

SCHEDULE

Application and modification of primary legislation

Modification to section 207 (proposal to take disciplinary measures)

20. Section 207 (proposal to take disciplinary measures)(**1**) applies as if—

(a) in subsection (1)—

(i) in paragraphs (a) and (b), for “an authorised person” there were substituted “a registered person” in both places;

(ii) paragraph (c) was omitted;

(iii) in the words after paragraph (c), for “authorised person” there was substituted “registered person”;

(b) subsection (4) was omitted.

(1) Section 207 was amended by paragraph 18 of Schedule 2 to the Financial Services Act 2010 and paragraph 14 of Schedule 9 to the Financial Services Act 2012.