#### DRAFT STATUTORY INSTRUMENTS

## 2019 No.

# The Employment Rights (Miscellaneous Amendments) Regulations 2019

## PART 3

## STATEMENTS OF EMPLOYMENT PARTICULARS

## Amendment to the Employment Rights Act 1996

**4.** The Employment Rights Act 1996(1) is amended as follows.

### Amendment of section 1

- 5. In section 1 (statement of initial employment particulars)—
  - (a) in subsection (1)—
    - (i) for "an employee" substitute "a worker";
    - (ii) for "the employee" substitute "the worker";
  - (b) in subsection (3)(a), for "employee" substitute "worker";
  - (c) at the beginning of subsection (3)(c), insert "in the case of a statement given to an employee,";
  - (d) in subsection (4)(d)(i), for "employee's" substitute "worker's";
  - (e) in subsection (4)(e), (f), (h) and (k) for "employee" substitute "worker";
  - (f) in subsection (4)(e), after "contract of employment" insert "or other worker's contract";
  - (g) in subsection (5), for "an employee" substitute "a worker";
  - (h) in subsection (5)(a) and (b), for "employee's" substitute "worker's";
  - (i) in subsection (5)(b) for "employee" substitute "worker".

## Amendment of section 2

- **6.** In section 2 (statement of initial particulars: supplementary)—
  - (a) in subsections (2), (3) and (5), for "employee", in each place it occurs, substitute "worker";
  - (b) in subsection (5) for "an employee's" substitute "a worker's".

## Amendment of section 3

7. In section 3(1)(a), (1)(aa), (1)(b)(i) and (ii) and (1)(c) (note about disciplinary procedures and pensions)(2), for "the employee", in each place it occurs, substitute "the worker".

<sup>(1) 1996</sup> c. 18.

<sup>(2)</sup> Section 3 was amended by the Employment Act 2002, sections 35 and 36, and the Pensions Act 2014 (c. 19), Schedule 13(2), paragraph 67.

#### Amendment of section 4

- **8.** In section 4 (statement of changes)—
  - (a) in subsections (1), (3)(b), (4) in each place it occurs, (5) in each place it occurs and (6) for "the employee" substitute "the worker";
  - (b) in subsection (6), for "an employee" substitute "a worker";
  - (c) at the beginning of subsection (6)(b) insert "in the case of a statement given to an employee,".

#### Amendment of section 5

**9.** In section 5(1) and (2) (exclusion from rights to statements)(3), for "an employee" substitute "a worker".

#### Amendment of section 6

- 10. In section 6 (right to statements of employment particulars)—
  - (a) in the words before paragraph (a), for "an employee" substitute "a worker";
  - (b) in paragraphs (a) and (b) for "the employee" substitute "the worker".

#### Amendment of section 7A

- 11. In section 7A (right to statements of employment particulars)(4)—
  - (a) in subsection (1)(a)—
    - (i) for "an employee" substitute "a worker";
    - (ii) after "contract of employment" insert "or other worker's contract";
  - (b) in subsections (2), (3), (4), (5) and (6) for "employee" substitute "worker".

#### Amendment of section 7B

- 12. In section 7B (giving of alternative documents before start of employment)(5)—
  - (a) after "contract of employment" insert "or other worker's contract";
  - (b) for "an employee" substitute "a worker";
  - (c) for "employee's" substitute "worker's".

#### **Amendment of section 11**

- 13. In section 11 (references to employment tribunals)(6)—
  - (a) for subsection (1) substitute—
    - "(1) Where an employer does not give a worker a statement as required by section 1, 4 or 8 (either because the employer gives the worker no statement or because the statement the employer gives does not comply with what is required), the worker may require a reference to be made to an employment tribunal to determine what particulars ought to

<sup>(3)</sup> Section 5 was amended by the Employment Relations Act 1999, section 32(3).

<sup>(4)</sup> Section 7A was inserted by the Employment Act 2002, section 37.

<sup>(5)</sup> Section 7B was inserted by the Employment Act 2002 section 37.

<sup>(6)</sup> Section 11 was amended by section 1(2) of the Employment Rights (Dispute Resolution) Act 1998 (c. 8); section 8 of, and paragraphs 15 and 16 of Schedule 2 to, the Enterprise and Regulatory Reform Act 2013; section 24 of, and paragraphs 66 and 68 of Schedule 13 to, the Pensions Act 2014; and S.I. 2011/1133, 2014/431 and 2018/529 (the last of which comes into force on 6th April 2019).

have been included or referred to in a statement so as to comply with the requirements of the section concerned.";

- (b) for subsection (2)(a) substitute—
  - "(a) a statement purporting to be a statement under section 1 or 4, or a pay statement or a standing statement of fixed deductions purporting to comply with section 8 or 9, has been given to a worker, and";
- (c) in the text after subsection (2)(b), for "the person to whom the statement has been given" substitute "the worker".

#### Amendment of section 12

**14.** In section 12(1) and (2) (determination of references)(7), for "employee" substitute "worker".

## Application of regulations 4 to 14

**15.** The amendments made by regulations 4 to 14 only apply in relation to a written statement required by section 1 or 4 of The Employment Rights Act 1996 where the worker to whom the statement must be given begins employment with the employer on or after the date those regulations come into force.