
SCOTTISH STATUTORY INSTRUMENTS

2016 No. 295

INSOLVENCY
BANKRUPTCY
DEBT

The Bankruptcy (Applications and
Decisions) (Scotland) Regulations 2016

Made - - - - 15th September 2016
Laid before the Scottish
Parliament - - - - 21st September 2016
Coming into force - - 30th November 2016

The Scottish Ministers make the following Regulations in exercise of the powers conferred by sections 224, 225(2) and 227 of the Bankruptcy (Scotland) Act 2016⁽¹⁾ and all other powers enabling them to do so.

PART 1

Introductory

Citation and commencement

1.—(1) These Regulations may be cited as the Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016.

(2) They come into force on 30th November 2016.

Commencement Information

II [Reg. 1](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Interpretation

2.—(1) In these Regulations—

“the Act” means the Bankruptcy (Scotland) Act 2016;

“the Accountant in Bankruptcy” (or “AiB”) is to be construed in accordance with section 199 of the Act; and

“review application” has the meaning given by regulation 21(1).

(2) Any reference in these Regulations—

(a) to a form is to be construed as a reference to the form so numbered in the schedule;

(b) to a time when an application is made is to be construed as a reference to the time when the application is received by AiB.

Commencement Information

I2 [Reg. 2](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Dispensing power

3. AiB may relieve any person from the consequences of any failure to comply with a provision of these Regulations that is shown to be due to mistake, oversight or other reasonable cause.

Commencement Information

I3 [Reg. 3](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

PART 2

Applications: general

Applications

4.—(1) Any application to AiB for which a form is prescribed by these Regulations (including a review application) may be made—

(a) by personal delivery;

(b) by being sent to the address of AiB at 1 Pennyburn Road, Kilwinning, Ayrshire, KA13 6SA—

(i) by a registered post service (as defined in section 125(1) of the Postal Services Act 2000(2)); or

(ii) by a postal service which provides for the delivery of the document to be recorded;

(c) by email or using the computer system provided by AiB for that purpose; or

(d) by such other means as AiB may agree to.

(2) Such an application must specify the name and address of the applicant, and (where relevant) the details of the applicant’s representative.

(2) [2000 c.26.](#)

Commencement Information

I4 Reg. 4 in force at 30.11.2016, see [reg. 1\(2\)](#)

Application form: first instance applications to the Accountant in Bankruptcy

5.—(1) An application to AiB under the following provisions of the Act must be made in writing in Form 1 (application to the Accountant in Bankruptcy: general)—

- (a) section 31(1) (recall of sequestration: where the only ground is that the debtor has paid or is able to pay debts in full);
 - (b) section 60(3)(a) (objection to election of replacement trustee);
 - (c) section 66(7)(a) (trustee replacement in more than one sequestration);
 - (d) section 70(2)(a) (removal of trustee);
 - (e) section 72(3)(a) (declaration of office of trustee as vacant);
 - (f) section 110(4)(b) (contractual powers of trustee);
 - (g) section 159(3) (revocation or variation of bankruptcy restrictions order);
 - (h) section 212(2)(a) (power of Accountant in Bankruptcy to cure defects in procedure); and
 - (i) paragraph 3(3)(b) of schedule 2 (valuing contingent debts).
- (2) Form 1 must also be used (where AiB is the applicant or trustee) for—
- (a) representations under section 66(10) of the Act (trustee replacement in more than one sequestration); and
 - (b) representations under section 212(5) of the Act (curing defect in procedure).

Commencement Information

I5 Reg. 5 in force at 30.11.2016, see [reg. 1\(2\)](#)

Application procedure: first instance applications to the Accountant in Bankruptcy

6.—(1) This regulation applies to any application under the Act for which a form is prescribed by these Regulations (except a review application).

