#### STATUTORY INSTRUMENTS

# 1998 No. 3162

# The Fair Employment and Treatment (Northern Ireland) Order 1998

#### **PART IX**

#### THE FAIR EMPLOYMENT TRIBUNAL

#### Constitution

# The Fair Employment Tribunal

- **81.**—(1) The Department shall by regulations provide for the establishment of a tribunal, to be known as the Fair Employment Tribunal for Northern Ireland, to exercise the jurisdiction conferred on it by this Order[FI] or any other statutory provision].
- (2) Regulations under this Article may provide for the jurisdiction of the Tribunal to be exercised by a single tribunal or by two or more tribunals.

**F1** 2003 NI 15

#### President, Vice-President and Chairmen

- **82.**—(1) There shall continue to be—
  - (a) a President of the Industrial Tribunals and the Fair Employment Tribunal;
  - (b) a Vice-President of the Industrial Tribunals and the Fair Employment Tribunal; and
  - (c) a panel of chairmen of the Fair Employment Tribunal,

who shall each be appointed by the [F2Northern Ireland Judicial Appointments Commission] and shall exercise the functions respectively conferred on them by or under this Order or any other statutory provision.

- [F3(2)] A person is not qualified for appointment under paragraph (1) unless
  - (a) he is a barrister-at-law or solicitor of at least 7 years' standing;
  - (b) he has a 7 year general qualification within the meaning of section 71 of the Courts and Legal Services Act 1990 (c. 41); or
  - (c) he is an advocate or solicitor admitted in Scotland of at least 7 years' standing.]
- (3) The President and Vice-President may each resign his office at any time by notice in writing to the [F4Northern Ireland Judicial Appointments Commission] and shall each vacate his office on the day on which he attains the age of [F575]
  - (4) F6.....

- (5) A person appointed under paragraph (1)(c) to a panel of chairmen shall hold and vacate office as provided by or under regulations under Article 81 [F7] and regulations under that Article may provide that a person so appointed may be referred to as an employment judgel.
- (6) The functions of the President or Vice-President may, if he is for any reason unable to act or during a vacancy in his office, be discharged by a person nominated for that purpose by the [F8Northern Ireland Judicial Appointments Commission].
- (7) Regulations under Article 81 may provide for specified functions of the President and Vice-President (including functions under Articles 17 and 85) to be exercised in specified circumstances by a person appointed, under paragraph (1)(c), to a panel of chairmen.
  - F2 Words in art. 82(1) substituted (12.4.2010) by Northern Ireland Act 2009 (c. 3), ss. 2(3), 5(7)(a), Sch. 4 para. 32 (with Sch. 5 para. 16); S.I. 2010/812, art. 2
  - F3 Art. 82(2) substituted (15.1.2006) by Employment (Miscellaneous Provisions) (Northern Ireland) Order 2005 (S.I. 2005/3424 (N.I. 20)), arts. 1(2), 3
  - **F4** Words in art. 82(3) substituted (12.4.2010) by Northern Ireland Act 2009 (c. 3), ss. 2(3), 5(7)(a), **Sch. 4 para. 32** (with Sch. 5 para. 16); S.I. 2010/812, **art. 2**
  - Word in art. 82(3) substituted (10.3.2022) by Public Service Pensions and Judicial Offices Act 2022 (c. 7), s. 131(1)(4)(a), Sch. 1 para. 32 (with Sch. 1 para. 43)
  - **F6** Art. 82(4) repealed (3.4.2006) by Justice (Northern Ireland) Act 2002 (c. 26), ss. 86, 87, Sch. 13; S.R. 2006/124, art. 2, Sch. paras. 9, 11(1)
  - F7 Words in art. 82(5) added (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 12, 29(2); S.R. 2020/1, art. 2(h)
  - F8 Words in art. 82(6) substituted (12.4.2010) by Northern Ireland Act 2009 (c. 3), ss. 2(3), 5(7)(a), Sch. 4 para. 32 (with Sch. 5 para. 16); S.I. 2010/812, art. 2

#### Remuneration and allowances

- **83.**—(1) The Department may pay to members of the Tribunal (other than the President and the Vice-President) such remuneration, fees and allowances as it may, with the consent of the Department of Finance and Personnel, determine.
- (2) The Department may pay to any other persons such allowances as it may, with the consent of the Department of Finance and Personnel, determine for the purposes of, or in connection with, their attendance at the Tribunal.

