a success fee, and

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- (b) relates to proceedings of a description specified by order made by the Lord Chancellor for the purposes of this subsection.
- (4B) The additional conditions are that—
 - (a) the agreement must provide that the success fee is subject to a maximum limit,
 - (b) the maximum limit must be expressed as a percentage of the descriptions of damages awarded in the proceedings that are specified in the agreement,
 - (c) that percentage must not exceed the percentage specified by order made by the Lord Chancellor in relation to the proceedings or calculated in a manner so specified, and
 - (d) those descriptions of damages may only include descriptions of damages specified by order made by the Lord Chancellor in relation to the proceedings.]
 - (5) If a conditional fee agreement is an agreement to which section 57 of the M10 Solicitors Act 1974 (non-contentious business agreements between solicitor and client) applies, subsection (1) shall not make it unenforceable.

Textual Amendments

- **F64** Ss. 58, 58A substituted (1.4.2000) for s. 58 by 1999 c. 22, s. 27(1) (with Sch. 14 para. 7(2)); S.I. 2000/774, art. 2(b) (with arts. 3-5)
- F65 Word in s. 58(2) omitted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings) by virtue of Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 44(1)(a), 151(1) (with s. 48); S.I. 2013/77, arts. 2(1)(a), 3(a) (with art. 4); S.I. 2016/345, art. 2
- F66 S. 58(2)(c) and word inserted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 44(1)(b), 151(1) (with s. 48); S.I. 2013/77, arts. 2(1)(a), 3(a) (with art. 4); S.I. 2016/345, art. 2
- **F67** Words in s. 58 substituted (12.1.2006) by The Transfer of Functions (Lord Chancellor and Secretary of State) Order 2005 (S.I. 2005/3429), art. 8, **Sch. para. 2**
- F68 S. 58(4A)(4B) inserted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 44(2), 151(1) (with s. 48); S.I. 2013/77, arts. 2(1)(a), 3(a) (with art. 4); S.I. 2016/345, art. 2

Modifications etc. (not altering text)

- C2 S. 58: transfer of functions (12.1.2006) by The Transfer of Functions (Lord Chancellor and Secretary of State) Order 2005 (S.I. 2005/3429), art. 3 (with arts. 4, 5)
- C3 S. 58(3)(c) extended (27.9.1999) by 1999 c. 22, ss. 105, 108(3), Sch. 14 Pt. III para. 11 (with Sch. 14 para. 7(2))
- C4 S. 58(4) extended (27.9.1999) by 1999 c.22, ss. 105, 108(3), Sch. 14 Pt. III para. 10 (with Sch. 14 para. 7(2))

Marginal Citations

M10 1974 c.47.

F6958A Conditional fee agreements: supplementary.

- (1) The proceedings which cannot be the subject of an enforceable conditional fee agreement are—
 - (a) criminal proceedings, a part from proceedings under section 82 of the Environmental Protection Act 1990; and
 - (b) family proceedings.
- (2) In subsection (1) "family proceedings" means proceedings under any one or more of the following—
 - (a) the M12 Matrimonial Causes Act 1973;
 - [F70(b) the Adoption and Children Act 2002;]
 - (c) the M13 Domestic Proceedings and Magistrates' Courts Act 1978;
 - (d) Part III of the M14 Matrimonial and Family Proceedings Act 1984;
 - (e) Parts I, II and IV of the M15Children Act 1989;
 - (f) [F71Parts 4 and 4A] of the M16Family Law Act 1996; F72...
 - [F73(fa) Chapter 2 of Part 2 of the Civil Partnership Act 2004 (proceedings for dissolution etc. of civil partnership);
 - (fb) Schedule 5 to the 2004 Act (financial relief in the High Court or a county court etc.);
 - (fc) Schedule 6 to the 2004 Act (financial relief in magistrates' courts etc.);
 - (fd) Schedule 7 to the 2004 Act (financial relief in England and Wales after overseas dissolution etc. of a civil partnership); and
 - (g) the inherent jurisdiction of the High Court in relation to children.
- (3) The requirements which the [F74Lord Chancellor] may prescribe under section 58(3) (c)—
 - (a) include requirements for the person providing advocacy or litigation services to have provided prescribed information before the agreement is made; and
 - (b) may be different for different descriptions of conditional fee agreements (and, in particular, may be different for those which provide for a success fee and those which do not).
- (4) In section 58 and this section (and in the definitions of "advocacy services" and "litigation services" as they apply for their purposes) "proceedings" includes any sort of proceedings for resolving disputes (and not just proceedings in a court), whether commenced or contemplated.
- (5) Before making an order under section 58(4) [F75, (4A) or (4B)], the [F74Lord Chancellor] shall consult—
 - (a) the designated judges;
 - (b) the General Council of the Bar;
 - (c) the Law Society; and
 - (d) such other bodies as he considers appropriate.
- [F76(6) A costs order made in proceedings may not include provision requiring the payment by one party of all or part of a success fee payable by another party under a conditional fee agreement.]
 - (7) Rules of court may make provision with respect to the assessment of any costs which include fees payable under a conditional fee agreement (including one which provides for a success fee).