- (2) A copy of such an application must, before the application is made, be sent by the applicant—
- (a) to any person specified in the Act as a person—
 - (i) to be notified of the application;
 - (ii) able to make representations in relation to the application; or
 - (iii) able to seek review of or to appeal the decision on that application; and
 - (b) to any other interested person.
- (3) Under paragraph (2), the application must be sent to the proper address of the person—
- (a) by a registered post service (as defined in section 125(1) of the Postal Services Act 2000); or
 - (b) by a postal service which provides for the delivery of the document to be recorded.
- (4) An applicant required to send an application under paragraph (2), or ordered to serve an application by AiB under the Act or paragraph (6), must inform the recipient in writing that the

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Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

person has the right to make representations to AiB in relation to the application within any period provided for in the Act or paragraph (10).

(5) The applicant must, if requested to do so by AiB, provide AiB with evidence of delivery of that application to the persons to whom it has been delivered.

(6) AiB may require the application to be sent by the applicant to such persons as AiB deems appropriate.

(7) Where an application is incomplete it may be rejected by AiB.

(8) Where an application is unopposed it must be granted without the attendance of parties, unless AiB directs otherwise.

(9) Any representations made under the Act by any person in relation to an application must be made in writing by any means by which an application may be made (see regulation 4(1)).

(10) Where no time limit for such representations is specified in the Act or these Regulations, the representations must be made within 14 days beginning with the day on which the application was made.

(11) Paragraphs (2) to (4) and (9) do not apply to the extent that equivalent provision is made in the Act or these Regulations, or service is ordered by AiB under a provision of the Act.

(12) In paragraph (3) the “proper address” of a person means—

- (a) in the case of a body corporate, the address of the registered or principal office of the body;
- (b) in the case of a partnership, the address of any place of business of the partnership where it appears to the applicant service will be effective;
- (c) in any other case, the last known address of the person.

Commencement Information

I6 [Reg. 6](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Inquiries

7.—(1) This regulation applies where in relation to any application required to be on a form under these Regulations (including a review application) or any representation under the Act AiB considers that—

- (a) further information is required in relation to the application or representation; or
- (b) further evidence is required to substantiate any fact relevant to the application or representation.

(2) AiB may before any date set out in the Act for the decision of AiB specify by notice in writing—

- (a) any further information which is to be provided; and
- (b) any further evidence which is to be provided,

within 21 days from the date of sending that notice or such shorter period as may be specified in the notice.

(3) Where a notice is given under paragraph (2)—

- (a) any time limit set out in the Act for the decision of AiB is extended by the period specified in the notice; and
- (b) if the information or evidence specified is provided to AiB, the period allowed for the decision of AiB after the date on which it is provided is the greater of—

- (i) the unexpired days before the original time limit would have elapsed (ignoring its extension) after the date on which that notice was given; or
- (ii) 7 days.

(4) AiB may refuse to consider an application if, after the expiry of the period specified under paragraph (2), AiB considers that the applicant has provided insufficient information or evidence specified under that paragraph.

(5) Where AiB has set any time limit for the giving of written evidence under these Regulations, AiB must not consider any written evidence which is not given in accordance with those time limits unless satisfied that there is good reason to do so.

Commencement Information

I7 [Reg. 7](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Further evidence

8.—(1) In respect of an application for which a form is required under these Regulations (other than a review application) AiB may require in writing any person making an application or representations—

- (a) to attend a hearing, at such time and place as AiB may specify, for the purposes of giving evidence;
- (b) to give AiB, by such day as AiB may specify, such documents or information as AiB may reasonably require.

(2) Paragraph (1) does not apply unless AiB is satisfied there is a good reason to impose such a requirement following written representations, or evidence or information in response to an inquiry.

(3) Paragraph (1) does not authorise AiB to require any person to answer any question or to disclose anything which the person would be entitled to refuse to answer or disclose on grounds of confidentiality in civil proceedings in the Court of Session.

(4) AiB may determine such further procedure in relation to the hearing as AiB considers appropriate.

- (5) Where a person is required to attend or give documents or information under paragraph (1)—
 - (a) any time limit set out in the Act for the decision of AiB is extended until the date of the hearing or the day specified, as the case may be; and
 - (b) following the date of the hearing or the day specified, as the case may be, the period allowed for the decision of AiB is the greater of—
 - (i) the unexpired days before that time limit would have elapsed (ignoring its extension) after the date on which the person was required by AiB to attend the hearing or give documents or information under paragraph (1); or
 - (ii) 7 days.