#### **Procedure**

# **Tribunal procedure**

- **84.**—(1) The Department may by regulations make such provision as appears to it to be necessary or expedient with respect to proceedings before the Tribunal.
  - (2) The regulations may, in particular, include provision—
    - (a) for determining by which tribunal any proceedings are to be determined, where the jurisdiction of the Tribunal is being exercised by more than one tribunal;
    - (b) for parties to proceedings to be represented by such persons as may be determined by or under the regulations;
    - (c) for requiring persons to attend to give evidence and produce documents and for authorising the administration of oaths to witnesses;
    - (d) as to the admissibility of evidence;

(e) for granting to any person such discovery or inspection of documents or right to further particulars as might be granted by a county court;

Sub#para. (f) rep. by 2003 NI 15

Sub#para. (g) rep. by 2003 NI 15

(h) for enabling the Tribunal to review its decisions, and revoke or vary its orders or awards, in such circumstances as may be determined in accordance with the regulations;

Sub#para. (i) rep. by 2003 NI 15

Sub#para. (j) rep. by 2003 NI 15

(k) for the registration and proof of decisions, orders and awards of the Tribunal.

# [F9(2A) The regulations may—

- (a) authorise the Department to prescribe, or prescribe requirements in relation to, any form which is required by such regulations to be used for the purpose of instituting, or entering an appearance to, proceedings before the Tribunal;
- (b) authorise the Department to prescribe requirements in relation to documents to be supplied with any such form [F10 (including certificates issued under Article 88ZA or 88ZB)], and
- (c) make provision about the publication of anything prescribed under authority conferred by virtue of this paragraph.]
- [<sup>F9</sup>(2B) The regulations may authorise the determination of proceedings without any hearing in such circumstances as the regulations may prescribe.]
- (3) The regulations may include provision authorising or requiring the Tribunal, in circumstances specified in the regulations, to send notice or a copy of—
  - (a) any document specified in the regulations which relates to any proceedings before the Tribunal; or
- (b) any decision, order or award of the Tribunal,

to any person or body so specified.

- [FII(4) In relation to proceedings on a complaint under Article 38 the regulations may include provision for postponing fixing a time and place for a hearing, or postponing a time fixed for a hearing, for such period as may be determined in accordance with the regulations for the purpose of giving an opportunity for the proceedings to be settled by way of conciliation and withdrawn.]
  - (5) The regulations may enable the Tribunal to sit in private for the purposes of—
    - (a) hearing evidence which in the opinion of the Tribunal relates to matters of such a nature that it would be against the interests of national security, public safety or public order to allow the evidence to be given in public;
    - (b) hearing evidence from any person which in the opinion of the Tribunal is likely to consist of—
      - (i) information which he could not disclose without contravening a prohibition imposed by or under any statutory provision;
      - (ii) information which has been communicated to him in confidence or which he has otherwise obtained in consequence of the confidence reposed in him by another person;
      - (iii) information the disclosure of which would cause substantial injury to any undertaking of his or in which he works; or
      - (iv) information the disclosure of which would create a substantial risk that he or another individual would be subject to physical attack or sectarian harassment.

- (6) Where the Tribunal sits in private in accordance with any provision included in the regulations by virtue of paragraph (5), the Tribunal may make an order prohibiting the disclosure of specified information, except so far as the disclosure is necessary—
  - (a) for the purposes of the proceedings;
  - (b) for communicating to any person the decision of the Tribunal in the proceedings and for communicating the reasons for the decision to any person to whom the Tribunal is required by the regulations to communicate them; or
  - (c) for the purposes of any criminal proceedings or to comply with the order of a court.
  - (7) The Tribunal shall give reasons for its decisions.
  - (8) Part I of the Arbitration Act 1996 does not apply to any proceedings before the Tribunal.
  - (9) Any person who without reasonable excuse fails to comply with—
    - (a) any requirement imposed by the regulations by virtue of paragraph (2)(c); or
    - (b) any requirement with respect to the discovery or inspection of documents imposed by the regulations by virtue of paragraph (2)(e),
  - [F9(c) any requirement imposed by virtue of regulations under this Article to give written answers for the purpose of facilitating the determination of proceedings as mentioned in paragraph (2B).]

is guilty of an offence.

