

SCHEDULES

SCHEDULE 3

Information to be given on request

[^{F1}PART 6

Information to be given about pooled funds

Textual Amendments

F1 Sch. 3 Pt. 6 added (6.4.2019) by [The Occupational Pension Schemes \(Administration and Disclosure\) \(Amendment\) Regulations \(Northern Ireland\) 2018 \(S.R. 2018/53\)](#), regs. 1(1), **3(7)**

35.—(1) A statement identifying in relation to the provision of money purchase benefits to the member—

- (a) the international securities identification number (“the ISIN”) allocated in accordance with ISO 6166 (1st edition) published by the International Organisation for Standardisation on 31st August 2013 in relation to each collective investment scheme in which assets are directly invested on behalf of that member on the relevant date;
- (b) in the case of each unit-linked contract entered into by, or on behalf of, the trustees or managers which is in force on the relevant date in relation to that member, the ISIN (where present) relating to each collective investment scheme directly attributable to that contract, and
- (c) the name given by the manager of the collective investment scheme to the scheme to which an ISIN provided in accordance with head (a) or (b) relates.

(2) In this paragraph—

“collective investment scheme” has the meaning given in regulation 1(2) of the Occupational Pension Schemes (Investment) Regulations (Northern Ireland) 2005;

“the relevant date” means—

- (a) the date the request is made under regulation 12A, or
- (b) such earlier date as the trustees or managers of the scheme may specify which meets the following conditions—
 - (i) the date must be no more than 6 months before the date of the request, and
 - (ii) the trustees or managers of the scheme must be satisfied that the investment options in which the assets of the member were invested on the date specified are the same as those in which the member is invested on the date of the request.]

Changes to legislation:

There are currently no known outstanding effects for the The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations (Northern Ireland) 2014, PART6.