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STATUTORY RULES OF NORTHERN IRELAND

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**2010 No. 122**

**The Occupational and Personal Pension Schemes  
(Automatic Enrolment) Regulations (Northern Ireland) 2010**

**PART 5**

**Workers joining pension saving**

**[<sup>F1</sup>Information to be given to workers**

**21.** At any time before the end of the period of 6 weeks beginning with the date on which section 7 (jobholder's right to opt in) or section 9 (workers without qualifying earnings), as the case may be, first applies to a worker, the employer must give in writing—

- (a) to the jobholder to whom section 7 applies, the information described in—
  - (i) paragraphs 16 and 24 of Schedule 2, or
  - (ii) paragraphs 18 and 24 of Schedule 2, and
- (b) to the worker to whom section 9 applies, the information described in—
  - (i) paragraphs 17 and 24 of Schedule 2, or
  - (ii) paragraphs 18 and 24 of Schedule 2.]

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**Textual Amendments**

- F1** [Reg. 21](#) substituted (6.8.2015) by [The Occupational and Personal Pension Schemes \(Automatic Enrolment\) \(Amendment\) Regulations \(Northern Ireland\) 2015 \(S.R. 2015/310\)](#), regs. 1, 6

**Form and content of joining notices**

**22.—**(1) A joining notice must be in writing and, except where paragraph (2) applies, be signed by the worker.

(2) Where the joining notice is in an electronic format, it must include a statement confirming that the worker personally submitted the notice.

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**Commencement Information**

- I1** [Reg. 22](#) in operation at 1.7.2012, see [reg. 1\(1\)](#)

**Arrangements to achieve active membership**

**23.—**(1) The arrangements an employer who is given a joining notice by a worker must make for the purpose of section 9(2) (workers without qualifying earnings) are to—

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**Changes to legislation:** *There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Occupational and Personal Pension Schemes (Automatic Enrolment) Regulations (Northern Ireland) 2010. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

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- (a) enter into arrangements with—
- (i) the trustees or managers of an occupational pension scheme which satisfies the requirements of section 9(7), or
  - (ii) the provider of a personal pension scheme which satisfies the requirements of section 9(7),
- so that the worker who is the subject of the joining notice becomes an active member of the scheme in accordance with the scheme rules or requirements applicable to that scheme, and
- (b) provide the trustees or managers of the occupational pension scheme or the personal pension scheme provider with the information specified in regulation 3(1)(a) to (d) and (f) to (k).

(2) The requirement in paragraph (1)(b) does not apply in relation to the information specified in regulation 3(1)(g), (h), (i), (j) or (k), where the trustees or managers of the occupational pension scheme notify, or the personal pension scheme provider notifies, the employer that they do not require that piece of information to achieve active membership of that scheme.

(3) Where the information referred to in regulation 3(1)(f) is not available to the employer on the date on which the notice is received by the employer, the employer must give the trustees or managers of the occupational pension scheme or the personal pension scheme provider that information within [<sup>F2</sup>6 weeks] from the date on which the employer receives it.

(4) For the purposes of this regulation any reference to “the jobholder” in regulation 3(1) shall be read as a reference to “the worker”.

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**Textual Amendments**

- F2** Words in [reg. 23\(3\)](#) substituted (6.8.2015) by [The Occupational and Personal Pension Schemes \(Automatic Enrolment\) \(Amendment\) Regulations \(Northern Ireland\) 2015 \(S.R. 2015/310\)](#), [regs. 1, 7](#)
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**Commencement Information**

- I2** [Reg. 23](#) in operation at 1.7.2012, see [reg. 1\(1\)](#)

**Changes to legislation:**

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**Changes and effects yet to be applied to :**

- Regulations modified by [S.R. 2015/122 reg. 4](#)

**Changes and effects yet to be applied to the whole Rule associated Parts and Chapters:**

Whole provisions yet to be inserted into this Rule (including any effects on those provisions):

- Sch. 1 Sch. renumbered as Sch. 1 by [S.R. 2012/232 reg. 2\(26\)](#)
- Sch. 1 substituted by [S.R. 2013/243 reg. 4\(11\)](#)Sch.
- reg. 35(1)(a)(ii)(bb) word substituted by [S.R. 2013/221 reg. 2\(a\)\(i\)](#)