- (10) A person guilty of an offence under paragraph (9)—
  - (a) shall be liable on summary conviction to a fine not exceeding level 5 on the standard scale;
  - (b) if without reasonable excuse the failure continues after conviction, shall be liable on a second or subsequent summary conviction to a fine not exceeding one-tenth of level 5 on the standard scale for each day on which the failure continues.
- (11) If a person discloses any information in contravention of an order of the Tribunal under paragraph (6), he shall be guilty of an offence and liable on summary conviction to a fine not exceeding level 5 on the standard scale.
  - **F9** 2003 NI 15
  - F10 Words in art. 84(2A)(b) inserted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(3)(a), 29(2); S.R. 2020/1, art. 2(d)
  - **F11** 2003 NI 15

#### [F12Practice directions

- **84A.**—(1) Regulations under Article 84 may include provision—
  - (a) enabling the President to make directions about the procedure of the Tribunal, including directions about the exercise by the Tribunal of powers under such regulations;
  - (b) for securing compliance with such directions; and
  - (c) about the publication of such directions.
- (2) The regulations may, instead of providing for any matter, refer to provision made or to be made about that matter by directions made by the President.]

### **F12** 2003 NI 15

#### [F13Pre-hearing reviews and preliminary matters

- **84B.**—(1) Regulations under Article 84 may include provision—
  - (a) for authorising the Tribunal to carry out a review of any proceedings before it at any time before a hearing held for the purpose of determining them (a "pre-hearing review");
  - (b) for enabling such powers to be exercised in connection with a pre-hearing review as may be prescribed by the regulations.
- (2) Such regulations may in particular include provision—
  - (a) for authorising the Tribunal carrying out a pre-hearing review under the regulations to make, in circumstances specified in the regulations, an order requiring a party to the proceedings in question <sup>F14</sup>... to pay a deposit of an amount not exceeding [F15 £500] [F16 as a condition of continuing to participate in those proceedings or doing such other thing as may be prescribed in the regulations ]; and
  - (b) for prescribing—
    - (i) the manner in which the amount of any such deposit is to be determined in any particular case;
    - (ii) the consequences of non-payment of any such deposit; and
    - (iii) the circumstances in which any such deposit, or any part of it, may be refunded to the party who paid it or be paid over to another party to the proceedings.
- (3) Regulations under paragraph (1)(b), so far as relating to striking out, may not provide for striking out on a ground which does not apply outside a pre-hearing review.
- (4) The Department may by order substitute for the sum specified in paragraph (2)(a) such other sum as is specified in the order.
- (5) The regulations may also include provision for authorising the Tribunal to hear and determine separately any preliminary issue of a description prescribed by the regulations which is raised in any case.]
  - **F13** 2003 NI 15
  - **F14** Words in art. 84B(2)(a) repealed (20.9.2021) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 7(1)(a), 29(2), **Sch. 3**; S.R. 2021/253, art. 2(b)(h)
  - F15 SR 2004/158
  - **F16** Words in art. 84B(2)(a) added (20.9.2021) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 7(1)(b), 29(2); S.R. 2021/253, art. 2(b)

#### Procedure for matters within jurisdiction of industrial tribunal

- **85.**—(1) This Article applies where a complaint has been made to the Tribunal under Article 38 and it appears to the President or Vice-President that the complaint is one in respect of which—
  - [F17(a) a complaint could be made to an industrial tribunal by the complainant under any other statutory provision; or
    - (b) such a complaint has been made, but the proceedings in the industrial tribunal in relation to that complaint have not been disposed of.]
- (2) Where this Article applies and the President or Vice-President considers that any matters which would otherwise fall to be determined by an industrial tribunal could appropriately be heard and determined by the Tribunal, he may direct that those matters shall be so heard and determined.
- (3) Where a direction is made under paragraph (2) that any matters shall be heard and determined by the Tribunal, then—

