

## SCHEDULE

Article 2

<i>Column 1 (provisions of the Act)</i>	<i>Column 2 (subject matter)</i>
Section 10(2) to (6)	Authorisation to act
Section 11	Request for authorisation
Section 17	Licensing appeals
Section 31	Assessment of licensed providers
Section 32	Giving information to SLAB
Section 33	Reporting to Law Society
Section 34	Steps open to Society
Section 35	Financial inspection by Society
Section 36	Review of own performance
Section 37	Monitoring by Ministers
Section 38	Measures open to Ministers
Section 39	Surrender of authorisation
Section 40	Cessation directions
Section 41	Transfer arrangements
Section 43	Change of approved regulator
Section 46	Guidance on functions
Section 48	Eligibility criteria
Section 49	Majority ownership
Section 50	Key duties
Section 51	Head of Legal Services
Section 52	Head of Practice
Section 53	Practice Committee
Section 54	Notice of appointment
Section 55	Challenge to appointment
Section 56	Disqualification from position
Section 57	Effect of disqualification
Section 58	Conditions for disqualification
Section 60	Working context
Section 61	Listing and information
Section 62	Fitness for involvement
Section 63	Exemption from fitness text
Section 64	Factors as to fitness

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<i>Column 1 (provisions of the Act)</i>	<i>Column 2 (subject matter)</i>
Section 65	Ban for improper behaviour
Section 66	Behaving properly
Section 67	More about investors
Section 68	Duty to warn
Section 69	Inability to operate
Section 70	Safeguarding clients
Section 71	Distribution of client account
Section 72	Employing disqualified lawyer
Section 73	Concealing disqualification
Section 74	Pretending to be licensed
Section 75	Professional privilege
Section 77	Role of approved regulators
Section 79	Complaints about regulators
Section 80	Levy payable by regulators
Section 81	Complaints about providers
Section 82	Register of approved regulators
Section 83	Registers of licence providers
Section 84	Lists of disqualified persons
Section 85	Privileged material
Section 86	Immunity from damages
Section 87	Appeal procedure
Section 88	Corporate offences
Section 89	Effect of professional or other rules
Section 123	Licensed providers as qualified persons
Section 124	Practice rules for licensed providers
Section 128(1)(a)(i) and (iii), (b)(ii), (c) and (d)	Use of Guarantee Fund
Section 129(1)(a)(iii) and (b) to (g) and (3)	Contributions to the Fund
Schedule 1	Performance targets
Schedule 2	Directions
Schedule 3	Censure
Schedule 4	Financial penalties
Schedule 5	Amendment of authorisation
Schedule 6	Rescission of authorisation

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<i>Column 1 (provisions of the Act)</i>	<i>Column 2 (subject matter)</i>
Schedule 7	Surrender of authorisation
Schedule 8	Investors in licensed providers