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Textual Amendments

- **F69** Ss. 58, 58A substituted (1.4.2000) for s. 58 by 1999 c.22, s. 27(1) (with Sch. 14 para. 7(2)); S.I. 2000/774, art. 2(b) (with arts. 3-5)
- F70 S. 58A(2)(b) substituted (30.12.2005) by 2002 c. 38, ss. 139, 148(1), Sch. 3 para. 80 (with savings in Sch. 4 paras. 6-8, 22); S.I. 2005/2213, art. 2
- F71 Words in s. 58A(2)(f) substituted (25.11.2008) by Forced Marriage (Civil Protection) Act 2007 (c. 20), ss. 3(1), 4(2), Sch. 2 para. 2; S.I. 2008/2779, art. 2(b)(c)
- F72 Word in s. 58A(2)(f) repealed (5.12.2005) by Civil Partnership Act 2004 (c. 33), ss. 261(1)(4), 263, Sch. 27 para. 138, Sch. 30; S.I. 2005/3175, art. 2, Sch. 1
- F73 S. 58A(2)(fa)-(fd) inserted (5.12.2005) by Civil Partnership Act 2004 (c. 33), ss. 261(1), 263, Sch. 27 para. 138; S.I. 2005/3175, art. 2, Sch. 1
- F74 Words in s. 58A substituted (12.1.2006) by The Transfer of Functions (Lord Chancellor and Secretary of State) Order 2005 (S.I. 2005/3429), art. 8, Sch. para. 2
- F75 Words in s. 58A(5) inserted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings, 6.4.2019 in relation to publication and privacy proceedings) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 44(3), 151(1) (with s. 48); S.I. 2013/77, arts. 2(1)(a), 3(a) (with art. 4); S.I. 2016/345, art. 2; S.I. 2018/1287, art. 2
- F76 S. 58A(6) substituted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings, 6.4.2019 in relation to publication and privacy proceedings) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 44(4), 151(1) (with ss. 44(6), 48); S.I. 2013/77, arts. 2(1)(a), 3(a) (with art. 4); S.I. 2016/345, art. 2; S.I. 2018/1287, art. 2

Modifications etc. (not altering text)

- C5 S. 58A: transfer of functions (12.1.2006) by The Transfer of Functions (Lord Chancellor and Secretary of State) Order 2005 (S.I. 2005/3429), art. 3 (with arts. 4, 5)
- C6 S. 58A(6)(7) excluded (1.4.2000) by S.I. 2000/900, art. 2(1)(a)(b)

Marginal Citations

M11 1990 c.43.

M12 1973 c.18.

M13 1978 c.22.

M14 1984 c.42.

M15 1989 c.41.

M16 1996 c.27.

[F7758AADamages-based agreements F78...

- (1) A damages-based agreement which ^{F79}... satisfies the conditions in subsection (4) is not unenforceable by reason only of its being a damages-based agreement.
- (2) But [F80 (subject to subsection (9))] a damages-based agreement which F81 ... does not satisfy those conditions is unenforceable.
- (3) For the purposes of this section—
 - (a) a damages-based agreement is an agreement between a person providing advocacy services, litigation services or claims management services and the recipient of those services which provides that—

(i) the recipient is to make a payment to the person providing the services if the recipient obtains a specified financial benefit in connection with the matter in relation to which the services are provided, and

(ii) the amount of that payment is to be determined by reference to the amount of the financial benefit obtained;