- (a) for the purpose of complying with that direction, the Tribunal shall, in relation to those matters, have the jurisdiction, and may exercise all the powers, of an industrial tribunal;
- (b) none of the following [F18(if otherwise relevant to the industrial tribunal proceedings)], namely—
  - (i) Article 63(3) of the Sex Discrimination (Northern Ireland) Order 1976;
  - (ii) Article 11(5) of the Industrial Tribunals (Northern Ireland) Order 1996;
  - (iii) Article 52(3) of the Race Relations (Northern Ireland) Order 1997,
  - [F19(iv) regulation 34(4) of the Employment Equality (Sexual Orientation) Regulations (Northern Ireland) 2003,]
  - [F20(v) regulation 41(4) of the Employment Equality (Age) Regulations (Northern Ireland) 2006,]

shall apply in relation to the hearing and determination of those matters by the Tribunal;

- (c) Articles 16 and 17 of the Industrial Tribunals (Northern Ireland) Order 1996 (recovery of, and interest on, sums awarded by industrial tribunals) shall apply in relation to any sum payable in pursuance of a decision of the Tribunal in relation to any such matters as it applies in relation to a sum payable in pursuance of a decision of an industrial tribunal; and
- (d) Article 22 of the Industrial Tribunals (Northern Ireland) Order 1996 (appeals against decisions of industrial tribunals) shall apply in relation to a decision of the Tribunal in relation to any such matter as it applies in relation to a decision of an industrial tribunal.
- F17 Art. 85(1)(a)(b) substituted (3.4.2011) by Employment Act (Northern Ireland) 2011 (c. 13), ss. 11(2), 17(1); S.R. 2011/159, art. 2
- **F18** Words in art. 85(3)(b) inserted (3.4.2011) by Employment Act (Northern Ireland) 2011 (c. 13), ss. 11(3), 17(1); S.R. 2011/159, art. 2
- F19 SR 2003/497
- **F20** Art. 85(3)(b)(v) inserted (1.10.2006) by Employment Equality (Age) Regulations (Northern Ireland) 2006 (S.R. 2006/261), reg. 53(1), **Sch. 7 para. 5(3)** (with reg. 50)

# [F21Costs and expenses

- **85A.**—(1) Regulations under Article 84 may include provision—
  - (a) for the award of costs;
  - (b) for the award of any allowances payable under Article 83(2).
- (2) Regulations under paragraph (1) may include provision authorising the Tribunal to have regard to a person's ability to pay when considering the making of an award against him under such regulations.
  - (3) The regulations may include provision for authorising the Tribunal—
    - (a) to disallow all or part of the costs of a representative of a party to proceedings before it by reason of that representative's conduct of the proceedings;
    - (b) to order a representative of a party to proceedings before it to meet all or part of the costs incurred by a party by reason of the representative's conduct of the proceedings;
    - (c) to order a representative of a party to proceedings before it to meet all or part of any allowances payable by the Department under Article 83(2) by reason of the representative's conduct of the proceedings.

(4) The regulations may also include provision for taxing or otherwise settling the costs referred to in paragraph (1)(a) or (3)(b) (and, in particular for enabling such costs to be taxed in the county court).]

**F21** 2003 NI 15

#### Payments in respect of preparation time

- **85B.**—(1) Regulations under Article 84 may include provision for authorising the Tribunal to order a party to proceedings before it to make a payment to any other party in respect of time spent in preparing that other party's case.
- (2) Regulations under paragraph (1) may include provision authorising the Tribunal to have regard to a person's ability to pay when considering the making of an order against him under such regulations.
  - (3) If regulations under Article 84 include—
    - (a) provision of the kind mentioned in paragraph (1); and
    - (b) provision of the kind mentioned in Article 85A(1)(a),

they shall also include provision to prevent the Tribunal exercising its powers under both kinds of provision in favour of the same person in the same proceedings.

