1991 No. 368

INSOLVENCY

The Insolvent Companies (Reports on Conduct of Directors) Rules (Northern Ireland) 1991

5th August 1991 Made Coming into operation . 1st October 1991

To be laid before Parliament

The Lord Chancellor, in exercise of the powers conferred on him by Article 359 of the Insolvency (Northern Ireland) Order 1989(a) and Article 24(1) of the Companies (Northern Ireland) Order 1989(b), with the concurrence of the Department of Economic Development ("the Department"), and after consulting the committee existing for that purpose under Article 360 of the Insolvency (Northern Ireland) Order 1989, hereby makes the following Rules-

Citation and commencement

- 1.—(1) These Rules may be cited as the Insolvent Companies (Reports on Conduct of Directors) Rules (Northern Ireland) 1991.
 - (2) These Rules shall come into operation on 1st October 1991.

Interpretation

2.—(1) In these Rules "the Order" means the Companies (Northern Ireland) Order 1989 (any reference to a numbered Article being to an Article of that Order).

Reports required under Article 10(3)

- 3.—(1) This Rule applies to any report made to the Department under Article 10(3) by—
 - (a) the liquidator of a company which passes a resolution for voluntary winding up on or after the commencement date;

⁽a) S.I. 1989/2405 (N.I. 19) (b) S.I. 1989/2404 (N.I. 18)

- (b) the administrator of a company in respect of which the High Court makes an administration order on or after the commencement date; or
- (c) an administrative receiver of a company appointed on or after the commencement date.
- (2) Such a report shall be made in Form D1, D2 or D6 set out in the Schedule, as the case may be, and in the manner and to the extent required by the applicable form.

Return by office-holder

- 4.—(1) This Rule applies where it appears to a liquidator of a company as mentioned in Rule 3(1)(a), to an administrator as mentioned in Rule 3(1)(b), or to an administrative receiver as mentioned in Rule 3(1)(c) (each of whom is in this Rule referred to as "the office-holder") that the company has at any time become insolvent within the meaning of Article 9(2).
 - (2) Subject to paragraphs (3) to (6) there may be furnished to the Department by an office-holder at any time during the period of 6 months from the relevant date (defined in paragraph (4)) a return with respect to every person who—
 - (a) was, on the relevant date a director or shadow director of the company, or
 - (b) had been a director or shadow director of the company at any time in the 3 years immediately preceding that date.
 - (3) The return shall be made in Form D3, D4, D5 or D7 set out in the Schedule, as the case may be, and in the manner and to the extent required by the applicable form.
 - (4) For the purposes of this Rule, "the relevant date" means-
 - (a) in the case of a company in a creditors' voluntary winding up (there having been no declaration of solvency by the directors under Article 75 of the Insolvency (Northern Ireland) Order 1989) the date of the passing of the resolution for voluntary winding up;
 - (b) in the case of a company in a members' voluntary winding up the date on which the liquidator forms the opinion that, at the time when the company went into liquidation, its assets were insufficient for the payment of its debts and other liabilities and the expenses of winding up;
 - (c) in the case of the administrative receiver, the date of his appointment;
 - (d) in the case of the administrator, the date of the administration order made in relation to the company;

and for the purposes of sub-paragraph (c) the only appointment of an administrative receiver to be taken into account in determining the relevant date shall be that appointment which is not that of a successor in office to an administrative receiver who has vacated office either by death

or pursuant to Article 55 of the Insolvency (Northern Ireland) Order 1989.

- (5) It shall be the duty of the responsible office-holder to furnish to the Department with respect to the persons specified in paragraph (2) a return complying with the provisions of paragraphs (3) and (4) not later than the expiry of the period of 6 months from the relevant date where no return has been so furnished by a day one week before the expiry of that period: and for the purposes of this paragraph the responsible office-holder shall be the person in office in relation to the company on the day specified or where no person is in office on that day, the office-holder who vacated office nearest to that day.
- (6) A return need not be provided under this Rule if an office-holder has, since the relevant date, made reports to the Department under Article 10(3) with respect to all the persons falling within paragraph (2) and (apart from this paragraph) required to be the subject of return.
- (7) If a responsible office-holder without reasonable excuse fails to comply with the duty imposed by paragraph (5), he is liable to a fine not exceeding £400 and, for continued contravention, to a daily default fine not exceeding £40.