	^{F82} (b)																																
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- (4) The agreement—
 - (a) must be in writing;
 - [must not relate to proceedings which by virtue of section 58A(1) and (2) cannot be the subject of an enforceable conditional fee agreement or to proceedings of a description prescribed by the Lord Chancellor;]
 - (b) [F84if regulations so provide,] must not provide for a payment above a prescribed amount or for a payment above an amount calculated in a prescribed manner;
 - (c) must comply with such other requirements as to its terms and conditions as are prescribed; and
 - (d) must be made only after the person providing services under the agreement [F85] has complied with such requirements (if any) as may be prescribed as to the provision of information].
- (5) Regulations under subsection (4) are to be made by the Lord Chancellor and may make different provision in relation to different descriptions of agreements.
- (6) Before making regulations under subsection (4) the Lord Chancellor must consult—
 - (a) the designated judges,
 - (b) the General Council of the Bar,
 - (c) the Law Society, and
 - (d) such other bodies as the Lord Chancellor considers appropriate.

[Rules of court may make provision with respect to the assessment of costs in F86(6A) proceedings where a party in whose favour a costs order is made has entered into a damages-based agreement in connection with the proceedings.]

(7) In this section—

"payment" includes a transfer of assets and any other transfer of money's worth (and the reference in subsection (4)(b) to a payment above a prescribed amount, or above an amount calculated in a prescribed manner, is to be construed accordingly);

"claims management services" has the same meaning as in Part 2 of the Compensation Act 2006 (see section 4(2) of that Act).

- [In this section (and in the definitions of "advocacy services" and "litigation services" (7A) as they apply for the purposes of this section) "proceedings" includes any sort of proceedings for resolving disputes (and not just proceedings in a court), whether commenced or contemplated.]
 - (8) Nothing in this section applies to an agreement entered into before the coming into force of the first regulations made under subsection (4).
 - [Where section 57 of the Solicitors Act 1974 (non-contentious business agreements $^{F88}(9)$ between solicitor and client) applies to a damages-based agreement other than one

- relating to an employment matter, subsections (1) and (2) of this section do not make it unenforceable.
- (10) For the purposes of subsection (9) a damages-based agreement relates to an employment matter if the matter in relation to which the services are provided is a matter that is, or could become, the subject of proceedings before an employment tribunal.

Textual Amendments

- F77 S. 58AA inserted (12.11.2009) by Coroners and Justice Act 2009 (c. 25), ss. 154(2), 182(1)(e) (with s. 180, Sch. 22)
- F78 Words in s. 58AA heading omitted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by virtue of Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(11), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F79 Words in s. 58AA(1) omitted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by virtue of Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(2), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F80 Words in s. 58AA(2) inserted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(3)(a), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F81 Words in s. 58AA(2) omitted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by virtue of Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(3)(b), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F82 S. 58AA(3)(b) omitted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by virtue of Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(4), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- **F83** S. 58AA(4)(aa) inserted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), **ss. 45(5)**, 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F84 Words in s. 58AA(4)(b) inserted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(6), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- Words in s. 58AA(4)(d) substituted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(7), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- **F86** S. 58AA(6A) inserted (1.10.2012) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(8), 151(1) (with s. 45(13)); S.I. 2012/2412, art. 2(a)
- F87 S. 58AA(7A) inserted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(9), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)
- F88 S. 58AA(9)(10) inserted (19.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 45(10), 151(1) (with s. 45(13)); S.I. 2013/77, arts. 2(1)(b), 3(b)

PROSPECTIVE

[F8958B Litigation funding agreements.