Commencement Information

I8 [Reg. 8](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

PART 3

Specific applications

Recall of sequestration by Accountant in Bankruptcy

9.—(1) Where the statement of the debtor's affairs is not submitted in accordance with section 32(3)(a) of the Act (recall where the only ground is that the debtor has paid or is able to pay debts in full), the application is not to be considered by AiB until it is submitted.

(2) Where paragraph (1) applies AiB may make inquiries under regulation 7.

(3) AiB must send to the persons listed in section 31(2) of the Act a copy of AiB's decision under section 34(1) or 35(6) of the Act.

(4) AiB must send with any notification under section 35(2) of the Act (recall where the only ground is that debtor has paid or is able to pay the debtor's debts in full: AiB acting as trustee)—

- (a) a statement of the debtor's affairs; and
- (b) a copy of the application for recall,

and advise the recipients of the period for making representations under section 35(5)(a) of the Act.

(5) Where AiB gives notification under section 35(2) of the Act, AiB must also notify any other interested person, informing the recipient that the person has the right to make representations to AiB in relation to the application within 21 days beginning with the day on which the notice is given.

(6) Where recall is under consideration (including on a review application), and sequestration is recalled by AiB, AiB must at that time consider whether to revoke under section 161(4) of the Act any bankruptcy restrictions order (or interim order) in effect in relation to the debtor.

(7) Where an original recall decision is amended or revoked on review, AiB must send a certified copy of the revised decision to the Keeper of the Register of Inhibitions for recording in that register.

Commencement Information

I9 [Reg. 9](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Application for direction by trustee

10.—(1) An application to AiB under section 52(2) of the Act (application for direction) must be made in writing in Form 2.

(2) Where an application is made under that subsection, AiB must before the expiry of 28 days beginning with the day on which the application is made—

- (a) give a direction; or
- (b) refer the matter to the sheriff under section 52(3) of the Act.

Commencement Information

I10 [Reg. 10](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Appointment of replacement trustee

11.—(1) A report to AiB under section 60(2)(a) of the Act of a statutory meeting appointing a replacement trustee must be made in writing in Form 4.

(2) If AiB declares an elected person to be trustee under section 60(5) of the Act, AiB must send a copy of the decision to the original trustee and the replacement trustee.

(3) Where AiB gives an opportunity to make representations under section 61(2) of the Act (on receiving an objection), AiB must—

- (a) notify the original and replacement trustees, the objector and any other interested person; and
- (b) advise those persons that written submissions under section 61(2) must be made within 14 days beginning with the date on which the notification was given.

(4) AiB must notify the original and replacement trustees, the objector and any other interested person of any declaration or order under section 61(3) of the Act (and in the case of such an order must do so without delay).

Commencement Information

I11 [Reg. 11](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Replacement trustee acting in more than one sequestration

12. A determination or appointment under section 66 of the Act (replacement of trustee acting in more than one sequestration) must be made by AiB within 14 days following the expiry of the period mentioned in section 66(10) of the Act.

Commencement Information

I12 [Reg. 12](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Removal of trustee and trustee not acting

13.—(1) An order under section 70(1)(b) of the Act (removal of trustee from office by AiB) must be made in writing in Form 5.

(2) Where the trustee has the opportunity to make representations under section 70(4)(c) of the Act, AiB must allow 21 days beginning with the date on which the copy application was sent under section 70(4)(a) of the Act for those representations.

- (3) AiB must within 14 days beginning after the expiry of that period of 21 days—
 - (a) decide whether to remove or refuse to remove the trustee (or make any other order) under section 70(1)(b) or (5) of the Act; or
 - (b) refer the matter to the sheriff under section 71(7)(a) of the Act.

(4) Before making any declaration or order under section 72(1) of the Act, AiB must give the trustee an opportunity to make representations.

(5) In the case of an application under section 72(3)(a) of the Act, AiB must within 14 days beginning after the expiry of the period for representations under regulation 6(10)—

- (a) decide whether to make any declaration (or any other order) under section 72(1) of the Act; or
- (b) refer the matter to the sheriff under section 71(7)(a) of the Act.

