

Sealed with the Official Seal of the Ministry of Finance for Northern Ireland this 29th day of July, 1948, in the presence of

(L.S.)

John I. Cook,
Assistant Secretary to the Ministry of Finance
for Northern Ireland.

SCHEDULE

Regulation 3.

FURTHER PROVISIONS AFFECTING TRANSFERS MADE BY THESE REGULATIONS

1. Every asset which is transferred by virtue of these regulations shall vest free of any trust existing immediately before the 9th August, 1948.

2. Every transferor of any asset which is transferred by virtue of these regulations shall, on the request of the transferee, execute and do all such lawful assurances and things as may be necessary for perfecting the title thereto.

3.—(1) Without prejudice to the generality of the provisions of regulation 3, every transferor, and every person who is responsible for keeping any register or book in which there is registered or inscribed any asset transferred by virtue of these regulations or is otherwise concerned with the registration or inscription of any such asset, shall do all such things as are necessary, or as the transferee may direct, to be done for the purpose of securing the due registration or inscription of the title of the transferee to that asset and the delivery to the transferee of any document constituting evidence of the said registration or inscription.

(2) The duty imposed by the preceding paragraph of this regulation in relation to any asset shall include a duty to do all such things as are necessary to secure that any dividend or interest payable in respect of that asset on or after the 9th August, 1948, will be paid to the transferee.

(3) A certificate issued by the Ministry that any specified asset is an asset which has been transferred to the transferee by virtue of these regulations shall be treated by any person responsible or concerned as aforesaid as evidence that that asset has been so transferred.

4. Every agreement, whether in writing or not, and every document not being an agreement, under which, immediately before the 9th August, 1948, any asset transferred by virtue of the Act is held shall, unless any property not so transferred is held thereunder, as from the 9th August, 1948, have effect as if a reference to the transferee were substituted for any reference therein (however worded and whether express or implied) to the transferor.

5. Any proceedings affecting any asset transferred by virtue of these regulations which, on the 9th August, 1948, are pending shall not be prejudicially affected by reason of that transfer, but any such proceedings may be amended in such manner as may appear necessary or proper for enabling them to be prosecuted or enforced by or against the transferee or any other person or persons concerned as the case may be.

6. References in this schedule to an asset shall include references to the whole or part of any fund transferred by these regulations.

National Insurance and Civil Service (Superannuation) Regulations (Northern Ireland), 1948

REGULATIONS, DATED 30TH DECEMBER, 1948, MADE BY THE MINISTRY OF LABOUR AND NATIONAL INSURANCE, WITH THE CONSENT OF THE MINISTRY OF FINANCE, UNDER THE SUPERANNUATION (SPECIAL PROVISIONS) ACT (NORTHERN IRELAND), 1948.

1948. No. 350

The Ministry of Labour and National Insurance, with the consent

of the Ministry of Finance, in exercise of the powers conferred on it by section 1 of the Superannuation (Special Provisions) Act (Northern Ireland), 1948, and of all other powers enabling it in that behalf, hereby makes the following regulations :—

PART I

General

1.—(1) These regulations may be cited as the National Insurance and Civil Service (Superannuation) Regulations (Northern Ireland), 1948, and shall come into operation on the 1st January, 1949.

Citation, commencement and interpretation.

(2) In these regulations, unless the context otherwise requires —

“ the Act ” means the Superannuation (Special Provisions) Act (Northern Ireland), 1948 ;

“ the Superannuation Acts ” means the Superannuation Acts (Northern Ireland), 1921 to 1947 ;

“ the National Insurance Act ” means the National Insurance Act (Northern Ireland), 1946 ;

“ the Unemployment Insurance Act ” means the Unemployment Insurance Act (Northern Ireland), 1936 ;

“ the Health Insurance Act ” means the National Health Insurance Act, 1936 ;

“ the Sickness Visitation Committee ” means the Sickness Visitation Committee constituted under the scheme framed by virtue of section 207 of the Health Insurance Act ;

“ the Ministry ” means the Ministry of Labour and National Insurance for Northern Ireland ;

“ former employment ” and “ former emoluments ” have the meanings given to those expressions in regulation 3 ;

“ health insurance business ” means business under the Health Insurance Act ;

“ the appointed day ” means the appointed day for the purposes of the National Insurance Act ;

“ transferor ” means, in relation to any fund or assets which, as at the 9th August, 1948, are transferred by virtue of these regulations, the person in whom such fund or assets were vested immediately before that day ; and “ transferee ” means, in relation to any such fund or assets, the person to whom such fund or assets are so transferred ;

“ Civil Service retiring age ” has the same meaning as “ retiring age ” in the Superannuation Act (Northern Ireland), 1935 ;

“ tribunal ” means a referee or board of referees appointed by the Minister of Labour and National Insurance ;

“ number of years ” includes a fractional number ;

“ war service ” has the meaning given to that expression in paragraph 4 of the First Schedule to these regulations ;

“ pension ”, “ pension rights ” and (subject to the provisions of the next following paragraph) “ pension scheme ” and “ pension fund ” have the same meanings as in the Act ;

“ pensionable employment ” means employment which is relevant in relation to the pension rights of the person employed, and includes all such employment, whether or not for a period sufficient to render him entitled to, or eligible for, the payment of a pension to or in respect of him ;

“ the Superannuation Rules ” means the National Insurance and Civil Service (Superannuation) Rules, 1948, made by the Minister of National Insurance ;

and other expressions have the same meanings as in the Act, the Superannuation Acts or the National Insurance Act, as the case may be.

(3) Subject to the provisions of regulation 22, references in these regulations to a pension scheme or pension fund shall not apply to any such scheme or fund unless provision is made, either by statute relating to the scheme or fund, or by trust deed, rules or other instrument made for the purposes thereof, as to the conditions upon which—

- (a) in the case of a contributory scheme or fund, persons may become and cease to be contributors ; and
- (b) in every case, persons may become and cease to be entitled to benefits.

(4) References in these regulations to any enactment, regulations or rules shall include references to such enactment, regulations or rules as amended by any subsequent enactment, order, regulations or rules.

(5) The Interpretation Act, 1921, applies to the interpretation of these regulations as it applies to the interpretation of an Act of the Parliament of Northern Ireland.

PART II

Persons who become civil servants

Length of service for purposes of the Superannuation Acts.

2.—(1) Subject to the provisions of these regulations, where a person has been employed full time—

- (a) by an Approved Society ; or
- (b) by some other body (including a body of which the Society is a branch or section) administering the affairs of an Approved Society ; or
- (c) by a body administering a special scheme under section 77 of the Unemployment Insurance Act (which section relates to special schemes) ; or
- (d) by the Sickness Visitation Committee ;

and, whether before or after the passing of the Act but before the 5th July, 1949, becomes a civil servant, then, if his employment by such Society, body or Committee was such as to satisfy the conditions provided in regulation 3, such employment shall be treated for the purposes of the Superannuation Acts in the manner provided by regulation 4 or, as the case may be, regulation 5.

(2) If a person who otherwise satisfies the provisions of the last preceding paragraph is unable to become a civil servant before the 5th July, 1949, by reason only of his failing on account of ill health or disablement to satisfy the Civil Service Commissioners for Northern Ireland but enters employment in the Civil Service of Northern Ireland in an unestablished capacity before that date, and after continuous service in such a capacity becomes a civil servant at some later date, the Ministry, with the consent of the Ministry of Finance, may direct that he shall be treated as having satisfied those provisions, and these regulations shall apply accordingly in his case but subject to such modifications as the Ministry of Finance may determine.

