

**EXPLANATORY MEMORANDUM TO**  
**THE NATIONAL ASSEMBLY FOR WALES (LEGISLATIVE COMPETENCE)**  
**(SOCIAL WELFARE AND OTHER FIELDS) ORDER 2008**

**2008 No.**

1. This explanatory memorandum has been prepared by the Wales Office and is laid before Parliament by Command of Her Majesty.
2. **Purpose of the instrument**
  - 2.1 This instrument makes amendments to Schedule 5 to the Government of Wales Act 2006 (“the Act”), which sets out the subjects in relation to which the National Assembly for Wales (“the Assembly”) would be able to legislate if it were given the power to pass Measures of the Assembly. The Assembly can pass Measures (equivalent to Acts of Parliament) only if they relate to matters inserted into fields, and do not breach any of the restrictions in Part 2 of Schedule 5.
  - 2.2 This draft Order seeks to insert matters into Field 5 –Education and Training (Matter 5.18), Field 15 – Social Welfare (Matters 15.2 to 15.8) and Field 16 Sports and Recreation (Matter 16.1).
3. **Matters of special interest to the Joint Committee on Statutory Instruments**

None.
4. **Legislative Context**
  - 4.1 Section 95 of the Act empowers Her Majesty, by Order in Council, to confer competence on the National Assembly for Wales to legislate by Assembly Measure on specified matters. These matters may be added to fields within Schedule 5 to the Act. Assembly Measures may make any provision which could be made by Act of Parliament (and therefore can modify existing legislation and make new provision), in relation to matters, subject to the limitations provided for in Part 3 of the Act
  - 4.2 Matters may be inserted into the fields contained in Schedule 5 to the Act, by either an Act of Parliament or an Order in Council, which has first been approved by the Assembly and both Houses of Parliament. The latter route enables the Assembly to initiate the process for conferral of such competence, by seeking an Order in Council.
  - 4.3 The draft Order would confer further legislative competence on the National Assembly for Wales, in the field of Education and Training (Field 5), the field of Social Welfare (Field 15) and the field of Sports and Recreation (Field 16). The text of Schedule 5 as it stands at the time of laying, and with the matters contained in the draft set out in bold, is attached at the end of this explanatory memorandum.

## 5. Territorial Extent and Application

Although this instrument extends to the whole of the United Kingdom, its practical application is limited to Wales as it amends provisions which will define the scope of the Assembly's legislative competence.

## 6. European Convention on Human Rights

The Parliamentary Under Secretary of State for Wales, Wayne David MP, has made the following statement regarding Human Rights:

*“In my view the provisions of The National Assembly for Wales (Legislative Competence) (Social Welfare and Other Fields) Order 2008 are compatible with the Convention rights”*

## 7. Policy background

- 7.1 New legislative powers related to the specified “matters” will enable the Assembly to pass Measures, which are based on Welsh priorities and timescales. These Measures will be subject to thorough scrutiny and approval by the Assembly.
- 7.2 The Welsh Ministers have wide-ranging responsibilities relating to the field of Social Welfare. Local authorities and their statutory partners in Wales have a responsibility to safeguard, promote and secure the wellbeing of all children and young people, including those who may be vulnerable - particularly those in need; children looked after and care leavers. The Welsh Assembly Government has sought, through specific provision for Wales in Parliamentary Bills and by using its subordinate legislation powers, to develop a distinct approach which responds to Welsh circumstances and the needs of children in Wales.
- 7.3 The Welsh Assembly Government policy for all children and young people, including those who are vulnerable, and for tackling child poverty is underpinned by four key publications outlined below. The Welsh Assembly Government's programme of government includes a commitment to developing Wales-specific solutions to child poverty (and the poverty experienced by those families and communities within which poor children live); and to integrating strategies through reform and consolidation of the law in relation to the welfare of all children and young people, including vulnerable children. The Welsh Assembly Government sees the Legislative Competence Order as a necessary vehicle to deliver these commitments.
- 7.4 *Rights to Action*<sup>1</sup> is the Welsh Assembly Government overarching policy for all Children and Young People. It is underpinned by seven core aims, that children and young people:
- have a flying start in life
  - have a comprehensive range of education and learning opportunities
  - enjoy the best possible health and are free from abuse, victimisation and exploitation
  - have access to play, leisure, sporting and cultural activities

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<sup>1</sup> Rights to Action, Welsh Assembly Government 2005

- are listened to, treated with respect, and have their race and cultural identity recognised
- have a safe home and a community which supports physical and emotional wellbeing
- are not disadvantaged by poverty.

These are based on a number of core themes:

- foundation of principle in the UN Convention on the Rights of the Child;
  - entitlements to services based on the needs of the child or young person;
  - listening to and acting on the views of children, young people and families;
  - giving the highest priority to those most in need, recognising children in care as being a particularly disadvantaged group ; and
- (a) a commitment to partnership working between different local organisations as the only way in which these aspirations can be achieved.

7.5 *Fulfilled Lives, Supportive Communities*<sup>2</sup>, a ten year strategy for Social Services in Wales and *Towards A Stable Life and A Brighter Future*<sup>3</sup>, set out the Welsh Assembly Government's policy intentions and early actions towards progressive reforms to modernise service delivery for vulnerable children and to accelerate improvements in policies and outcomes for looked after children.

7.6 The *Child Poverty Implementation Plan* and associated milestones and targets paper - *Eradicating Child Poverty in Wales – Measuring Success* - set out specific solutions and actions to integrate policies and programmes to eradicate child poverty in Wales by 2020, building on existing anti-poverty programmes such as Flying Start, Cymorth and Communities First. Tackling poverty and social exclusion among children and young people is central to the Welsh Assembly Government's broader strategy to improve quality of life, promote social inclusion and equality of opportunity for every community in Wales.