Enforcement of Article 10(4)

- 5.—(1) This Rule applies where under Article 10(4) (power to call on liquidators, former liquidators and others to provide information) the Department or the official receiver requires a person—
 - (a) to furnish him with information with respect to a person's conduct as director or shadow director of a company; and
 - (b) to produce and permit inspection of relevant books, papers and other records.
 - (2) On the application of the Department or (as the case may be) the official receiver, the High Court may make an order directing compliance within such period as may be specified.
 - (3) The Rules of the Supreme Court (Northern Ireland) 1980(a) and the practice of the High Court apply to applications under this Rule, with any necessary modifications.
 - (4) The High Court's order may provide that all costs of and incidental to the application shall be borne by the person to whom the order is directed.

Dated 5th August 1991.

Mackay of Clashfern, C.

⁽a) S.R. 1980 No. 346; the relevant amending instruments are S.R. 1981 No 224; SR 1983 No. 5; SR 1983 No. 114 and SR 1986 No. 203.

The Department of Economic Development hereby concurs with the foregoing Rules.

Sealed with the Official Seal of the Department of Economic Development on 12th August 1991.

(L.S.)

A. H. McAlister

Assistant Secretary

SCHEDULE

RULES 3(2) and 4(3)

COMPANIES IN VOLUNTARY LIQUIDATION

Report on Conduct of Directors under Article 10(3) of the Companies (NORTHERN IRELAND) Order 1989

Before completing this form read the DED Guidance Notes

,		Official Use
Company registered number		;
Name of Company Registered Office address		
Nature of Business		. 1
Trading Names Principal place of business		
Date of liquidation		
Name of Liquidator		
Office holder number Liquidator's address		
Period covered by report	From: To:	

- I am the liquidator of the company and it appears to me that the persons listed in the schedule were either directors or shadow directors of the company and were the only such directors of the company during the period covered by this report.
- 2. It further appears to me that the conduct of each of the persons in respect of whom I have marked Y in column 5 in the schedule as a director of the company, either considered in relation to this company alone or taken together with his conduct as a director of any other company, makes him unfit to be concerned in the management of a company. Details of his conduct are provided in Part D1(B) of the report.

Schedule

Full name and other known names	2 Last known address	3 Mark X if Shadow Director	4 Period as From	Director To	5 If you have attached supplementary details please mark with a Y

Liquidators'	Signature	
Data		

Remember to attach forms D1(A) and D1(B)

Part A

FURTHER DETAILS OF THE COMPANY

Name of Company

3. Date of incorporation		
4. Period of trading	From	To
5. Estimated distribution(s)		
(pence in £) to creditors		Ť
 preferential: 		
• unsecured:		
6. Summary of statement of affiairs	£	
Gross assets:		
Gross liabilities to creditors:		
Estimated total deficiency as		-
regards creditors:		
<u> </u>		
Called-up capital:		
7. Approximate number and value of		
unsecured creditors distinguishing	27	0
between	No.	£
Trade and expense:		
Depositor or consumer pre-paid:	1	
Connected companies:		
Other:		
dealings The above is correct to the best of my Liquidator's Signature Date		on and belief.
A separate "part B" is to be completed	l for each Director to	D1(B) be reported upon.
· •) D	•
·	PART B	
Name	of Company	•
Fuller Details of the Individu	JAL DIRECTOR SUBJEC	T TO THIS REPORT
 Full name of the Director: Date of birth: Occupation, trade or profession: Position(s) held within company: 		

- 13. Give details (on such additional pages as necessary) of the conduct of the director, which makes it appear to you that the conditions of Article 9(1) of the Order are satisfied. You should have particular regard to Schedule 1 to the Order.
- 14. List the remuneration and other benefits during each of the 3 years to the date of liquidation

Period ended	Remuneration received £	Remuneration voted £	Cash expenses £	Benefits in kind £
			•	

15(a) Other companies of which the director is or was during the 3 years to the date of liquidation also a director or shadow director.