3.—(1) For the purposes of the last preceding regulation and of regulation 10, the following conditions are to be satisfied in relation to a person's employment by such Society, body or Committee as is mentioned in the last preceding regulation :—

Conditions to be satisfied in relation to former employment.

(a) that the person —

(i) was continuously in full time employment by such Society, body or Committee from the 1st January, 1946, until the relevant date, and had on the said 1st January, 1946, pension rights in a pension scheme associated with that employment, or was then by the terms of his employment eligible to have such rights at a specified future date if he remained in the employment ; or

(ii) on the 5th July, 1940, was employed full time by such Society, body or Committee in a permanent capacity or in a capacity having a recognised and considerable expectation of permanency, and was continuously so employed until the relevant date ; and

(b) (except in the case of employment by a body administering a special scheme under section 77 of the Unemployment Insurance Act) that, during at least half of the person's working time from the 5th July, 1940 (or, if that is more favourable to the person, from the 1st January, 1946) until the relevant date, he was engaged in health insurance business.

(2) The provisions of this regulation shall, in relation to any person who was engaged in war service at any time during the period from the 5th July, 1940, to the relevant date, apply subject to the provisions of the First Schedule to these regulations.

(3) Where in any particular case the foregoing conditions are not completely satisfied, but the facts of the case are such as in the opinion of the Ministry show a substantial degree of satisfaction of the conditions and justify the treatment of the person as having satisfied them, the Ministry may direct that the person shall be so treated, and these regulations shall apply accordingly.

(4) In this regulation the expression "the relevant date" means—

- (a) in the case of a person who becomes a civil servant, the date on which he last ceased to be employed by such Society, body or Committee ;
 - (b) in the case of a person who suffers a loss of employment to which regulation 10 applies, the date of that loss of employment.
- (5) In these regulations —
- (a) the expression “ former employment ” means employment in relation to which the provisions of this regulation are satisfied, and any earlier full time employment by such Society, body or Committee of which it is in continuation, and any reference to the period of a person’s former employment shall be construed as referring to a continuous period ending with the relevant date, employment under successive employers being aggregated, so, however, that a period may in the discretion of the Ministry be deemed to be continuous notwithstanding breaks in the employment due to sickness, unemployment or other reasonable cause, and the period or periods of any such breaks shall in that discretion be disregarded in computing the period of former employment ;
 - (b) the expression “ former emoluments ” means, in relation to a person’s former employment, the normal annual rate immediately before the relevant date of his salary or wages, and the amount received during the twelve months before the relevant date by way of commission, fees and other similar payments, and bonus, allowance or overtime pay incorporated in the general wages structure, including any regularly recurring overtime, and the money value during those twelve months of any personal travel privileges appertaining to the employment, but does not include payments for travelling on duty, subsistence, clerical assistance, office accommodation or overtime pay not incorporated as aforesaid.

Persons not having pension rights in former employment.

4.—(1) In the case of a person to whom regulation 2 applies, who did not on the 1st January, 1946, or at any time thereafter have pension rights in a pension scheme associated with his former employment, the period of his former employment shall, subject to the following provisions of this regulation, be treated for the purposes of the Superannuation Acts as if it had been continuous service in an unestablished capacity ending immediately before the date on which the said person became a civil servant.

(2) The foregoing provisions of this regulation shall not apply to any person unless, not later than the 5th July, 1949, he pays into the Exchequer a sum equal to two per cent. of his former emoluments multiplied by one half of the number of years of the period of his former employment :

Provided that —

- (a) a person may, with the consent of the Ministry of Finance,

be permitted to pay the said sum by equal instalments to be deducted from his emoluments as a civil servant throughout the period of his service as a civil servant ;

- (b) where a person dies or ceases to be employed as a civil servant before he attains Civil Service retiring age and no allowance or gratuity under the Superannuation Acts is payable in his case, an amount equal to the sum so paid (or, if he has been permitted to pay by instalments, an amount equal to the aggregate of the instalments he has paid) shall be repaid out of the Exchequer ; and
- (c) where a person who has been permitted to pay by instalments dies or ceases to be employed as a civil servant before he attains Civil Service retiring age, and an allowance or gratuity under the Superannuation Acts is payable in his case, an amount equal to the aggregate of the instalments remaining unpaid shall be recoverable by deduction from that allowance or gratuity, either in one sum or in such instalments as the Ministry of Finance may determine.

(3) For the purposes of the foregoing paragraphs of this regulation, any person the period of whose former employment exceeds twenty years shall be treated as if that period were twenty years.

(4) Where a person to whom this regulation applies had at some time before the 1st January, 1946, pension rights in a pension scheme associated with his former employment, there shall for the purposes of this regulation be excluded from the period of his former employment any part of that period which was pensionable employment for the purposes of that pension scheme :

Provided that the Ministry may with the consent of the Ministry of Finance in any particular case direct that this paragraph shall not apply, or shall apply to part only of the pensionable employment.

(5) For the purposes of this regulation, if the period of a person's former employment was not an exact number of years, any part of a year which is less than six months shall be ignored and any part which is six months or more shall be treated as one year.

5.—(1) In the case of a person to whom regulation 2 applies, who, on the 1st January, 1946, or at any time thereafter, had pension rights in a pension scheme associated with his former employment, the period of his former employment shall be taken into account for the purposes of the Superannuation Acts subject to, and to the extent provided by, the following provisions of this regulation.

(2) Such part of the period of his former employment as was pensionable employment for the purposes of that pension scheme shall —

- (a) where in the opinion of the Ministry after consultation with an actuary appointed by the Ministry of Finance the rights conferred by that scheme were not substantially inferior in

value to the benefits conferred on civil servants by the Superannuation Acts, be treated as service as a civil servant ; and

- (b) where in the opinion of the Ministry after such consultation the said rights were substantially inferior in value to those benefits, be reduced by such proportion as appears to the Ministry to be just, having regard to the value of the said rights, and the remainder treated as service as a civil servant :

Provided that —

- (i) where the period treated as service as a civil servant under this paragraph is less than one half of the period of his former employment (and for the purpose of this proviso, if the period of his former employment was not an exact number of years, any part of a year which is less than six months shall be ignored and any part which is six months or more shall be treated as one year, and, if the period of his former employment exceeded twenty years, it shall be treated as being twenty years), the person may in manner provided by paragraph (2) of the last preceding regulation pay into the Exchequer a sum equal to two per cent. of his former emoluments multiplied by the number of years by which the period so treated as service as a civil servant falls short of one half of the period of his former employment, and in that event the said number of years shall be added to the period treated as service as a civil servant ;
- (ii) where the aggregate of the period treated as service as a civil servant in a case to which sub-paragraph (b) of this paragraph applies (including any years added in accordance with the immediately preceding provision of this proviso) and of his actual period of service as a civil servant is less than the minimum period of service required by the Superannuation Acts for the payment of an allowance or gratuity, but the said aggregate period would, but for the reduction made in accordance with the said sub-paragraph (b), have been sufficient for an allowance or gratuity to be granted, such allowance or gratuity may be granted as though the said aggregate period had been equal to that minimum period, so, however, that its amount shall be reduced by a proportion equal to the proportion which the number of years by which the said aggregate period falls short of the minimum period bears to the number of years in the minimum period.
- (3) Where it appears to the Ministry —
- (a) that the benefits conferred by a pension scheme are of a

value or kind commonly provided by a friendly society or savings bank and do not make adequate provision for retirement ; and

- (b) that the contributions deducted from the salaries of those having rights in that scheme are less than two and one half per cent. of such salaries ;

it may direct that rights in that pension scheme shall be disregarded for the purposes of these regulations.