- (1) A litigation funding agreement which satisfies all of the conditions applicable to it by virtue of this section shall not be unenforceable by reason only of its being a litigation funding agreement.
- (2) For the purposes of this section a litigation funding agreement is an agreement under which—
 - (a) a person ("the funder") agrees to fund (in whole or in part) the provision of advocacy or litigation services (by someone other than the funder) to another person ("the litigant"); and
 - (b) the litigant agrees to pay a sum to the funder in specified circumstances.
- (3) The following conditions are applicable to a litigation funding agreement—
 - (a) the funder must be a person, or person of a description, prescribed by the Secretary of State;
 - (b) the agreement must be in writing;
 - (c) the agreement must not relate to proceedings which by virtue of section 58A(1) and (2) cannot be the subject of an enforceable conditional fee agreement or to proceedings of any such description as may be prescribed by the Secretary of State;
 - (d) the agreement must comply with such requirements (if any) as may be so prescribed;
 - (e) the sum to be paid by the litigant must consist of any costs payable to him in respect of the proceedings to which the agreement relates together with an amount calculated by reference to the funder's anticipated expenditure in funding the provision of the services; and
 - (f) that amount must not exceed such percentage of that anticipated expenditure as may be prescribed by the Secretary of State in relation to proceedings of the description to which the agreement relates.
- (4) Regulations under subsection (3)(a) may require a person to be approved by the Secretary of State or by a prescribed person.
- (5) The requirements which the Secretary of State may prescribe under subsection (3)(d)
 - (a) include requirements for the funder to have provided prescribed information to the litigant before the agreement is made; and
 - (b) may be different for different descriptions of litigation funding agreements.
- (6) In this section (and in the definitions of "advocacy services" and "litigation services" as they apply for its purposes) "proceedings" includes any sort of proceedings for resolving disputes (and not just proceedings in a court), whether commenced or contemplated.
- (7) Before making regulations under this section, the Secretary of State shall consult—
 - (a) the designated judges;
 - (b) the General Council of the Bar;
 - (c) the Law Society; and

- (d) such other bodies as he considers appropriate.
- (8) A costs order made in any proceedings may, subject in the case of court proceedings to rules of court, include provision requiring the payment of any amount payable under a litigation funding agreement.
- (9) Rules of court may make provision with respect to the assessment of any costs which include fees payable under a litigation funding agreement.]

Textual Amendments

F89 S. 58B inserted (prosp.) by 1999 c. 22, ss. 28, 108(1) (with Sch. 14 para. 7(2))

Modifications etc. (not altering text)

C7 S. 58B: transfer of functions (12.1.2006) by The Transfer of Functions (Lord Chancellor and Secretary of State) Order 2005 (S.I. 2005/3429), art. 3 (with arts. 4, 5)

[F9058C Recovery of insurance premiums by way of costs

- (1) A costs order made in favour of a party to proceedings who has taken out a costs insurance policy may not include provision requiring the payment of an amount in respect of all or part of the premium of the policy, unless such provision is permitted by regulations under subsection (2).
- (2) The Lord Chancellor may by regulations provide that a costs order may include provision requiring the payment of such an amount where—
 - (a) the order is made in favour of a party to clinical negligence proceedings of a prescribed description,
 - (b) the party has taken out a costs insurance policy insuring against the risk of incurring a liability to pay for one or more expert reports in respect of clinical negligence in connection with the proceedings (or against that risk and other risks),
 - (c) the policy is of a prescribed description,
 - (d) the policy states how much of the premium relates to the liability to pay for an expert report or reports in respect of clinical negligence ("the relevant part of the premium"), and
 - (e) the amount is to be paid in respect of the relevant part of the premium.
- (3) Regulations under subsection (2) may include provision about the amount that may be required to be paid by the costs order, including provision that the amount must not exceed a prescribed maximum amount.
- (4) The regulations may prescribe a maximum amount, in particular, by specifying—
 - (a) a percentage of the relevant part of the premium;
 - (b) an amount calculated in a prescribed manner.
- (5) In this section—

"clinical negligence" means breach of a duty of care or trespass to the person committed in the course of the provision of clinical or medical services (including dental or nursing services);

"clinical negligence proceedings" means proceedings which include a claim for damages in respect of clinical negligence;

"costs insurance policy", in relation to a party to proceedings, means a policy insuring against the risk of the party incurring a liability in those proceedings;

"expert report" means a report by a person qualified to give expert advice on all or most of the matters that are the subject of the report;

"proceedings" includes any sort of proceedings for resolving disputes (and not just proceedings in court), whether commenced or contemplated.]