Name of Company	Reg. No.	Are you also the Liquidator Administrative Receiver or Administrator of that Company (Y/N)	Mark X here if you are to submit a conduct report in respect of the Company or enter date of report if already submitted

- (b) Give details of any other companies not listed at Q8 or 15(a) above with which the director may have had an association which you feel may be relevant to the consideration of his conduct.
- 16. Give brief details of any civil or criminal proceedings in relation to the company taken or likely to be taken against the director.
- 17. Are there any other matter(s) which you consider the Department should take into consideration.

The details given in Part D1(B) (comprising pages)
are correct to the best of my knowledge, information and belief
Liquidator's Signature
Date

Report on Conduct of Directors by an Administrative Receiver under Article 10(3) of

THE COMPANIES (NORTHERN IRELAND) ORDER 1989

Before completing this form read the DED Guidance Notes

Company registered number Name of Company Registered Office address		Official Use
Nature of Business Trading Names		
Principal place of business Date of appointment of		
Administrative Receiver Name of Administrative Receiver		
Office holder number Administrative Receiver's address		
Administrative Receiver's address		
Period covered by report	From:To:	

- 1. I am the administrative receiver of the company and it appears to me that the persons listed in the schedule were either directors or shadow directors of the company and where the only such directors of the company during the period covered by this report.
- 2. It further appears to me that the conduct of each of the persons in respect of whom I have marked Y in column 5 in the schedule as a director of the company, either considered in relation to this compay alone or taken together with his conduct as a director of any other company, makes him unfit to be concerned in the management of a company. Details of his conduct are provided in Part D2(B) of the report.

Schedule

Full name and other known names	2 Last known address	3 Mark X if Shadow Director	Period as From	s Director	5 If you have attached supplementary details please mark with a Y

Administrative Receiver's Signature	
Date	
Remember to attach forms D2(A) and D2(B)	

PART A

FURTHER DETAILS OF THE COMPANY

NAME OF COMPANY

3. Date of incorporation		
4. Period of trading	From	То
5. Estimated assets available forpreferential creditors:unsecured creditors:		
6. Summary of statement of affairs Gross assets: Gross liabilities to creditors: Estimated total deficiency as regards creditors:	£	
Called-up capital:		
7. Approximate number and value of unsecured creditors distinguishing between Trade and expense: Depositor or consumer pre-paid:	No.	£
Connected companies:		
Other:		<u> </u>
The above is correct to the best of my Administraive Receiver's Signature Date		D2(B)
A separate "Part B" is to be completed	for each Director to	be reported upon.
•	ART B	horron mhár
	of Company	
		D
Fuller Details of the Individua	L DIRECTOR SUBJE	CT TO THIS REPORT
9. Full name of the Director:		
10. Date of birth:		
11. Occupation, trade or profession:		
12. Position(s) held within company:		

13. Give details (on such additional pages as necessary) of the conduct of the director, which makes it appear to you that the conditions of Article 9(1) are satisfied. You should have particular regard to Schedule 1 to the Order.

1/10		motivency		140. 500
		and other benefits during to the company as define		3 years to the
Period ended	Remunerati received £	on Remuneration voted	Cash expenses £	Benefits in kind £
				· · · · · · · · · · · · · · · · · · ·
relevan		which the director is or ion to the company as detirector		
Name of Company	Reg. No.	Are you also the Liquidator, Administrat Receiver or Administra of that Company (Y/N	submit a in resive Company report	ere if you are to conduct report spect of the or enter date of ct if already abmitted
		·		
the dire	etails of any o ector may have eration of his	ther companies not listed e had an association which conduct.	at Q8 or 15(a) a you feel may b	above with which
		y civil or criminal proceed aken against the director.	dings in relation	to the company
	e any other m sideration.	natter(s) which you consid	ler the Departn	nent should take
of my knowled Administrative	edge, informa	D2(B) (comprising tion and belief. Signature	pages) are co	orrect to the bes
Date				

Companies in Creditors' Voluntary Liquidation Return of Directors under Rule 4 of the Insolvent Companies (Report on Conduct of Directors) Rules (Northern Ireland) 1991