(6) The Accountant must notify the trustee, the debtor, commissioners or any creditor of any order or decision under section 70(1)(b) or (5) or section 72(1) of the Act.

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

(7) Where a review application is made under sections 71 or 73, AiB must notify any commissioners required to call a meeting under sections 71(6) or 73(1) of the Act.

(8) The requirement to hold that meeting under sections 71(6) or 73(1) within 28 days—

- (a) is extended until the date of the review decision (or any appeal from that decision); and
- (b) on that decision (or any appeal from that decision) the period allowed for holding the meeting is the greater of—
 - (i) the unexpired days before the period specified in sections 71(6) or 73(1) of the Act would have elapsed (ignoring its extension) after the date on which the review application was made; or
 - (ii) 28 days.

(9) Where a review decision is made under sections 71 or 73 of the Act, AiB must notify any commissioners required to call a meeting under sections 71(6) or 73(1) of the Act.

Commencement Information

I13 Reg. 13 in force at 30.11.2016, see [reg. 1\(2\)](#)

Contractual powers of trustee

14.—(1) Where an application is made under section 110(4)(b) of the Act (to extend the 28 days for a trustee to adopt or refuse to adopt a contract), AiB must within 14 days beginning with the day on which the application is made—

- (a) decide whether to extend the 28 days; or
- (b) refer the matter to the sheriff under section 110(8) of the Act.

(2) AiB must notify all interested persons—

- (a) of any decision of AiB on such an application; and
- (b) of any direction of the sheriff within 7 days of receipt of that direction.

(3) For the avoidance of doubt, the time limit in paragraph (1) does not apply to any review application.

Commencement Information

I14 Reg. 14 in force at 30.11.2016, see [reg. 1\(2\)](#)

Bankruptcy restrictions orders: proposal to make an order

15.—(1) AiB must allow 14 days beginning with the day on which notice is given under section 155(2) of the Act (proposal to make bankruptcy restrictions order) for representations by the debtor.

(2) Those representations must be made in writing by any means by which an application may be made (see regulation 4(1)).

(3) Notice under section 155(2) informing the debtor under section 155(3) of the Act must also inform the debtor—

- (a) of the reasons for proposing to make the order;
- (b) of any grounds for proposing an interim bankruptcy restrictions order;
- (c) that the representations must be made—

- (i) in writing (including by email or using the computer system); and
- (ii) within 14 days beginning with the date on which the notice was given (or in the case of any proposed interim bankruptcy restrictions order, within 2 days beginning with the date on which the notice was given).

(4) AiB must make or decide not to make the bankruptcy restrictions order within 21 days beginning with the date on which the notice was given.

Commencement Information

I15 Reg. 15 in force at 30.11.2016, see [reg. 1\(2\)](#)

Bankruptcy restrictions orders: revocation or variation

16.—(1) Where an application is made to AiB under section 159(3) of the Act (to revoke or vary a bankruptcy restrictions order), AiB must notify all interested persons that those persons have the right to make representations to AiB in relation to the application within 21 days beginning with the day on which the application is made.

(2) Those representations must be made in writing by any means by which an application may be made (see regulation 4(1)).

(3) Where following recall of sequestration AiB refuses under section 161(4) of the Act to revoke a bankruptcy restrictions order, AiB must inform the debtor that the debtor has the right to apply to AiB for review of that decision within 14 days beginning with the day on which the award of sequestration was recalled.

Commencement Information

I16 Reg. 16 in force at 30.11.2016, see [reg. 1\(2\)](#)

Conversion of protected trust deed into bankruptcy

17.—(1) An application to AiB under section 190(1) and (2) of the Act (conversion of protected trust deed into sequestration) must be made in writing in Form 6.

(2) An award under section 192(1) of the Act (power of Accountant in Bankruptcy: conversion of protected trust deed into sequestration) must be made in writing in Form 7.

(3) Where AiB makes, or refuses to make, an order for conversion into sequestration, AiB must inform the member State liquidator, the debtor, the trustee and any other person who has been served with a copy of the application and the affidavit.