7.7 There is an increasing difference in approach between England and Wales in the policy, planning and delivery of services for children and young people. The Children Act 2004 marked a step change to a tailored approach in Wales to meet the Assembly Government's distinct agenda for children and young people. There are no Children's Trusts in Wales, and Welsh local authorities have not been required to create a single director for children's services. Instead, lead directors and members in local government and the NHS have responsibility for partnership working through the Children and Young People's Partnerships. The welfare and provision of services to vulnerable children in Wales is the responsibility of 22 local authorities that work coterminously with 22 local health boards and 14 National Health Service (NHS) Trusts in the assessment and planning of local needs across their respective areas. The Directors of Social Services and Chief Education Officers are responsible for provision of service delivery in their areas. Children and Young People's Partnerships (22) are the main bodies responsible for coordinating and overseeing the development of a strategic single plan on how the wellbeing of children in their area will be improved to inform the delivery, commissioning, pooling of resources and services across the partnership. The Children & Young People's Partnerships have no responsibility for the direct provision of services.

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<sup>2</sup> *Fulfilled Lives , Supportive Communities - A Strategy for Social Services in Wales Over the Next decade, WAG (2007)*

<sup>3</sup> *Towards A Stable Life And a Brighter Future, Welsh Assembly Government (2007)*

- 7.8 The Welsh Assembly Government policy “Towards A Stable Life”, implemented from this July, is a unique policy for children in care in Wales tailored to reflect local circumstances and solutions. It further demonstrates the need for Wales to acquire the powers to tackle Welsh priorities and issues. Limitations on the current settlement restrict the Welsh Assembly Government’s ability to bring forward the range of coherent provisions that the Assembly Government would like to see and that would make a difference for vulnerable children in Wales, based on a stronger focus on preventative action and on support for parents as well as children.
- 7.9 The Order covers the welfare of all children and young people in Wales up to the age of 25 years old, with minor exceptions in relation to certain care leavers. Vulnerable children are defined within the Order, which has broad scope to relate to the needs of a diverse range of children: any child in need (including disabled or very sick children), children on the periphery of care, in care, or who have left care. It is drafted so as to include support to parents who may need help for their mental health, substance misuse, learning disability, poverty or other problems that may affect a child’s opportunities and wellbeing. Local authorities currently provide direct services for around 24,000 children in need and other children and families are supported through area-based programmes such as Flying Start and Cymorth. “Looked after children” includes children in local authority care in Wales (4,800) and care leavers (1,600) where the Assembly Government and local authorities have unique responsibilities as corporate parents of this particularly vulnerable group. Some 28% (170,000) of children in Wales live in poverty, in households with incomes below the 60% median.
- 7.10 There now exists a large volume of legislation relating to the welfare of children and young people that is fragmented and has been amended by many Acts of Parliament and various Orders and Regulations, made on an England and Wales or Wales only basis. There are also inconsistencies between areas of legislation, meaning that different groups of young people receive different levels of service based on their status rather than need. The Order will allow the reform and consolidation of existing legislation in relation to vulnerable children, bringing together and rationalising provisions made over the years. It will enable the Welsh Assembly Government to bring about greater clarity for local government and its partners as to their duties to promote social welfare for all children and young people, in particular the most vulnerable, including children in poverty.
- 7.11 Building on the Welsh Assembly Government's 10 year strategy for social services, the intention is to consult (in early 2009) on proposals for legislation to implement a strategy for vulnerable children operating in the context of the new statutory Children and Young People’s Partnerships<sup>4</sup>. The Order will enable the Welsh Assembly Government to bring forward coherent proposals for legislation in the knowledge that the Assembly will have the necessary powers to implement changes in the law relating to the welfare of children and young people, in particular so as to achieve the stronger focus on supporting families and on preventative action which has already been identified as a key theme.

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<sup>4</sup> Section 25 CA 2004 – Partnership Responsibilities to co-operate on the wellbeing of children and young people

- 7.12 Enhanced powers in this area are needed in order to overcome the current legislative restrictions and to enable Welsh solutions to be brought forward to deliver on the commitments set out above. Current legislative constraints have limited the development and improvement of looked after children policies to meet Welsh needs, which has resulted in objectives not always being fully achieved. The Order will confer enhanced legislative competence for the Assembly to reform the law in relation to vulnerable children and child poverty to:
- (i) *rationalise and consolidate existing provisions for vulnerable children;*
  - (ii) *reform the law to provide the legal framework for the implementation of key components of the Welsh Assembly Government's policies for vulnerable children in Wales*
  - (iii) *take action to place duties on public bodies to demonstrate their contribution to ending child poverty; and*
  - (iv) *create a statutory right for free child care for two year olds in greatest need*
- 7.13 The Order will also provide a legal framework for the Welsh Assembly Government to take forward its proposals to tackle child poverty, in particular to underpin arrangements to provide a top-up payment to the Child Trust Fund accounts for certain categories of children and specific arrangements for Welsh local authorities to boost the savings of children in Wales.
- 7.14 The Order seeks to confer powers on the National Assembly for Wales (NAfW) to make Assembly Measures in relation to the welfare of all children and young people including vulnerable children and to tackle child poverty in Wales, by adding matters to Schedule 5 of the 2006 Act. The scope of the Order is drawn so as to enable the Assembly to reform and consolidate existing legislation for children in need, including looked after children and care leavers. It would enable changes to support the welfare of broader groups of children (up to 25 years of age), not just those who are categorised as “looked after” or “children in need”. It will, for example, cover any child and their carer (parent) who is in receipt of social care services and may range from childminding to parenting classes and all services provided under Flying Start and Cymorth. It is also drawn so as to encompass support to families of children who would be at risk of becoming in need had they not had a service. It includes local authorities’ and their partners’ general duties for safeguarding and promoting the wellbeing of all children in their area including specific provisions and actions in relation to tackling child poverty.
- 7.15 The matters set out in the Order would in the main cover services currently provided under Parts 2 and 3 and Schedule 2 of the Children Act 1989. They would also extend to local authorities’ responsibilities for pre-school and other child minding and childcare services currently provided under the Flying Start and Cymorth programmes. Other legislation relating to vulnerable children which is within the scope of these matters is the power to make grants for welfare purposes under the Education Act 2002, the Adoption and Children Act 2002, Care Standards Act 2000, the Children Act 2004 and community care legislation in so far as these relate to the functions of local authorities in relation

to disabled children and those caring for them and also to children who are themselves carers of disabled adults.

