Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

## SCHEDULE 3

## **Excepted proceedings**

- 10. Proceedings under the Prevention of Fraud (Investments) Act (Northern Ireland) 1940 in respect of an application for, or revocation of,—
  - (a) a licence to deal in securities; or
  - (b) an order by the Department of Commerce declaring a person to be an exempted dealer for the purposes of that Act; or
  - (c) an order by the Department of Commerce declaring a unit trust scheme to be an authorised unit trust scheme for the purposes of that Act,

(including proceedings under section 6 of that Act before the High Court in respect of a licence to deal in securities).