(4) If —

- (a) any person to whom paragraph (1) of this regulation applies has, before these regulations come into operation or before he becomes a civil servant (whichever is the later), ceased to have the pension rights referred to in that paragraph ; or
- (b) any such pension rights have, in connection with any provision made by the Act or by the National Insurance Act, or in anticipation of the making of any such provision, been created otherwise than in the ordinary course ; or
- (c) there has been default in making any transfer in whole or part of any pension fund or assets held for the purpose of the pension scheme in accordance with Part IV of these regulations ;

the rights conferred by these regulations may be so modified or extinguished as may appear to the Ministry to be appropriate, and the Ministry may, in particular, in the case of a person to whom sub-paragraph (a) of this paragraph applies, require the payment into the Exchequer by that person of the amount of any payment received by him in respect of his pension rights.

(5) If a person to whom paragraph (1) of this regulation applies (not being a person who under the pension scheme associated with his former employment was entitled, without the consent of his employer in that employment or of any other person, to retire with a pension at the age of sixty or any earlier age) retires from the Civil Service at his own request before he attains the age at which he was, under that scheme, entitled without such consent to retire with a pension, or the age of sixty-five, if that is earlier, the Ministry may direct that the reckoning of the period of his former employment for the purposes of the Superannuation Acts in accordance with these regulations shall be subject to such adjustment as it may, with the consent of the Ministry of Finance, determine.

6. Where, in the case of a person to whom regulation 2 applies who at any time on or after the 1st January, 1946, had pension rights in a pension scheme associated with his former employment, those pension rights are secured under a policy of insurance, then, if that person, not later than the 31st March, 1949 (or such later date as the Ministry may in its discretion allow in a particular case) gives notice in writing to the Ministry, in a form approved by the Ministry for the purpose, that he elects to continue to be subject to that policy, he shall continue to be

Pension rights secured under policies of insurance.

so subject, and the Ministry shall have power to pay the premiums authorised or required under the policy to be paid by that person's employer in respect of him, and in that event neither the period of his former employment nor any period in respect of which the Ministry so pays premiums shall be taken into account for the purposes of the Superannuation Acts :

Provided that if in the opinion of the Ministry the cost in any particular case would be unreasonably high in relation either to the benefits secured or to the cost of the provision which would be made for the person under these regulations but for his election, or if in the opinion of the Ministry the benefits secured by way of superannuation would be inadequate, it may within six months of receiving the notice of election give notice in writing to the person that it declines to allow him so to elect, and in that event the person shall be treated as if this regulation had never applied in his case.

Payments to
civil servant
in respect of
accrued
rights.

7.—(1) Where, in the case of a person to whom regulation 5 applies (but who has not elected to continue to be subject to a policy under regulation 6 or whose election has been declined by the Ministry), his accrued rights under the pension scheme associated with his former employment in relation to any contingency which has occurred are greater than the allowance or gratuity paid to or in respect of him under the Superannuation Acts in relation to that contingency (or there are such accrued rights but no such allowance or gratuity is paid), the Ministry of Finance may make payments to or in respect of him equal to the value of those accrued rights less the amount of any allowance or gratuity paid in relation to that contingency.

(2) Where any payment is made by the Ministry of Finance under the foregoing paragraph to or in respect of any such person, and that person continues to be employed as a civil servant after such payment, the rights conferred on that person by regulation 5 may be so modified or extinguished as the Ministry, with the consent of the Ministry of Finance, may determine to be appropriate.

(3) Any such payments shall be made to the person or persons who would, but for these regulations or the passing of the National Insurance Act, have had the right to payment under the pension scheme concerned.

(4) Where this regulation would require the deduction of a lump sum from a periodical payment or of a periodical payment from a lump sum, the Ministry shall, with the consent of the Ministry of Finance and after consultation with an actuary appointed by that Ministry, determine what adjustment is proper to be made.

(5) The accrued rights in any case shall be ascertained for the purpose of this regulation in accordance with the provisions of the Second Schedule to these regulations.

(6) Notwithstanding the foregoing provisions of this regulation, no payment shall be made in respect of a contingency occurring not

later than the 9th August, 1948, and giving a right to the return of contributions unless the person concerned gives notice in writing to the Ministry not later than the 5th July, 1949, that he desires such payment to be made.

(7) If payment in respect of such a contingency as is mentioned in the last preceding paragraph is duly made, no further payment in respect of accrued rights shall thereafter be made to or in respect of that person under these regulations and no increase in the length of his service shall be made hereunder for any purpose of the Superannuation Acts.

(8) No payment of a lump sum shall be made under this regulation in respect of accrued rights to a periodical payment :

Provided that, in the case of a person who ceases to be employed as a civil servant, the Ministry of Finance may, where the capital value of the accrued rights when added to the capital value of any compensation due to that person under section 64 of the National Insurance Act (which section relates to compensation for displaced employees) does not exceed two hundred and fifty pounds, make a lump sum payment equal to the capital value of the accrued rights.

8.—(1) Where, in the case of a person to whom regulation 5 applies (but who has not elected to continue to be subject to a policy under regulation 6 or whose election has been declined by the Ministry), a contingency arises, or may arise, in relation to which an allowance or gratuity may be paid to or in respect of him under the Superannuation Acts, he may, either before or after the arising of the contingency, but not later than six weeks after it has arisen, give notice in writing to the Ministry that he elects that the provisions of the next following paragraph shall apply in relation to that contingency : Option to civil servant to have modified conditions applied to payments under Superannuation Acts.

Provided that, if the person is unavoidably prevented from giving such notice before the expiration of the said six weeks, the Ministry may in its discretion extend the period for giving such notice.

(2) Where such a notice has been received by the Ministry, such portion of the said allowance or gratuity as the Ministry, with the consent of the Ministry of Finance, may determine to be attributable to the period of his former employment (but no other portion thereof) shall, notwithstanding anything in the Superannuation Acts, be subject to the like conditions as were applicable under the pension scheme associated with his former employment as to payment, allocation or otherwise, but with such modifications as the Ministry, with the consent of the Ministry of Finance, may determine to be necessary to adapt those conditions to payment under those Acts.

9.—(1) In the case of a person to whom regulation 5 would apply but for the provisions of this regulation, where under the pension scheme associated with his former employment he was entitled to retire at an earlier age than the age of sixty, and received a pension other than a return of contributions on such retirement, then, if he Persons entitled to a pension before the age of sixty.

becomes a civil servant while under that age, the period of his former employment shall not be taken into account for the purposes of the Superannuation Acts :

Provided that, if his actual period of service as a civil servant is less than the minimum period of service required by the Superannuation Acts for the payment of an allowance or gratuity, but the said period would, but for this regulation, have been sufficient, when added to the period treated as service as a civil servant in accordance with regulation 5, for an allowance or gratuity to be granted, such allowance or gratuity may be granted as though his period of service had been equal to that minimum period, so, however, that its amount shall be reduced by a proportion equal to the proportion which the number of years by which the actual period falls short of the minimum period bears to the number of years in the minimum period.