- 7.16 As outlined above, the principal enhancement is in the field of social welfare, although there are related matters in the fields of education and training, and sports and recreation which evidence shows are key components to a child and young person's development and social wellbeing. Cross border duties in relation to England and Wales are preserved. When considering matters it is important to recognise that these matters are linked and must be considered in the round as services and functions relating to vulnerable children and young people may be covered by one or more of the matters across the three fields.
- 7.17 Matter 5.18 would enable the Assembly to legislate with regard to those aspects of the Flying Start and Cymorth schemes which involve the provision of facilities and activities which are educational in nature. It would also cover other linked schemes provided by local authorities and their partners to enhance the development and welfare of children and young people.
- 7.18 Matters 15.2 and 15.6 relate to the general duties of safeguarding and promoting the well-being of all children and young persons and support to their families. This will cover local authorities' and statutory partners' (and individuals') broad and specific responsibilities and duties of co-operation (on individuals and bodies) for safeguarding, and promoting the welfare of all children in Wales and reducing inequality to ensure they are safe and have optimum life chances. 15.2 covers the functions of public authorities: this would include organisations or persons carrying out those functions directly or on behalf of a public authority (for example voluntary and independent sector) in discharging their functions to safeguard and promote the wellbeing of children and young people in their area. Matter 15.2 is confined to functions of public authorities. It has been made clear that this Order does not confer competence on the National Assembly for Wales to remove the defence of reasonable punishment in relation to the offence of common assault where the assault is against a child.
- 7.19 15.2(c) relates specifically to the wellbeing of any child or young person that may require support necessary to ensure they are not disadvantaged by aspects of child poverty or inequality of different groups for example, services to a disabled child, support for 'independent living' for younger disabled persons.
- 7.20 The line after 15.2(c) makes clear that matter 15.2 does not extend to the functions of public bodies that are non-devolved for example: Youth Justice Board, Police and the Courts. Matter 15.6 however does cover in addition the non-devolved bodies specified in 15.6 (b) to (g), but only in relation to co-operation and arrangements to safeguard and promote the wellbeing of children and young people. The scope of Matter 15.6 is limited to co-operation and arrangements. It cannot impose any new functions outside the scope of the matter on the bodies listed; it does however provide scope to modify the arrangements for co-operation in consultation with them.
- 7.21 In addition to the above Matter 15.7 in particular, covers the duties on the local authority as lead agency in the Children and Young People's Partnership to ensure that strategic plans take into account their duties to promote wellbeing and include any new arrangements for Child Poverty proofing. This includes provision of services such as child minding and day care and specific duties in relation to vulnerable children in care including education and health but

provides sufficient flexibility for different support and other arrangements that the Welsh Assembly Government may want to bring forward in Wales.

- 7.22 Matter 15.3 - Adoption Services and Special Guardianship Support Services - includes all devolved functions in relation to adoption: public and private arrangements including regulation and inspection of services and individuals working in the service, post adoption support. It does not cover any change to the court process for adoption or the law in relation to placement for adoption. This is to ensure we preserve the unified concept and system for adoptions across England and Wales. It covers the full scope of the duties of local authorities in relation to support services to which those involved in the new Special Guardianship arrangements are entitled.
- 7.23 Matter 15.4 - Fostering - covers all functions in respect of public and private arrangements for fostering. This includes regulation and inspection of services and individuals working in the service including changing the way that foster carers are regulated and supported.
- 7.24 Matter 15.5 – Social Care Services - provides competence in relation to any service that comes within the definition and which is provided to the list of people set out in 15.5(a) to (d). This list of people includes:
- 15.5 (a) and (c) all children and young people up to 25 years old
  - 15.5(b) any persons who care, or are about to care, for children and young people for example parents, family member, prospective adopters, foster carers etc
  - 15.5(d) services to care leavers
- 7.25 Services provided to groups within the list are not limited to traditional functions performed by the local authority social service departments. Matter 15.5 covers broad social care provision across a range of public bodies or other providers who carry out functions on their behalf. More broadly matter 15.5 (b) relates to local authorities' duties to those who are in care or who have been cared for (including children temporarily accommodated under youth justice arrangements) and/or who are provided with accommodation (not necessarily by local authorities: this extends to private arrangements), in foster, residential care (children homes, schools etc), secure care and other settings, including those in care under a court order and / or voluntary arrangements. Matter 15.5 (d) extends support beyond 25 years to care leavers in circumstances where they are undertaking a course of education or training. It also covers local authorities' duties to provide a range of support and services (including accommodation) to care leavers including financial assistance and living costs for care leavers seeking employment or engaged in education and training. Services for care leavers include access to a personal advisor to maintain a pathway plan and wider support in relation to contributions towards expenses and accommodation for those who are undertaking education or training.
- 7.26 Matter 15.5 taken with 15.2 and 15.6 also covers the duties of local authorities and their partners under section 24 of the Children Act 1989 to provide advice and assistance to a category of children and young people, which overlaps with those who have been looked after but which is broader, including those who have been in local authority accommodation but for an insufficiently long period, those who have been looked after by or on behalf of voluntary organisations, those who have been cared for by one of a number of different

health bodies for a period of more than three months, those who have been subject to a special Guardianship order and those who have been privately fostered.

