SCHEDULE Article 2

PART 1

Provisions of the Act coming into operation on 30th June 2012 in so far as they are not already in operation

| Provisions of the Act | Subject matter |
|-----------------------|--|
| Section 2(1) | Continuity of scheme membership |
| Section 3(2) | Automatic enrolment |
| Section 5(3) | Automatic re-enrolment |
| Section 6(4) | Timing of automatic re-enrolment |
| Section 7(5) | Jobholder's right to opt in |
| Section 8 | Jobholder's right to opt out |
| Section 9 | Workers without qualifying earnings |
| Section 10 | Information to be given to workers |
| Section 13(6) | Qualifying earnings |
| Section 15 | Pay reference period |
| Section 16(7) | Qualifying schemes |
| Section 17 | Automatic enrolment schemes |
| Section 18 | Occupational pension schemes |
| Section 20(8) | Quality requirement: UK money purchase schemes |
| Section 21 | Quality requirement: UK defined benefits schemes |
| Section 22(9) | Test scheme standard |
| Section 24 | Quality requirement: UK hybrid schemes |
| Section 26(10) | Quality requirement: UK personal pension schemes |
| Section 29 | Transitional periods for money purchase and personal pension schemes |
| Section 30(11) | Transitional period for defined benefits and hybrid schemes |

⁽¹⁾ Section 2 was amended by section 5(1) of the Pensions Act (Northern Ireland) 2012 (c. 3 (N.I.))

⁽²⁾ Section 3 was amended by sections 6(1) and (2) and 7(1) of the Pensions Act (Northern Ireland) 2012 and Article 2(1) of S.R. 2012 No. 240

⁽³⁾ Section 5 was amended by sections 5(2) to (4), 6(3) and (4) and 7(3) of the Pensions Act (Northern Ireland) 2012 and Article 2(1) of S.R. 2012 No. 240

⁽⁴⁾ Section 6 was amended by sections 5(5), 7(4) and 8 of the Pensions Act (Northern Ireland) 2012

⁽⁵⁾ Section 7 was amended by section 7(5) of the Pensions Act (Northern Ireland) 2012

⁽⁶⁾ Section 13 was amended by Article 2(2) of S.R. 2012 No. 240

⁽⁷⁾ Section 16 was amended by section 11 of the Pensions Act (Northern Ireland) 2012

⁽⁸⁾ Section 20 was amended by regulation 3(2) of S.R. 2012 No. 120

⁽⁹⁾ Section 22 was amended by section 12(1) of the Pensions Act (Northern Ireland) 2012

⁽¹⁰⁾ Section 26 was amended by regulation 3(3) of S.R. 2012 No. 120

⁽¹¹⁾ Section 30 was amended by sections 7(6), 15 and 16 of the Pensions Act (Northern Ireland) 2012

| Provisions of the Act | Subject matter |
|--|---|
| Section 32(12) | Power of trustees or managers to modify by resolution |
| Section 33 | Deduction of contributions |
| Section 37 | Unpaid contributions notices |
| Section 38 | Calculation and payment of contributions |
| Section 40(13), except for subsection (1) (d) which is commenced for the purpose only of the exercise of the Regulator's functions under Part 1 of the Act | Fixed penalty notices |
| Section 41(14), except for subsection (1) (d) which is commenced for the purpose only of the exercise of the Regulator's functions under Part 1 of the Act | Escalating penalty notices |
| Section 43 | Review of notices |
| Section 49 | Monitoring of employers' payments to personal pension schemes |
| Section 52 | Penalty notices |
| Section 54(15) | Inducements |
| Section 58 | Restrictions on agreements to limit operation of this Part |

PART 2 Provisions of the Act coming into operation on 30th June 2012

| Provisions of the Act | Subject matter |
|-----------------------|---|
| Section 1 | Jobholders |
| Section 19 | Personal pension schemes |
| Section 31 | Effect of freezing order or assessment period |
| Section 34 | Effect of failure to comply |
| Section 35 | Compliance notices |
| Section 36 | Third party compliance notices |
| Section 39 | Meaning of "relevant contributions" |
| Section 42 | Penalty notices: recovery |

⁽¹²⁾ Section 32 was amended by sections 13(7) and 17 of the Pensions Act (Northern Ireland) 2012

⁽¹³⁾ Section 40 was amended by paragraph 29 of Schedule 1 to the Pensions Regulator Tribunal (Transfer of Functions) Act (Northern Ireland) 2010 (c. 4 (N.I.))

⁽¹⁴⁾ Section 41 was amended by paragraph 30 of Schedule 1 to the Pensions Regulator Tribunal (Transfer of Functions) Act (Northern Ireland) 2010

⁽¹⁵⁾ Section 54 was amended by section 5(6) of the Pensions Act (Northern Ireland) 2012

| Provisions of the Act | Subject matter |
|---------------------------------------|---|
| Section 44(16) | References to First-tier Tribunal or Upper Tribunal |
| Section 45 | Offences of failing to comply |
| Section 46 | Offences by bodies corporate |
| Section 47 | Offences by partnerships and unincorporated associations |
| Section 48 | Offences of providing false or misleading information |
| Section 50 | Prohibited recruitment conduct |
| Section 51 | Compliance notices |
| Section 53(17) | Review of notices and references to the First-tier Tribunal or Upper Tribunal |
| Section 55 | The right not to suffer detriment |
| Section 56 | Enforcement of the right |
| Section 57, except for subsection (3) | Right of employee not to be unfairly dismissed |
| Section 60 | Powers to require information and to enter premises |
| Section 64 | Functions of the Pensions Ombudsman |
| Section 70 | "Employer", "worker" and related expressions |
| Section 71 | Agency workers |
| Section 72 | Directors |
| Section 73 | Crown employment |
| Section 74 | Armed forces |
| Section 75 | Police |
| Section 76 | Persons working on vessels |

⁽¹⁶⁾ Section 44 was amended by paragraph 31 of Schedule 1 to the Pensions Regulator Tribunal (Transfer of Functions) Act (Northern Ireland) 2010

⁽¹⁷⁾ Section 53 was amended by paragraph 32 of Schedule 1 to the Pensions Regulator Tribunal (Transfer of Functions) Act (Northern Ireland) 2010