Textual Amendments

F90 S. 58C inserted (19.1.2013 for specified purposes, 1.4.2013 except in relation to specified proceedings, 6.4.2016 in so far as not already in force except in relation to proceedings relating to a claim for damages in respect of diffuse mesothelioma and publication and privacy proceedings) by Legal Aid, Sentencing and Punishment of Offenders Act 2012 (c. 10), ss. 46(1), 151(1) (with ss. 46(3), 48); S.I. 2013/77, arts. 2(1)(c), 3(c) (with art. 4); S.I. 2016/345, art. 2

^{F91} 59		
3)	 	

Textual Amendments

F91 S. 59 repealed (2.4.2001) by 1999 c.22, s. 106, **Sch. 15 Pt. I** (with Sch. 14 paras. 7(2), 36(9)); S.I. 2001/916, **art. 3(b)**

Regulation of right of Scottish and Northern Ireland lawyers to practise in England and Wales.

- (1) The [F92Lord Chancellor] may by regulations prescribe circumstances in which, and conditions subject to which, a practitioner who is qualified to practise in Scotland or Northern Ireland may, in such capacity as may be prescribed, exercise in England and Wales—
 - (a) prescribed rights of audience; or
 - (b) prescribed rights to conduct litigation,

without being entitled to do so apart from the regulations.

(2) The [F93Lord Chancellor] may by regulations make provision for the purpose of enabling practitioners who are qualified to practise in Scotland or Northern Ireland to become qualified to practise in England and Wales on terms, and subject to conditions, corresponding or similar to those on which practitioners who are qualified to practise in member States may become qualified to practise in that jurisdiction.

[F94(2A) Regulations may be made under this section only if—

- (a) the Legal Services Board has made a recommendation under section 60A,
- (b) draft regulations were annexed to the recommendation, and
- (c) the regulations are in the same form as, or a form not materially different from, the draft regulations.]
- (3) Regulations made under subsection (1) may, in particular—

- (a) prescribe any right of audience which may not be exercised by a person in England and Wales unless he is instructed to act together with a person who has that right of audience there;
- (b) prescribe legal services which may not be provided by any person practising by virtue of the regulations;
- (c) prescribe the title or description which must be used by any person practising by virtue of the regulations;
- (d) provide for the means by which the qualification of any person claiming to be entitled to practise by virtue of the regulations is to be verified;
- (e) provide for such professional or other body as may be prescribed to have power to investigate and deal with any complaint made against a person practising by virtue of the regulations.
- (4) Regulations made under subsection (1) or (2) may modify any rule of law or practice which the [F95Lord Chancellor] considers should be modified in order to give effect to the regulations.
- (5) In this section "practitioner" means—
 - (a) a member of the Bar of Northern Ireland or a [F96 solicitor of the Court of Judicature of Northern Ireland] or an advocate or solicitor in Scotland; and
 - (b) any person falling within such category as may be prescribed.

Textual Amendments

- **F92** Words in s. 60(1) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, **Sch. 21** para. **89(a)** (with ss. 29, 192, 193); S.I. 2009/3250, art. **2(h)** (with art. 9)
- F93 Words in s. 60(2) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 89(b) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F94 S. 60(2A) inserted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 89(c) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9); S.I. 2009/3250, art. 2(h) (with art. 9)
- F95 Words in s. 60(4) substituted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, Sch. 21 para. 89(d) (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h) (with art. 9)
- F96 S. 60: for the words "solicitor of the Supreme Court of Northern Ireland" wherever they occur there is substituted (1.10.2009) the words "solicitor of the Court of Judicature of Northern Ireland" by virtue of Constitutional Reform Act 2005 (c. 4), ss. 59, 148(1), Sch. 11 para. 5; S.I. 2009/1604, art. 2(d)

[F9760A Procedural requirements relating to recommendations for the purposes of section 60

- (1) Before making a recommendation under this section, the Legal Services Board must publish a draft of—
 - (a) the proposed recommendation, and
 - (b) the proposed draft regulations.
- (2) The draft must be accompanied by a notice which states that representations about the proposals may be made to the Board within a specified period.
- (3) Before making the recommendation, the Board must have regard to any representations duly made.
- (4) If the draft regulations to be annexed to the recommendation differ from the draft regulations published under subsection (1)(b) in a way which is, in the opinion of the

Board, material, the Board must, before making the recommendation, publish the draft recommendations along with a statement detailing the changes made and the reasons for the changes.]

Textual Amendments

F97 S. 60A inserted (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 211, **Sch. 21 para. 90** (with ss. 29, 192, 193); S.I. 2009/3250, **art. 2(h)** (with art. 9)

Right of barrister to enter into contract for the provision of his services.