#### Interest on awards of compensation

- **86.**—(1) The Department may by order made with the approval of the Department of Finance and Personnel provide that an award of compensation under Article 39(1)(b) shall carry interest at such rate and between such times as may be prescribed by the order.
  - (2) The power conferred by paragraph (1) includes power—
    - (a) to specify cases or circumstances in which interest is not payable;
    - (b) to provide that interest is payable only on compensation exceeding a specified amount or falling between specified amounts;
    - (c) to make provision for the manner in which and the periods by reference to which interest is to be calculated and paid;
    - (d) to provide that any statutory provision—
      - (i) does or does not apply in relation to interest payable by virtue of paragraph (1); or
      - (ii) applies to it with such modifications as may be specified in the order;
    - (e) to make provision for cases where compensation is payable in pursuance of decisions or awards made on appeal from the Tribunal.
- (3) In particular, an order under paragraph (1) may provide that the rate of interest shall be the rate from time to time in force in relation to interest on amounts awarded by decree in the county court.

#### **Enforcement**

- **87.** The Judgments Enforcement (Northern Ireland) Order 1981 shall apply with the necessary modifications in relation to [F22] any sum payable in pursuance of a decision of the Tribunal] as it applies to a sum due under a money judgment (within the meaning of that Order).
  - F22 Words in art. 87 substituted (15.1.2006) by Employment (Miscellaneous Provisions) (Northern Ireland) Order 2005 (S.I. 2005/3424 (N.I. 20)), arts. 1(2), 4

#### Other methods of dispute resolution

#### Conciliation

<b>8.</b> — <sup>F23</sup> (1)
1A) <sup>F24</sup>
23(2)

- (3) In proceeding under [F25 any of Articles 88ZA to 88ZC], the Agency shall where appropriate have regard to the desirability of encouraging the use of other procedures available for the settlement of grievances.
- (4) Anything communicated to the Agency in connection with the performance of its functions under this Article [F<sup>26</sup> or any of Articles 88ZA to 88ZC] shall not be admissible in evidence in any proceedings before the Tribunal except with the consent of the person who communicated it to the Agency.

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F23 Art. 88(1)(2) repealed (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(3)(b)(i), 29(2), Sch. 3; S.R. 2020/1, art. 2(d)(o)
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- F24 Art. 88(1A) repealed (3.4.2011) by Employment Act (Northern Ireland) 2011 (c. 13), ss. 13, 16, 17(1), Sch. 4; S.R. 2011/159, art. 2
- **F25** Words in art. 88(3) substituted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(3)(b)(ii), 29(2); S.R. 2020/1, art. 2(d)
- **F26** Words in art. 88(4) inserted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), **ss. 5(3)** (iii), 29(2); S.R. 2020/1, art. 2(d)

# [F27]Requirement to contact Labour Relations Agency before complaint to Tribunal

- **88ZA.**—(1) Subject to paragraph (7), before a person ("the prospective complainant") presents a complaint to the Tribunal under Article 38 relating to any matter, the prospective complainant must provide to the Agency prescribed information, in the prescribed manner, about that matter.
- (2) On receiving the prescribed information in the prescribed manner, the Agency shall send a copy of it to a conciliation officer.
- (3) The conciliation officer shall, during the prescribed period, endeavour to promote a settlement between the persons who would be the complainant and the respondent if a complaint were presented to the Tribunal under Article 38.
  - (4) If—
    - (a) during the prescribed period the conciliation officer concludes that a settlement is not possible, or
    - (b) the prescribed period expires without a settlement having been reached,

the conciliation officer shall issue a certificate to that effect, in the prescribed manner, to the prospective complainant.

- (5) The conciliation officer may continue to endeavour to promote a settlement after the expiry of the prescribed period.
- (6) In paragraphs (3) to (5) "settlement" means a settlement that avoids a complaint being presented to the Tribunal under Article 38.
- (7) A person may present a complaint to the Tribunal under Article 38 without complying with the requirement in paragraph (1) in prescribed cases.