Commencement Information

I17 Reg. 17 in force at 30.11.2016, see [reg. 1\(2\)](#)

Power to cure defects

18. Where AiB makes, or refuses to make, under section 212 of the Act (curing defects in procedure) a corrective order or to waive a time limit, AiB must notify all interested persons.

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Commencement Information

I18 [Reg. 18](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Debts depending on contingency

19. Where AiB puts a value on a debt under paragraph 3(3) of schedule 2 of the Act (debts depending on a contingency), AiB must notify the creditor and all other interested persons.

Commencement Information

I19 [Reg. 19](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

PART 4

Reference to court and review by Accountant in Bankruptcy

Reference to court: time limits

20. Where AiB has applied to refer or remit a matter to the court under the Act—

- (a) if the court (or a court on appeal from that court) makes any disposal which requires AiB to make an order, declaration or decision, the period allowed for the decision of AiB is the greater of—
 - (i) the unexpired days before the time limit set out in the Act for that decision would have elapsed (ignoring its reference or remit) after the date on which the reference or remit was made; or
 - (ii) 7 days;
- (b) if the court's disposal has no such requirement, that time limit does not apply.

Commencement Information

I20 [Reg. 20](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Review applications

21.—(1) An application for a review by AiB under the following provisions of the Act must be made in writing in Form 3—

- (a) section 27(5) (refusal of sequestration on debtor application);
- (b) section 37(1) (recall: only ground that debtor has paid or is able to pay debts in full);
- (c) section 39(5) (interim preservation);
- (d) section 52(4) (power of direction of trustee);
- (e) section 57(5) (interim trustee termination);
- (f) section 59(1) (Accountant in Bankruptcy interim trustee termination);
- (g) section 61(5) (appointment of replacement trustee);
- (h) section 64(5) (Accountant in Bankruptcy to account for intromissions);

- (i) section 65(4) (discharge of original trustee);
 - (j) section 68(1) (trustee replacement in more than one sequestration);
 - (k) section 71(1) (removal of trustee);
 - (l) section 73(2) (removal - declaring vacancy);
 - (m) section 92(1) (debtor contribution order);
 - (n) section 97(1) (variation and payment break);
 - (o) section 110(5) (contractual powers of trustee);
 - (p) section 127(1) (adjudication of claims);
 - (q) section 139(1) (discharge);
 - (r) section 144(1) (discharge – subsequent contact);
 - (s) section 149(1) (discharge of trustee);
 - (t) section 151(4) (Accountant in Bankruptcy discharge as trustee);
 - (u) section 161(5) (refusal to revoke bankruptcy restrictions order);
 - (v) section 213(1) (curing defects); and
 - (w) paragraph 3(6) of schedule 2 (valuing contingent debts).
- (2) A review application must specify—
- (a) the decision to be reviewed and its date;
 - (b) the change sought to the decision; and
 - (c) the reasons for seeking that change.
- (3) When a review application is made to AiB, AiB must—
- (a) without delay send a copy to any person specified in the provision of the Act which provides for the review as a person to be notified, able to make representations or appeal against the review decision; and
 - (b) advise those persons that they have the period of 21 days beginning with the date specified in the Act to make representations to AiB.
- (4) Those representations must be made in writing by any means by which an application may be made (see regulation 4(1)).
- (5) On making a review decision under the relevant provision of the Act, AiB must notify the persons mentioned in paragraph (3)(a) of AiB’s decision.
- (6) Paragraphs (3) to (5) do not apply to the extent that equivalent provision is made in the Act.

Commencement Information

I21 [Reg. 21](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Review proceedings: staff of the Accountant in Bankruptcy

22.—(1) No member of the staff of AiB who was involved in a decision under review may be involved in the review decision.

(2) If the Accountant in Bankruptcy herself or himself was involved in a decision under review, he or she must not be involved in any review decision (and is accordingly unable to exercise those functions in which case the Depute Accountant in Bankruptcy may act in accordance with section 199(2) of the Act).

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Commencement Information

I22 [Reg. 22](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Review proceedings: persons assisting the Accountant in Bankruptcy

23.—(1) In relation to a review application AiB may take account of the views of any independent person whom AiB appoints for that purpose.

(2) AiB may disclose information held about review applications to persons appointed under paragraph (1) to be used only for the purpose specified in that paragraph.