- (1) Any rule of law which prevents a barrister from entering into a contract for the provision of his services as a barrister is hereby abolished.
- (2) Nothing in subsection (1) prevents the General Council of the Bar from making rules (however described) which prohibit barristers from entering into contracts or restrict their right to do so.

62	Immunity of advocates from actions in negligence and for breach of contract
	F98

Textual Amendments

F98 S. 62 repealed (22.7.2004) by Statute Law (Repeals) Act 2004 (c. 14), s. 1(1), {Sch. 1 Pt. 1 Group. 4}

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Textual Amendments

F99 S. 63 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 91, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h)(i) (with art. 9)

Discrimination by, or in relation to, barristers.

(1) The following shall be inserted in the M17Sex Discrimination Act 1975 after section 35—

" Barristers

35A Discrimination by, or in relation to, barristers.

- (1) It is unlawful for a barrister or barrister's clerk, in relation to any offer of a pupillage or tenancy, to discriminate against a woman—
 - (a) in the arrangements which are made for the purpose of determining to whom it should be offered;
 - (b) in respect of any terms on which it is offered; or

- (c) by refusing, or deliberately omitting, to offer it to her.
- (2) It is unlawful for a barrister or barrister's clerk, in relation to a woman who is a pupil or tenant in the chambers in question, to discriminate against her—
 - (a) in respect of any terms applicable to her as a pupil or tenant;
 - (b) in the opportunities for training, or gaining experience, which are afforded or denied to her;
 - (c) in the benefits, facilities or services which are afforded or denied to her; or
 - (d) by terminating her pupillage or by subjecting her to any pressure to leave the chambers or other detriment.
- (3) It is unlawful for any person, in relation to the giving, withholding or acceptance of instructions to a barrister, to discriminate against a woman.
- (4) In this section—

"barrister's clerk" includes any person carrying out any of the functions of a barrister's clerk; and

"pupil", "pupillage", "tenancy" and "tenant" have the meanings commonly associated with their use in the context of a set of barristers' chambers.

- (5) Section 3 applies for the purposes of this section as it applies for the purposes of any provision of Part II.
- (6) This section does not apply to Scotland."
- (2) The following shall be inserted in the M18 Race Relations Act 1976 after section 26—

"Barristers

26A Discrimination by, or in relation to, barristers.

- (1) It is unlawful for a barrister or barrister's clerk, in relation to any offer of a pupillage or tenancy, to discriminate against a person—
 - (a) in the arrangements which are made for the purpose of determining to whom it should be offered;
 - (b) in respect of any terms on which it is offered; or
 - (c) by refusing, or deliberately omitting, to offer it to him.
- (2) It is unlawful for a barrister or barrister's clerk, in relation to a pupil or tenant in the chambers in question, to discriminate against him—
 - (a) in respect of any terms applicable to him as a pupil or tenant;
 - (b) in the opportunities for training, or gaining experience which are afforded or denied to him:
 - (c) in the benefits, facilities or services which are afforded or denied to him; or
 - (d) by terminating his pupillage or by subjecting him to any pressure to leave the chambers or other detriment.
- (3) It is unlawful for any person, in relation to the giving, withholding or acceptance of instructions to a barrister, to discriminate against any person.

(4) In this section—

"barrister's clerk" includes any person carrying out any of the functions of a barrister's clerk; and

"pupil", "pupillage", "tenancy" and "tenant" have the meanings commonly associated with their use in the context of a set of barristers' chambers.

(5) This section does not apply to Scotland."

Marginal Citations

M17 1975 c. 65.

M18 1976 c. 74.

Discrimination by, or in relation to, advocates.

(1) The following shall be inserted in the M19Sex Discrimination Act 1975 after section 35A (as inserted by this Act)—

" Advocates

35B Discrimination by, or in relation to, advocates.