Before completing this form read the DED Guidance Notes

	-	Official Use
Company registered number		
Name of Company		
Registered Office address		
Nature of Business		
Trading Names		
Principal place of business		
Date of liquidation		
Name of Liquidator		
Office holder number		1
Liquidator's address		
•		
Mark with an X the statement wh	nich applies	
1. (i) I have not submitted a repo	ort in this case because:	
(a) the company has suffact and the expenses of	fficient assets to pay its debts an	d other liabilities
•	s return I have not become awa	re of any matters
	me to make a report under Ar	
(c) sufficient information	is not yet to hand (see below).	
· /	ort on all of the directors in this c	ase because:
•	s return I have not become awa	
	me to make a report under A	
Order on the remain		
	is not yet to hand (see below).	
If you have marked box	• • • • • • • • • • • • • • • • • • • •	
indicate the likely date whe	n the report if any will be	
submitted:		
	Mon	th Year

2. The persons listed in the schedule were to the best of my knowledge and belief all the persons who were directors or shadow directors of the company during the three years prior to the liquidation.

Schedule

Full name and other known names	Last known address	Mark X if Shadow Director	Period as From	Director To
		,		

Liquidator's Signature	
Date	

D4

Companies in Voluntary Liquidation which began as Members Voluntary Liquidation but Insolvent Return of Directors under Rule 4 of the Insolvent Companies (Report on Conduct of Directors) Rules (Northern Ireland) 1991

Before completing this form read the DED Guidance Notes

Company registered number Name of Company Registered Office address	Official use
Nature of Business Trading Names Principal place of business	
Date of Liquidation Name of Liquidator Office holder number Liquidator's address	

Mark with an X the statement which applies

1.	(1)	1 have	not	submitted	a	report	ın	this	case	because
----	-----	--------	-----	-----------	---	--------	----	------	------	---------

- (a)
 ☐ as at the date of this return I have not become aware of any matters which would require me to make a report under Article 10(3) of the Order
- (b) sufficient information is not yet to hand (see below).
- (ii) I have not submitted a report on all of the directors in this case because:
 - (c) as at the date of this return I have not become aware of any matters which would require me to make a report under Article 10(3) of the Order on the remaining directors

o. 368	Ins	olvency		1713
$(d) \square$ sufficien	t information is not	yet to hand (see b	elow).	
If you have indicate the li	marked box (b) of the idea	r box (d) please se report will be	; }	
submitted:				
			Month	Year
	in the schedule were directors or slot the liquidation.			
Full name and	1	Mark X		· · · · · · · · · · · · · · · · · · ·
other known names	Last known address	if Shadow Director	Period From	as Director To
			•	
			•	į
				1
				1
ate Return of Direc	tors by an Admin	iistrative Recer t on Conduct o		
ate RETURN OF DIRECTHE INSOLVENT (tors by an Admin Companies (Repor (Northern	istrative Recer t on Conduct o Ireland) 1991	F DIRECTO	Rule 4 of
ate RETURN OF DIRECTHE INSOLVENT (tors by an Admin Companies (Repor	istrative Recer t on Conduct o Ireland) 1991	F DIRECTO	Rule 4 of
RETURN OF DIRECTHE INSOLVENT (fore completing the	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the D)	istrative Recer t on Conduct o Ireland) 1991	F DIRECTOR	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT (fore completing the Company reg	tors by an Admin Companies (Repor (Northern his form read the D)	IISTRATIVE RECEIT TON CONDUCT O IRELAND) 1991 ED Guidance Note	F DIRECTOR	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT (fore completing the Company reg	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the Di distered number he of Company di Office address	IISTRATIVE RECEIT ON CONDUCT O IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECTHE INSOLVENT (fore completing the Company registered Nat	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the D) gistered number me of Company d Office address ure of Business Trading Names	IISTRATIVE RECEIT ON CONDUCT O IT ON CONDUCT O IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT (Company registered Nate of Principal plate of Date of	tors by an Admin Companies (Repor (Northern his form read the Di gistered number ne of Company di Office address ure of Business Trading Names lace of business appointment of	IISTRATIVE RECEIT ON CONDUCT OF IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT COMPANY REGISTER OF THE PRINCIPAL PRINCIPAL PLACE OF Administ	tors by an Admin Companies (Repor (Northern his form read the Di distered number ne of Company di Office address ure of Business Trading Names lace of business appointment of crative Receiver	IISTRATIVE RECEIT ON CONDUCT OF IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT COMPANY regENATE Registered Nate Principal plate of Administ Office	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the Di distered number ne of Company di Office address ure of Business Trading Names lace of business appointment of trative Receiver trative Receiver holder number	IISTRATIVE RECEIT ON CONDUCT OF IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT COMPANY regENATE Registered Nate Principal plate of Administ Office	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the Di distered number ne of Company di Office address ure of Business Trading Names lace of business appointment of trative Receiver trative Receiver holder number	IISTRATIVE RECEIT ON CONDUCT OF IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT COMPANY regENATE Registered Nate Principal plate of Administ Office	TORS BY AN ADMIN COMPANIES (REPOR (NORTHERN his form read the Di distered number ne of Company di Office address ure of Business Trading Names lace of business appointment of trative Receiver trative Receiver holder number	IISTRATIVE RECEIT ON CONDUCT OF IRELAND) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT Company regular Registered Nate Principal plate of Administ Office Administrative Regark with an X the	tors by an Admin Companies (Repore (Northern is form read the Distriction of Company in Office address in Company in Office address in Off	Distrative Recert on Conduct of Ireland) 1991 ED Guidance Note	es	Rule 4 of Rs) Rules
RETURN OF DIRECT THE INSOLVENT OF Company regular Registered Nate Principal properties of Administ Office Administrative Registerative Registe	tors by an Admin Companies (Repore (Northern is form read the Districted number in e of Company if Office address in e of Business in the Districted in the	Dies his case because:	ES PIRECTO	Rule 4 of Rs) Rules Official Use
Company reg Nat Registered Nat Principal p Date of Administ Name of Administ Office Administrative Registered ark with an X the (i) I have not sub (a) \(\sqrt{a} \) as at the	tors by an Admin Companies (Repore (Northern is form read the Distriction of Company in Office address in Company in Office address in Off	Dilies his case because: his TRATIVE RECEPT TON CONDUCT OF IRELAND) 1991 ED Guidance Note Dilies his case because:	me aware o	Rule 4 of Rs) Rules Official Use