Commencement Information

I23 [Reg. 23](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Review decision

24. The decision of AiB and a statement of reasons on the review application will be made publicly available.

Commencement Information

I24 [Reg. 24](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

PART 5

Revocations and saving

Revocations

25. The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2014⁽³⁾ and regulation 3 of the Bankruptcy (Miscellaneous Amendments) (Scotland) Regulations 2015⁽⁴⁾ are revoked, subject to regulation 26.

Commencement Information

I25 [Reg. 25](#) in force at 30.11.2016, see [reg. 1\(2\)](#)

Sequestrations and trust deeds before 30th November 2016

26. These Regulations have no effect in relation to—

- (a) sequestrations as regards which the petition was presented or the debtor application was made before; or
- (b) trust deeds executed before,

⁽³⁾ S.S.I. 2014/226 as amended S.S.I. 2015/80.

⁽⁴⁾ S.S.I. 2015/80.

30th November 2016.

Commencement Information

I26 Reg. 26 in force at 30.11.2016, see **reg. 1(2)**

St Andrew's House,
Edinburgh

PAUL WHEELHOUSE
Authorised to sign by the Scottish Ministers

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

SCHEDULE

Regulations 2(2)(a), 5, 10(1),11(1), 13(1),
17(1) and (2) and 21(1)

Forms

Commencement Information

I27 Sch. in force at 30.11.2016, see **reg. 1(2)**

List of forms to be used

<i>Form</i>	<i>Purpose</i>	<i>Relevant provision of the Regulations</i>
1	Application to the Accountant in Bankruptcy: general	Regulation 5
2	Application for direction under section 52(2)	Regulation 10(1)
3	Application for review by the Accountant in Bankruptcy: general	Regulation 21(1)
4	Report of statutory meeting appointing replacement trustee: section 60(2)(a)	Regulation 11(1)
5	Grant of removal of trustee: section 70(1)(b)	Regulation 13(1)
6	Conversion of protected trust deed into bankruptcy: section 190 application	Regulation 17(1)
7	Conversion of protected trust deed into bankruptcy: section 192 award	Regulation 17(2)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 1

Application to the Accountant in Bankruptcy: general

Internal Only:

Bankruptcy Ref:
Date Received:

Application to the Accountant in Bankruptcy: general
Bankruptcy (Scotland) Act 2016

Reason for Application:
(Please state the nature
of your application)

Section of the Act you are applying under:
(Please provide the appropriate section of the
Act you are applying under)

Debtor's name:
(Please provide full details)

Debtor's address at date of bankruptcy award:

Bankruptcy reference number:

Name of Applicant:
(Please provide full name and title
of person submitting the application)

Designation:
(e.g debtor, creditor, trustee, other)

List of relevant parties notified of this application:
(please include details of their association with the bankruptcy)

Date notification issued to relevant parties:
(where appropriate)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

STATEMENT OF FACTS
(here set out, in numbered paragraphs, the facts on which the application is made)

PLEA(S) IN LAW
(where applicable, set out the plea(s) in law)

Date (insert date of application)

Signed
(signature of applicant)
*or solicitor for applicant
(insert designation and address)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 2

Application for direction under section 52(2)

Internal Only:

Case Ref:

Date Received:

Application for Direction
The Bankruptcy (Scotland) Act 2016
Section 52

Application by:
(insert name and designation of applicant)

Name of Applicant

Address

Town

Postcode

In the Bankruptcy of:
(insert name and address of debtor)

Debtor's full name

Bankruptcy Reference

The applicant craves the Accountant in Bankruptcy:
(state here orders sought in respect of application)

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Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Internal Only:

Case Ref:

Date Received:

STATEMENT OF FACTS

(here set out, in numbered paragraphs, the facts on which the application is made)

PLEA(S) IN LAW

(here set out the plea(s) in law)

Date (insert date of application)

Signed
(Signature of applicant)
*or Solicitor for Applicant
(insert designation and address)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 3

Application for review by the Accountant in Bankruptcy: general

Internal Only:

Case Ref:

Date Received:

Application for Review by the Accountant in Bankruptcy
Bankruptcy (Scotland) Act 2016

By submitting this application, you are acknowledging that this document will be shared with all parties involved in the review process.

