

## SCHEDULES

### SCHEDULE 3

Section 3(2)(a).

#### Scope of scheme regulations: supplementary matters

**1. Eligibility and admission to membership.**

This includes—

- (a) specifying who, of the persons in relation to whom the scheme regulations may be made, is eligible for membership;
- (b) conditions of eligibility.

**2. The benefits which must or may be paid under the scheme.**

Those benefits may include—

- (a) pensions and other benefits on leaving service to which the scheme relates (whether before, at or after normal pension age);
- (b) benefits payable on death (in service or otherwise);
- (c) compensation payments (including for death, injury or redundancy);
- (d) discretionary payments and concessions.

**3. The persons to whom benefits under the scheme are payable.**

Those persons may include—

- (a) active, deferred and pensioner members of the scheme;
- (b) pension credit members of the scheme;
- (c) widows, widowers, surviving civil partners and surviving dependants.

**4. The conditions subject to which benefits are payable.**

**5. The assignment of benefits, including restrictions on assignment.**

**6. The forfeiture or suspension of benefits.**

**7. The recovery of overpaid benefits.**

**8. The exclusion of double recovery of compensation or damages.**

This includes—

- (a) exclusion or modification of rights to compensation or damages in respect of any matter in a case where benefits are paid under the scheme in respect of the same matter;

- (b) exclusion or modification of rights to benefits under the scheme where compensation or damages are received in respect of the same matter from another source.

**9.** Contributions, including—

- (a) the making of contributions by employers and members;
- (b) contribution rates;
- (c) interest on late payment of contributions;
- (d) the return of contributions (with or without interest).

**10.** The payment or receipt of transfer values or other lump sum payments for the purpose of creating or restoring rights to benefits (under the scheme or otherwise).

**11.** Pension funds (for schemes which have them).

This includes the administration, management and winding-up of any pension funds.

**12.** The administration and management of the scheme, including—

- (a) the giving of guidance or directions by the responsible authority to the scheme manager (where those persons are different);
- (b) the person by whom benefits under the scheme are to be provided;
- (c) the provision or publication of information about the scheme.

**13.** The delegation of functions under scheme regulations, including—

- (a) delegation of functions by the scheme manager or responsible authority;
- (b) further delegation of functions by any delegatee.

**14.** The payment by an employer of—

- (a) any costs relating to the administration of the scheme;
- (b) any costs incurred because of a failure by the employer to comply with the employer's obligations under the scheme;
- (c) interest relating to payments to be made by virtue of this paragraph.

**15.** The resolution of disputes and appeals (including the referral to a court of law of questions of law which under the scheme fall to be determined by the responsible authority).