The cases that may be prescribed include (in particular)—

- (a) cases where the requirement is complied with by another person presenting a complaint to the Tribunal under Article 38 relating to the same matter;
- (b) cases where prescribed proceedings are instituted by means of the same form as the complaint;
- (c) cases where Article 88ZB applies because the Agency has been contacted by a person who is the respondent on a complaint presented to the Tribunal under Article 38.
- (8) A person who is subject to the requirement in paragraph (1) may not present a complaint to the Tribunal under Article 38 without a certificate under paragraph (4).
  - (9) In paragraphs (1) to (7) "prescribed" means prescribed in regulations under Article 84.
- (10) Regulations under Article 84 may make such further provision as appears to the Department to be necessary or expedient with respect to the conciliation process provided for by paragraphs (1) to (8).
  - (11) Regulations under Article 84 may (in particular) make provision—
    - (a) authorising the Department to prescribe, or prescribe requirements in relation to, any form which is required by such regulations to be used for the purpose of providing information to the Agency under paragraph (1) or issuing a certificate under paragraph (4);
    - (b) requiring the Agency to give a person any necessary assistance to comply with the requirement in paragraph (1);
    - (c) for the extension of the period prescribed for the purposes of paragraph (3);
    - (d) treating the requirement in paragraph (1) as complied with, for the purposes of any provision extending the time limit for presenting a complaint to the Tribunal under Article 38, by a person who is relieved of that requirement by virtue of paragraph (7)(a).

F27 Arts. 88ZA-88ZC inserted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(2), 29(2); S.R. 2020/1, art. 2(d)

#### Conciliation before complaint to Tribunal: other Labour Relations Agency duties

#### **88ZB.**—(1) This Article applies where—

- (a) a person contacts the Agency requesting the services of a conciliation officer in relation to a matter that (if not settled) is likely to give rise to a complaint being presented to the Tribunal under Article 38 against that person, and
- (b) the Agency has not received information from the prospective complainant under Article 88ZA(1).
- (2) This Article also applies where—
  - (a) a person contacts the Agency requesting the services of a conciliation officer in relation to a matter that (if not settled) is likely to give rise to a complaint being presented to the Tribunal under Article 38 by that person, and
  - (b) the requirement in Article 88ZA(1) would apply to that person but for Article 88ZA(7).
- (3) Where this Article applies a conciliation officer shall endeavour to promote a settlement between the persons who would be the complainant and the respondent if a complaint were presented to the Tribunal under Article 38.
  - (4) If at any time—
    - (a) the conciliation officer concludes that a settlement is not possible, or
    - (b) a conciliation officer comes under the duty in Article 88ZA(3) to promote a settlement between the persons who would be the complainant and the respondent,

the duty in paragraph (3) ceases to apply at that time.

(5) In paragraphs (3) and (4) "settlement" means a settlement that avoids a complaint being presented to the Tribunal under Article 38.

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F27 Arts. 88ZA-88ZC inserted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(2), 29(2); S.R. 2020/1, art. 2(d)
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#### Conciliation after complaint presented

- **88ZC** Where a complaint has been presented to the Tribunal under Article 38, the Tribunal shall send a copy of the complaint to the Agency and it shall be the duty of the Agency—
  - (a) if it is requested to do so by both the complainant and the respondent; or
  - (b) if, in the absence of any such request, the Agency considers that it could act under this Article with a reasonable prospect of success,

to endeavour to promote a settlement of the complaint without its being determined by the Tribunal.]

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F27 Arts. 88ZA-88ZC inserted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(2), 29(2); S.R. 2020/1, art. 2(d)
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# [F28Conciliation: recovery of sums payable under compromises

- **88A.**—(1) Paragraphs (3) to (6) apply if—
  - (a) the Agency—
    - (i) has taken action under [F29 any of Articles 88ZA to 88ZC] in a case, and
    - (ii) issues a certificate in writing stating that a compromise has been reached in the case, and
  - (b) all of the terms of the compromise are set out—
    - (i) in a single relevant document, or
    - (ii) in a combination of two or more relevant documents.
- (2) A document is a "relevant document" for the purposes of paragraph (1) if—
  - (a) it is the certificate, or
  - (b) it is a document that is referred to in the certificate or that is referred to in a document that is within this sub-paragraph.
- (3) Any sum payable by a person under the terms of the compromise (a "compromise sum") shall, subject to paragraphs (4) to (7), be recoverable as if the sum were payable under an order of the Tribunal.
  - (4) A compromise sum is not recoverable under paragraph (3) if—
    - (a) the person by whom it is payable applies for a declaration that the sum would not be recoverable from him under the general law of contract, and
    - (b) that declaration is made.
- (5) If county court rules so provide, a compromise sum is not recoverable under paragraph (3) during the period—
  - (a) beginning with the issue of the certificate, and