- 7.27 The matters also extend to the regulation and inspection of services (existing or new) and the workforce in children's homes, foster services and other services whether provided by individuals or organisations. Coverage is given for the functions of ensuring safeguards and quality of care, i.e. functions of the Local Safeguarding Children's Board and those currently undertaken by the Care and Social Service Inspectorate for Wales and the Care Council for Wales as they apply to services for children and young people. Matter 15.5 (b) can include support to the wider family and friends who are carers (commonly known as kinship care) and foster carers. It also covers direct support to parents where the child may be at risk had the service not been provided; for example, reunification of a child in care with their birth family.
- 7.28 These matters also cover broad welfare support to children and families under the preventative and poverty reduction agenda, where the child would potentially be deemed to be in need had the service not been provided to the family or sibling/s. They embrace local authorities' support for pre-school child minding, childcare and services currently provided under Flying Start and Cymorth. They also provide scope for local authorities to make financial assistance including contributions to Child Trust Fund accounts and free childcare places for all, or for prescribed categories of children in Wales.
- 7.29 Matter 15.7 covers any planning by local authorities for all children and young people in relation to discharging their wellbeing functions. In particular the 'single plan for children and young people' in relation to their wellbeing under the Children Act 2004. Matter 15.7 will draw heavily on 15.6 duties of local authorities and their partners to co-operate and to contribute to the plan to ensure the wellbeing of children and young people. It also gives scope for legislation about child poverty proofing plans
- 7.30 Matter 15.8 covers the functions of the Children's Commissioner for Wales including their office's specific role in relation to reviewing and monitoring of complaints, advocacy and whistleblowing arrangements of public bodies in Wales, largely local authorities but also the NHS and Local Health Boards. It covers the Commissioner's broad responsibility to all children and young people's welfare and individual cases that may be considered by the Commissioner.
- 7.31 Matter 16.1 taken with Matter 5.18 will enable the Assembly to legislate with regard to those aspects of the Flying Start and Cymorth schemes which extend to recreational and sport facilities and activities aimed at tackling social disadvantage among children and young people.
- 7.32 The interpretations in the Order are self-explanatory. The definition of "well-being" is taken from the Children Act 2004 as stated law that is well understood by public authorities, practitioners and the courts. It has however been extended at (f) to include securing the rights, this is to place greater emphasis on the voice on the child as paramount to his/ her well-being and recognises the important concept of rights in the United Nations Convention of the Rights of a Child on which all the Assembly Government's policies and programmes for children and young people are underpinned. The term "vulnerable children" has broad scope



to cover those children who may require additional support to ensure their welfare needs are met. It draws a clear distinction between public authorities' responsibility to the wider population of children and young people and the added role they will have in supporting the welfare needs of vulnerable groups such as those in need, in care, leaving care and disabled children. A new addition to the Order is the inclusion of the definition of public authorities which makes clear that the reference point in understanding the extent of the term is in the Human Rights Act 1998.

- 7.33 Where a matter could otherwise be construed as covering a topic in relation to which it is not intended that the Assembly should have legislative competence, it is necessary to define the matter so as to exclude that topic. One way to do this is by listing those topics as exceptions. The first column of the tables at paragraph 5 sets out exceptions from the matters listed in the second column. The table on Highways and Transport restates exceptions that currently exist in Schedule 5 to the 2006 Act as amended. Matter 5.18 has been added as a Matter to which the transport exceptions apply, to clarify that although transport arrangements may be reasonably incidental to the matter it does not extend to the express matter.
- 7.34 The table of exceptions for Social Welfare clarifies what is not included in the matters listed in this Order. These exceptions include; child support (i.e. arrangements for the provision of maintenance by both parents of a child), tax credits, child benefit, guardian's allowance, social security, Motability, Vaccine Damage Payments, Independent Living Funds and Welfare Foods. Matters 15.2. 15.4.and 15.5 do not include the general scheme of child trust funds but they do include the making of payments into Child Trust Fund accounts by Welsh Ministers or local authorities in Wales. This will enable the Welsh Assembly Government to deliver a pre-election commitment to make the payment of contributions by Welsh local authorities into the Child Trust Fund accounts of looked-after children a statutory duty (it is currently in operation as a voluntary scheme, funded by the Welsh Assembly Government); and will also cover the arrangements necessary to deliver a manifesto commitment relating to contributions to long-term savings for children in relation to child poverty.
- 7.35 Only minor changes have been made in this draft Order compared with the proposed Order laid before the Assembly and presented to Parliament for pre-legislative scrutiny in March / April 2008. The changes take account of recommendations made following pre-legislative scrutiny by the Welsh Affairs Committee and the National Assembly for Wales Committee.
- 7.36 The main effect of the changes is to define more precisely on the face of the Order the matters in relation to which the National Assembly for Wales will have enhanced competence and clarify their application as far as non-devolved bodies are concerned. The minor technical changes include renumbering of the Matters. The National Assembly for Wales (Legislative Competence) (Social Welfare) Order 2008, relating to charges for domiciliary care services, inserts Matter 15.1 into Field 15 of Schedule 5 to the Government of Wales Act 2006. As a consequence the Vulnerable Children Order has been renumbered.
- 7.37 In those instances where recommendations were made which have not been incorporated into the draft Order, careful consideration was given to each one. In certain cases, such as the proposals for reference to play, whistleblowing and child poverty, the conclusion was that to include such specific references could

have had the effect of narrowing or creating uncertainty about the interpretation of the Matters in the Order.

- 7.38 The effect of this Order needs to be considered in the context of the overall provisions of the 2006 Act.
- 7.39 Section 93 of the 2006 Act provides that no Assembly Measure will be law if it applies otherwise than in relation to Wales or confers, imposes, modifies or removes functions exercisable otherwise than in relation to Wales (or gives power to do so). There are limited exceptions for certain kinds of ancillary provision, for example provision appropriate to make the provisions of the Measure effective, provision enabling the provisions of the Measure to be enforced and to make consequential amendments to other legislation.
- 7.40 The limitation relating to functions other than in relation to Wales means that the Assembly would not be able by Measure to confer on the Welsh Ministers, Welsh local authorities or any other public authority functions that do not relate to Wales.
- 7.41 There are a number of areas of legislation relating to the welfare of vulnerable children that remain the responsibility of UK Ministers, such as the requirements for those deemed unfit to work with children to go on a list maintained by the Secretary of State under the Protection of Children Act 1999. By virtue of part 2 of Schedule 5 to the 2006 Act, the Assembly may not by Measure alter any function of a Minister of the Crown without the consent of the Secretary of State. Therefore even where they do not fall within the exceptions listed above, the Assembly will still not be able to legislate so as to alter those functions without the consent of the UK Government.