(2) A person to whom this regulation applies shall not be entitled to any payment in respect of accrued rights under regulation 7, and the pension (including any right to payments after his death) to which he became so entitled shall remain a liability under the pension scheme and, in the case of a scheme to which regulation 12 applies, be transferred to the Ministry under that regulation, but except for that pension the trustees and managers of that scheme and any other persons having or having had custody or control of the assets (including, in the case of a scheme to which regulation 12 applies, the Ministry) shall be under no liability to him in respect of pension rights arising from his former employment.

PART III

Discharged employees

Discharged employees who do not become civil servants.

10.—(1) The following provisions of this regulation apply to persons in respect of whom the following conditions are fulfilled (hereafter in these regulations called “ discharged employees ”) that is to say :—

- (a) that they have been employed full time by any such Society, body or Committee as is mentioned in regulation 2 ;
- (b) that they have lost that employment ;
- (c) that the loss of employment is directly attributable to the passing of the National Insurance Act or the making of any regulations thereunder ;
- (d) that they are not, or do not, before the 5th July, 1949, become, civil servants ; and
- (e) that they have pension rights under any pension scheme or are interested in any pension fund under which scheme or in which fund other persons to whom regulation 2 applies have such rights or interest.

(2) Where in the case of a discharged employee there are accrued rights in relation to any contingency which has occurred, and either —

- (a) the employment which he lost was such as to satisfy the conditions in regulation 3 ; or

(b) he is entitled to receive compensation for that loss of employment under section 64 of the National Insurance Act ; or
(c) the pension scheme or fund in relation to which he satisfies the condition in sub-paragraph (e) of the last preceding paragraph is a fund wholly transferred by regulation 12 ;
the Ministry of Finance may make payments to or in respect of him equal to the value of those accrued rights.

(3) Any such payment shall be made to the person or persons who would, but for these regulations or the passing of the National Insurance Act, have had the right to payment under the pension scheme concerned.

(4) Notwithstanding the foregoing provisions of this regulation, no payment shall be made in respect of a contingency occurring not later than the 9th August, 1948, and giving a right to the return of contributions unless the person concerned gives notice in writing to the Ministry not later than the 5th July, 1949, that he desires such payment to be made.

(5) If payment in respect of such a contingency as is mentioned in the last preceding paragraph is duly made, no further payment in respect of accrued rights shall thereafter be made to or in respect of that person under these regulations.

(6) No payment of a lump sum shall be made under this regulation in respect of accrued rights to a periodical payment :

Provided that where the capital value of the accrued rights, when added to the capital value of any compensation due to the discharged employee under section 64 of the National Insurance Act, does not exceed two hundred and fifty pounds, the Ministry of Finance may make a lump sum payment equal to the capital value of the accrued rights.

(7) The accrued rights in any case shall, subject to the provisions of the next following paragraph, be ascertained for the purpose of this regulation in accordance with the provisions of the Second Schedule to these regulations.

(8) If under any regulations made under section 64 of the National Insurance Act the Ministry has power for the purpose of assessing compensation under those regulations to credit a discharged employee with additional years of service, then, in determining accrued rights under these regulations upon the death of such employee to whom additional years of service may be so credited, the Ministry may direct that the like number of years shall be added for the purpose of paragraph 3 of the Second Schedule to these regulations to that part of the period of that discharged employee's former employment which was pensionable employment.

(9) Any payments authorised by these regulations in respect of the pension rights of discharged employees shall be made out of moneys provided by Parliament.

PART IV

Schemes and funds

Affected schemes and funds.

11.—(1) The provisions of this Part of these regulations apply to —

- (a) any pension scheme under which any person to whom regulation 2 or regulation 10 applies has pension rights ; and
- (b) any pension fund held for the purposes of any such scheme.

(2) In this and the next succeeding Part of these regulations —
“ affected scheme ” means a pension scheme to which the provisions of this Part apply ;

“ affected fund ” means a pension fund to which the provisions of this Part apply ;

“ affected person ” means a person to whom regulation 2 or regulation 10 applies ;

“ pensioner ” means a person to whom a pension is payable under an affected scheme or out of an affected fund in respect of a period which includes the 9th August, 1948.

Funds and assets wholly transferred.

12. Subject to the provisions of regulation 14, where on the 29th July, 1948, the persons interested in an affected fund or having pension rights under an affected scheme included no persons who were not affected persons or pensioners or other persons who before that date were employed either —

(a) by an Approved Society (including any branch thereof) transacting only health insurance business, or any separate section established for the purposes of the Health Insurance Act ; or

(b) by a body administering a special scheme under section 77 of the Unemployment Insurance Act ; or

(c) by the Sickness Visitation Committee ;

the whole of that fund or of the assets of that scheme and any liabilities under that scheme shall, as at the 9th August, 1948, be transferred to the Ministry by virtue of these regulations.

Persons who have lost their interest or rights since 1st January, 1946.

13. Where, at any time on or after the 1st January, 1946, an affected person was interested in an affected fund or had pension rights under an affected scheme, but such interest or rights have been determined before these regulations come into operation (or, in the case of a civil servant, before he becomes a civil servant, if that is later) by the payment to him of a sum in respect of such interest or rights, the Ministry, with the consent of the Ministry of Finance, may, as a condition of applying to his case any provision of these regulations providing for payments by the Ministry of Finance in respect of accrued rights, require the payment into the Exchequer by that person of the sum so received by him, and in default of such payment any payments by the Ministry of Finance in respect of accrued rights may be so modified or extinguished as the Ministry, with the consent of the Ministry of Finance, may determine to be appropriate.

14.—(1) In relation to affected persons, the liabilities of an affected fund, or under an affected scheme, shall, subject to the provisions of regulations 7, 9 and 10, be extinguished. Transfer of funds, assets and liabilities, etc.

(2) If in respect of any period a discharged employee has received provisional payments on account of compensation under the National Insurance (Compensation) (Temporary Provisions) Regulations (Northern Ireland), 1948, in excess of the payments due in respect of that period under any regulations made under section 64 of the National Insurance Act the amount of such excess, in so far as it does not exceed the value of any accrued rights in respect of that period to which paragraph (2) of regulation 10 applies in his case, shall be deemed to be a payment by the Ministry of Finance in pursuance of that paragraph and shall be repaid to the National Insurance Fund by that Ministry.

(3) The transfers made under regulation 12 shall be subject to the further provisions contained in the Third Schedule to these regulations.

(4) In the case of a fund or scheme to which regulation 12 applies, the Ministry shall make such arrangements as appear to it to be requisite for securing the payment of the liabilities under the scheme to pensioners and such other persons as are mentioned in regulation 12 or to other persons in respect of them after their death and shall, in particular, make provision for the payment of pensions due to be paid out of the fund or assets at the next date of payment after the 9th August, 1948, so, however, that such arrangements shall not, by reason of any alteration in the method or source of payment or otherwise, in any way modify the pension rights of any of those persons and shall not, in particular, be deemed to convert any pension payable to those persons into a pension payable under the Superannuation Acts :

Provided that in any case in which, in connection with any provision made by the Act or by the National Insurance Act, or in anticipation of the making of any such provision, pension rights have been created otherwise than in the ordinary course, such adjustments shall be made in the calculation of the liabilities resulting from such creation as may appear to the Ministry to be appropriate.

(5) The provisions of the Fourth Schedule to these regulations shall apply to the arrangements made in accordance with the last preceding paragraph.

15. Notwithstanding anything in these regulations, in the case of a discharged employee in respect of whom the following conditions are fulfilled, that is to say :— Payment of transfer values.