Bankruptcy reference:

Name of debtor:

Address of debtor:

Name of applicant
requesting review:

Address of applicant:
(This address will be used for correspondence unless otherwise stated)

Association with bankruptcy:
(e.g debtor, creditor, trustee, other)

Section of the Act you are applying for a review under:

Decision to be reviewed:
(Please specify the decision you wish to be reviewed and where possible submit a copy of the decision)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Internal Only:

Case Ref:

Date Received:

Evidence in support of the application:

(Please use this section to state the reason you are seeking a review providing as much information as possible)

If required please continue on a separate sheet

Signed:

Date:

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

Internal Only:

Case Ref:

Date Received:

Official Use Only:

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 4

Report of statutory meeting appointing replacement trustee: section 60(2)(a)

(bankruptcy ref)

Form of Report to the Accountant in Bankruptcy
The Bankruptcy (Scotland) Act 2016
Section 60

Report of the statutory meeting: appointment of replacement trustee in the bankruptcy of (debtor's name and address)

- i. (insert trustee name and address), as trustee in the above bankruptcy reports to the Accountant in Bankruptcy that a statutory meeting was called in accordance with the provisions of section 44 of the Bankruptcy (Scotland) Act 2016.
- ii. The meeting was attended by (insert number of creditors in attendance) creditors or their representatives. Those in attendance were advised of their right to elect a trustee and commissioners.
- iii. The meeting duly elected (insert the name of the replacement trustee) to be the replacement trustee.
- iv. A copy of the record of the meeting and of the trustee's statement of the debtor's affairs are attached.

Signature of trustee _____ Date _____

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 5

Grant of removal of trustee: section 70(1)(b)

bankruptcy ref



Order for the Removal of Trustee
Bankruptcy (Scotland) Act 2016
Section 70(1)(b)

The Accountant in Bankruptcy, [having seen and considered an application under section 70(2)(a) of the Bankruptcy (Scotland) Act 2016 grants same, and in terms of that application/ being satisfied in terms of section 70(2)(b) of the Bankruptcy (Scotland) Act 2016 that there are reasons to remove the Trustee]^{*} finds and declares that the Trustee is removed and the office of the Trustee in respect of the sequestration of

[Debtor name]
[address]
[town]
[postcode]

awarded by **[The Accountant in Bankruptcy/the Sheriff at [court] Sheriff Court]** on **[date]** has become vacant.

A meeting of creditors must be held for the election by them of a new trustee.

Issued by The Accountant in Bankruptcy
[dd/mm/yyyy]

^{*} Delete as appropriate

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 6

Conversion of protected trust deed into bankruptcy: section 190 application

(protected trust deed ref)

**Application for Conversion of a Protected Trust Deed
into Bankruptcy**
Bankruptcy (Scotland) Act 2016
Section 190

<p>Name and address of applicant: (You must qualify as a Member State Liquidator)</p>

<p>Name and address of person in the protected trust deed :</p> <p>Name and address of Insolvency Practitioner currently administering the protected trust deed:</p>

<p>*Do you wish to nominate a trustee if the application is converted to bankruptcy?</p> <p style="text-align: right;">Yes / No (*please delete as appropriate)</p> <p>(If yes, please provide nomination details below. If no trustee nominated, AIB will be appointed as trustee in the bankruptcy)</p> <p>Trustee Name:</p> <p>Trustee Address:</p>
--

I consent to my appointment as Trustee

Signature of nominate trustee _____ Date _____
(Insolvency Practitioner)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

(protected trust deed ref)

I apply under Article 37 of the EC Regulation (conversion of earlier proceedings) for the conversion of a protected trust deed into bankruptcy;
I have, therefore, enclosed a signed and sworn affidavit in accordance with section 191 of the Act.