- (1) It is unlawful for an advocate, in relation to taking any person as his pupil, to discriminate against a woman—
 - (a) in the arrangements which he makes for the purpose of determining whom he will take as his pupil;
 - (b) in respect of any terms on which he offers to take her as his pupil; or
 - (c) by refusing, or deliberately omitting, to take her as his pupil.
- (2) It is unlawful for an advocate, in relation to a woman who is a pupil, to discriminate against her—
 - (a) in respect of any terms applicable to her as a pupil;
 - (b) in the opportunities for training, or gaining experience, which are afforded or denied to her;
 - (c) in the benefits, facilities or services which are afforded or denied to her; or
 - (d) by terminating the relationship or by subjecting her to any pressure to terminate the relationship or other detriment.
- (3) It is unlawful for any person, in relation to the giving, withholding or acceptance of instructions to an advocate, to discriminate against a woman.
- (4) In this section—

"advocate" means a member of the Faculty of Advocates practising as such; and

"pupil" has the meaning commonly associated with its use in the context of a person training to be an advocate.

- (5) Section 3 applies for the purposes of this section as it applies for the purposes of any provision of Part II.
- (6) This section does not apply to England and Wales."
- (2) The following shall be inserted in the M20Race Relations Act 1976 after section 26A (as inserted by this Act)—

" Advocates

26B Discrimination by, or in relation to, advocates.

- (1) It is unlawful for an advocate, in relation to taking any person as his pupil, to discriminate against a person—
 - (a) in the arrangements which he makes for the purpose of determining whom he will take as his pupil;
 - (b) in respect of any terms on which he offers to take any person as his pupil; or
 - (c) by refusing, or deliberately omitting, to take a person as his pupil.
- (2) It is unlawful for an advocate, in relation to a person who is a pupil, to discriminate against him—
 - (a) in respect of any terms applicable to him as a pupil;
 - (b) in the opportunities for training, or gaining experience, which are afforded or denied to him;
 - (c) in the benefits, facilities or services which are afforded or denied to him; or
 - (d) by terminating the relationship or by subjecting him to any pressure to terminate the relationship or other detriment.
- (3) It is unlawful for any person, in relation to the giving, withholding or acceptance of instructions to an advocate, to discriminate against any person.
- (4) In this section—

"advocate" means a member of the Faculty of Advocates practising as such; and

"pupil" has the meaning commonly associated with its use in the context of a person training to be an advocate.

(5) This section does not apply to England and Wales.".

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Marginal Citations
M19 1975 c. 65.
M20 1976 c. 74.
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66 Multi-disciplinary and multi-national practices.

(1) Section 39 of the M21 Solicitors Act 1974 (which, in effect, prevents solicitors entering into partnership with persons who are not solicitors) shall cease to have effect.

- (2) Nothing in subsection (1) prevents the Law Society making rules which prohibit solicitors from entering into any unincorporated association with persons who are not solicitors, or restrict the circumstances in which they may do so.
- (3) Section 10 of the M22Public Notaries Act 1801 (which, in effect, prevents notaries entering into partnership with persons who are not notaries) shall cease to have effect.
- (4) Nothing in subsection (3) prevents the Master of the Faculties making rules which prohibit notaries from entering into any unincorporated association with persons who are not notaries, or restrict the circumstances in which they may do so.
- (5) It is hereby declared that no rule of common law prevents barristers from entering into any unincorporated association with persons who are not barristers.
- (6) Nothing in subsection (5) prevents the General Council of the Bar from making rules which prohibit barristers from entering into any such unincorporated association, or restrict the circumstances in which they may do so.

¹⁰⁰ 67	
Textua	al Amendments
F100	S. 67 repealed (31.7.2000) by 1999 c.22, s. 106, Sch. 15 Pt. II (with Sch. 14 paras. 7(2), 36(9)); S.I. 2000/1920, art. 2(c)

Textual Amendments

F101 S. 68 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 210, 211, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/3250, **art. 2(i)(viii)(ee)** (with art. 9)

69 Exemption from liability for damages etc.

F102

Textual Amendments

F102 S. 69 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 92, Sch. 23 (with ss. 29, 192, 193); S.I. 2009/3250, art. 2(h)(i)(viii)(ee) (with art. 9)

Part II – Legal Services

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Offences

70	Offences.
	F103

Textual Amendments

F103 S. 70 repealed (1.1.2010) by Legal Services Act 2007 (c. 29), ss. 208, 210, 211, Sch. 21 para. 93, **Sch. 23** (with ss. 29, 192, 193); S.I. 2009/3250, **art. 2(h)(i)(viii)(ee)** (with art. 9)

Status:

Point in time view as at 01/04/2013. This version of this part contains provisions that are prospective.

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