- (a) such transfer of a fund or assets and such payment (if any) into the Exchequer has been made as is required in his case by this Part of these regulations ;
- (b) he has entered, or intends to enter, employment with which a pension scheme (in this paragraph called " the new pension scheme ") is associated, or in connection with which his employer intends to make provision for the payment to him

of a pension, and he has shown to the satisfaction of the Ministry that upon the payment to the trustees or managers of the new pension scheme, or to his employer, of such transfer value as is provided by this regulation he will, either under the rules of the new pension scheme or under an undertaking entered into by his employer, have pension rights in respect of the whole or part of his former employment;

- (c) he has made a request to the Ministry in writing for the payment of such transfer value, and has undertaken in such request to accept the making of such payment in full satisfaction of all claims he may have upon the Ministry in respect of his pension rights; and
- (d) the Ministry sees no reason why the payment of such transfer value should not be made;

the Ministry of Finance shall pay to the trustees or managers of the new pension scheme, or to his employer, a transfer value in respect of his accrued rights as certified by an actuary appointed by the Ministry of Finance, and after such transfer value has been paid no further payment in respect of accrued rights shall be made to or in respect of the discharged employee under these regulations.

Policies of insurance.

16.—(1) Any policies of insurance under which the pension rights of affected persons are secured shall be included in the assets to which regulation 12 applies.

(2) In the case of policies transferred to it, the Ministry may, from such date as it shall determine —

- (a) assume all or any of the rights in relation to such policies of the employers of the affected persons or of the trustees or managers of the affected funds or schemes;
- (b) continue the policies in force in cases to which regulation 6 applies;
- (c) require the issue of paid-up policies in respect of the premiums already paid;
- (d) (with the consent of the persons granting the policies) transfer them to other persons, including, if it deems appropriate, the persons having pension rights thereunder.

(3) The persons granting such policies shall do all things necessary to enable these regulations to be complied with, and shall in particular issue any new policies that may be required :

Provided that —

- (a) the stamp duty on any new policies issued in substitution for existing policies shall be borne by the Ministry; and
- (b) the said persons shall, in cases where new policies are required under an affected scheme in order to provide increased benefits, have the right in accordance with the terms of the scheme to decline, on health grounds, to grant an assurance or to require an increased premium.

(4) Where a policy of insurance is transferred under paragraph (2) of this regulation to a person having pension rights under that policy, he shall be deemed to have received all payments due or to become due to him under these regulations in respect of his accrued rights.

17. Nothing in any statutory provision relating to an affected fund or scheme, or in any trust deed, rules or other instrument made for the purposes thereof shall authorise anything that is contrary to these regulations. Modification of trust deeds, etc.

18. Every employer or past employer of an affected person, and every trustee or manager of an affected fund or scheme, and the servants or agents of any such employer, trustee or manager, and every affected person shall furnish to the Ministry of Finance, the Ministry, an actuary appointed by the Ministry of Finance, the tribunal or any officer of any of them all such information and produce for inspection all such documents as may reasonably be required for the purpose of ascertaining the service and pension rights of an affected person, and the nature, extent and location of funds and assets (and liabilities attaching thereto) to be transferred under these regulations, and shall (unless excused by the Ministry from so doing) hand over all books, records, papers and documents appertaining to the scheme or fund. Furnishing of information and records.

19. The National Insurance and Civil Service (Superannuation) (Schemes and Funds) Regulations (Northern Ireland), 1948, are hereby revoked, but without prejudice to anything duly done or suffered, or to any right, privilege, obligation or liability acquired, accrued or incurred thereunder, so, however, that any transfer of a pension fund or of the assets held for the purposes of a pension scheme, or of the liabilities of such fund or scheme, made by virtue thereof shall be treated as if it were a transfer made under regulation 12 of these regulations, and that the account set up under regulation 4 of the said National Insurance and Civil Service (Superannuation) (Schemes and Funds) Regulations (Northern Ireland), 1948, shall be treated as if it were the account set up under the Fourth Schedule to these regulations. Revocation of National Insurance and Civil Service (Superannuation) (Schemes and Funds) Regulations (Northern Ireland), 1948.

PART V

Claims, questions and appeals

20. Any person who claims to be entitled —

- (a) to be a discharged employee for the purposes of regulation 10 by reason of having suffered a loss of employment which is directly attributable to the passing of the National Insurance Act or the making of any regulations thereunder; or Notice of claim.
- (b) to have, either immediately or upon the happening of some future contingency, accrued rights for the purposes of regulation 7 or regulation 10;

and who has not, before the 31st December, 1949, been notified in writing of a decision of the Ministry as to whether or not he is such

a person or possesses such rights, shall, not later than the 5th July, 1950, give notice of his claim to the Ministry in a form approved by it for the purpose :

Provided that —

- (a) any claim to compensation made in accordance with regulations under section 64 of the National Insurance Act shall be deemed to be a notice under this regulation ; and
- (b) the Ministry may in its discretion in any particular case extend the time for giving such notice.

Determin-
ation of
questions
and appeals.

21.—(1) Subject to the provisions of this Part of these regulations, any question arising under these regulations shall be decided by the Ministry.

(2) A claimant may, if he is dissatisfied with a decision by the Ministry on any of the following matters, that is to say :—

- (a) whether a loss of employment is directly attributable to the passing of the National Insurance Act or the making of any regulations thereunder ; or
- (b) the existence, nature or amount of accrued rights ; or
- (c) the existence of a reasonable expectation of receiving a pension, or the nature or amount of such pension or of any special award in respect thereof ;

within three months after the date on which he was notified of the decision, require the matter to be referred to the tribunal, and the matter shall be referred accordingly.

(3) The Ministry, when notifying any decision in respect of any of the matters referred to in paragraph (2) of this regulation to the claimant, shall inform him in writing of his right to require the matter to be referred to the tribunal.

(4) The tribunal shall consider any matter so referred in accordance with the provisions of these regulations, and shall determine whether the decision of the Ministry shall be confirmed, reversed or varied, and the Ministry shall give effect to the determination of the tribunal.

(5) Nothing in these regulations shall affect the discretion of the Ministry of Finance in making awards under the Superannuation Acts.

PART VI

Miscellaneous

Persons
having an
expectation
of a pension.

22.—(1) Where in the case of any person —

- (a) that person has enjoyed since not later than the 1st January, 1946, by customary practice or otherwise, a reasonable expectation of receiving a pension on retiring from his former employment (whether or not accompanied by a reasonable expectation of some payment by way of pension to him in the event of retirement through ill health or

- incapacity or in respect of him upon his death) ; and
- (b) the arrangements for the payment of such pension (hereafter in this regulation called "the said pension"), whether subsisting by virtue of Act of Parliament, trust, contract or otherwise, do not comply with the requirements of paragraph (3) of regulation 1, but that person would, but for that paragraph, be a person to whom regulation 7 or regulation 10 would apply ; and
- (c) he has applied to the Ministry not later than the 31st March, 1949 (or such later date as the Ministry may for special reasons allow in a particular case) for the grant of a special award under this regulation, and has proved his enjoyment of that expectation to the satisfaction of the Ministry within a reasonable time thereafter ;

the Ministry, with the consent of the Ministry of Finance, may grant a special award under this regulation equal to such proportion of the said pension as it considers equitable having regard to that person's age, the length of the period of his former employment, the provisions of these regulations governing the ascertainment of accrued rights which would have applied in his case if the said pension had been payable under a pension scheme complying with the requirements of paragraph (3) of regulation 1 and not being a scheme to which paragraphs 4 and 5 of the Second Schedule to these regulations apply, and all other circumstances of his case.