which w Order or	e date of th ould require n the remain	is return e me to ning dire	I have not been make a report	ome awar under Art	e of a	ny matters
If you have r indicate the like submitted:	narked box ely date whe	(b) or en the re	box (d) pleas port if any will b	e e		
				Mont		Year
2. The persons listed the persons who we three years prior under Rule 4(4).	were directo	ors or sh	adow directors of	of the con	npany (during the
Schedule						
Full name and other known names	Last kno addres		Mark X if Shadow Director	Perio Fron		Pirector To
					! ! !	:
					1	
Administrative Rece Date	iver's Signat	ture	,			D 6
REPORT ON CONDU 10(3) OF T	he Compan	vies (No	RTHERN IRELAN	d) Orde		ARTICLE
		- 110 22	D Gardaneo 110	- 1	Offic	ial Use
Company register Name of Registered Offi	Company				Oinc	dai Osc
	of Business					
Principal place	ing Names of business					
Date of the ma administration relation to the Name of Administrato	on order in e company ministrator er number					
Period covered	l by report	From:	То			

- 1. I am the administrator of the company and it appears to me that the persons listed in the schedule were either directors or shadow directors of the company and were the only such directors of the company during the period covered by this report.
- 2. It further appears to me that the conduct of each of the persons in respect of whom I have marked Y in column 5 in the schedule as a director of the company, either considered in relation to this company alone or taken together with his conduct as a director of any other company, makes him unfit to be concerned in the management of a company. Details of his conduct are provided in Part D6(B) of the report.

Schedule

	1	2	3	,	4	5 If you have
1	all name and ther known names	Last known address	Mark X if Shadow Director	Period as From	Director To	attached supplementary details alease mark with a Y
		,				
		,				

Administrator's Signature

Date		
Remember to attach forms D6(A) and	d D6(B)	
FURTHER DETA	PART A AILS OF THE COMPANY OF COMPANY	D6(A)
3. Date of incorporation		
4. Period of trading	From	То
 5. Estimated assets available for preferential creditors: unsecured creditors: 		
6. Summary of statement of affairs Gross assets: Gross liabilities to creditors: Estimated total deficiency as regards creditors: Called-up capital:	£	
7. Approximate number and value of unsecured creditors distinguishing between Trade and expense: Depositor or consumer pre-paid: Connected companies: Other:	No.	£

