

SCHEDULE 2

FINANCIAL SERVICES

PART 1

Decisions referred to at Articles 3(b) and 5(c)

6. Any decision of the Council of Lloyd's—

- (a) to refuse to admit any person as, or to exclude, an underwriting agent (within the meaning of section 2 of the Lloyd's Act 1982⁽¹⁾), where that person has, or has applied for, Part IV permission;
- (b) to dismiss any person who is, or to exclude or fail to promote an individual who is seeking to become, an associate of a person who has Part IV permission and who is admitted to Lloyd's as an underwriting agent (within the meaning of section 2 of the Lloyd's Act 1982); or
- (c) to dismiss, or to exclude a person from being, an associate of the Council of Lloyd's,

by reason of, or partly by reason of, a spent conviction of an individual, or of any circumstances ancillary to such a conviction or of a failure (whether or not by that individual) to disclose such a conviction or any such circumstances.

⁽¹⁾ 1982 c.xiv.