(2) Any such award shall specify the contingency or contingencies on the happening of which payment under the award is to be made and the Ministry of Finance may, on the happening of any such contingency, make payments to or in respect of that person in accordance with the award, and for the purpose of this regulation the provisions of paragraph 1 of the Second Schedule to these regulations shall apply to any contingency as though it were a contingency giving rise to accrued rights in the case of a person to whom regulation 7 or regulation 10 applied :

Provided that the said payments may be reduced or extinguished as the Ministry, with the consent of the Ministry of Finance, may determine to be appropriate having regard to any gratuity or allowance payable to the person under the Superannuation Acts and to any payments received or to be received by him from his employer in the former employment.

23. Where, under any trust deed, rules or other instrument made for the purposes of any affected fund or scheme, provision is made for the voluntary payment by persons interested therein or having pension rights thereunder of contributions additional to those required by such trust deed, rules or other instrument, and those additional contributions are paid into a separate fund or account (hereinafter called "a supplemental fund") into which no contributions are paid by the employers

Exclusion of supplemental funds, etc.

of those persons and out of which increased or additional benefits by way of pension are payable —

- (a) Part IV of these regulations shall not apply to a supplemental fund ;
- (b) pension rights in a supplemental fund shall not be taken into account in determining accrued rights for any purpose of these regulations ; and
- (c) the payment of contributions into a supplemental fund in respect of any period of former employment shall not constitute that period a period of pensionable employment.

Modification of the regulations in certain cases.

24. In their application to a person who is a Northern Ireland employee within the meaning of rule 25 of the Superannuation Rules the provisions of these regulations shall be subject to the following modifications :—

- (a) paragraph (4) of regulation 5 shall have effect as if in sub-paragraph (b) the references to “ the Act ” and “ the National Insurance Act ” included respectively references to the Superannuation (Miscellaneous Provisions) Act, 1948, and the National Insurance Act, 1946, passed by the Parliament of the United Kingdom, and as if in sub-paragraph (c) the words “ or rule 25 of the Superannuation Rules ” were added at the end thereof ;
- (b) paragraph (3) of regulation 7 shall have effect as if after the word “ regulations ” there were added the words “ or the Superannuation Rules ” and as if the reference to “ the National Insurance Act ” included a reference to the National Insurance Act, 1946, passed by the Parliament of the United Kingdom ;
- (c) in the case of a person to whom regulation 9 applies liability for the payment of the pension (including any right to payment after his death) to which he became so entitled shall be transferred to the Ministry and for the purposes of these regulations shall be deemed to be a liability under a scheme to which regulation 12 applies :

Provided that if there has been default in whole or in part in making any transfer in accordance with rule 25 of the Superannuation Rules from any pension fund or from the assets of any pension scheme in which that person had rights such liability may be so modified or extinguished as may appear to the Ministry to be appropriate.

- (d) sub-paragraph (e) of paragraph (1) of regulation 10 shall have effect as if the reference therein to “ persons to whom regulation 2 applies ” included a reference to persons to whom rule 2 of the Superannuation Rules applies ;
- (e) paragraph (3) of regulation 10 shall have effect as if after the word “ regulations ” there were added the words “ or

- the Superannuation Rules ” and as if the reference to “ the National Insurance Act ” included a reference to the National Insurance Act, 1946, passed by the Parliament of the United Kingdom ;
- (f) in regulation 13 the expressions “ affected fund ” and “ affected scheme ” shall be deemed to include respectively an affected fund and an affected scheme within the meaning of rule 14 of the Superannuation Rules, and the regulation as so modified shall have effect as if immediately after the words “ and in default of such payment ” there were added the words “ or of the transfer to the Ministry of Finance under paragraph (1) of rule 25 of the Superannuation Rules of the amount which in the opinion of the Minister of National Insurance after consultation with that Ministry would, apart from this regulation, have been required to be so transferred in respect of that affected person but for the payment so made to him, less the amount of that payment ” ;
 - (g) in paragraph (4) of regulation 14 the reference to “ pensioners ” shall be deemed to include a reference to persons to whom regulation 9 as modified by sub-paragraph (c) of this regulation applies, and the proviso to the said paragraph (4) shall have effect as if the references to “ the Act ” and “ the National Insurance Act ” included respectively references to the Superannuation (Miscellaneous Provisions) Act, 1948, and the National Insurance Act, 1946, passed by the Parliament of the United Kingdom ;
 - (h) regulation 15 shall have effect as if the words “ or by rule 25 of the Superannuation Rules ” were added at the end of sub-paragraph (a) thereof ;
 - (i) regulation 16 shall have effect as if at the end of paragraph (1) there were added the words “ and any policies of insurance transferred to the Ministry of Finance in accordance with rule 25 of the Superannuation Rules shall be transferred to the Ministry and for the purposes of these regulations shall be deemed to have been so transferred under regulation 12 ” and as if the reference to “ affected funds or schemes ” in sub-paragraph (a) of paragraph 2 included a reference to affected funds or schemes within the meaning of the Superannuation Rules ;
 - (j) regulation 23 shall have effect as if the reference to “ any affected fund or scheme ” included a reference to any affected fund or scheme within the meaning of the Superannuation Rules ;
 - (k) in sub-paragraph (d) of paragraph 7 of the Second Schedule the reference to the National Insurance Act shall be deemed to include a reference to the National Insurance Act, 1946, passed by the Parliament of the United Kingdom ;
 - (l) paragraph 9 of the Second Schedule shall have effect as if in

sub-paragraph (a) the references to "the Act" and "the National Insurance Act" included respectively references to the Superannuation (Miscellaneous Provisions) Act, 1948, and the National Insurance Act, 1946, passed by the Parliament of the United Kingdom, and as if in sub-paragraph (b) the words "or rule 25 of the Superannuation Rules" were added at the end thereof.

Sealed with the Official Seal of the Ministry of Labour and National Insurance for Northern Ireland this 30th day of December, 1948, in the presence of

(L.S.)

H. Anderson,

Assistant Secretary to the Ministry of Labour and National Insurance for Northern Ireland.

Sealed with the Official Seal of the Ministry of Finance for Northern Ireland this 30th day of December, 1948, in the presence of

(L.S.)

J. A. McKeown,

Second Secretary to the Ministry of Finance for Northern Ireland.

FIRST SCHEDULE

Regulation 3 (2).

War service

1. Any person who was engaged in war service at any time during the period from the 26th May, 1939, to the relevant date and who, but for his being so engaged, would have been a person in relation to whose employment the conditions in paragraph (1) of regulation 3 would have been satisfied (hereinafter called "an employee on war service") shall be deemed to satisfy those conditions.

2. A person shall not be treated as satisfying the requirements of the preceding paragraph unless he had a right to (or, under a promise given to him by his employer, a reasonable expectation of) reinstatement in the employment last held by him before his war service, and, in the case of a person who ceases to be engaged in war service on or after the appointed day, he gives notice in writing to the Ministry, within a period of two months after he ceases to be so engaged (or within such longer period as the Ministry may for special reasons allow in a particular case), that he claims to be an employee on war service for the purpose of these regulations or of regulations made under section 64 of the National Insurance Act.

3.—(1) An employee on war service who satisfies the requirements of paragraph 1 of this Schedule shall, for the purpose of these regulations, be treated as if he had remained in the employment of his last employer before his war service throughout that service and for any period thereafter during which he would, but for his war service, have been so employed, not being a period during which he was in other employment to which the said conditions in paragraph (1) of regulation 3 apply:

Provided that he shall only be so treated if and to the extent that he was, or would but for the passing of the National Insurance Act have been, so treated by that employer, and he shall not in any case be so treated in respect of any period after the appointed day.

