

## SCHEDULE

### Application and modification of primary legislation

#### **Modification to section 206 (financial penalties)**

**19.** Section 206 (financial penalties)(1) applies as if, in subsection (1) for “an authorised person” there was substituted “a registered person”.

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(1) Section 206 was amended by section 10 of the Financial Services Act 2010 and paragraph 12(2)(a) and (b) of Schedule 9 to the Financial Services Act 2012.