- (b) ending at such time as may be specified in, or determined under, county court rules.
- (6) If the terms of the compromise provide for the person to whom a compromise sum is payable to do anything in addition to discontinuing or not starting proceedings, that sum is recoverable by him under paragraph (3) only if a county court so orders.
- (7) Once an application has been made for a declaration under paragraph (4) in relation to a sum, no further reliance may be placed on paragraph (3) for the recovery of the sum while the application is pending.
- (8) An application for a declaration under paragraph (4) may be made to the Tribunal or a county court.
- (9) Regulations under Article 84 may (in particular) make provision as to the time within which an application to the Tribunal for a declaration under paragraph (4) is to be made.
  - (10) County court rules may make provision as to—
    - (a) the time within which an application to a county court for a declaration under paragraph (4) is to be made;
    - (b) when an application (whether made to a county court or the Tribunal) for a declaration under paragraph (4) is pending for the purposes of paragraph (7).
- (11) Nothing in this Article shall be taken to prejudice any rights or remedies that a person has apart from this Article.
- (12) In this Article "compromise" (except in the phrase "compromise sum") means a settlement, or compromise, to avoid proceedings or bring proceedings to an end.]
  - **F28** Art. 88A inserted (3.4.2011) by Employment Act (Northern Ireland) 2011 (c. 13), ss. 14, 17(1); S.R. 2011/159, art. 2
  - **F29** Words in art. 88A(1)(a)(i) substituted (27.1.2020) by Employment Act (Northern Ireland) 2016 (c. 15), ss. 5(3)(c), 29(2); S.R. 2020/1, art. 2(d)

#### Labour Relations Agency arbitration scheme

- **89.**—(1) The Agency may prepare a scheme providing for arbitration in the case of disputes involving proceedings, or claims which could be the subject of proceedings, before the Tribunal.
- (2) When the Agency has prepared such a scheme it shall submit a draft of the scheme to the Department which, if it approves the scheme, shall make an order—
  - (a) setting out the scheme; and
  - (b) making provision for it to come into effect.
- (3) The Agency may from time to time prepare a revised version of such a scheme and, when it has done so, shall submit a draft of the revised scheme to the Department which, if it approves the scheme, shall make an order—
  - (a) setting out the revised scheme; and
  - (b) making provision for it to come into effect.
- (4) The Agency may take any steps appropriate for promoting awareness of a scheme prepared under this Article.
- (5) Where the parties to any dispute within paragraph (1) agree in writing to submit the dispute to arbitration in accordance with a scheme having effect by virtue of an order under this Article, the Agency shall refer the dispute to the arbitration of a person appointed by the Agency for the purpose (not being an officer or employee of the Agency).

(6) Nothing in the Arbitration Act 1996 shall apply to an arbitration conducted in accordance with a scheme having effect by virtue of an order under this Article except to the extent that the order provides for any provision of Part I of that Act so to apply; and the order may provide for any such provision so to apply subject to modifications.

#### Appeals

#### **Appeals from Tribunal**

- **90.**—(1) Where—
  - (a) a person is dissatisfied in point of law with a decision of the Tribunal under Article 15, 16 or 17; or
  - (b) any party to a complaint under Article 38 is dissatisfied in point of law with a decision of the Tribunal; or
  - (c) a person is dissatisfied in point of law with a decision of the Tribunal on an appeal brought by him under Article 62 or 63,

he may, according as rules of court may provide, either appeal from it to the Court of Appeal or require the Tribunal to state and sign a case for the opinion of the Court of Appeal.

- (2) Rules of court may provide for authorising or requiring the Tribunal to state, in the form of a special case for the decision of the Court of Appeal, any question of law arising in the proceedings.
- (3) A person on whom a penalty is imposed under Article 17(3)(b) may, with the leave of the Court of Appeal, appeal to the Court of Appeal against the amount of the penalty.
- (4) In paragraph (1)(a) the reference to Articles 15, 16 and 17 includes a reference to those Articles as applied by Article 59.
  - (5) Paragraph (1)(b) does not apply to a decision of the Tribunal to which Article 85(3)(d) applies.

Changes to legislation:
There are currently no known outstanding effects for the The Fair Employment and Treatment (Northern Ireland) Order 1998, PART IX.