(2) The calculation of the period of his former employment or of his accrued rights and any other calculation required by these regulations in relation to a former employment or to pension rights conferred or preserved by these regulations shall,

in the case of such an employee on war service, be made on the basis of the preceding sub-paragraph.

4. For the purpose of these regulations, the expression "war service" means —
- (a) service in any of His Majesty's forces or the nursing and auxiliary services thereof;
 - (b) service in the Merchant Navy, including the sea fishing service, the pilotage and light vessel services, or as a salvage worker;
 - (c) full time service in the civil defence services (including the National Fire Service), the Royal Observer Corps, the Police War Reserve, the nursing and first aid services, and the Women's Land Army;
 - (d) any full time employment into which a person entered by direction of the Ministry or of the Minister of Labour and National Service;
 - (e) detention by the enemy as a prisoner, military or civil, in any enemy or enemy-occupied country or internment in any enemy or enemy-occupied or neutral country in consequence of the war;
 - (f) such other employment as the Ministry, with the consent of the Ministry of Finance, approves in writing;

such service, employment, detention or internment being on or after the 26th May, 1939, but not including any voluntary extension of war service or voluntary enlistment after the cessation of hostilities otherwise than with the consent of the employer in whose service the person was, both at the time of the consent and immediately before the commencement of his war service.

SECOND SCHEDULE

Regulations 7 and 10.

Accrued rights

1. The following provisions of this Schedule shall apply to the ascertainment of accrued rights upon the happening of a contingency in the case of a person who, on the 1st January, 1946, or at any time thereafter, had pension rights in a pension scheme associated with his former employment, and for this purpose the expression "contingency" shall be construed as meaning retirement from his former employment or any other contingency upon the happening of which any payment by way of pension is due under that pension scheme, so, however, that, subject to the said provisions—

- (a) in the case of a person to whom regulation 7 applies, any contingency occurring during, after or in relation to his employment as a civil servant which, had it occurred during, after or in relation to his former employment, would have been a contingency upon the happening of which any payment by way of pension would have been due under that pension scheme shall be treated as being such a contingency;
- (b) in the case of a person to whom regulation 10 applies, the contingencies of incapacity, disablement, marriage or death shall, subject to their being proved to the satisfaction of the Ministry, be treated as occurring when they arise, and the contingency of retirement shall be deemed to occur when the person attains the age at which he was, under the pension scheme, entitled, without the consent of his employer in his former employment or of any other person, to retire with a pension other than a return of contributions:

Provided that —

- (i) if the pension scheme contained an option for him to retire at any earlier age with a reduced pension, the contingency of retirement at such earlier age shall be deemed to occur at that age if the person gives notice in writing to the Ministry (not later than three months after attaining that age) that he elects to be treated as having retired at that age; and
- (ii) where a person to whom regulation 7 applies retires from the Civil Service before Civil Service retiring age otherwise than upon such medical certificate as is mentioned in section 10 of the Superannuation Act, 1859 (which section relates to evidence of incapacity), he shall, unless he gives notice in writing of his desire to the contrary to the Ministry within six months of such retirement, be treated as though sub-paragraph (b) of this paragraph were applicable to his case, and, in particular, the contingency of retirement shall not be deemed to occur in his case except in accordance with that paragraph.

2. The accrued rights upon the happening of a contingency in the case of a person who, on the 1st January, 1946, or at any time thereafter, had pension rights in a pension scheme associated with his former employment shall, subject to the following provisions of this Schedule, be deemed to be equal to such payments as would have been payable under that scheme to or in respect of the person in relation to that contingency if he had remained in his former employment without increase of emoluments until the age at which he was under that scheme entitled to retire with a pension other than a return of contributions or until the happening of the contingency, whichever is the earlier, or, in the case of a person who at the date of termination of his former employment had attained the age at which he was entitled to retire as aforesaid, to such payments as would have been payable to or in respect of him under that scheme at that date :

Provided that —

- (a) any provision in that scheme whereby any payments thereunder are calculated by reference to average emoluments over any period shall be construed as referring to the rate of emoluments at the end of that period ; and
- (b) where a person to whom regulation 5 applies either retires from the Civil Service at or after Civil Service retiring age or retires from the Civil Service upon such medical certificate as is mentioned in section 10 of the Superannuation Act, 1859, his accrued rights on such retirement shall be deemed to be equal to such payments as would have been payable to him under that scheme (as modified, where it applies, by the foregoing provision of this proviso) on retirement from his former employment if he had remained in his former employment until the age at which he retired from the Civil Service at the same salary (or on the same salary scale) as was applicable to him when his former employment ceased, and, in the case of retirement upon medical certificate, had been compelled then to retire from his former employment by reason of ill health.

3. Where such part of the period of a person's former employment as was pensionable employment for the purpose of the pension scheme associated with it was shorter than the period of pensionable employment required by him under that pension scheme to secure the maximum payment for which he could qualify under that scheme in relation to any contingency, the accrued rights in relation to that contingency shall (except in a case to which paragraph (b) of the proviso to paragraph 2 applies) be reduced by a proportion corresponding to the degree by which the former period falls short of the latter.

4. Where the amount of the pension rights was ascertainable by reference to the pension value of the contributions paid by or in respect of a person, or by reference to the amount of the contributions so paid, whether with or without interest thereon, the accrued rights shall be limited to those accrued by virtue of the contributions paid by or in respect of him up to the date of termination of his former employment.

5. Where the pension rights were secured under a policy of insurance, the accrued rights shall (except in a case to which the preceding paragraph applies) be limited to those accrued by virtue of the premiums paid by or in respect of him up to the date of termination of his former employment.

6. Where the contingency is one giving a right to the return of contributions, the accrued rights shall be calculated as though the contingency had occurred at the date of termination of his former employment :

Provided that, where the right is to a return of contributions with interest, the interest shall be calculated, in the case of a person to whom regulation 10 applies, as though the date of the contingency were ascertained in accordance with the provisions of sub-paragraph (b) of paragraph 1 of this Schedule.

7. In determining the accrued rights in the case of any person in respect of whom the following conditions are fulfilled :

- (a) that on the appointed day no person had pension rights under the pension scheme associated with his former employment, other than persons employed or formerly employed by an Approved Society (including any branch thereof) transacting only health insurance business, or any separate section established for the purposes of the Health Insurance Act ;

- (b) that his former emoluments included a bonus or other additional payment paid on account of war-time conditions and not then consolidated with his emoluments (hereafter in this paragraph called "war payments"), and no part of his pension rights under the pension scheme was in respect of war payments;
- (c) that, if the Society or body employing him had, on the 1st August, 1946, consolidated war payments with the emoluments of persons then in their employment, he would under the pension scheme have had pension rights in respect of war payments; and
- (d) that it is proved to the satisfaction of the Ministry that the said Society refrained from so consolidating war payments in such circumstances that their failure to do so is directly attributable to the passing of the National Insurance Act;

that person shall be treated as if the Society had so consolidated war payments.

8. In the calculation of accrued rights any sums paid by way of compensation in respect of the same rights or in respect of the same period under section 64 of the National Insurance Act shall be taken into account.