I confirm I have served the affidavit upon:
(Please tick the box where appropriate)

(a) the debtor	<input type="checkbox"/>
(b) the trustee	<input type="checkbox"/>
(c) all other relevant parties	<input type="checkbox"/>

Signature of applicant _____ Date _____

Evidence in support of your application

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

(protected trust deed ref)

Evidence in support of your application (continued)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

FORM 7

Conversion of protected trust deed into bankruptcy: section 192 award

(bankruptcy ref)



Award of bankruptcy
Bankruptcy (Scotland) Act 2016
Section 192

Deliverance awarding the bankruptcy of

[debtor name]

[address]

[town]

[postcode]

with the concurrence of a necessary Member State Liquidator.

On **[date]** The Accountant in Bankruptcy has considered the foregoing application together with the productions and being satisfied that the application has been presented in accordance with the Bankruptcy (Scotland) Act 2016 converts the estate now belonging or which shall hereafter belong to the debtor from a protected trust deed into bankruptcy.

[debtor name, address]

before the date of the debtor's discharge and declares the same to belong to the debtor's creditors for the purposes of the said Act and appoints

[trustee name and address]

to be trustee. The whole estate of **[debtor name]** at **[date]** is vested and now belongs to said trustee for the benefit of creditors of **[debtor name]**

Intimated to the Keeper

Accountant in Bankruptcy

AiB to send forthwith a copy to:

Certified a true copy

The Keeper of the Registers of
Inhibitions and Adjudications
and
The trustee (if not the
Accountant in Bankruptcy)

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations set out the procedure for the making of applications to, and decisions by, the Accountant in Bankruptcy (“AiB”) under the Bankruptcy (Scotland) Act 2016 (“the Act”).

They apply to sequestrations as regards which a petition for sequestration is presented, or an application is made, on or after 30th November 2016 (and trust deeds for the benefit of creditors executed on or after that date). They replace and update the Bankruptcy (Applications and Decisions) (Scotland) Regulations 2014 for those sequestrations.

They prescribe forms to be used in relation to applications to AiB under the Act.

Regulations 4 to 8 make provision for general procedure to apply across different applications to AiB under the Act. They provide for how applications are made to AiB, including service of applications on other parties and the ability for AiB to make inquiries for further evidence or information.

Regulations 9 to 19 make particular procedural provision in relation to specific applications under the Act—

- section 31 application for recall where only ground that debtor has paid or is able to pay debts in full;
- section 52(2) application to AiB for direction by trustee;
- section 60(3)(a) objection to statutory meeting appointing a replacement trustee (by persons other than AiB);
- section 66(7)(a) to determine or appoint a replacement trustee acting in more than one sequestration;
- under sections 70(2)(a) and 72(3)(a) removal of trustee from office by AiB;
- under section 110(4)(b) extension of time limit for contractual powers of trustee;
- under section 155(2) proposal to make bankruptcy restrictions order;
- under section 159(3) to revoke or vary a bankruptcy restrictions order;
- under section 190(1) and (2) to convert a protected trust deed into sequestration;
- under section 212 a corrective order or to waive a time limit to cure a defect;
- where AiB puts a value on a debt under paragraph 3 of schedule 2 of the Act.

Regulation 20 makes provision for time limits following a reference being made to the sheriff by AiB.

Regulations 21 to 24 provide for additional procedure in review proceedings before AiB. AiB can appoint independent persons, including those with relevant expertise to assist AiB in relation to review applications (regulation 22). Staff involved in any initial decision of AiB under review must not be involved in review decisions (regulation 23). Review decisions of AiB must be made public (regulation 24).

Regulation 25 revokes the Bankruptcy (Applications and Decisions) (Scotland) Regulations 2014, subject to regulation 26, which provides that the Regulations do not apply to sequestrations as regards which the petition was presented, or the debtor application was made, or trust deeds executed, before 30th November 2016.

Document Generated: 2024-06-03

Status: Point in time view as at 30/11/2016.

Changes to legislation: There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016. (See end of Document for details)

A Business and Regulatory Impact Assessment has been prepared for these Regulations. Copies can be obtained from the Accountant in Bankruptcy's website: <http://www.aib.gov.uk>.

Status:

Point in time view as at 30/11/2016.

Changes to legislation:

There are currently no known outstanding effects for the The Bankruptcy (Applications and Decisions) (Scotland) Regulations 2016.