## **8. Consultation outcome**

There has been no public consultation on this draft Order. This draft Order is part of the Welsh Assembly Government's legislative programme as set out by the First Minister, the Rt Hon Rhodri Morgan AM, in his speech to the National Assembly for Wales on 6 June 2007. Any Assembly Measures brought forward as a result of the legislative competence conferred by this instrument would usually first be subject to consultation. Assembly Measures are a matter for the National Assembly for Wales to consider.

## **9. Guidance**

No guidance has been, or will be, issued in relation to this Order. This Explanatory Memorandum explains the scope and policy context of matters included in the draft Order.

## **10. Impact**

A Regulatory Impact Assessment has not been carried prepared for this instrument, as it only confers legislative competence on the National Assembly for Wales as so has no impact on business, charities or voluntary bodies.

**11. Regulating small business**

The legislation does not apply to small business.

**12. Monitoring & review**

This draft Order confers legislative competence on the National Assembly for Wales. The monitoring or review of any Assembly Measures brought forward as a result of this legislative competence is a matter for the Welsh Assembly Government and/or the National Assembly for Wales

**13. Contact**

Queries about the content of the instrument or this memorandum should be addressed to Geth Williams (Tel:020 7270 0554 or email [geth.williams@walesoffice.gsi.gov.uk](mailto:geth.williams@walesoffice.gsi.gov.uk))

Sue Olley (Tel: 02920 89 8568 or email [susan.olley@walesoffice.gsi.gov.uk](mailto:susan.olley@walesoffice.gsi.gov.uk)) can answer legal queries about the instrument.

## SCHEDULE 5

### ASSEMBLY MEASURES

#### Part 1

#### Matters

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**(Text in bold or struck through is proposed to be added or deleted as a result of this Order in Council)**

*Field 1: agriculture, fisheries, forestry and rural development*

*Field 2: ancient monuments and historic buildings*

*Field 3: culture*

*Field 4: economic development*

*Field 5: education and training*

*Matter 5.1<sup>1</sup>*

Provision about the categories of school that may be maintained by local education authorities.

*Matter 5.2*

Provision about the establishment and discontinuance of schools maintained by local education authorities, their change from one category to another and their alteration in other respects.

*Matter 5.3*

Provision about the admission of pupils to schools maintained by local education authorities.

*Matter 5.4*

Provision about the curriculum in schools maintained by local education authorities.

*Matter 5.5*

Provision about school attendance, the behaviour of pupils at school, school discipline and the exclusion of pupils from school (including the duties of parents in connection with those matters).

*Matter 5.6*

Provision about the making of arrangements for the provision of education for persons of compulsory school age who have been excluded from schools or who for any other reason would not otherwise receive suitable education.

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<sup>1</sup> Matters 5.1-5.10 were inserted by article 3(2) of the National Assembly for Wales (Legislative Competence) (Conversion of Framework Powers) Order 2007 (S.I. 2007/910). Matter 5.10 was amended by article 2(2) of the National Assembly for Wales (Legislative Competence) (Education and Training) Order 2008 (S.I.2008/1036).

*Matter 5.7*

Provision about entitlement to primary, secondary and further education and to training.

*Matter 5.8*

Provision about the provision of services that are intended to encourage, enable or assist people—

- (a) to participate effectively in education or training,
- (b) to take advantage of opportunities for employment, or
- (c) to participate effectively in the life of their communities.

*Matter 5.9*

Provision about food and drink provided on school premises or provided for children at a place where they receive education or childcare.

*Matter 5.10*

Arrangements for persons to travel to and from the places where they receive education or training.

This matter applies to—

- (a) persons receiving nursery, primary, secondary or further education or training;
- (b) persons described in matter 5.17 receiving higher education.

~~This matter does not include any of the following—~~

- ~~(a) the regulation of the use of motor vehicles on roads, their construction and equipment and conditions under which they may be so used;~~
- ~~(b) road traffic offences;~~
- ~~(c) driver licensing;~~
- ~~(d) driving instruction;~~
- ~~(e) insurance of motor vehicles;~~
- ~~(f) drivers' hours;~~
- ~~(g) traffic regulation on special roads, pedestrian crossings, traffic signs and speed limits;~~
- ~~(h) public service vehicle operator licensing;~~
- ~~(i) the provision and regulation of railway services, apart from financial assistance which—~~
  - ~~(i) does not relate to the carriage of goods,~~
  - ~~(ii) is not made in connection with a railway administration order, and~~
  - ~~(iii) is not made in connection with Council Regulation (EEC) 1191/69 as amended by Council Regulation (EEC) No. 1893/91 on public service obligations in transport;~~
- ~~(j) transport security;~~
- ~~(k) shipping, apart from financial assistance for shipping services to, from or within Wales;~~
- ~~(l) navigational rights and freedoms, apart from regulation of works which may obstruct or endanger navigation;~~
- ~~(m) technical and safety standards of vessels;~~

- ~~(n) harbours, docks, piers and boatslips, apart from those used or required wholly or mainly for communications between places in Wales;~~
- ~~(o) registration of local bus services, and the application and enforcement of traffic regulation conditions in relation to those services.~~

*Matter 5.11<sup>2</sup>*

Provision for and in connection with securing the provision of facilities for post-16 education or training.

*Matter 5.12*

Provision for and in connection with the establishment and dissolution of—

- (a) institutions concerned with the provision of further education, and
- (b) bodies that conduct such institutions,

including the circumstances in which an educational institution becomes or ceases to be an institution concerned with the provision of further education.

Provision about—

- (a) the conduct and functions of such institutions and bodies that conduct such institutions;
- (b) the property, rights and liabilities of such institutions and bodies that conduct such institutions;
- (c) property held by any person for the purposes of such an institution;
- (d) the governance and staff of such institutions.

