

SCHEDULE 1

TERMS OF SERVICE FOR DENTISTS

PART II

CONTINUING CARE ARRANGEMENT, CAPITATION ARRANGEMENT AND TREATMENT ON REFERRAL

A capitation arrangement

5.—(1) A contractor who accepts a person for care and treatment under a capitation arrangement shall—

- (a) ensure that at the time at which the contractor accepts the patient, or, where sub-paragraph (3) applies at the time of a dentist's first examination of the patient, a dentist shall examine the patient and chart the patient's decayed, missing or filled teeth on the form supplied by the Health Board, or a form to like effect;
- (b) ensure that, except where sub-paragraph (3) applies, following the dentist's first examination of the patient, the dentist shall provide to at least one of the patient's parents, guardians or other person with care or control of the patient, or the patient where in the opinion of the dentist the patient is capable of understanding—
 - (i) appropriate education, advice, a care programme relating to the oral health needs of the patient; and
 - (ii) information about care and treatment under general dental services which is set out in Schedule 3, and with a form of acceptance supplied by the Health Board, or a form to like effect which shall specify—
 - (aa) the name of the patient;
 - (bb) the name of the dentist who will provide the care and treatment;
 - (cc) particulars of the places where the patient will receive care and treatment; and
 - (dd) the telephone number at which the dentist who will provide the care and treatment to the patient, or a deputy, may be contacted during normal surgery hours, or at other times in an emergency if different;
- (c) ensure that at the time of the first examination of the patient, the dentist provides to at least one of the patient's parents, guardians or other person with care or control of the patient, or the patient where in the opinion of the dentist the patient is capable of understanding a plan for treatment on a form supplied by the Health Board, or a form to like effect, which shall specify—
 - (i) details of the care and treatment (if any) which in the opinion of the dentist, at the date of that examination, is necessary to secure and maintain the oral health of the patient;
 - (ii) the approximate period following which a further examination is recommended by the dentist; and
 - (iii) any proposals the dentist may have for private care and treatment as an alternative to the care and treatment proposed under general dental services, including particulars of the cost to the patient;
- (d) ensure that where at any time during the currency of a capitation arrangement—

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- (i) the circumstances specified in sub-paragraph (2) apply; or
 - (ii) in the opinion of the dentist, the care and treatment included in a plan for treatment provided under paragraph (c) or this head of this sub-paragraph needs to be varied; the dentist provides to at least one of the patient's parents, guardians or other person with care or control of the patient or, the patient where in the opinion of the dentist the patient is capable of understanding, a new plan for treatment or, as the case may be, a revised plan for treatment in accordance (except as to the time of its provision) with paragraph (c) of this sub-paragraph;
- (e) complete the care and treatment (if any)—
- (i) which is referred to in paragraph (c)(i) of this sub-paragraph; and
 - (ii) where sub-paragraph (2) does not apply, any care and treatment which is, in the opinion of the dentist at the date of any examination of the patient, necessary to secure and maintain the patient's oral health; and
- (f) provide the patient with emergency cover in accordance with paragraph 6.
- (2) The circumstances referred to in sub-paragraph (1)(d)(i) are that—
- (a) the patient requests a new plan for treatment;
 - (b) the patient and the dentist have agreed that all or part of the treatment which is necessary to secure and maintain oral health is to be provided privately; or
 - (c) the care and treatment which is to be provided includes any of the items of treatment mentioned in paragraph 4(3).
- (3) A dental nurse or dental therapist can, on behalf of a contractor, accept a patient under the age of three years of age for care and treatment under a capitation arrangement and in so doing shall, at the time at which the patient is accepted, provide to at least one of the patient's parents, guardians or other person with care or control of the patient the appropriate education, advice, care programme, information and forms specified in sub-paragraph (1)(b).
- (4) Where a contractor accepts the transfer of a capitation arrangement it shall provide the patient with the information specified in sub-paragraph (1)(b)(ii)(bb) to (dd) and assume the obligations set out in sub-paragraph (1)(d) to (f).
- (5) Where the contractor is informed that the contractor to whom a patient has been referred under paragraph 12 has died and is aware that the particular care and treatment for which the patient was referred has not been completed, the contractor shall inform the patient and refer the patient to another contractor in accordance with paragraph 12 for completion of that care and treatment.