9. In any case in which either —

- (a) in connection with any provision made by the Act or by the National Insurance Act, or in anticipation of the making of any such provision, pension rights have been created otherwise than in the ordinary course; or
- (b) there has been default in making any transfer, in whole or part of any pension fund or of the assets held for the purposes of a pension scheme in accordance with Part IV of these regulations;

such adjustment shall be made in the calculation of accrued rights which result from such creation or which are a liability of such fund or under such scheme as may appear to the Ministry to be appropriate.

THIRD SCHEDULE

Regulation 12.

Further provisions affecting transfers made by virtue of these regulations

1. Every asset which is transferred by virtue of these regulations shall vest free of any trust existing immediately before the 9th August, 1948.

2. Every transferor of any asset which is transferred by virtue of these regulations shall, on the request of the transferee, execute and do all such lawful assurances and things as may be necessary for perfecting the title thereto.

3.—(1) Without prejudice to the generality of the provisions of regulation 12, every transferor, and every person who is responsible for keeping any register or book in which there is registered or inscribed any asset transferred by virtue of these regulations, shall do all such things as are necessary; or as the transferee may direct, to be done for the purpose of securing the due registration or inscription of the title of the transferee to that asset and the delivery to the transferee of any document constituting evidence of the said registration or inscription.

(2) The duty imposed on any transferor or other person by the preceding subparagraph of this paragraph in relation to any asset shall include a duty to do all such things as are within his power to secure that any dividend or interest payable in respect of that asset on or after the 9th August, 1948, will be paid to the transferee.

(3) A certificate issued by the Ministry that any specified asset is an asset which has been transferred to the transferee by virtue of these regulations shall be treated by any person responsible or concerned as aforesaid as evidence that that asset has been so transferred.

4. Every agreement, whether in writing or not, and every document not being an agreement, under which, immediately before the 9th August, 1948, any asset transferred by virtue of these regulations is held shall, unless any property not so transferred is held thereunder, as from the 9th August, 1948, have effect as if a reference to the transferee were substituted for any reference therein (however worded and whether express or implied) to the transferor.

5. Any proceedings affecting any asset transferred by virtue of these regulations which, on the 9th August, 1948, are pending shall not be prejudicially affected by reason of that transfer, but any such proceedings may be amended in such manner as may appear necessary or proper for enabling them to be prosecuted or enforced by or against the transferee or any other person or persons concerned, as the case may be.

6. References in this Schedule to an asset shall include references to the whole or part of any fund transferred by these regulations, and references to an asset transferred shall, where appropriate, include references to an asset to be transferred.

FOURTH SCHEDULE

Regulation 14.

Arrangements for securing payment of the liabilities under an affected scheme

1.—(1) There shall be an account called "the Northern Ireland (Superannuation Funds) Deposit Account" (in these regulations referred to as "the Deposit Account") which shall be under the control and management of the Ministry, and there shall be carried to that account the funds and assets transferred or deemed to be transferred under Part IV of these regulations, and such part thereof shall be retained in that account as the Ministry, with the consent of the Ministry of Finance, determines to be requisite for securing the payment of the liabilities transferred or deemed to be transferred to the Ministry by regulation 12, and the remainder thereof shall be realised and transferred to the Exchequer.

(2) Where any of the said funds and assets are, in the opinion of the Ministry, unsuitable for retention in the Deposit Account, they may be sold or otherwise disposed of in such manner as it may, with the consent of the Ministry of Finance, determine.

(3) There shall be paid out of the Deposit Account, subject to and in accordance with these regulations, such sums as are from time to time required to meet the said liabilities as they accrue.

(4) Statements of the Deposit Account shall be prepared in such form, in such manner and at such times as the Ministry of Finance may direct, and the Comptroller and Auditor General shall examine and certify every such statement and shall lay copies thereof, together with his report thereon, before Parliament.

(5) Any moneys forming part of the Deposit Account may from time to time be paid over to the Ministry of Finance and by it invested in any securities which are for the time being authorised by the Parliament of the United Kingdom as investments for savings banks funds.

(6) The Ministry of Finance shall present to Parliament annually an account of the securities in which moneys forming part of the Deposit Account are for the time being invested.

(7) If, in the opinion of the Ministry, the balance of the Deposit Account at any time exceeds the amount necessary for securing payment of the said liabilities, any surplus shall be realised and transferred to the Exchequer, and there shall be so realised and transferred any balance remaining after all the said liabilities have been discharged.

2. Every person (hereafter in this Schedule called "the payee") by whom or on whose behalf any payment in respect of any liability transferred or deemed to be transferred to the Ministry by regulation 12 is receivable, shall furnish in such manner and at such times as the Ministry may determine such certificates and other documents and such information of facts affecting the right to payment as it may require, and in particular shall notify the Ministry in writing of any change of circumstances which the payee might reasonably be expected to know might affect the right to payment as soon as reasonably practicable after the occurrence thereof.

3. Where the payee is certified by a justice or minister of religion, and by a medical practitioner, to be unable by reason of mental disability to manage his affairs, the Ministry may pay so much of any payment in respect of any liability transferred or deemed to be transferred to the Ministry by regulation 12 as it may think fit to the institution or person having the care of the payee, and may pay the surplus, if any, or such part thereof as it may think fit, for or towards the maintenance and benefit of the wife or husband and relatives of the payee, and the Ministry shall be discharged from all liability in respect of any sums so paid.

4. Where the payee dies before any payment in respect of any liability transferred or deemed to be transferred to the Ministry by regulation 12 is made and the amount unpaid does not exceed one hundred pounds, the amount so unpaid may be paid to the personal representative of the payee without probate or other proof of title, or may be paid or distributed to or among the persons appearing to the Ministry to be the persons beneficially entitled to the personal estate of the payee, and, in determining the persons to whom, and the proportions in which, the amount so unpaid shall be paid or distributed, the Ministry may have regard to any payments made or expenses incurred by any such person for or on account of the funeral of the payee.

Adjustment of Teachers' Pensions in relation to National Insurance

REGULATIONS, DATED 29TH JUNE, 1948, MADE BY THE MINISTRY OF FINANCE UNDER SUB-SECTION (4) OF SECTION SIXTY-SIX OF THE NATIONAL INSURANCE ACT, (NORTHERN IRELAND), 1946.

1948. No. 192

WHEREAS the Ministry of Finance (hereinafter referred to as "the Ministry") has determined under sub-section (4) of section sixty-six of the National Insurance Act (Northern Ireland), 1946 (a), that it is the appropriate Department to make regulations under that sub-section for modifying the provisions of schemes in force in Northern Ireland relating to the Superannuation of teachers :

NOW THEREFORE the Ministry, in exercise of the powers conferred on it by the said sub-section, and of all other powers enabling it in that behalf, hereby makes the following Regulations :—

PART I — INTRODUCTORY

1.—(1) These regulations may be cited as the National Insurance (Modification of Teachers Pensions) Regulations (Northern Ireland), 1948, and shall come into operation on the 1st day of July, 1948.

(2) The Interpretation Act, 1889, as applied to Northern Ireland by the Interpretation Act, 1921, shall apply to the interpretation of these Regulations as it applies to the interpretation of an Act of Parliament.

(3) In these regulations, unless the context otherwise requires :—

"the Act" means the National Insurance Act (Northern Ireland), 1946 ;

"pension" means a pension payable to a teacher under a superannuation scheme ;

"contributions" means contributions payable by or in respect of a teacher under a superannuation scheme ;

"contributing service" does not include approved external service but subject thereto has the meaning defined by Section 10 of the Teachers Superannuation Act (Northern Ireland), 1926 ;

(a) 1946, c. 23.