

SCHEDULE 3

THE BUSINESS PLAN

PART 1

Information to be included in the business plan

Information about scheme funders

17. If a scheme funder has a single shareholder or is, in the Regulator's opinion, reliant on a single individual, details of the succession planning in the event that the shareholder or single individual ceases to be connected to the scheme funder.

18. Where section 10(3) of the Act (scheme funder requirements) applies to a scheme funder, a description of those of its activities which do not relate directly to the scheme.

19. Whether a scheme funder is regulated by a financial regulator other than the Regulator and, if so, the identity of that regulator.