*Matter 5.13*

Provision for and in connection with securing collaboration—

- (a) between bodies that conduct institutions concerned with the provision of further education, or
- (b) between one or more such bodies and other persons or bodies that have functions relating to education or training in Wales,

including, in particular, provision for and in connection with the establishment of bodies for the purpose of discharging functions on behalf of one or more persons or bodies that are party to arrangements for collaboration.

*Matter 5.14*

The provision of financial resources for and in connection with—

- (a) education or training provided by institutions concerned with the provision of further education;
- (b) post-16 education or training provided otherwise than by such institutions;
- (c) the carrying out of research relating to education or training falling within paragraph (a) or (b).

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<sup>2</sup> Matters 5.11–5.16 were inserted by section 27(2) of the Further Education and Training Act 2007 (c.25).

*Matter 5.15*

The inspection of—

- (a) education or training provided by institutions concerned with the provision of further education;
- (b) post-16 education or training provided otherwise than by such institutions;
- (c) the training of teachers and specialist teaching assistants for schools;
- (d) services of the kinds mentioned in matter 5.8.

*Matter 5.16*

The provision of advice and information in connection with, and the carrying out of studies in relation to, any of the kinds of education, training or services mentioned in matter 5.15.

*Matter 5.17*<sup>3</sup>

Education and training for—

- (a) persons who have a greater difficulty in learning than the majority of persons of the same age as those persons;
- (b) persons who have, or have had—
  - (i) a physical or mental impairment, or
  - (ii) a progressive health condition (such as cancer, multiple sclerosis or HIV infection) where it is at a stage involving no physical or mental impairment.

This matter does not include arrangements for persons to travel to and from the places where they receive education or training.

***“Matter 5.18***

***The provision of any of the following for children or young persons—***

- (a) facilities for social or physical training;***
- (b) educational activities.***

***In this matter “children” and “young persons” have the same meaning as in field 15.”***

*Interpretation of this field*<sup>4</sup>

In this field—

“nursery education” means education suitable for children who have not attained compulsory school age;

“post-16 education” means—

- (a) education (other than higher education) suitable to the requirements of persons who are above compulsory school age, and

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<sup>3</sup> Matter 5.17 was inserted by article 2(3) of the National Assembly for Wales (Legislative Competence) (Education and Training) Order 2008.

<sup>4</sup> Interpretation provisions were inserted by article 3(2) of the National Assembly for Wales (Legislative Competence) (Conversion of Framework Powers) Order 2007, section 27(3) of the Further Education and Training Act 2007, and article 2(4) of the National Assembly for Wales (Legislative Competence) (Education and Training) Order 2008.

(b) organised leisure-time occupation connected with such education;

“post-16 training” means—

(a) training suitable to the requirements of persons who are above compulsory school age, and

(b) organised leisure-time occupation connected with such training.

References in this field to an institution concerned with the provision of further education are references to an educational institution, other than a school or an institution within the higher education sector (within the meaning of the Further and Higher Education Act 1992), that is conducted (whether or not exclusively) for the purpose of providing further education.

Expressions used in this field and in the Education Act 1996 have the same meaning in this field as in that Act.

*Field 6: environment*

*Field 7: fire and rescue services and promotion of fire safety*

*Field 8: food*

*Field 9: health and health services*

*Matter 9.1<sup>5</sup>*

Provision for and in connection with the provision of redress without recourse to civil proceedings in circumstances in which, under the law of England and Wales, qualifying liability in tort arises in connection with the provision of services (in Wales or elsewhere) as part of the health service in Wales.

*Interpretation of this field*

In this field-

“the health service in Wales” means the health service continued under section 1(1) of the National Health Service (Wales) Act 2006;

“illness” has the same meaning as in that Act;

“patient” has the same meaning as in that Act;

“personal injury” includes any disease and any impairment of a person’s physical or mental health;

“qualifying liability in tort” means liability in tort owed in respect of or consequent upon personal injury or loss arising out of or in connection with breach of a duty of care owed to any person in connection with the diagnosis or illness or the care or treatment of any patient.

*Field 10: highways and transport*

*Field 11: housing*

*Field 12: local government*

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<sup>5</sup> Matter 9.1 and the interpretation provisions for field 9 were inserted by article 3(3) of the National Assembly for Wales (Legislative Competence) (Conversion of Framework Powers) Order 2007.



*Matter 12.1*<sup>6</sup>

Provision for and in connection with—

- (a) the constitution of new principal areas and the abolition or alteration of existing principal areas, and
- (b) the establishment of councils for new principal areas and the abolition of existing principal councils.

“Principal area” means a county borough or a county in Wales, and “principal council” means a council for a principal area.

*Matter 12.2*

Provision for and in connection with—

- (a) the procedure for the making and coming into force of byelaws, and
- (b) the enforcement of byelaws.

“Byelaws” means those of a class which may be confirmed by the Welsh Ministers (but the provision which may be made includes provision to remove a requirement of confirmation).

*Matter 12.3*

Any of the following—

- (a) the principles which are to govern the conduct of members of relevant authorities,
- (b) codes of conduct for such members,
- (c) the conferral on any person of functions relating to the promotion or maintenance of high standards of conduct of such members (including the establishment of bodies to have such functions),
- (d) the making or handling of allegations that members (or former members) of relevant authorities have breached standards of conduct including in particular—
  - (i) the investigation and adjudication of such allegations and reports on the outcome of investigations,
  - (ii) the action that may be taken where breaches are found to have occurred,
- (e) codes of conduct for employees of relevant authorities.

For the purposes of this matter—

“relevant authority” has the same meaning as in Part 3 of the Local Government Act 2000, except that other than in paragraph (d) it does not include a police authority,

“member” includes a co-opted member within the meaning of that Part.

*Matter 12.4*

Provision for and in connection with strategies of county councils and county borough councils for promoting or improving the economic, social or environmental wellbeing of their areas or contributing to the achievement of sustainable development in the United Kingdom, including provision imposing requirements in connection with such strategies on other persons with functions of a public nature.