8. Details of c	onnected cor	npanies with which the compa	any has had any dealings
The above is	correct to the	best of my knowledge, inform	nation and belief
Administrator	-		
Date			
			D6(B)
A separate "Pa	art B" is to b	e completed for each Director	r to be reported upon.
		PART B	
		Name of Company	
Fuller Di	ETAILS OF TH	iis Individual Director Su	BJECT TO THIS REPORT
9. Full name	of the Direct	or:	
10. Date of bir	th:		
11. Occupation	i, trade or pr	ofession:	
12. Position(s)	held within	company:	
director, v satisfied. Y 14. List the rea	which makes You should he nuneration a	additional pages as necessar it appear to you that the cor ave particular regard to Sched and other benefits during each inistration order in relation to	nditions of Article 9(1) are lule 1 to the Order. of the 3 years to the date of
Period ended	Remuneration received £		Cash Benefits in kind £ £
of the r		which the director is or was due administration order in rela director	
Name of Company	Reg. No.	Are you also the Liquidator, Administrative Receiver or Administrator of that Company (Y/N)	Mark X here if you are to submit a conduct report in respect of the Company or enter date of report if already submitted
which t	he director	other companies not listed a may have had an associatio deration of his conduct.	at Q8 or $15(a)$ above with n which you feel may be

- 16. Give brief details of any civil or criminal proceedings in relation to the company taken or likely to be taken against the director.
- 17. Are there any other matter(s) which you consider the Department should take into consideration.

The details given in Part D6(B) (comprising of my knowledge, information and belief.	_ pages) ar	e correct to	the	best
Administrator's Signature	_			
Date				

D7

RETURN OF DIRECTORS BY AN ADMINISTRATOR UNDER RULE 4 OF THE INSOLVENT COMPANIES (REPORT ON CONDUCT OF DIRECTORS) RULES (NORTHERN IRELAND) 1991

Before completing this form read the DED Guidance Notes

		Official Use
Company registered number		
Name of Company		
Registered Office address	· · · · · · · · · · · · · · · · · · ·	
NT (CD :		
Nature of Business		
Trading Names		
Principal place of business		
Date of making of	·	
administration order		
Name of administrator		
Office holder number		
Administrator's address		
•		•
	,	

Mark with an X the statement which applies

- 1. (i) I have not submitted a report in this case because:
 - (a) □ as at the date of this return I have not become aware of any matters which would require me to make a report under Section 7(3) of the Act
 - (b) □ sufficient information is not yet to hand (see below).
 - (ii) I have not submitted a report on all of the directors in this case because:
 - (c) \square as at the date of this return I have not become aware of any matters which would require me to make a report under Section 7(3) of the Act on the remaining directors
 - (d) \square sufficient information is not yet to hand (see below).

If you have marked box (b) or box (d) please indicate the likely date when the report if any will be submitted:

Month	Year

The persons listed in the schedule were to the best of my knowledge and belief all the persons who were directors or shadow directors of the company during the three years prior to the making of an administration order in relation to the company.

Schedule

Full name and other known names	Last known address	Mark X if Shadow Director	Period as Director From To	
				,
		į.		; ; 1 t

Administrator's Signature	 	
Date		

EXPLANATORY NOTE

(This note is not part of the Rules.)

These Rules make provision for the manner in which a voluntary liquidator, administrative receiver or an administrator of a company appointed on or after the 1st October 1991 shall make to the Department of Economic Development the report required by Article 10(3) of the Companies (Northern Ireland) Order 1989 as to the conduct of persons who have been directors or shadow directors of insolvent companies which makes such directors appear to that liquidator, administrative receiver or administrator to be unfit to be concerned in the management of a company.

Rule 3 provides that reports under Article 10(3) should be made in the forms set out in the Schedule and Rule 4 provides for a return to be made 6 months after the commencement of the relevant insolvency proceeding where reports on all the directors have not already been made.

Rule 5 enables the Department of Economic Development to apply to the High Court to enforce compliance by the voluntary liquidator, administrative receiver or administrator with a requirement by the Department under Article 10(4) of the Companies (Northern Ireland) Order 1989 to furnish information and books, papers and other records relevant to the conduct of a person as a director or shadow director.