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DRAFT STATUTORY INSTRUMENTS

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**2019 No.**

**The Credit Rating Agencies (Amendment,  
etc.) (EU Exit) Regulations 2019**

**PART 7**

**Offences**

**Offence of misleading FCA**

**21.** Section 398(1) of the Act<sup>(1)</sup> (misleading FCA or PRA: residual cases) applies in respect of a requirement to provide information under—

- (a) these Regulations,
- (b) the Act as applied by these Regulations, and
- (c) the CRA Regulation,

as it applies in respect of a requirement falling within subsection (1A) of that section.

**Offences by bodies corporate**

**22.** Section 400 of the Act<sup>(2)</sup> (offences by bodies corporate etc.) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18), and
- (b) section 398 of that Act (as applied by regulation 21),

as it applies in respect of an offence under that Act.

**Proceedings for offences**

**23.** Section 401 of the Act<sup>(3)</sup> (proceedings for offences) applies in respect of an offence under—

- (a) section 177 of that Act (as applied by regulation 18),
- (b) section 398 of that Act (as applied by regulation 21), and
- (c) section 400 of that Act (as applied by regulation 22),

as it applies in respect of an offence under that Act.

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(1) 2000 c. 8. Section 398 is amended by paragraph 36 of Schedule 9 to the Financial Services Act 2012 (c. 21), S.I. 2013/1773, S.I. 2015/1882, S.I. 2016/680, S.I. 2017/701, S.I. 2018/135 and S.I. 2018/698.  
(2) 2000 c. 8. Section 400 is amended by paragraph 37 of Schedule 9 to the Financial Services Act 2012 (c. 21).  
(3) Amended by paragraph 38 of Schedule 9 to the Financial Services Act 2012 (c. 21), S.I. 2013/1881 and S.I. 2016/1239.