*Matter 12.5*

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<sup>6</sup> Matters 12.1–12.5 were inserted by the Local Government and Public Involvement in Health Act 2007 (c. 28), section 235 and Schedule 17.

Provision for and in connection with—

- (a) the making of arrangements by relevant Welsh authorities to secure improvement in the way in which their functions are exercised,
- (b) the making of arrangements by relevant Welsh authorities for the involvement in the exercise of their functions of people who are likely to be affected by, or interested in, the exercise of the functions, and
- (c) the assessment and inspection of the performance of relevant Welsh authorities in exercising their functions.

The following are “relevant Welsh authorities”—

- (a) a county council, county borough council or community council in Wales,
- (b) a National Park authority for a National Park in Wales,
- (c) a fire and rescue authority in Wales constituted by a scheme under section 2 of the Fire and Rescue Services Act 2004 or a scheme to which section 4 of that Act applies,
- (d) a levying body within the meaning of section 74(1) of the Local Government Finance Act 1988 in respect of which the county council or charging authority referred to in section 74(1)(b) of that Act was a council or authority for an area in Wales,
- (e) a body to which section 75 of that Act applies (special levies) and which as regards the financial year beginning in 1989 had power to levy a rate by reference to property in Wales.

#### Field 13: National Assembly for Wales

##### *Matter 13.1*

Creation of, and conferral of functions on, an office or body for and in connection with investigating complaints about the conduct of Assembly members and reporting on the outcome of such investigations to the Assembly.

##### *Matter 13.2*

Conferral of functions on the Assembly Commission for and in connection with facilitating the exercise by the Assembly of its functions (including the provision to the Assembly of the property, staff and services required for the Assembly's purposes).

##### *Matter 13.3*

Provision for and in connection with the payment of salaries, allowances, pensions and gratuities to or in respect of Assembly members, the First Minister, any Welsh Minister appointed under section 48, the Counsel General and any Deputy Welsh Minister.

##### *Matter 13.4*

Provision for and in connection with the creation and maintenance of a register of interests of Assembly members and the Counsel General.

##### *Matter 13.5*

Provision about the meaning of Welsh words and phrases in-

- (a) Assembly Measures,
- (b) subordinate legislation made under Assembly Measures, and
- (c) subordinate legislation not so made but made by the Welsh Ministers, the First Minister or the Counsel General.

##### *Matter 13.6*

Provision for and in connection with the procedures for dealing with proposed private Assembly Measures, including, in particular-

- (a) procedures for hearing the promoters of, and objectors, to proposed private Assembly Measures,
- (b) the persons who may represent such promoters and objectors, and the qualifications that such persons must possess,
- (c) the imposition of fees for and in connection with the promotion of proposed private Assembly Measures, and
- (d) the assessment of costs incurred in connection with proposed private Assembly Measures.

Field 14: public administration

Field 15: social welfare

*Matter 15.1*<sup>7</sup>

Charges levied by local authorities for social care services provided or secured by them and payments in respect of individuals with needs relating to their well-being so that they, or persons looking after them, may secure social care services to meet those needs.

**~~This matter does not include any of the following—~~**

- ~~(a) child support;~~
- ~~(b) tax credits;~~
- ~~(c) child benefit and guardian's allowance;~~
- ~~(d) social security;~~
- ~~(e) independent living funds;~~
- ~~(f) motability~~

**Matter 15.2**

**Functions of public authorities relating to—**

- (a) safeguarding children from harm and neglect;**
- (b) safeguarding and promoting the well-being of vulnerable children;**
- (c) reducing inequalities in well-being between children or young persons.**

**This matter applies to the functions of public authorities whose principal functions relate to any one or more of the fields in this Part.**

*Matter 15.3*

**Adoption services and special guardianship support services.**

*Matter 15.4*

**Fostering.**

*Matter 15.5*

**Social care services for any of the following—**

- (a) children;**
- (b) persons who care for, or who are about to care for, children;**
- (c) young persons;**
- (d) persons formerly looked after—**
- (e) who have attained the age of 25, and**
- (f) who, immediately before attaining that age, have been pursuing, or intending to pursue, education or training**

Matter 15.6

**Co-operation and arrangements to safeguard and promote the well-being of children or young persons.**

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<sup>7</sup> Matter 15.1 and some of the interpretation provisions for field 15 were inserted by article 2 of the National Assembly for Wales (Legislative Competence) (Social Welfare) Order 2008 (SI 2008/1785).

**This matter applies to—**

- (a) public authorities whose principal functions relate to any one or more of the fields in this part;**
- (b) police authorities and chief officers of police for police areas in Wales;**
- (c) the British Transport Police Authority;**
- (d) local probation boards for areas in Wales**
- (e) the Secretary of State, in relation to the Secretary of State’s functions under sections 2 and 3 of the Offender Management Act 2007, or any provider of probation services under arrangements made under section 3(2) of that Act;**
- (f) youth offending teams for areas in Wales;**
- (g) the governors of prisons, young offender institutions or secure training centres in Wales (or, in the case of contracted out prisons, young offender institutions or secure training centres or contracted out parts of such institutions, their directors);**
- (h) persons other than public authorities who are engaged in activities relating to the well-being of children or young persons.**

**Matter 15.7**

**Planning by local authorities for the discharge of their functions relating to the well-being of children or young persons.**

***Matter 15.8***

**Continuing, dissolving or creating an office or body concerned with safeguarding and promoting the well-being of children or young persons; the functions of such an office or body, including in particular—**

- (a) reviewing the effect on children or young persons of the exercise by any person of functions related to their well-being;**
- (b) reviewing and monitoring—**
  - (i) advocacy services;**
  - (ii) arrangements for dealing with complaints and representations made by, or on behalf of, children or young persons in respect of persons with functions related to their well-being or persons providing them with social care services;**
- (c) examining cases of particular children or young persons;**
- (d) considering, and making representations about, any matter affecting the well-being of children or young persons.**

***Interpretation of this field***

***In this field—***

**“children” means persons who have not attained the age of 18;**

**“development” means physical, intellectual, emotional, social or behavioural development;**

**“health” means physical or mental health;**

**“local authorities” means the councils of counties or county boroughs in Wales;**

**“persons formerly looked after” means persons who, at any time before attaining the age of 18—**

- (a) have been in the care of a public authority, or**

- (b) **have been provided with accommodation by a public authority in order to secure their well-being;**

**“public authorities” means each public authority within the meaning of section 6 of the Human Rights Act 1998, apart from courts or tribunals;**

“social care services” means any of the following provided in connection with the well-being of any person: **residential or non-residential care services; advice, counselling or advocacy services; or any other assistance;**

**“vulnerable children” means children—**

- (a) who are unlikely to achieve or maintain, or have the opportunity of achieving or maintaining, a reasonable standard of health or development without the provision for them of social care services,**
- (b) whose health or development is likely to be significantly impaired, or further impaired, without the provision for them of social care services,**
- (c) who have a physical or mental impairment,**
- (d) who are in the care of a public authority, or**
- (e) who are provided with accommodation by a public authority in order to secure their well-being;**

“well-being”, in relation to individuals, means well-being so far as relating to any of the following—

- (a) ~~physical and mental~~ health and emotional well-being;
- (b) protection from harm and neglect;
- (c) education, training and recreation;
- (d) the contribution made by them to society;
- (e) social and economic well-being;
- (f) securing their rights.

**“young persons” means persons who have attained the age of 18 but not the age of 25.”**

*Field 16: sport and recreation*

***Matter 16.1***

**The provision of recreational facilities and activities for children and young persons.**

**In this matter “children” and “young persons” have the same meaning as in field 15.”**

Field 17: tourism

Field 18: town and country planning

Field 19: water and flood defence

Field 20: Welsh language

## EXCEPTIONS TO MATTERS

The exceptions specified in the first column of each table below are not included within the matters specified in the corresponding entry in the second column.

TABLE – Highways and transport

Column 1 Exceptions	Column 2 Matters to which the exceptions relate
1. The regulation of the use of motor vehicles on roads, their construction and equipment and conditions under which they may be so used.	Matters 5.10, 5.17, 5.18
2. Road traffic offences.	Matters 5.10, 5.17, 5.18
3. Driver licensing.	Matters 5.10, 5.17, 5.18
4. Driving instruction.	Matters 5.10, 5.17, 5.18
5. Insurance of motor vehicles.	Matters 5.10, 5.17, 5.18
6. Drivers' hours.	Matters 5.10, 5.17, 5.18
7. Traffic regulation on special roads, pedestrian crossings, traffic signs and speed limits.	Matters 5.10, 5.17, , 5.18
8. Public service vehicle operator licensing.	Matters 5.10, 5.17, 5.18
9. The provision and regulation of railway services, apart from financial assistance which— (a) does not relate to the carriage of goods, (b) is not made in connection with a railway administration order, and (c) is not made in connection with Council Regulation (EEC) 1191/69 as amended by Council Regulation (EEC) No. 1893/91 on public service obligations in transport.	Matters 5.10, 5.17, 5.18
10. Transport security.	Matters 5.10, 5.17, 5.18
11. Shipping, apart from financial assistance for shipping services to, from or within Wales.	Matters 5.10, 5.17, 5.18
12. Navigational rights and freedoms, apart from regulation of works which may obstruct or endanger navigation.	Matters 5.10, 5.17, 5.18
13. Technical and safety standards of vessels.	Matters 5.10, 5.17, 5.18
14. Harbours, docks, piers and boatslips apart from those used or required wholly or mainly for communication between places in Wales.	Matters 5.10, 5.17, 5.18
15. Registration of local bus services, and the application and enforcement of traffic regulation conditions in relation to those services.	Matters 5.10, 5.17, 5.18

**TABLE – Social welfare**

<b>Column 1</b> <b>Exceptions</b>	<b>Column 2</b> <b>Matters to which the exceptions relate</b>
<b>1. Child support.</b>	<b>Matters 15.1 15.2, 15.3, 15.4, 15.5, 15.6, 15.8</b>
<b>2. Child trust funds, apart from subscriptions to such funds by—</b> <b>(a) the council of a county or county borough council in Wales, or</b> <b>(b) the Welsh Ministers.</b>	<b>Matters 15.2, 15.4, 15.5</b>
<b>3. Tax credits.</b>	<b>Matters 15.1 15.2, 15.4, 15.5</b>
<b>4. Child benefit and guardian’s allowance.</b>	<b>Matters 15.1 15.2, 15.4, 15.5</b>
<b>5. Social security.</b>	<b>Matters 15.1 15.2, 15.3, 15.4, 15.5, 15.6, 15.7, 15.8</b>
<b>6. Independent living funds.</b>	<b>Matters 15.1 15.2, 15.5, 15.6, 15.7, 15.8</b>
<b>7. Motability.</b>	<b>Matters 15.1 15.2, 15.5, 15.6, 15.7, 15.8</b>
<b>8. Vaccine Damage Payments</b>	<b>Matters 15.2, 15.5, 15.6, 15.7, 15.8</b>
<b>9. Intercountry adoption, apart from adoption agencies and their functions, and functions of the “Central Authority” under the Hague Convention on Protection of Children and Co-operation in respect of Intercountry Adoption.</b>	<b>Matters 15.2, 15.3</b>
<b>10. The Children’s Commissioner established under the Children Act 2004.</b>	<b>Matters 15.2, 15.3, 15.4, 15.5, 15.8</b>
<b>11. Family law and proceedings apart from—</b> <b>(a) welfare advice to courts, representation and provision of information, advice and other support to children ordinarily resident in Wales and their families, and</b> <b>(b) Welsh family proceedings officers.</b>	<b>Matters 15.2, 15.3, 15.4, 15.5</b>
<b>12. Welfare foods</b>	<b>Matters 15.2, 15.5, 15.6, 15.8</b> <b>”.</b>









